

# New phenomena due to Kitaev interactions

Natalia Perkins

University of Minnesota  
School of Physics and Astronomy

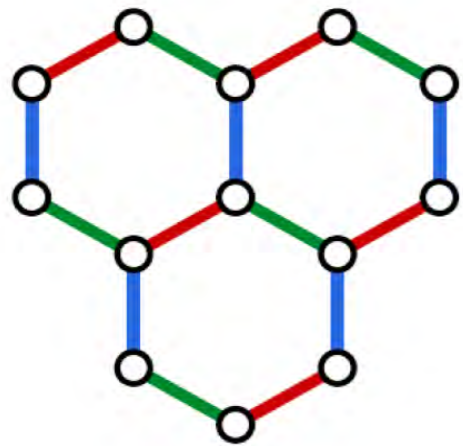
May 23, SPICE 2015



# Outline

- Kitaev and Kitaev-Heisenberg model
- Relevance of the Kitaev-Heisenberg model to real materials.
- Possible extensions of the Kitaev-Heisenberg model.
- $K_1$ - $K_2$  model. Classical and quantum phase diagrams

# Kitaev model on the honeycomb lattice



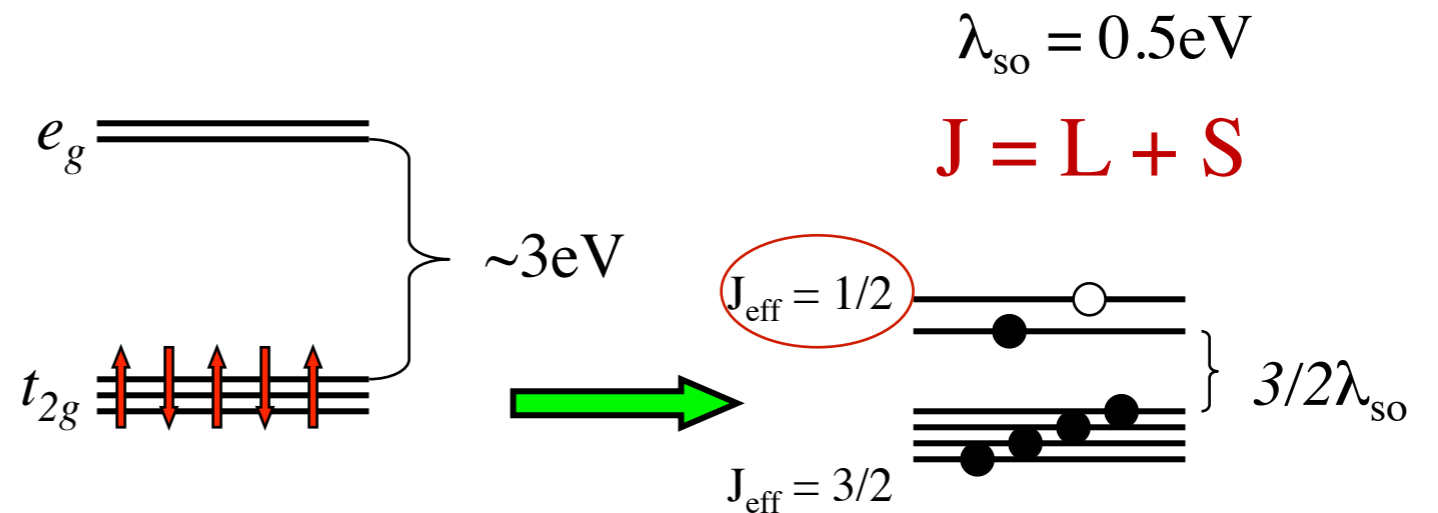
$\sigma^x \sigma^x$  ———  
 $\sigma^y \sigma^y$  ———  
 $\sigma^z \sigma^z$  ———

$$H = - \sum_{\langle jk \rangle} J_\alpha \sigma_j^\alpha \sigma_k^\alpha = - \sum_{\mathbf{r} \in A} \sum_{\alpha=x,y,z} J_\alpha \sigma_{\mathbf{r}}^\alpha \sigma_{\mathbf{r}+\mathbf{d}_\alpha}$$

G. Jackeli and G. Khaliullin,  
PRL 102, 017205 (2009)

A. Kitaev, Annals of Physics **321**, 2 (2006)

- Exactly solvable!
- Ground state is quantum spin liquid



isospin up

=



spin up,  $l_z=0$

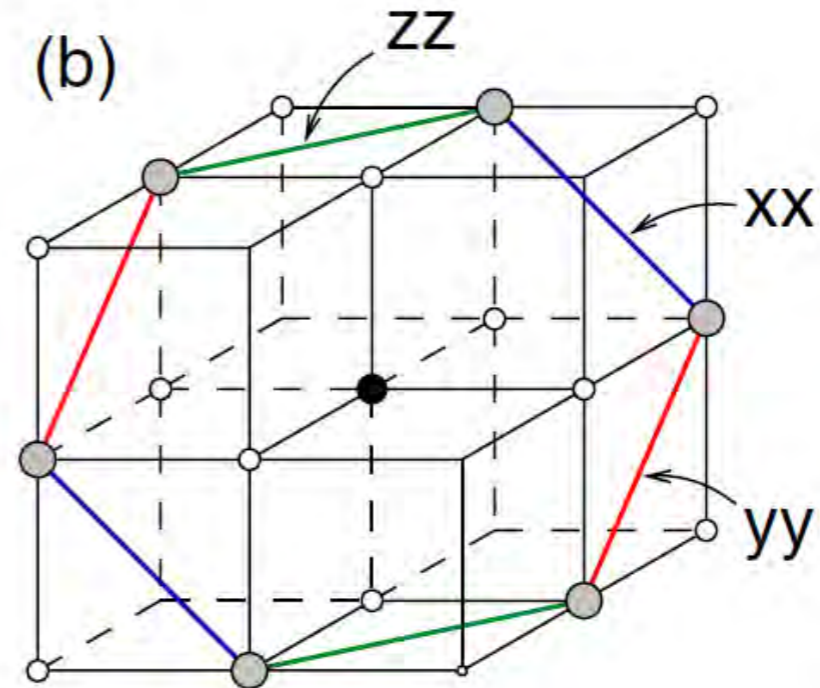
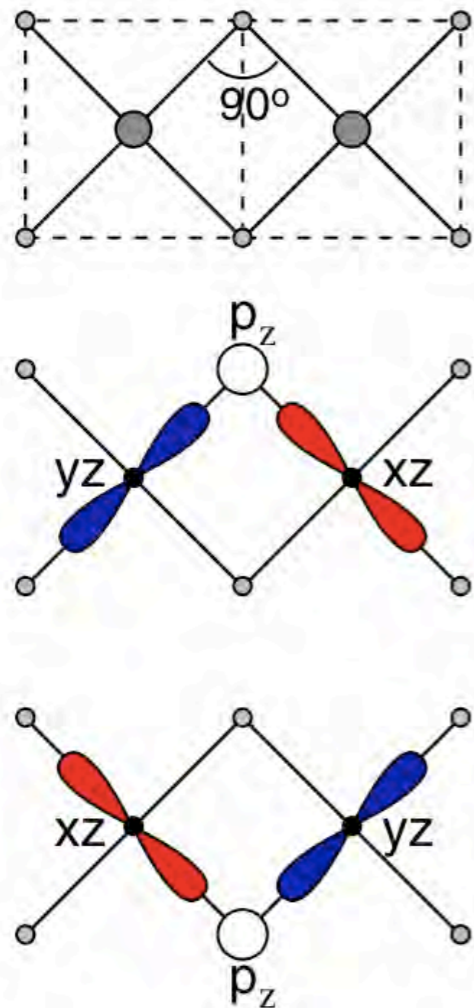
+



spin down,  $l_z=1$

# Kitaev-Heisenberg (KH) model

Super-exchange in **A<sub>2</sub>IrO<sub>3</sub>**

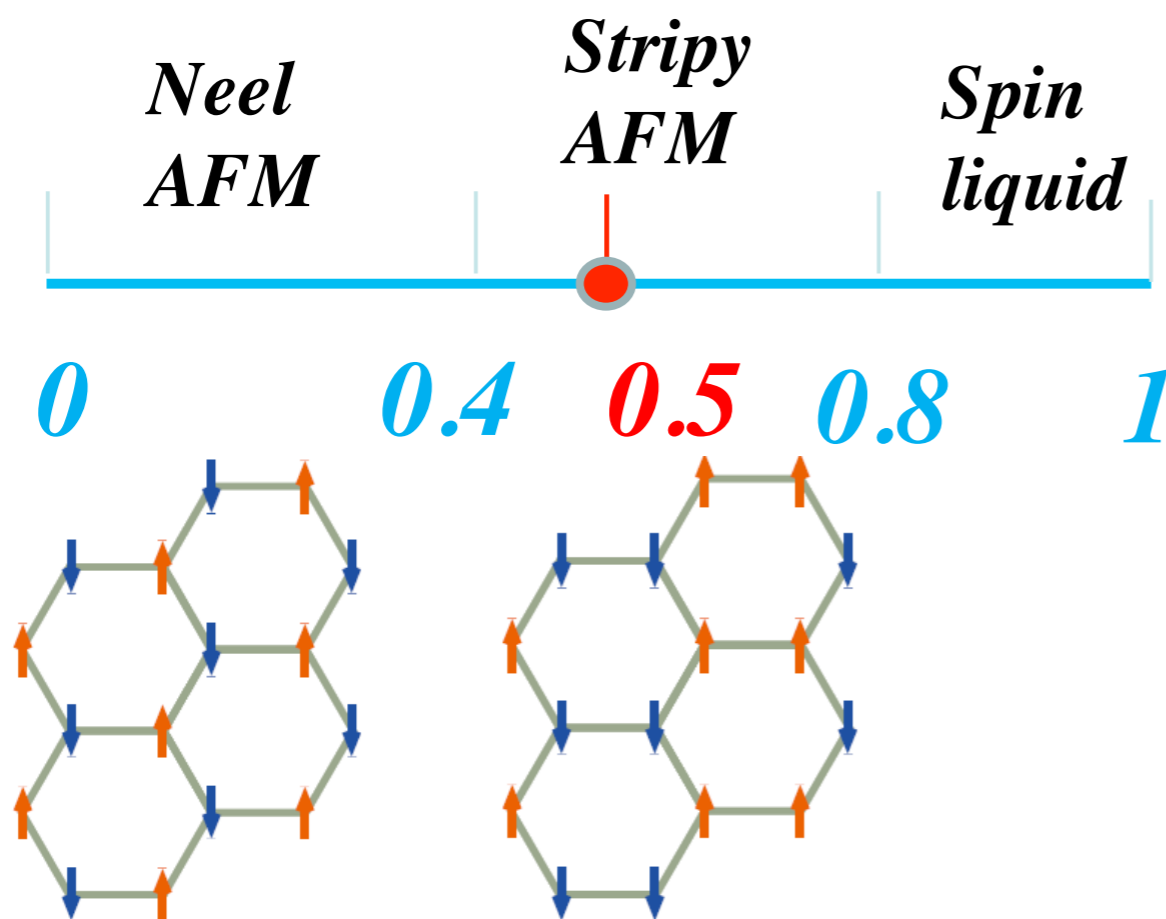


$$\mathcal{H} = -J_K \sum_{\langle ij \rangle_a} \hat{\sigma}_i^a \hat{\sigma}_j^a + J_H \sum_{\langle ij \rangle} \hat{\sigma}_i \cdot \hat{\sigma}_j$$

G. Jackeli and G. Khaliullin,  
PRL 102, 017205 (2009)

# Phase diagram of the KH model

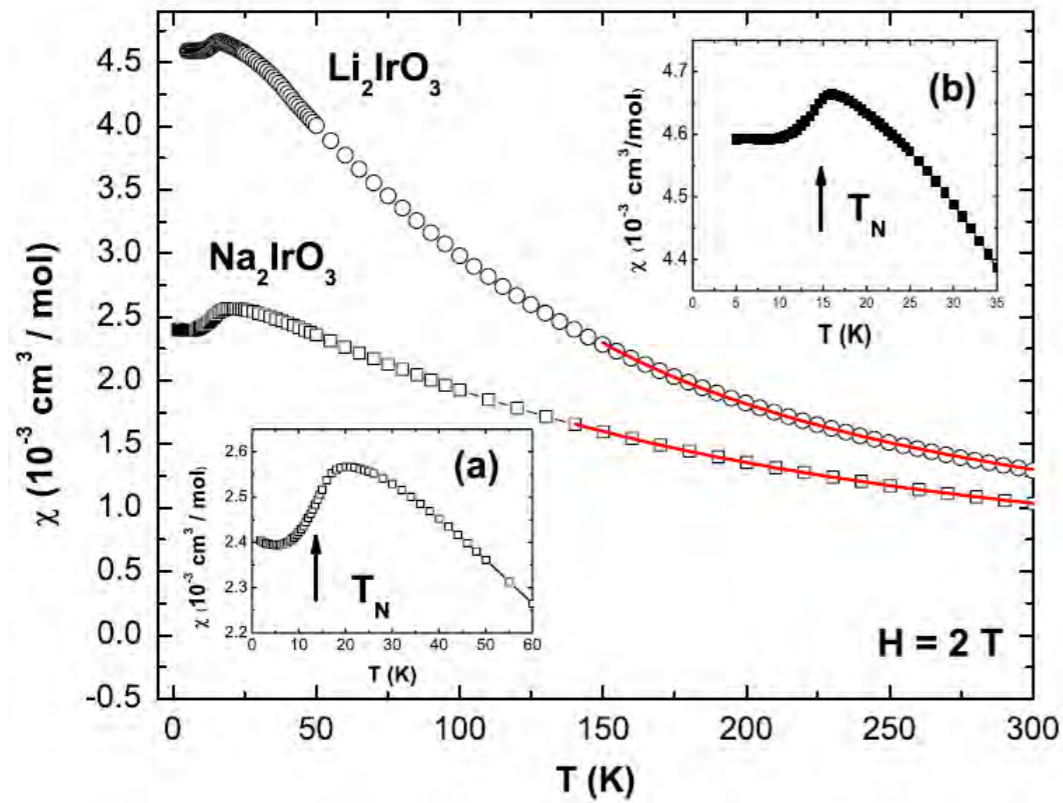
$$\mathcal{H}_{ij}^{(\gamma)} = (1-\alpha) \text{ Heisenberg} - 2\alpha \text{ Kitaev}$$



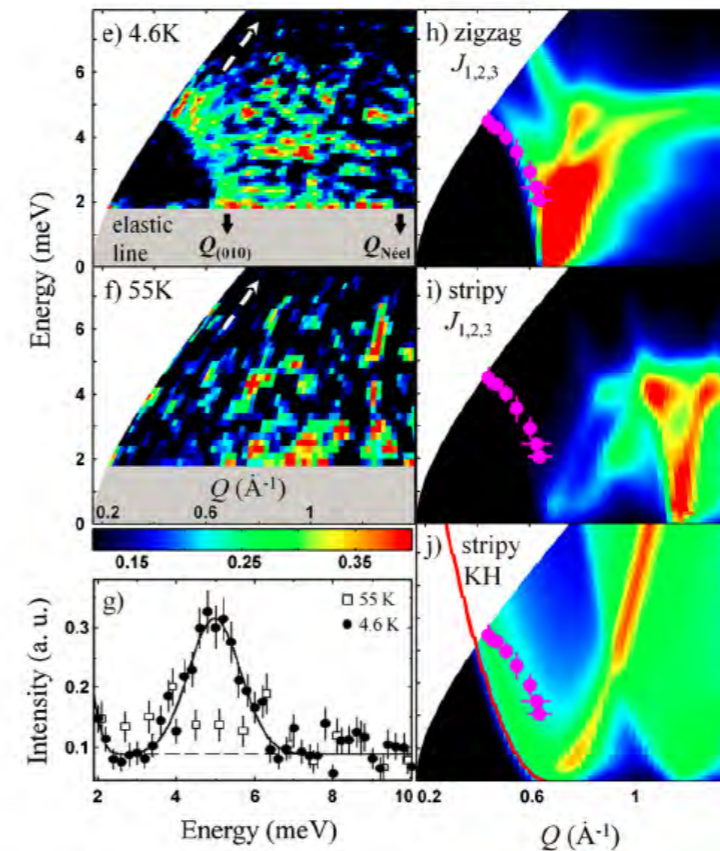
- **Neel AF order at  $\alpha < 0.4$**
- **Stripy AF order at  $0.4 < \alpha < 0.8$  exact at  $\alpha = 1/2$**
- **Spin liquid at  $0.8 < \alpha < 1$  exact at  $\alpha = 1$**



