GW in Magnetism: where it succeeds, where not Mark van Schilfgaarde, King's College London LDA description of Ni, Fermi liquid regime



# Properties of the GW ApproximationHartree FockGW $\Sigma_x = i \int G(\mathbf{r}, \mathbf{r}') \frac{1}{|\mathbf{r} - \mathbf{r}'|} d^3 \mathbf{r}' = i Gv$ $\frac{1}{|\mathbf{r} - \mathbf{r}'|} \rightarrow \varepsilon^{-1} v = W; \quad \Sigma = i GW$

 $\checkmark$   $\omega$ -dependent W and  $\Sigma$  - outside one-electron picture.

✓ Nonlocal W and  $\Sigma$  --- very important.

✓ Van der Waals interactions lie within the theory



"exact" in the limit of weak correlations.

 $\times$  *W* screens *v* in the charge channel only ... its dynamical fluctuations are plasmons.

 $\Sigma$  knows about spin because through the Fock exchange no fluctuations in the spin channel.

imes Other interactions (particle-particle) are missing

#### GW Approximation and self-consistency

Neglect vertex ... vastly simpler  $P(1,2) = -iGG, \quad \Sigma = iGW$ 

G and  $\Sigma$  are usually generated from some effective noninteracting onebody hamiltonian  $H_0$ , usu.  $H_0 = H_{\text{LDA}}$ 

$$(h + V_H + V_x)\psi_s = \varepsilon_s \psi_s$$
$$G = \sum_s \frac{\psi_s \psi_s^*}{\omega - \varepsilon_s \pm i\delta}$$



#### Problems with self-consistency

Iterate G to self-consistency: GW is problematic even for the homogeneous gas





DOS in real materials often worse than LDA



From Belashchenko et al, PRB 73, 073105

#### Quasiparticle Self-Consistency

Partition H into  $H_0 + \Delta V$  and (noninteracting + residual) in such a way as to minimize  $\Delta V$ :

$$G_{0} = \frac{1}{\omega - H_{0}} \xrightarrow{GWA} G = \frac{1}{\omega - (H_{0} + \Delta V(\omega))}$$
$$\left( \omega - (H_{0} + \Delta V(\omega)) \right) G(\omega) = \delta(\mathbf{r} - \mathbf{r'})$$

We seek the  $G_0(\omega)$  that most closely satisfies Eqn. of motion

 $\left( \boldsymbol{\omega} - (H_0 + \Delta V(\boldsymbol{\omega})) \right) G_0(\boldsymbol{\omega}) \approx \delta(\mathbf{r} - \mathbf{r'})$  $\rightarrow \Delta V(\boldsymbol{\omega}) G_0(\boldsymbol{\omega}) \approx 0$ 

If the GWA is meaningful,  $G_0 \approx G$ Q: How to find  $G_0$  that minimizes  $\Delta V G_0$ ?

# Optimal G<sub>0</sub>

Start with some trial  $V_{\rm xc}$  (e.g. from LDA, or ...). Defines  $G_0$ :  $H_0 = \frac{-1}{2m} \nabla^2 + V^{\text{ext}}(\mathbf{r}) + V^{\text{H}}(\mathbf{r}) + V^{\text{xc}}(\mathbf{r},\mathbf{r'})$  $H_0 \psi_i = E_i \psi_i \longrightarrow G_0(\mathbf{r}, \mathbf{r}', \boldsymbol{\omega}) = \sum_i \frac{\psi_i(\mathbf{r}) \psi_i^*(\mathbf{r}')}{\boldsymbol{\omega} - E}$ GWA determines  $\Delta V$  and thus H:  $G_0 \xrightarrow{RPA} \varepsilon(iG_0G_0) \xrightarrow{GWA} \Sigma(\mathbf{r},\mathbf{r}',\omega) = iG_0W; \quad \Delta V = \Sigma - V^{\mathrm{xc}}$ Find a new V<sup>xc</sup> that minimizes norm M, a measure of  $\Delta V G_0$ .  $V^{\rm xc} = \frac{1}{2} \sum_{i} \langle \boldsymbol{\psi}_i | \operatorname{Re} \left( \boldsymbol{\Sigma}(E_i) + \boldsymbol{\Sigma}(E_j) \right) | \boldsymbol{\psi}_j \rangle$ (approximate) result of min MIterate to self-consistency.

At self-consistency,  $E_i$  of G matches  $E_i$  of  $G_0$  (real part).