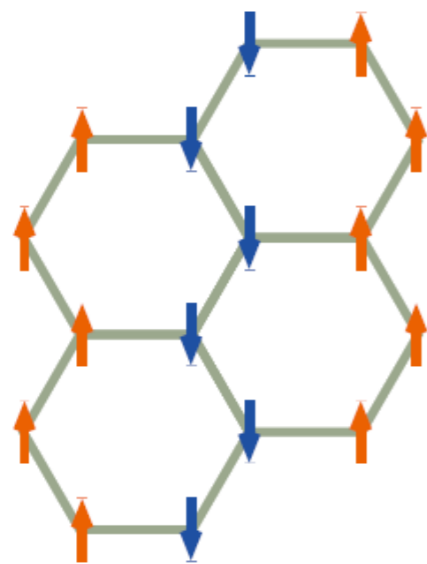
# Na<sub>2</sub>IrO<sub>3</sub>: zigzag order



Singh and Gegenwart, PRB 82, 064412 (2010);  
Singh et al, PRL 108, 127203 (2012)

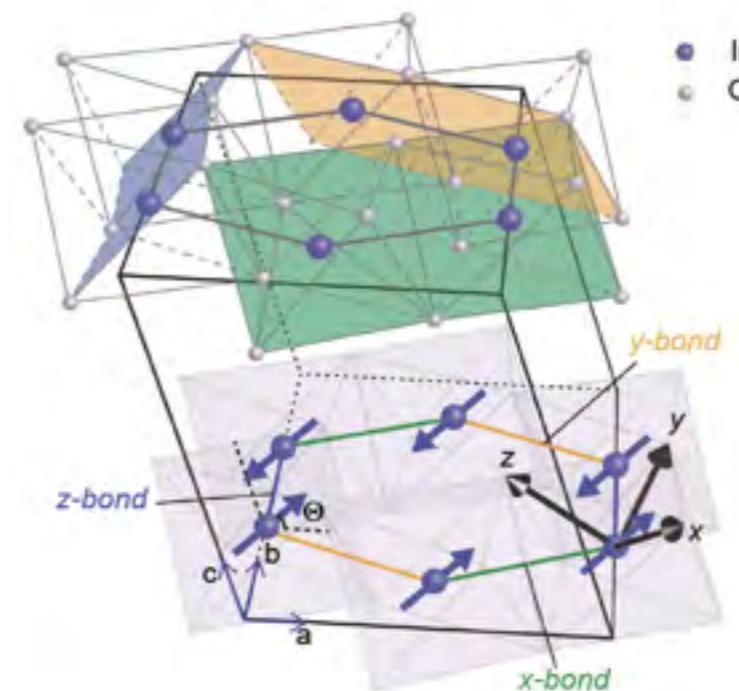


S. K. Choi et al PRL 2012



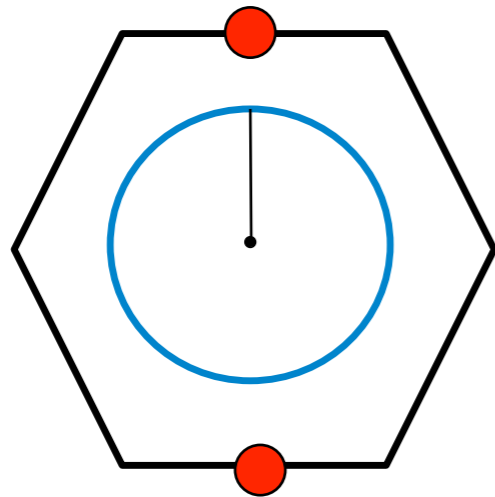
X. Liu et al, PRB 2011

Feng Ye et al, PRB 2012



S.H.Chun et al, Nature Physics 2015

# Li<sub>2</sub>IrO<sub>3</sub>: spiral order

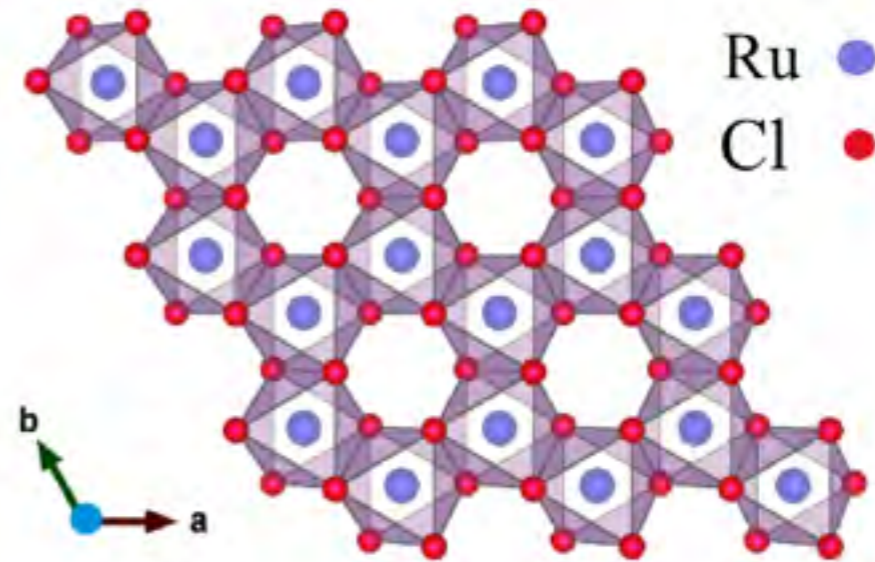
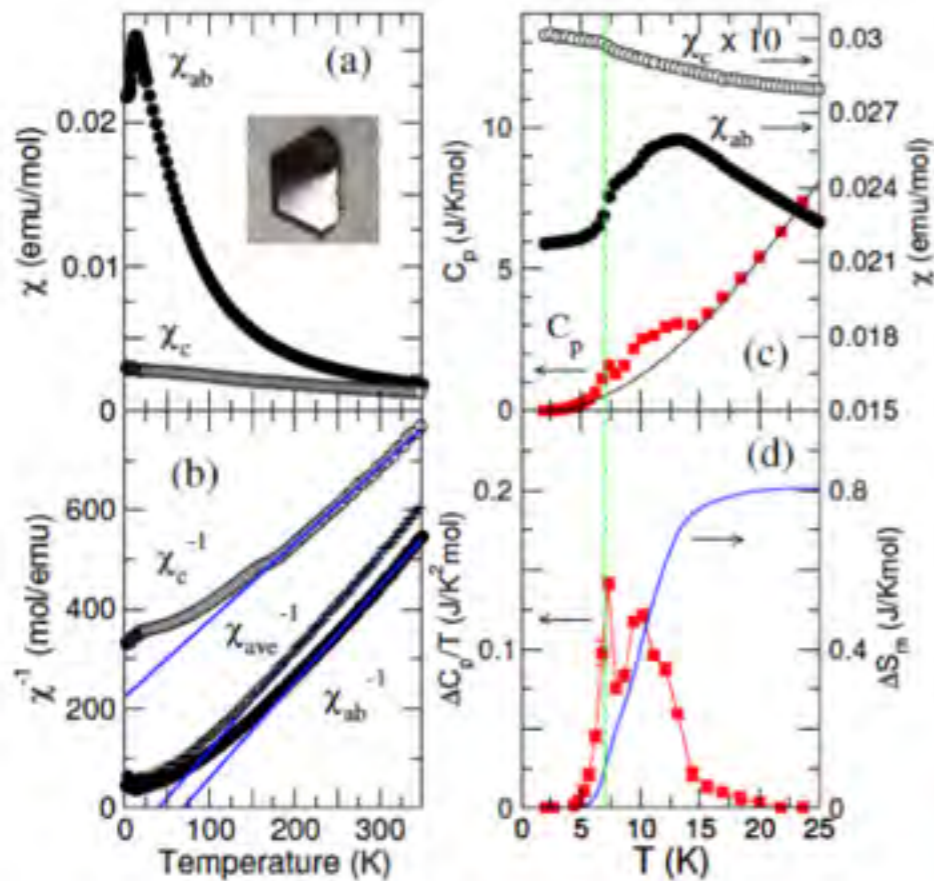


zigzag with  $Q=(0,2\pi/\sqrt{3})$

spiral with  $Q=(x,q)$

Radu Coldea, *SPORE13*, unpublished

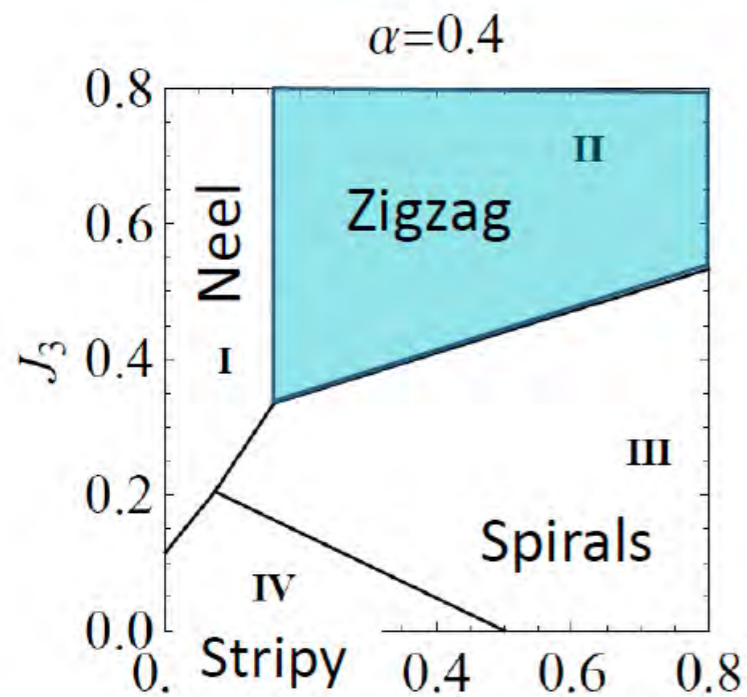
# $\alpha$ -RuCl<sub>3</sub>: zigzag order



K. W. Plumb, *et al* PRB 2014  
J. A. Sears, *et al* PRB 2015



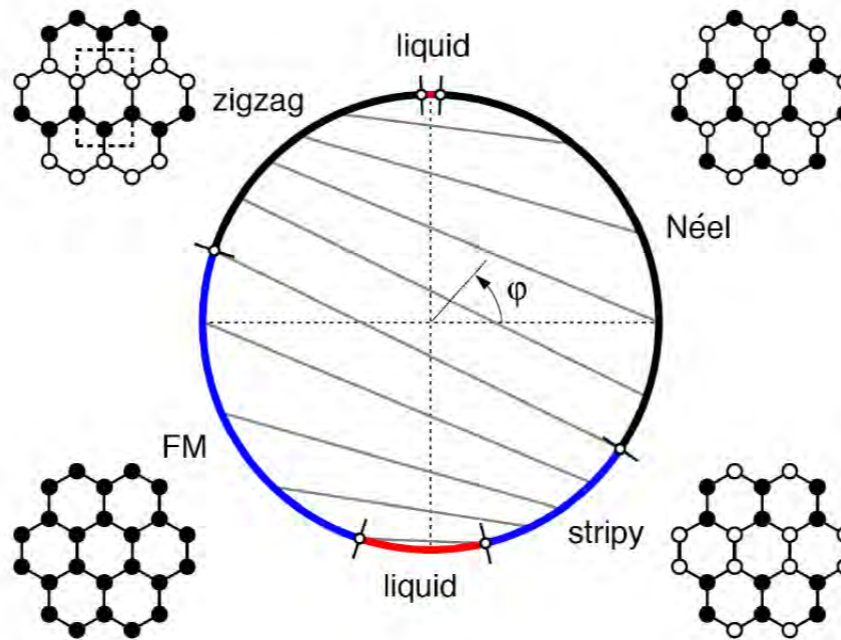
# Extensions of KH model for Na<sub>2</sub>IrO<sub>3</sub>



$J_1-K_1-J_2-J_3$

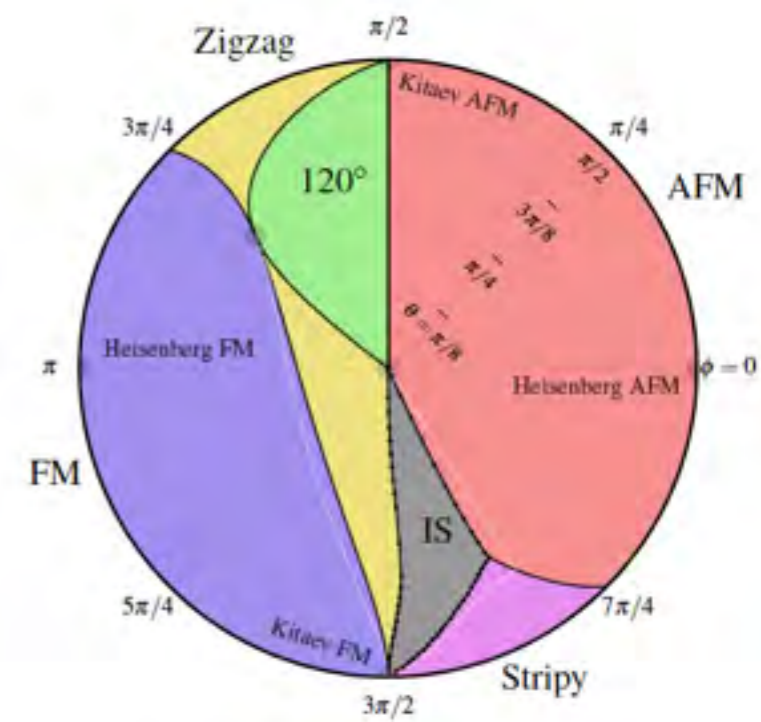
I. Kimchi & Y.Z. You, PRB 2011

S. K. Choi et al PRL 2012



$$\mathcal{H}_{ij}^{(\gamma)} = A (2 \sin \varphi S_i^\gamma S_j^\gamma + \cos \varphi \mathbf{S}_i \cdot \mathbf{S}_j)$$

J. Chaloupka, G. Jackeli, G. Khaliullin PRL 2013



(a) Classical phase diagram with  $\Gamma > 0$

NN KH model +

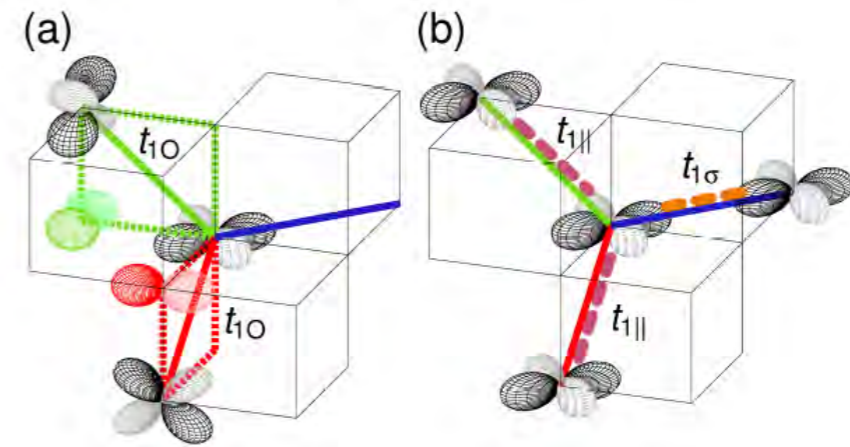
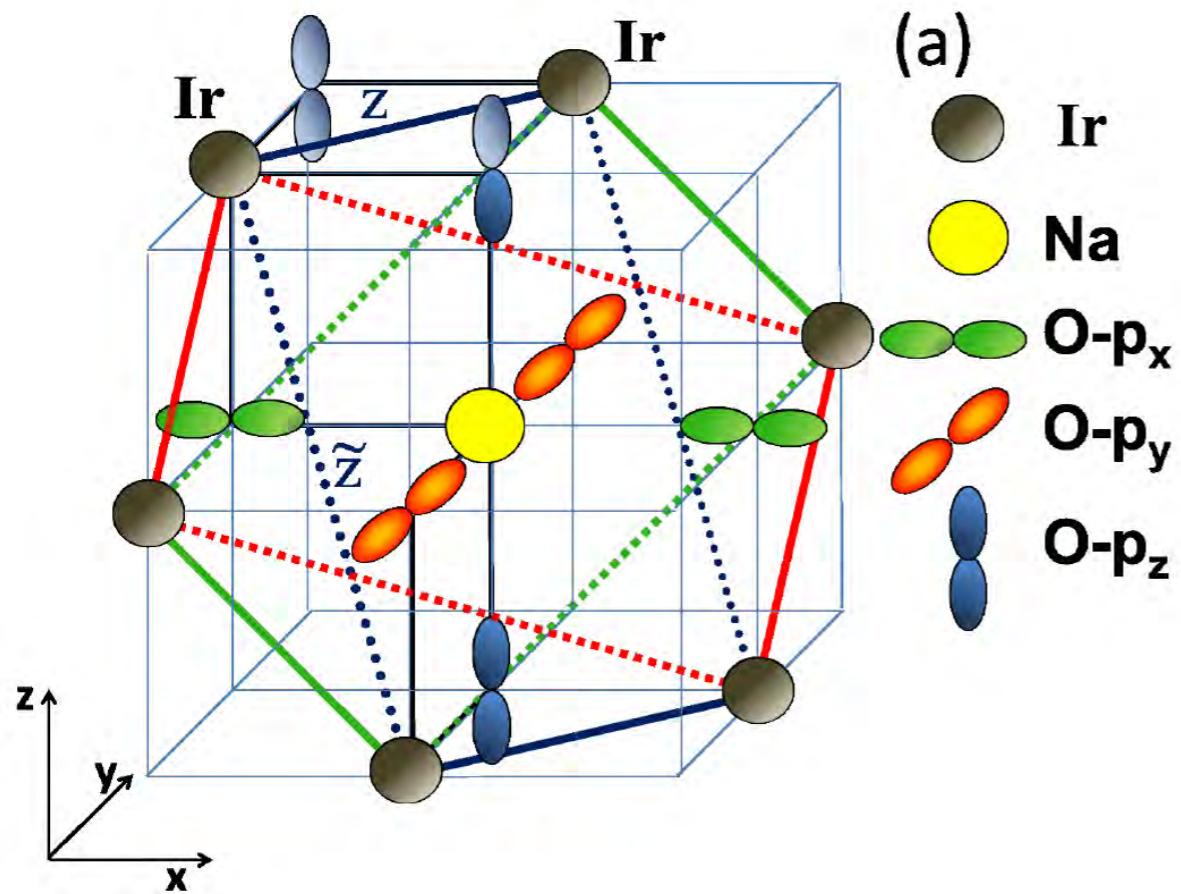
$$+ \Gamma (S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha)$$

J.G. Rau, E. K.-H. Lee, H.-Y. Kee, PRL 2014

J. Chaloupka, G. Khaliullin, arXiv:1502.02587

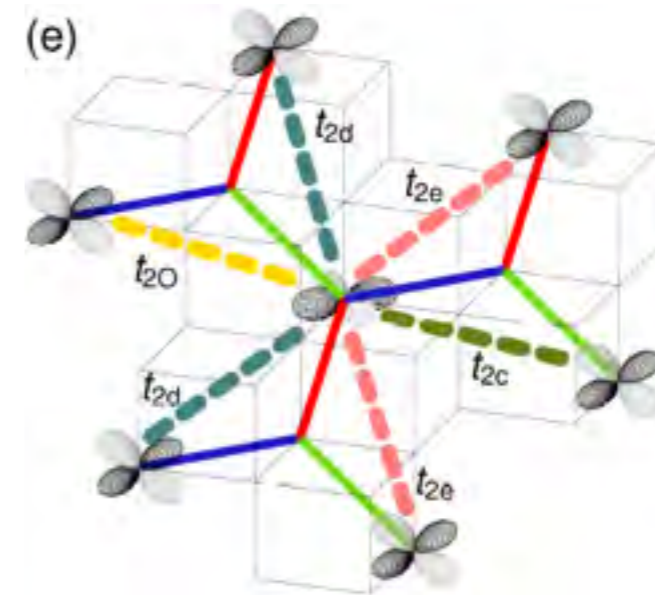


# Revision of the super-exchange model for $\text{Na}_2\text{IrO}_3$



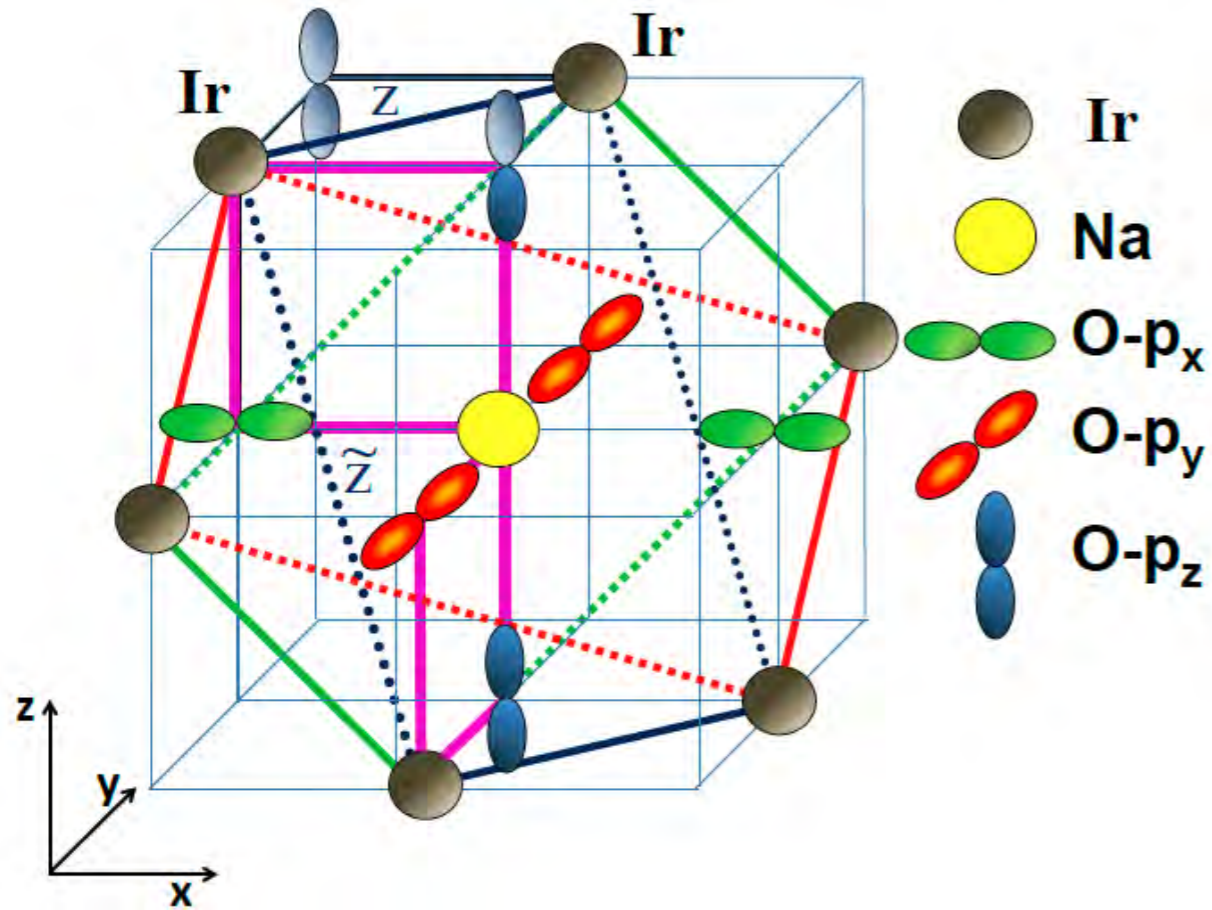
$$t_{1o} = 230 \text{ meV}$$

$$t_{1\sigma} = 67 \text{ meV}$$



$$t_{2o} = 94.7 \text{ meV}$$

# Revision of the super-exchange model for $\text{Na}_2\text{IrO}_3$



## Second neighbors hopping

Path 1 :  $\text{Ir}(Y) \rightarrow \text{O}(p_z) \rightarrow \text{Na}(s) \rightarrow \text{O}(p_z) \rightarrow \text{Ir}(X)$

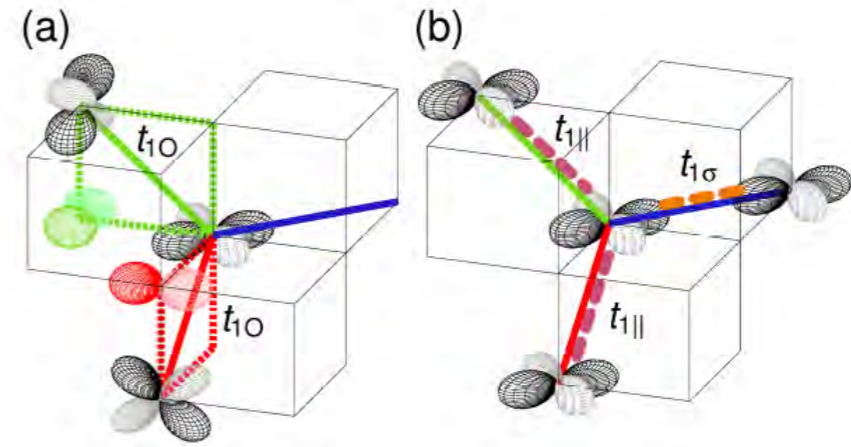
Path 2 :  $\text{Ir}(Y) \rightarrow \text{O}(p_z) \rightarrow \text{Na}(s) \rightarrow \text{O}(p_x) \rightarrow \text{Ir}(X)$

Path 3 :  $\text{Ir}(Y) \rightarrow \text{O}(p_x) \rightarrow \text{Na}(s) \rightarrow \text{O}(p_z) \rightarrow \text{Ir}(X)$

Path 4 :  $\text{Ir}(Y) \rightarrow \text{O}(p_x) \rightarrow \text{Na}(s) \rightarrow \text{O}(p_y) \rightarrow \text{Ir}(X)$

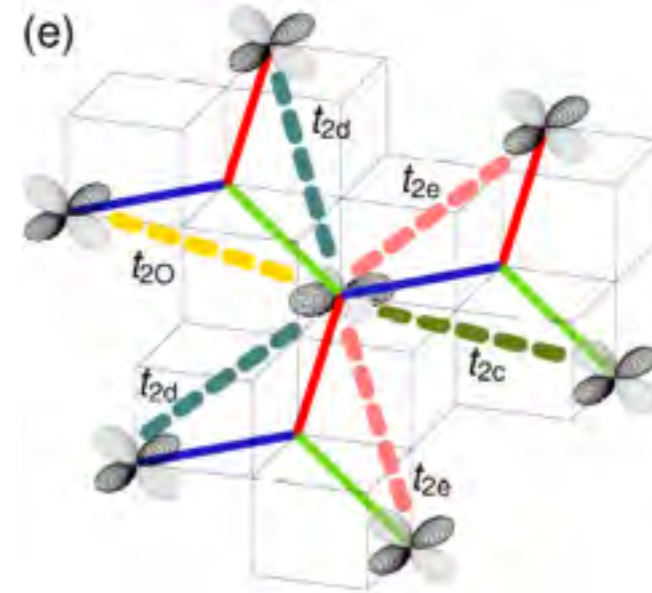
**Kitaev interaction**

$|X\rangle = |yz\rangle, |Y\rangle = |zx\rangle$  and  $|Z\rangle = |xy\rangle$



$$t_{1o} = 230 \text{ meV}$$

$$t_{1\sigma} = 67 \text{ meV}$$



$$t_{2o} = 94.7 \text{ meV}$$



# $J_1$ - $K_1$ - $J_2$ - $K_2$ model

$$\mathcal{H} = J_1 \sum_{\langle n, n' \rangle_\gamma} \mathbf{S}_n \mathbf{S}_{n'} + K_1 \sum_{\langle n, n' \rangle_\gamma} S_n^\gamma S_{n'}^\gamma$$

$$+ J_2 \sum_{\langle\langle n, n' \rangle\rangle_{\tilde{\gamma}}} \mathbf{S}_n \mathbf{S}_{n'} + K_2 \sum_{\langle\langle n, n' \rangle\rangle_{\tilde{\gamma}}} S_n^\gamma S_{n'}^{\tilde{\gamma}}$$

$$J_1 \mathbf{S}\mathbf{S} + K_1 S^x S^x$$

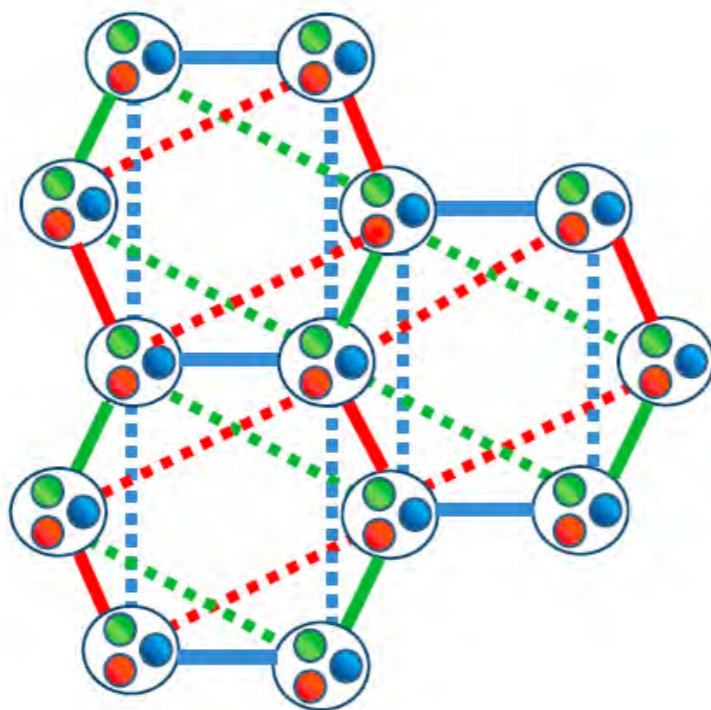
$$J_1 \mathbf{S}\mathbf{S} + K_1 S^y S^y$$

$$J_1 \mathbf{S}\mathbf{S} + K_1 S^z S^z$$

$$J_2 \mathbf{S}\mathbf{S} + K_2 S^x S^x$$

$$J_2 \mathbf{S}\mathbf{S} + K_2 S^y S^y$$

$$J_2 \mathbf{S}\mathbf{S} + K_2 S^z S^z$$



## $\text{Na}_2\text{IrO}_3$

$$\Delta = 0.1 \text{ eV}, \lambda = 0.4 \text{ eV}$$

$$J_H = 0.3 \text{ eV}, U_2 = 1.8 \text{ eV}$$

$$t_{1o} = 230 \text{ meV}, t_d = 67 \text{ meV}$$

$$t_{2o} = 95 \text{ meV}$$

$$J_1 = 5.8 \text{ meV}, K_1 = -14.8 \text{ meV}$$

$$J_2 = -4.4 \text{ meV}, K_2 = -2J_2$$

## zigzag

$$J_1 = 3 \text{ meV} \quad K_1 = -17 \text{ meV}$$

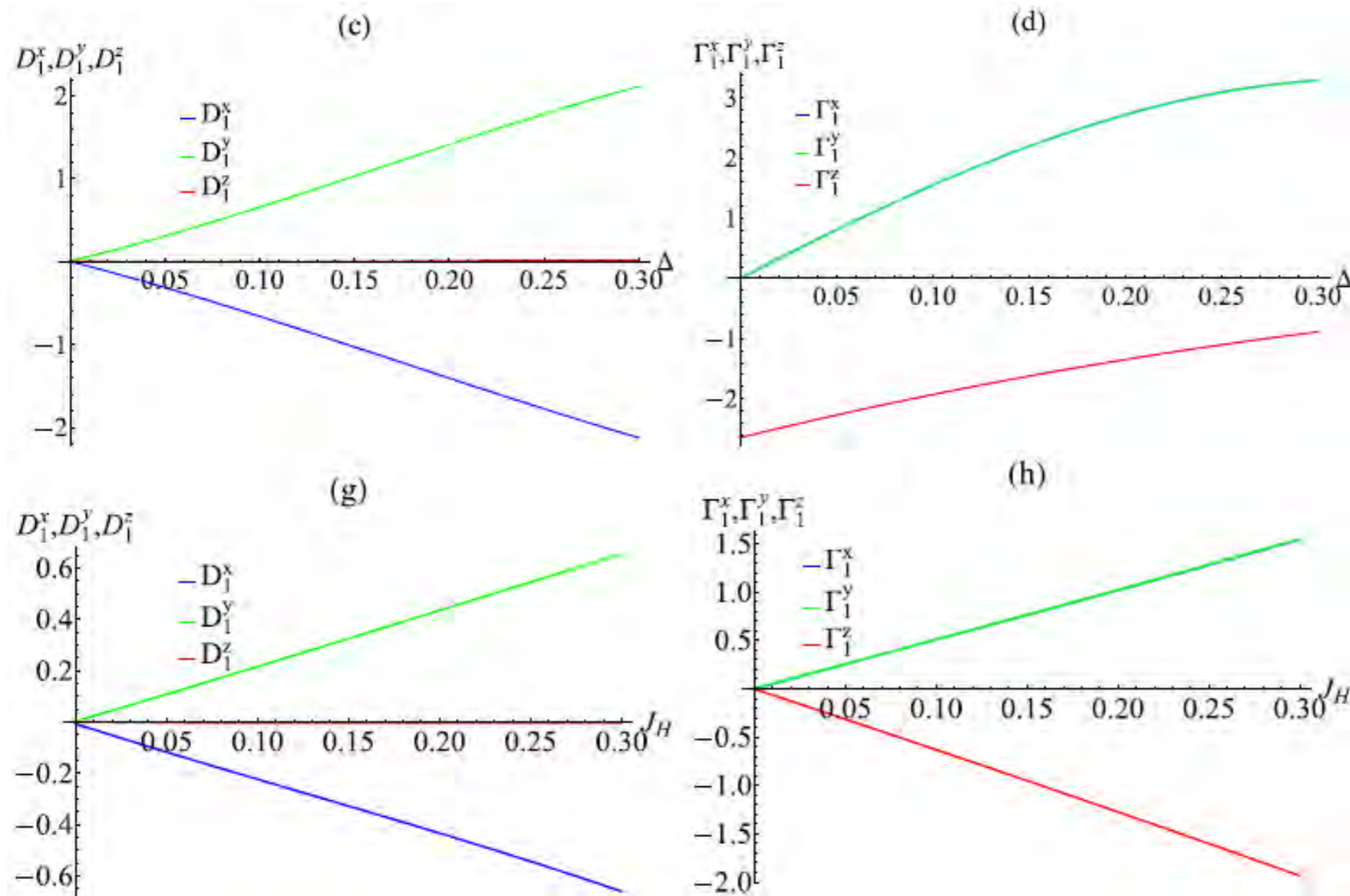
V.M.Katukuri et al, New J. Phys. 2014

Curie-Weiss temperature:

$$\theta_{cw} = -125 \text{ K (Na)}$$

# Off-diagonal interactions In $\text{Na}_2\text{IrO}_3$

$$H_{ex,n,n'} = \sum_{\alpha\beta} \Theta_{n,n'}^{\alpha\beta} S_n^\alpha S_{n'}^\beta$$



$$D_1^x = \frac{1}{2}(J_1^{yz} - J_1^{zy})$$

$$D_1^y = \frac{1}{2}(J_1^{zx} - J_1^{xz})$$

$$D_1^z = \frac{1}{2}(J_1^{xy} - J_1^{yx})$$

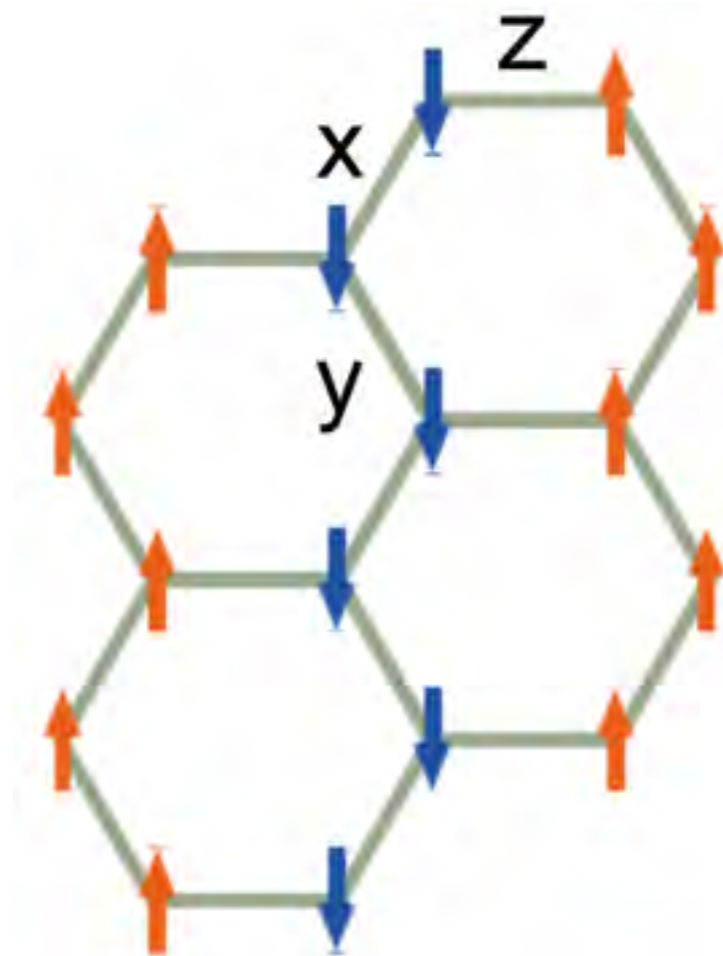
$$\Gamma_1^x = \frac{1}{2}(J_1^{yz} + J_1^{zy})$$

$$\Gamma_1^y = \frac{1}{2}(J_1^{zx} + J_1^{xz})$$

$$\Gamma_1^z = \frac{1}{2}(J_1^{xy} + J_1^{yx})$$



# Locking of the spin direction to the spatial orientation of the zigzag in $\text{Na}_2\text{IrO}_3$



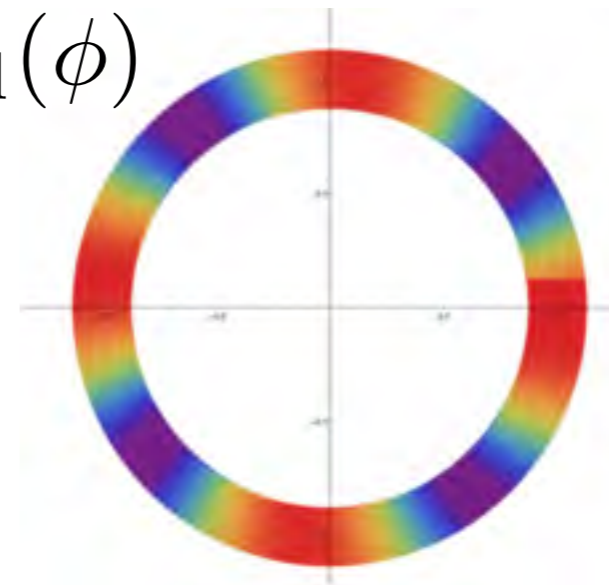
$$K_1 < 0$$

$$S = S_0 + S_{\text{fl}}(\theta, \phi)$$

$$S_{\text{fl}} = - \sum_{\mathbf{q}} \sum_{\kappa, \kappa' = A, B} \sum_{\mu, \mu' = 0}^2 \tilde{A}_{\mathbf{q}}^{\kappa\mu; \kappa'\mu'} \delta\phi_{-\mathbf{q}}^{\kappa\mu} \delta\phi_{\mathbf{q}}^{\kappa'\mu'}$$

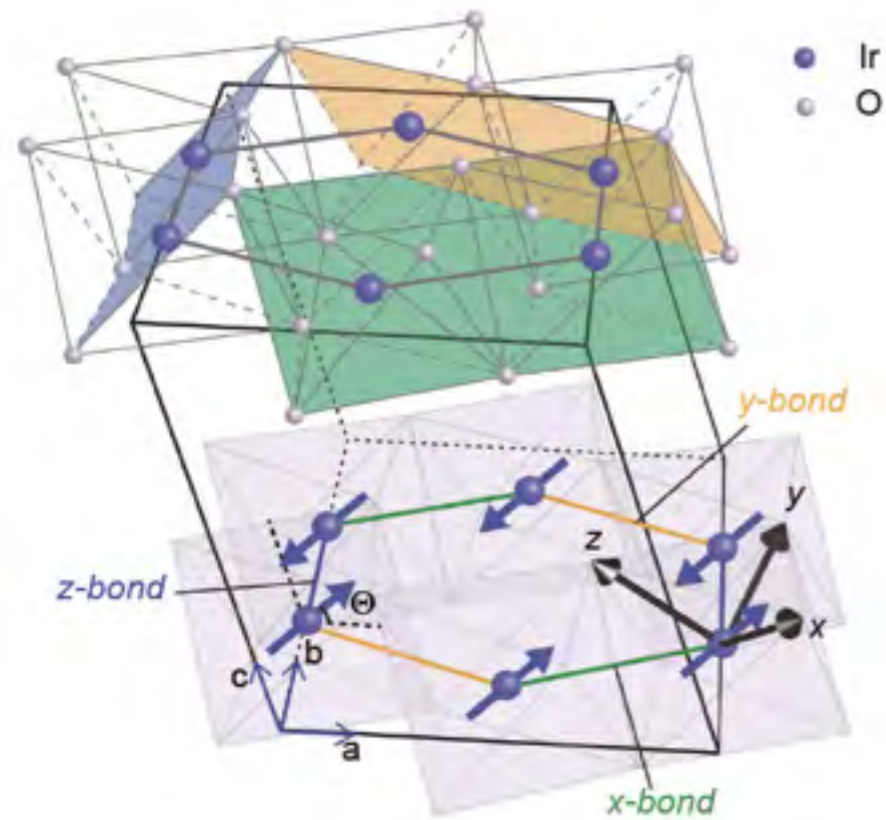
$$\tilde{A}_{\mathbf{q}} = \begin{pmatrix} c_{11} & c_{12} & c_{13} & 1/(J_{\mathbf{q}}^x)^* & 0 & 0 \\ c_{12} & c_{22} & c_{23} & 0 & 1/(J_{\mathbf{q}}^y)^* & 0 \\ c_{13} & c_{23} & c_{33} & 0 & 0 & 1/(J_{\mathbf{q}}^z)^* \\ 1/J_{\mathbf{q}}^x & 0 & 0 & c_{11} & c_{12} & c_{13} \\ 0 & 1/J_{\mathbf{q}}^y & 0 & c_{12} & c_{22} & c_{23} \\ 0 & 0 & 1/J_{\mathbf{q}}^z & c_{13} & c_{23} & c_{33} \end{pmatrix}$$

$$S_{\text{fl}}(\phi)$$

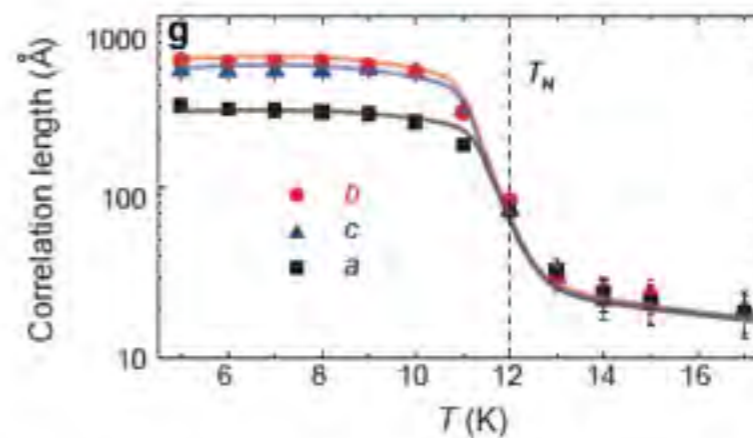
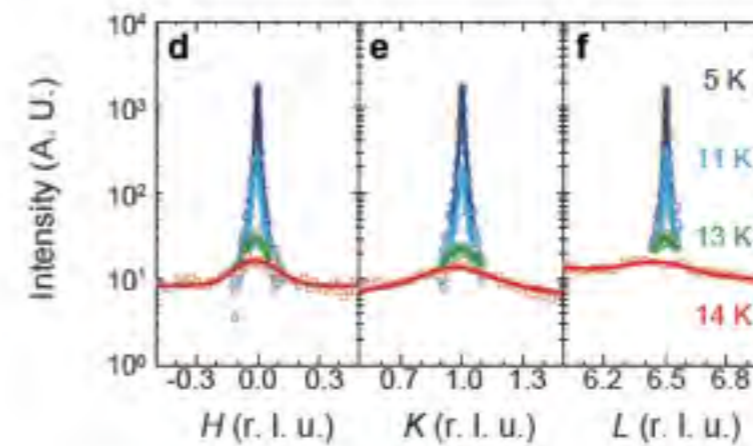
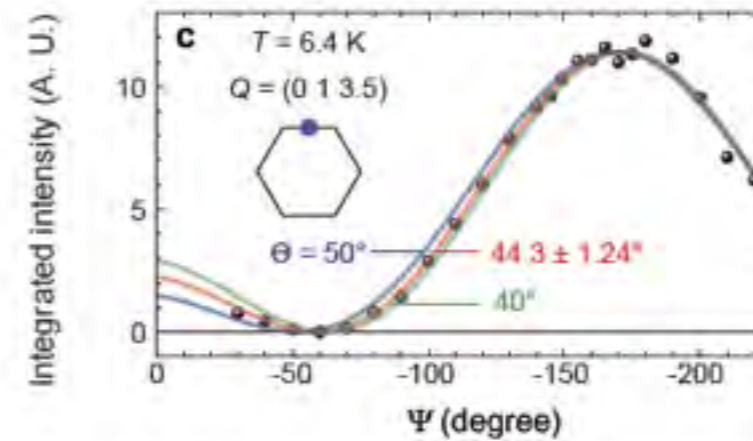


spin fluctuations select one of the diagonals in xy-plane

# Diffuse magnetic x-ray scattering

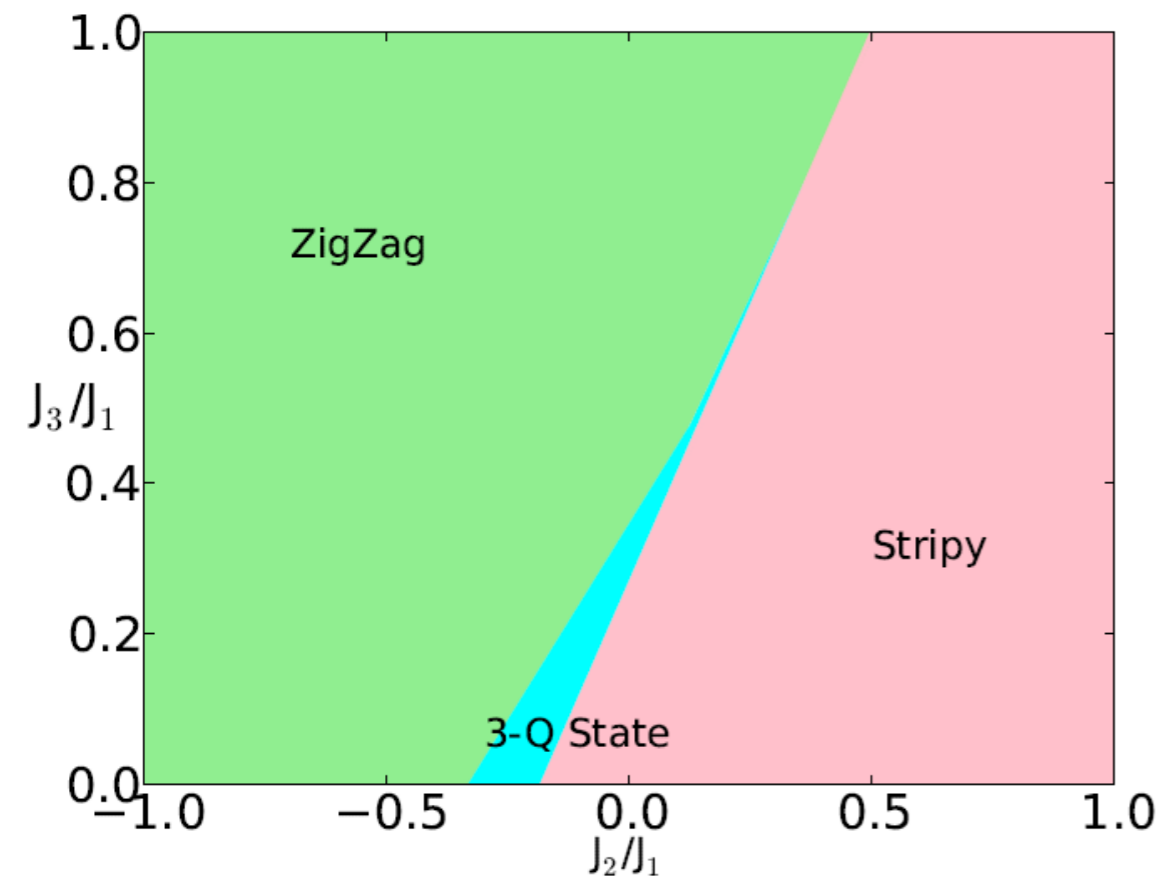


S.H.Chun et al, Nature Physics 2015

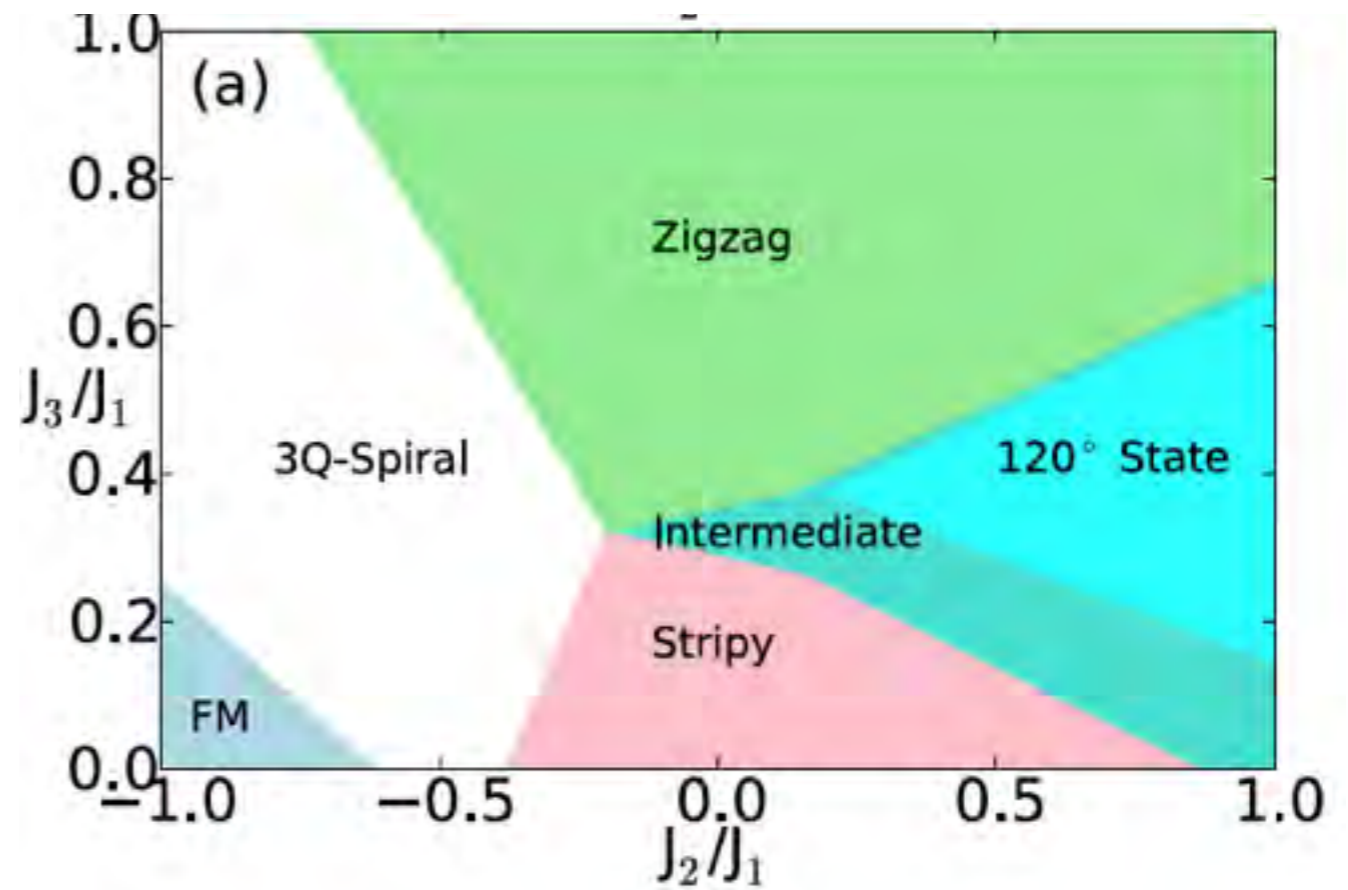


# Phase diagram

$$K_2 = -2J_2$$



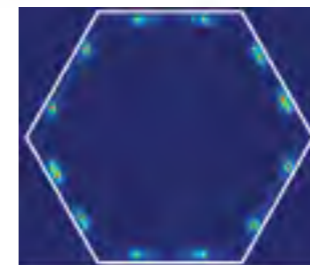
$$K_2 < -2J_2$$



$$J_1 = 3 \text{ meV}$$
$$K_1 = -17 \text{ meV}$$



3Q-Spiral



Intermediate



120° State

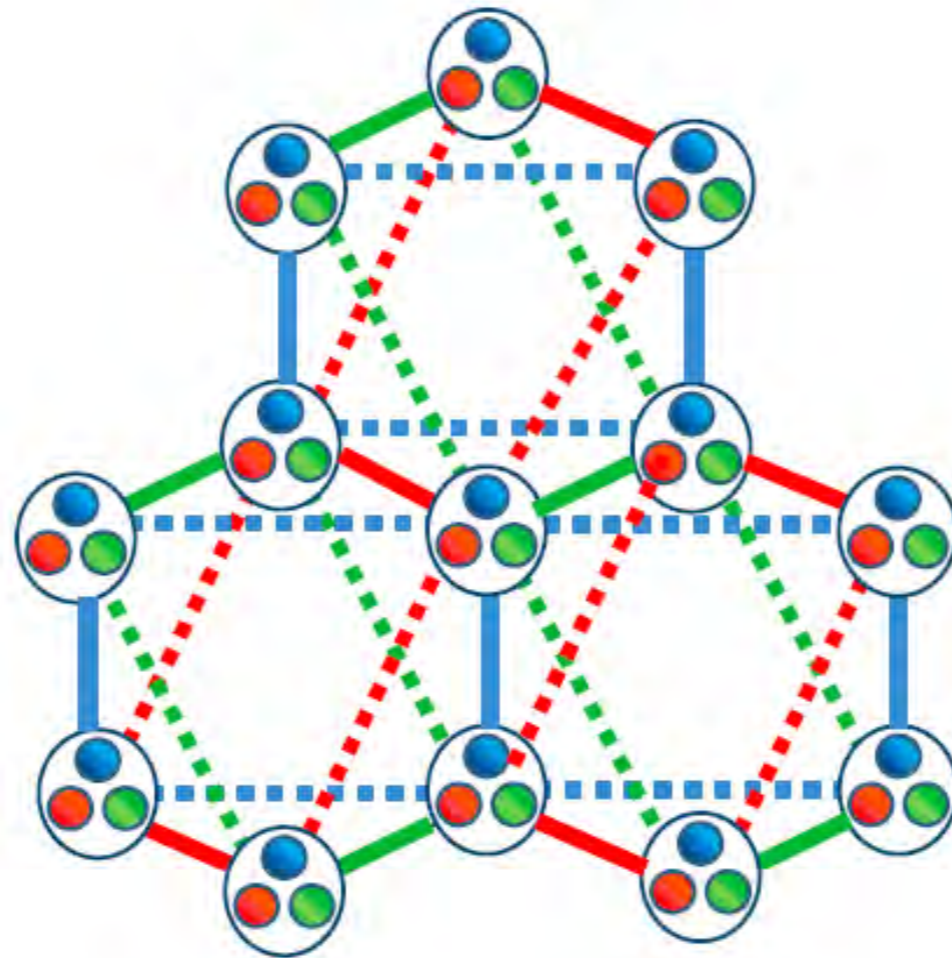


ZigZag



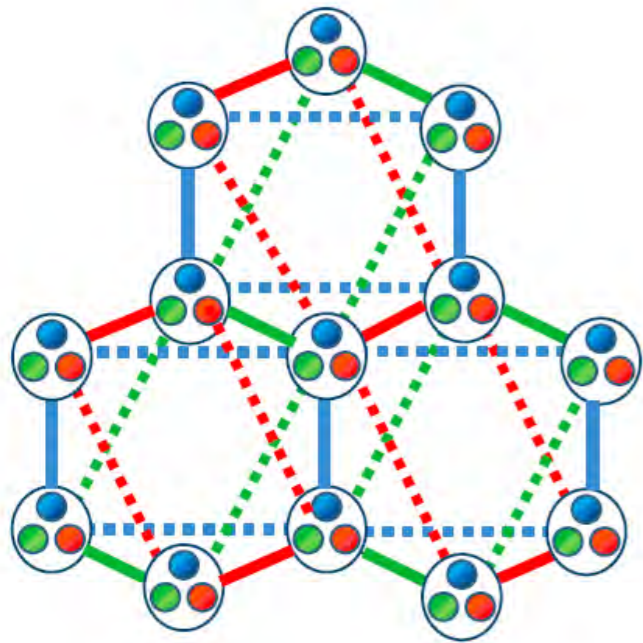
# $K_1$ - $K_2$ model

$$\mathcal{H} = K_1 \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + K_2 \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$





# Classical $K_1$ - $K_2$ model



$$\mathcal{H} = K_1 \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + K_2 \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$

$$H = \sum_{\vec{k}, \alpha, ij} S_{-\vec{k}, i}^\alpha \Lambda_{ij}^\alpha(-\vec{k}) S_{\vec{k}, j}^\alpha$$

$$\begin{aligned} \mathbf{t}_1 &= \mathbf{x}, & \mathbf{t}_2 &= \frac{1}{2}\mathbf{x} + \frac{\sqrt{3}}{2}\mathbf{y} \\ \mathbf{t}_3 &= \mathbf{t}_1 + \mathbf{t}_2 \end{aligned}$$

$$\lambda_{\pm}^x = K_2 \cos(\vec{k} \cdot \vec{t}_2) \pm \frac{1}{2}K_1$$

$$\lambda_{\pm}^y = K_2 \cos(\vec{k} \cdot \vec{t}_3) \pm \frac{1}{2}K_1$$

$$\lambda_{\pm}^z = K_2 \cos(\vec{k} \cdot \vec{t}_1) \pm \frac{1}{2}K_1$$

Degeneracy of the ground state:  $3 \times 2^L$

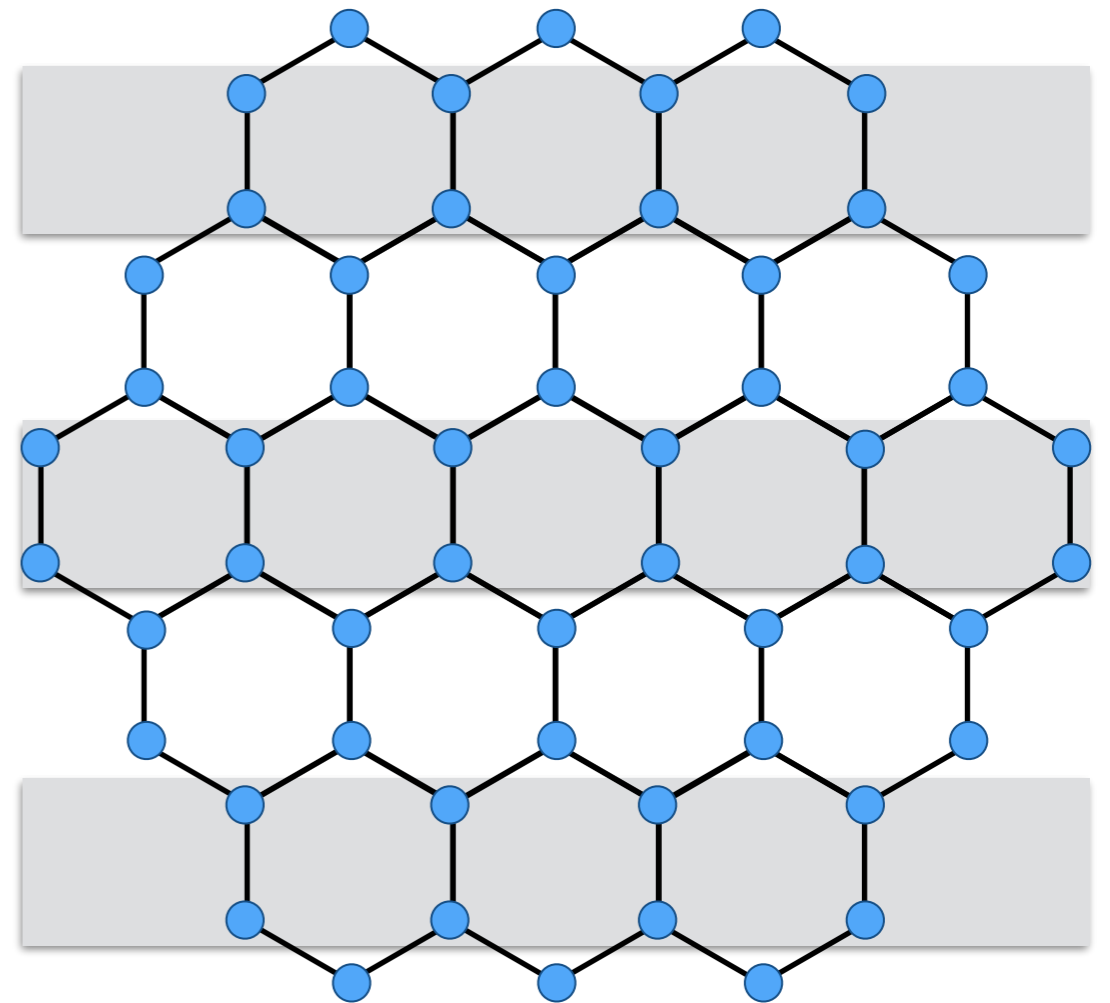
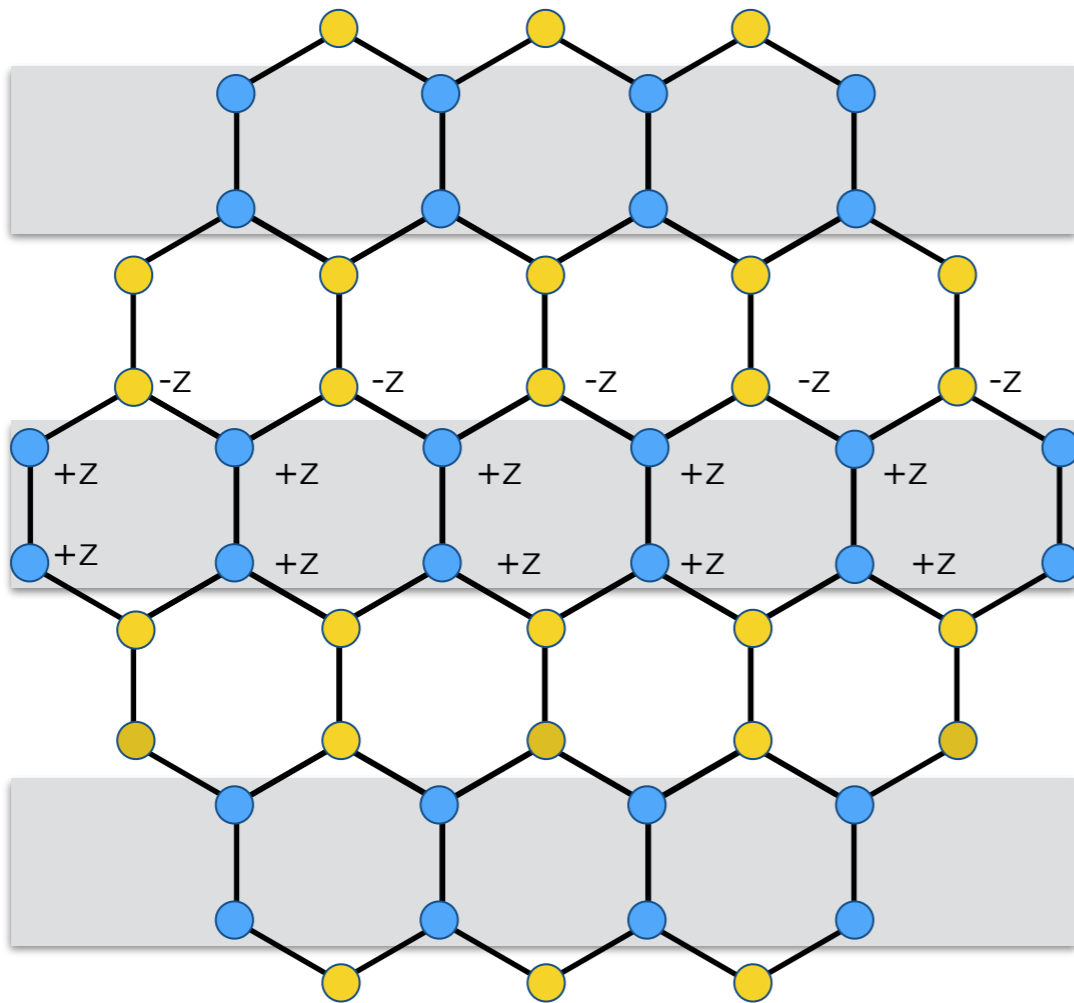
This degeneracy is not accidental but it is related to the presence of gauge-like symmetries in the Hamiltonian.

$$K_1 < 0$$

$$K_2 < 0$$

$$\lambda^z = K_2 \cos(\vec{k} \cdot \vec{t}_1) + \frac{1}{2} K_1$$

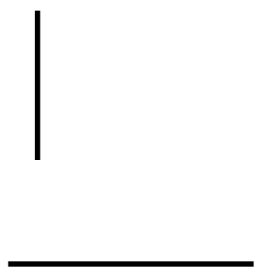
$$\min(\lambda^z) \text{ is for } \cos(\vec{k} \cdot \vec{t}_1) = -1$$



Classical order is Nematic-like

n.n. z-bond

n.n.n. z-bond

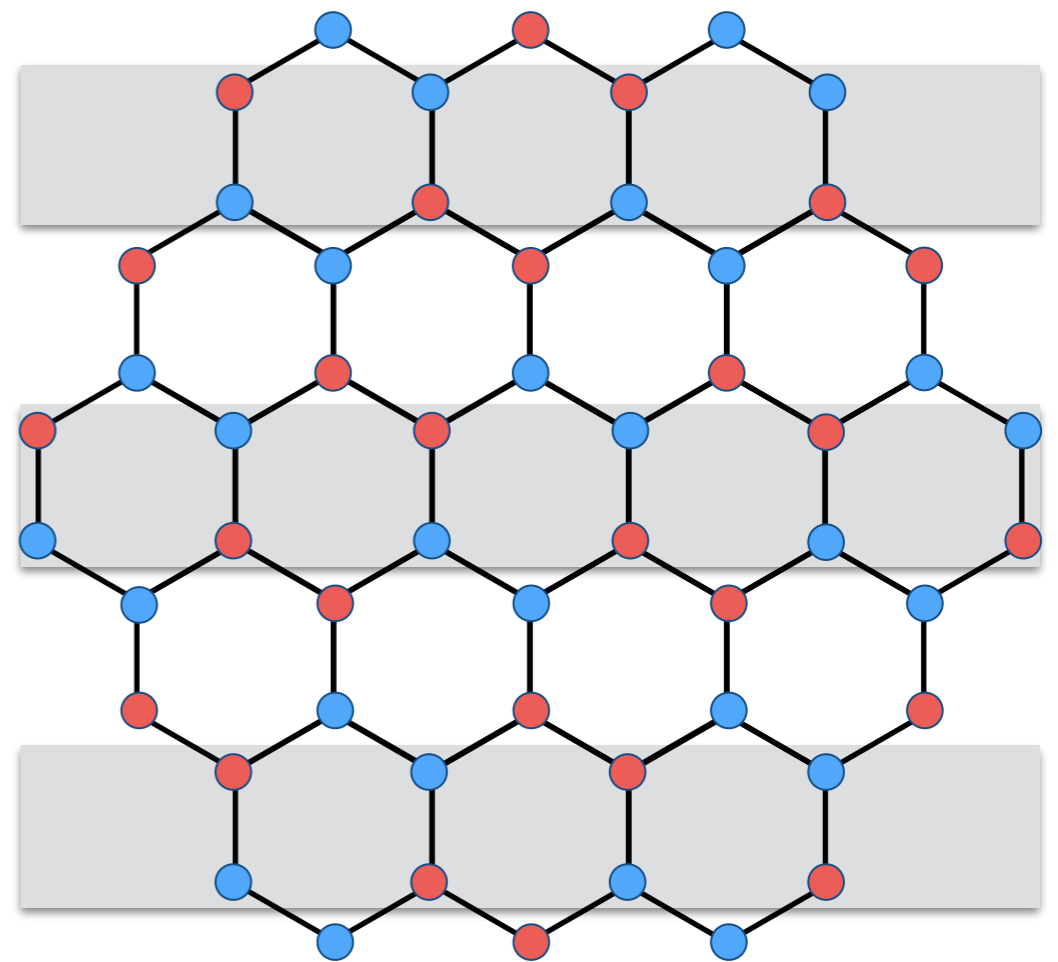
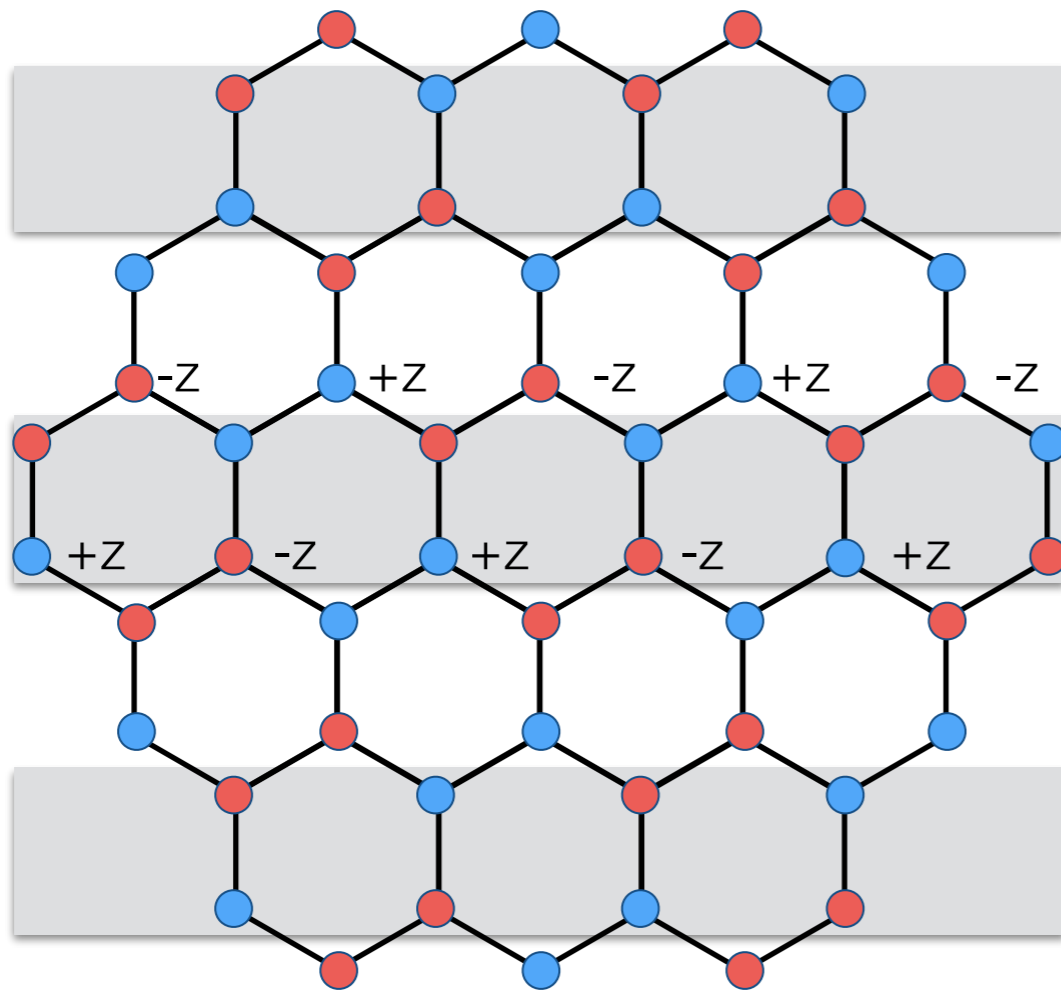


$$K_1 > 0$$

$$K_2 > 0$$

$$\lambda^z = K_2 \cos(\vec{k} \cdot \vec{t}_1) - \frac{1}{2} K_1$$

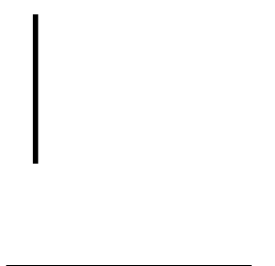
$$\min(\lambda^z) \text{ is for } \cos(\vec{k} \cdot \vec{t}_1) = 1$$



Classical order is Nematic-like

n.n. z-bond

n.n.n. z-bond

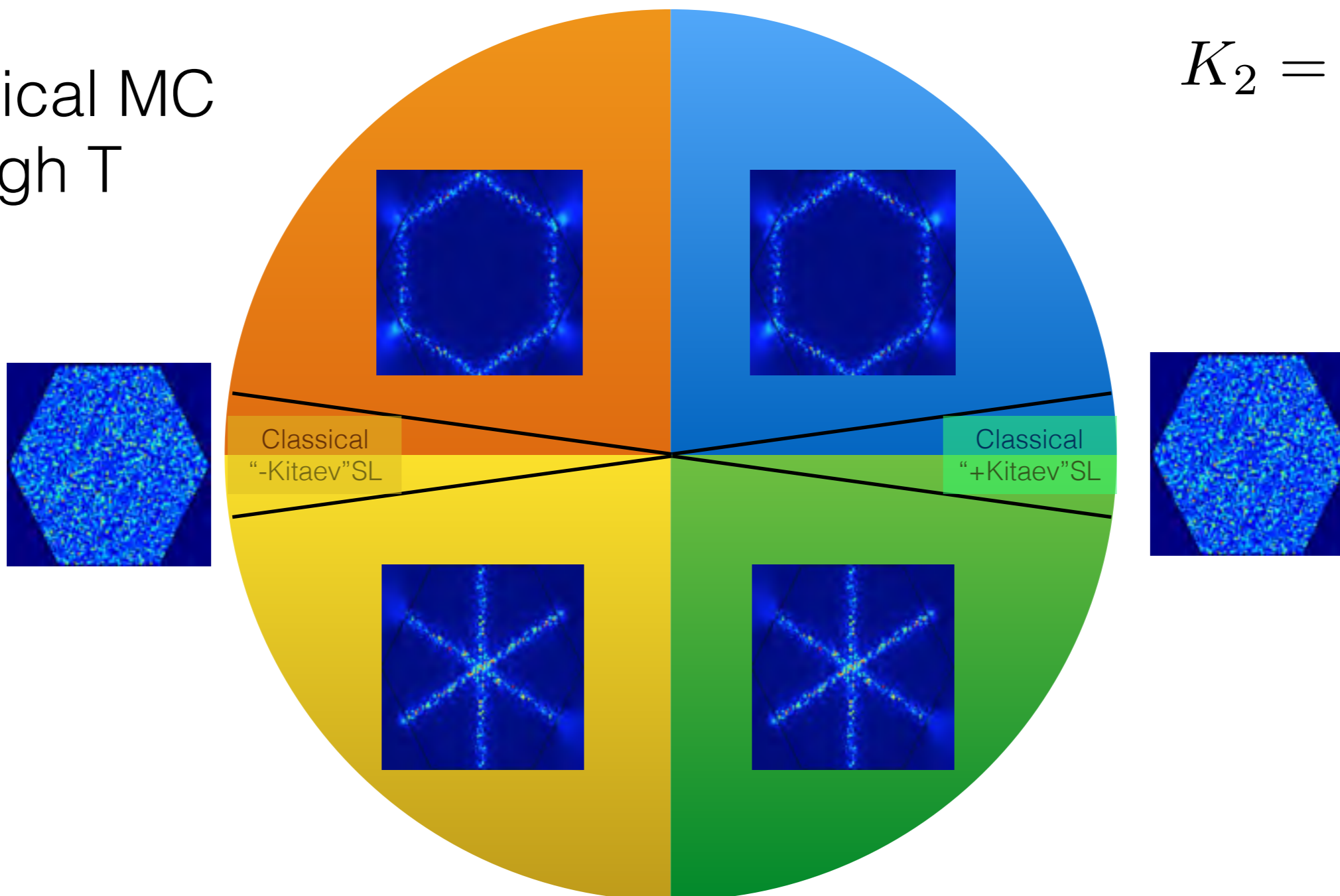


$$H(\varphi) = \cos(\varphi) \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + \sin(\varphi) \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$

$$K_1 = \cos \phi$$

$$K_2 = \sin \phi$$

Classical MC  
High T



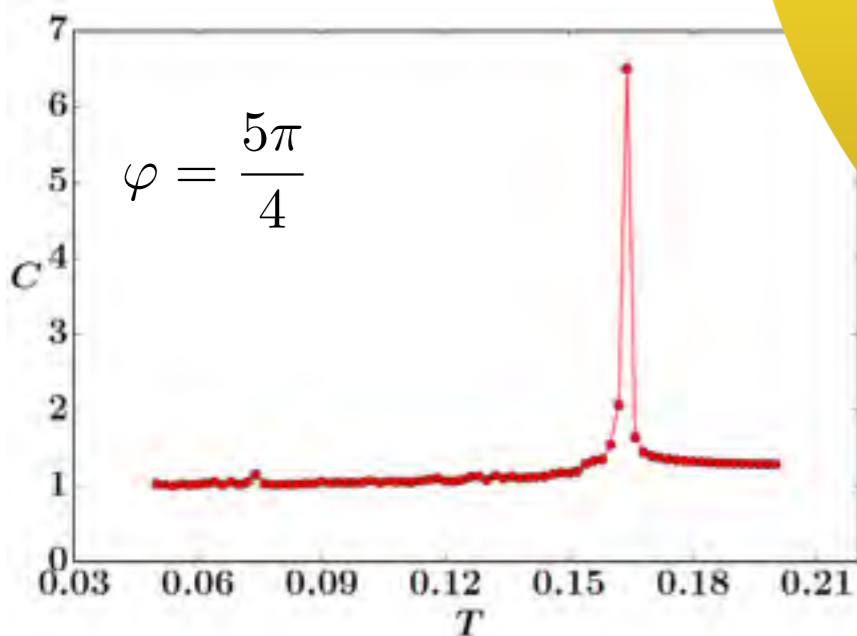
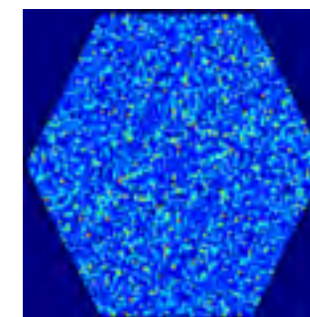
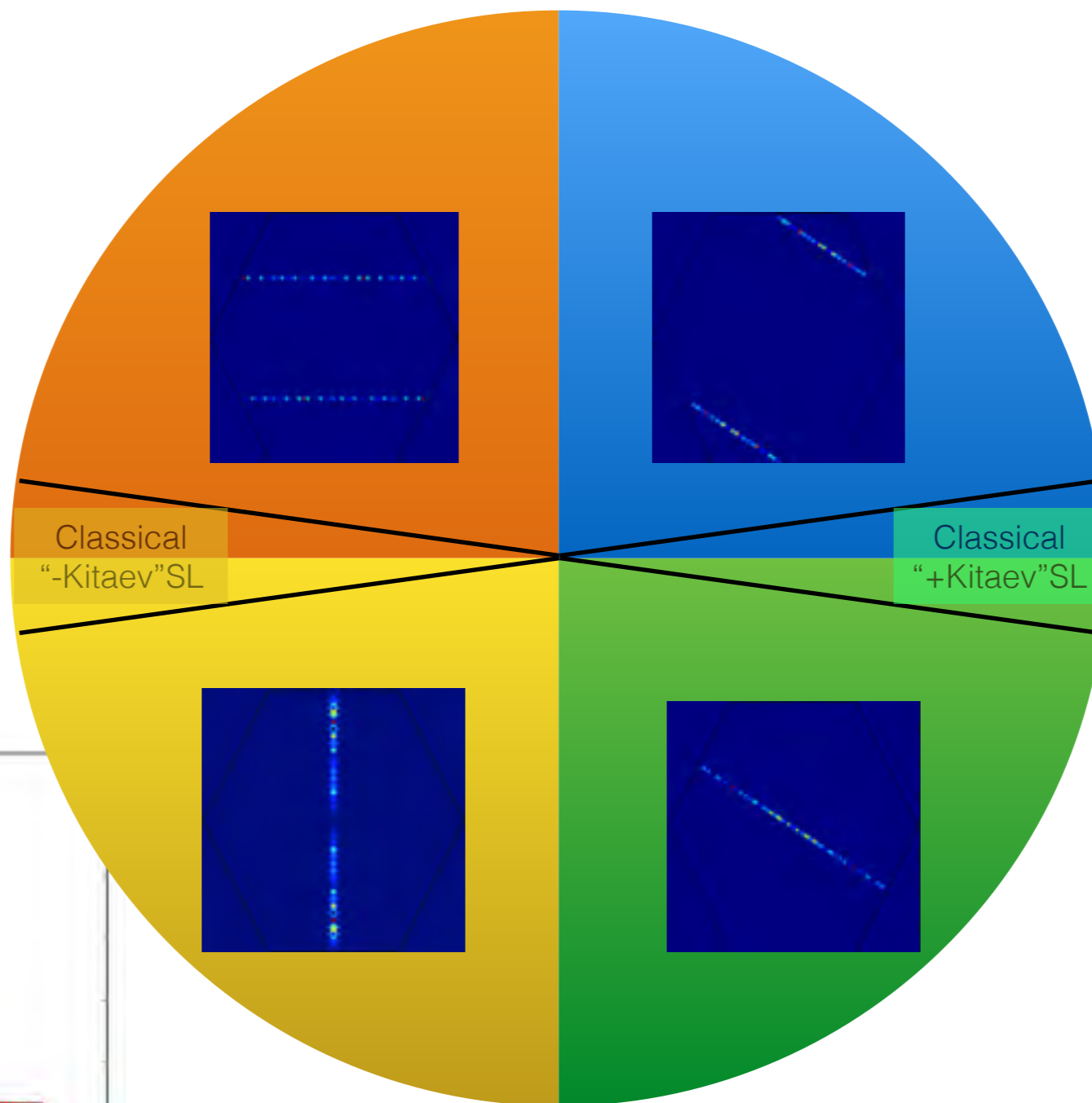
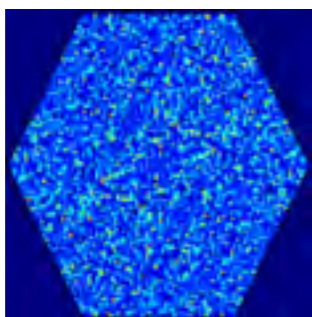


$$H(\varphi) = \cos(\varphi) \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + \sin(\varphi) \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$

$$K_1 = \cos \phi$$

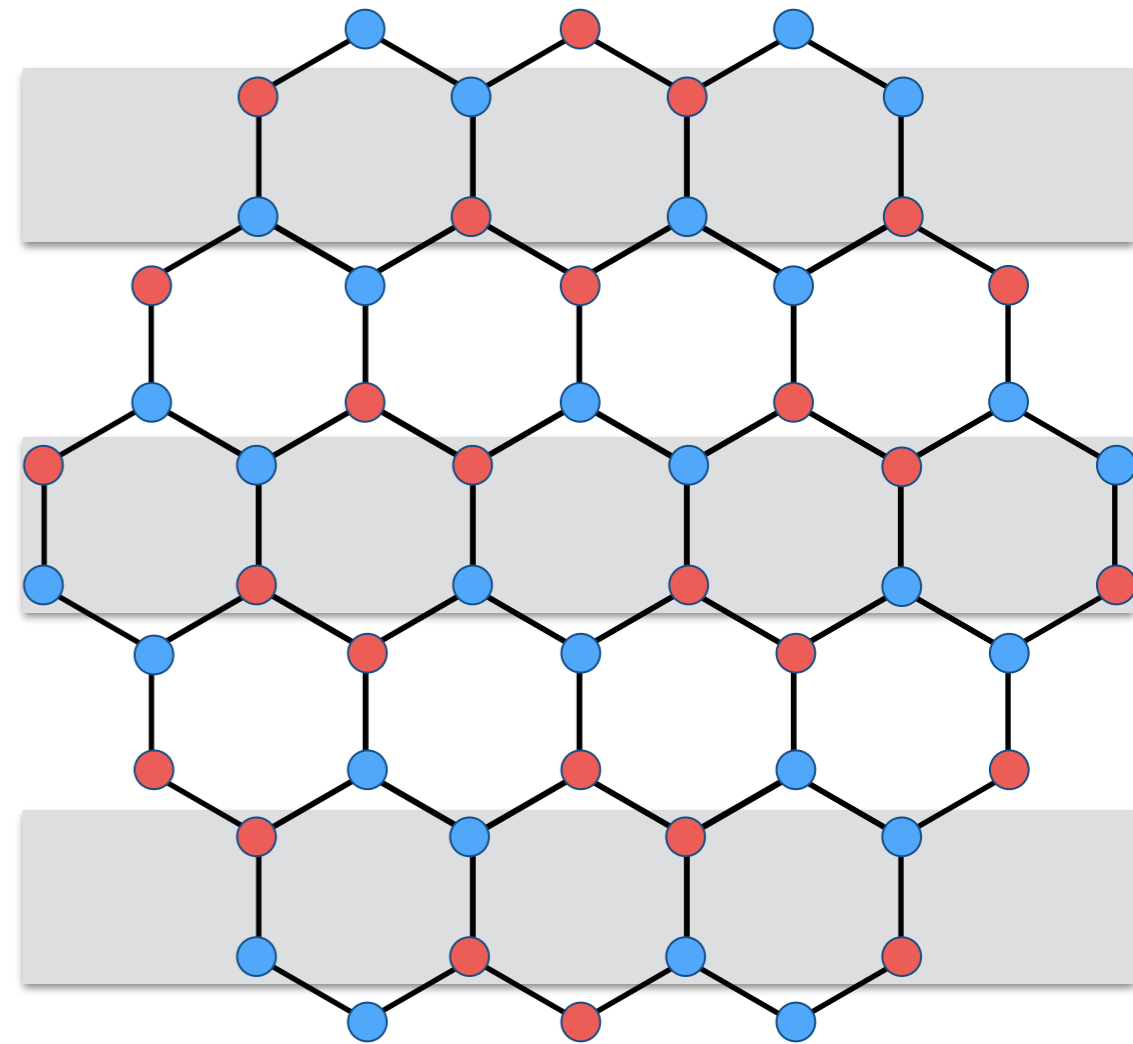
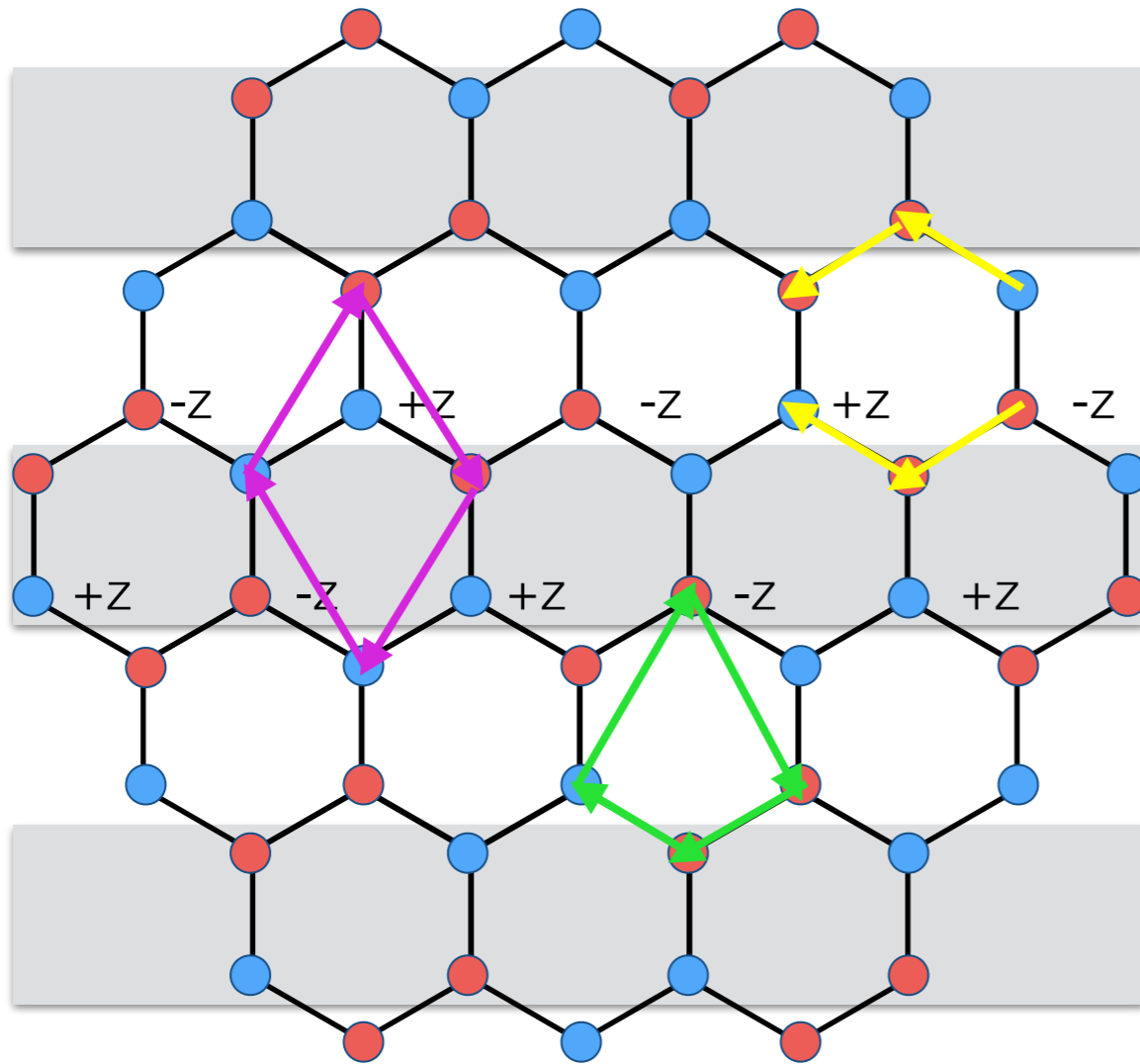
$$K_2 = \sin \phi$$

Classical MC  
 $T < T_c$



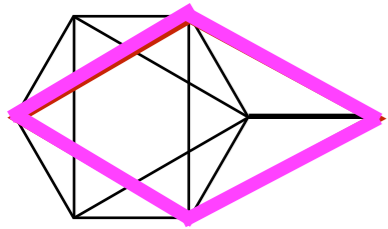
# Quantum $K_1$ - $K_2$ model

LR magnetic order is possible



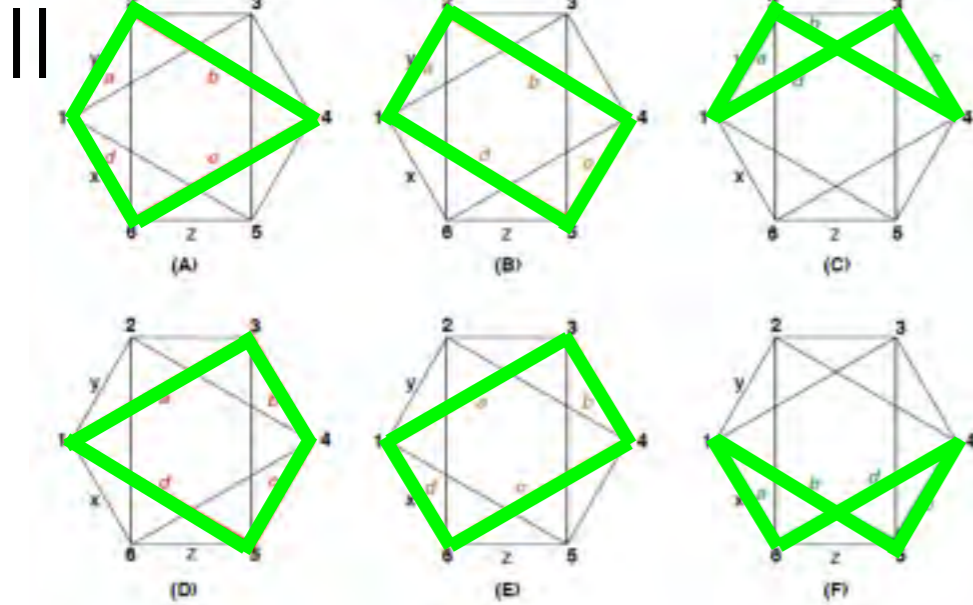
$$K_1 > 0$$
$$K_2 > 0$$

Perturbation theory:  $K_{1x} = K_{1y} = xK_{1z}$   $x < 1$   
 $K_{2x} = K_{2y} = xK_{2z}$



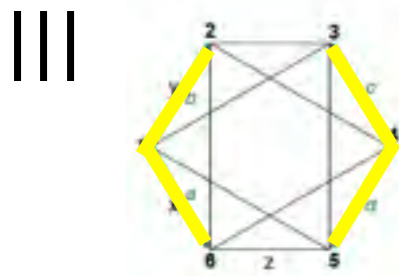
$$J_{\text{eff},1} = + \frac{K_{2x}^2 K_{2y}^2}{8(|K_{1z}| + 2|K_{2z}|)^2 (2|K_{1z}| + 3|K_{2z}|)} \text{sgn}(K_{2z})$$

Jackeli & Avella, arXiv:1504.03618



$$J_{\text{eff},2} = - \frac{\kappa}{4\Delta_{12}^3} \left[ \frac{|K_{1z}| + |K_{2z}|}{2|K_{1z}| + 3|K_{2z}|} + \frac{2|K_{2z}|}{|K_{1z}| + 4|K_{2z}|} \right] > 0$$

$$\kappa = K_{1x}K_{1y}K_{2x}K_{2y}$$



$$J_{\text{eff},3} = \frac{\mu|K_{1z}|}{(|K_{1z}| + 2|K_{2z}|)^2 (|K_{1z}| + 3|K_{2z}|) (|K_{1z}| + 4|K_{2z}|)}$$

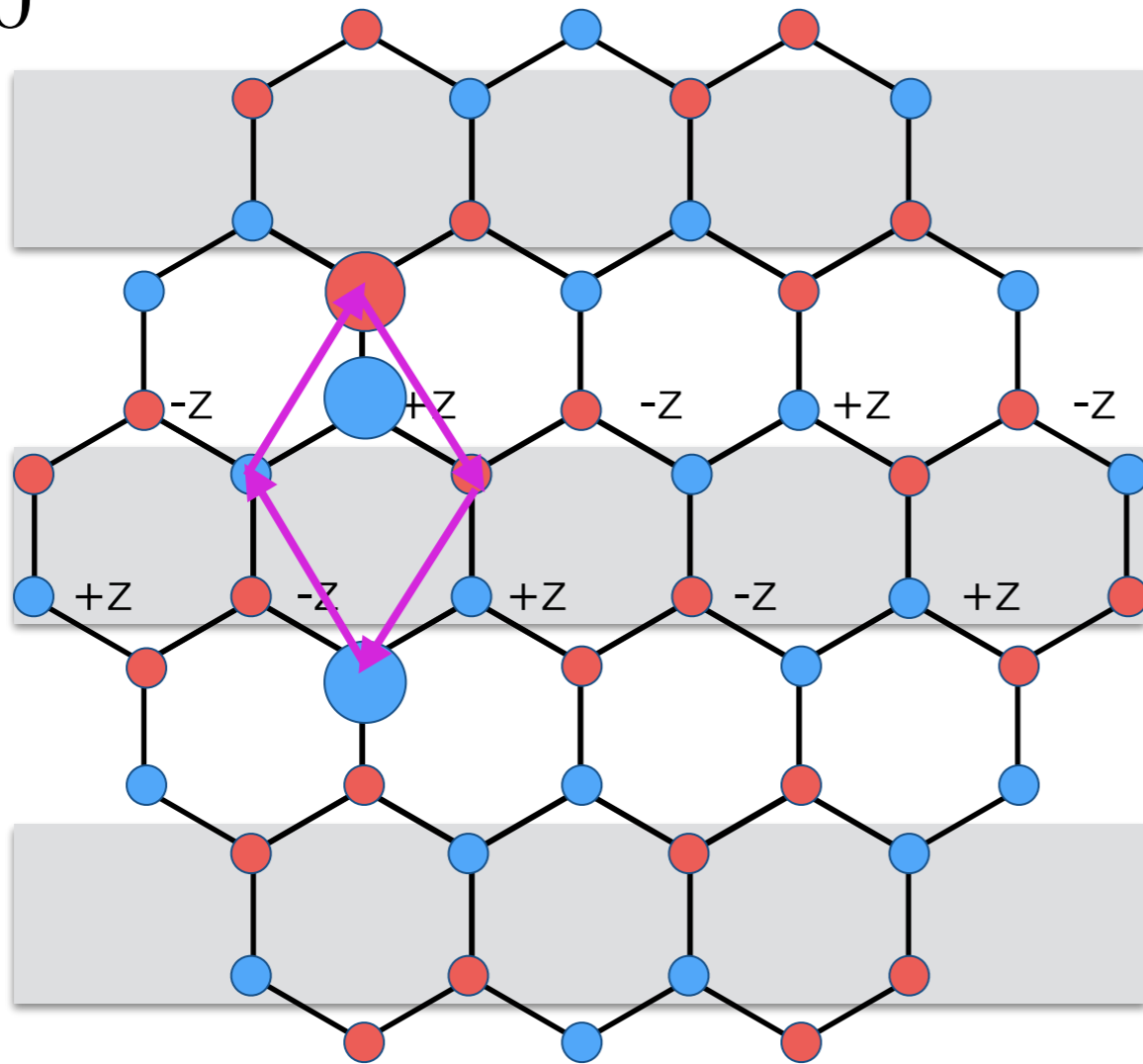
A. Kitaev, Annals of Physics **321**, 2 (2006)

$$\mu = K_{1x}^2 K_{1z}^2$$

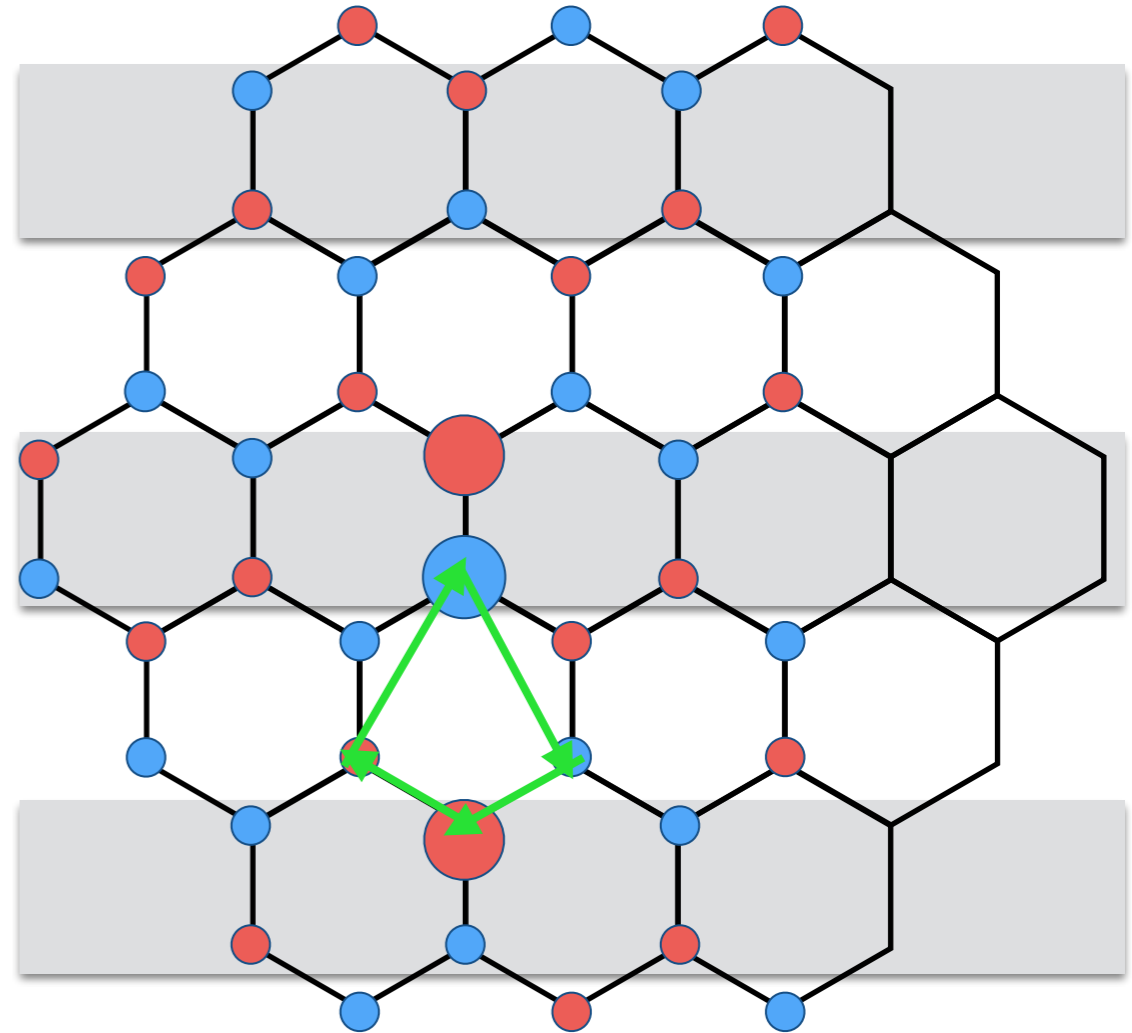
# Competing phases

$$K_1 > 0$$

$$K_2 > 0$$



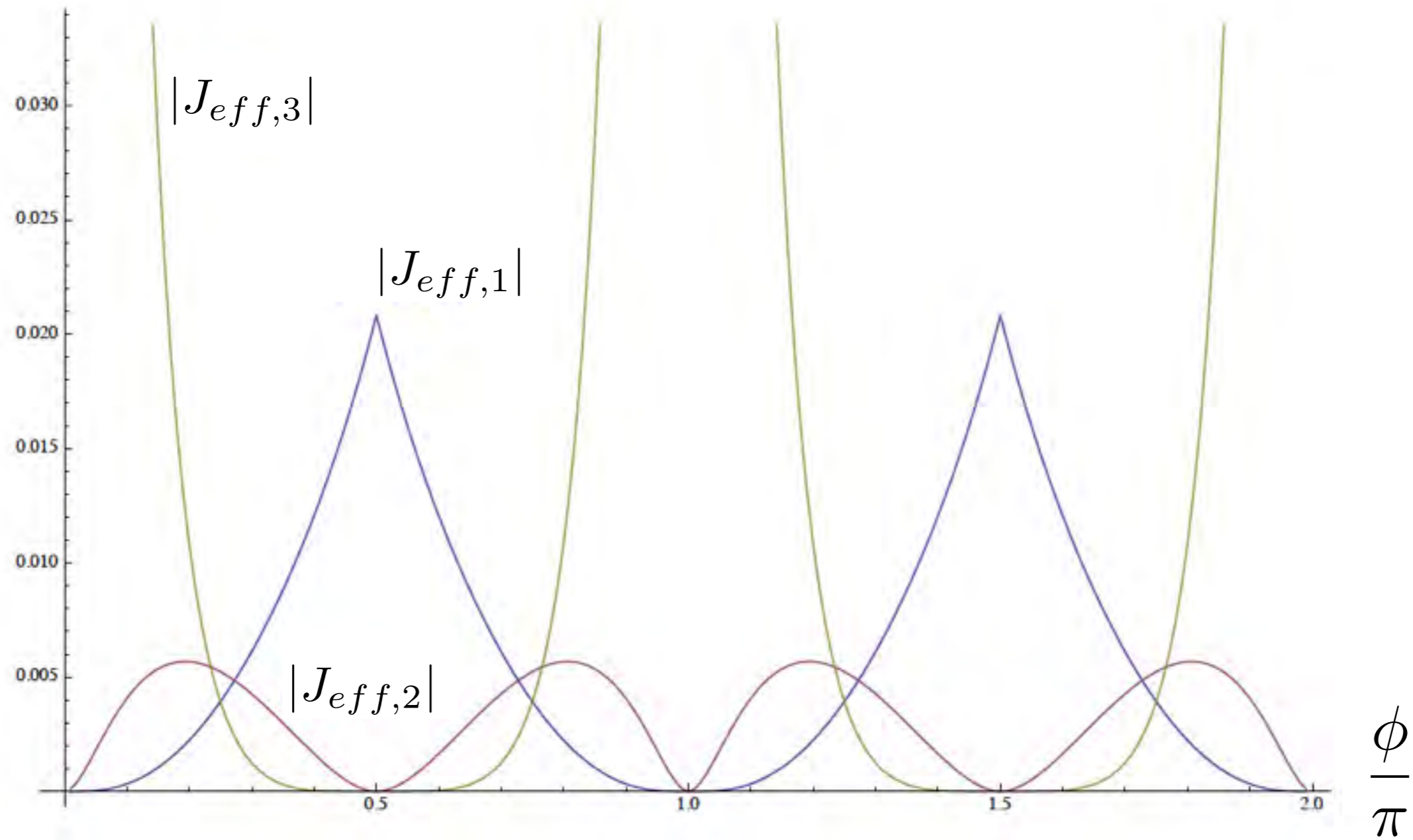
$$|J_{eff,1}| > |J_{eff,2}|$$



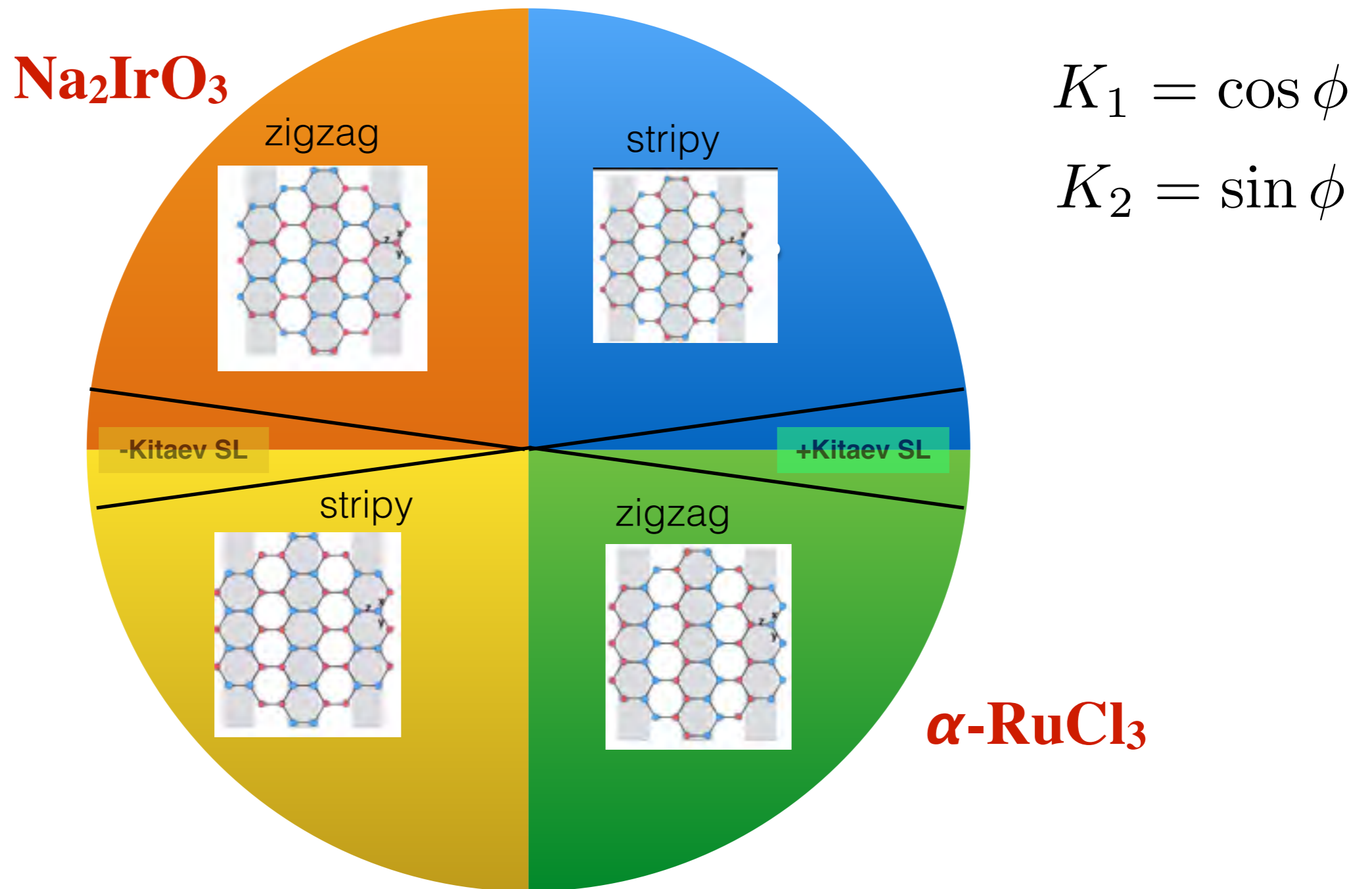
$$|J_{eff,2}| > |J_{eff,1}|$$



# Effective couplings: $x=0.1$



# Quantum phase diagram of $K_1$ - $K_2$ model



$$H(\varphi) = \cos(\varphi) \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + \sin(\varphi) \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$

# Collaborators

- Yuriy Sizyuk (UMN)
- Craig Price ( Penn state)
- Peter Woelfle (KTM)
- Ioannis Rousochatzakis (UMN)
- Stephan Rachel ( TU Dresden)
- Johannes Reuter ( TU Berlin)
- Ronny Thomale ( Wuerzburg University)

Thank you