# Z-factor cancellationExact $\Sigma = iGW\Gamma$ . Suppose *W* is exact. Then $G = \frac{1}{\omega - H_0 - \left[-V^{xc} + \Sigma(\omega_0) + (\partial \Sigma / \partial \omega)_{\omega_0} (\omega - \omega_0)\right] + i\delta}$ $Z = (1 - \partial \Sigma / \partial \omega)^{-1}$

Residual of this pole (loss of QP weight) is reduced by Z

Write G as  $G = ZG^{0} + (\text{incoherent part})$  Ward identity

•  $GW\Gamma \approx G^0W + (\text{incoherent part})$   $\Gamma \rightarrow Z^{-1}$  for  $q', \omega' \rightarrow 0$ 

Similar argument for W. Ishii et al (arxiv 1003.3342) reversed argument: postulate  $\Gamma$  that satisfies Ward Identity

$$\Gamma_{WI}(p, p+q) \equiv \frac{G(p+q)^{-1} - G(p)^{-1}}{G_0(p+q)^{-1} - G_0(p)^{-1}} \quad \begin{array}{l} \text{Results from } GW \, \Gamma_{\rm WI} \\ \text{similar to } G_0 W_0. \end{array}$$

# Formal Justification of QSGW

Our justification for QSGW: find the  $G_0$  which miminizes the difference  $\langle G-G_0 \rangle$ , according to some definition of  $\langle ... \rangle$ , within the GW approximation.

Why not just find  $G_0$  that minimizes the RPA total energy  $E^{\text{RPA}}$ ?

$$\frac{\delta E^{\text{RPA}}}{\delta G_0} = 0$$

Not possible ... there is no lower bound (PRB76, 165106).

Justifying quasiparticle self-consistent schemes via gradient optimization in Luttinger-Ward theory

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arXiv:1406.0772
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Sohrab Ismail-Beigi
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A different justification (Ismail-Beigi) Minimize square of *gradient* of Luttinger Ward energy

$$|D|^2 \rightarrow \min \text{ where } D = \frac{\delta F[G_0]}{\delta \Sigma}$$



#### Quasiparticle Self-Consistency for NiO



NiO looks good. Gaps a little too big (explain later) Universal property of QSGW



J. Phys. Cond. Matt. 20, 95214 Spin waves in MnO and NiO very well described. Nothing adjustable, all electrons on same footing.

Fermi Surface for Archetypal d system: Fe Lonzarich Interpretation: "Electrons at the Fermi Surface" Compare to de Haas-van Alphen data:

	-			-			2	1
$\mathbf{FS}$		dHvA [110]		(	dHvA [111]			111
pocket	QSGW	$\exp[15]$	$\Delta E_F$	QSGW	$\exp[15]$	$\Delta E_F$	* VI	
Ι	3.355	3.334	0.01	3.63	3.5342	0.04	5	
II				3.694			으 /	
III	0.214	0.319	0.05	0.1627	0.2579	0.06	-1	
IV	0.090	0.118	0.04	0.0846	0.1089	0.02	1	-
VI	0.318	0.556	-0.13	0.2799	0.4986	-0.14		
VII	0.015	0.041	0.04					
			<b>—</b>				V-	

VIII

- 1. Estimate QSGW  $\Delta E^{QP} \sim \Delta E_{F}$ assuming band shifts rigidly
- 2. Agreement ~0.05 eV except for VI pocket (next slide)
- 3. LDA (---) mostly similar except for VIII pocket at N.

#### **Electron-Phonon Renormalization**



The electron-phonon interaction renormalizes  $v_F$  in a window  $E_F \pm 50 \text{ meV}$ . Customary to write as  $v_F = (1+\lambda) v_F^0$  $\lambda$  scales as ~  $1/v_F$  in e.g. Thomas -Fermi model for a spherical surface:

$$\lambda_{TF} = \frac{e^2}{\hbar v_F} \left[ \frac{1}{2} \ln \frac{k_{TF}^2}{k_{TF}^2 + k_F^2} + \frac{k_F}{k_{TF}} \arctan \frac{k_F}{k_{TF}} \right]$$

Compare to experimental cyclotron  $m^*$ 

				$m^*/m$ [111]		
	QSGW	ĹĎA	expt[17]	QSGW	ĹDA	expt[17]
Ι	2.5	XXX	2.6			
V				-1.7	XXX	-1.7
VI				2.0	XXX	2.8



 $\lambda \approx \lambda_{TF} = 0.6$  for pocket VI, small for others

 $m^{*,GW}/m^{*,cyc} \approx 1$  except for pocket VI ...  $\lambda_{TF}$ explains discrepancy

# Compare to ARPES measurement of Fe ARPES expt by Schafer et al, PRB72, 155115 (2005):



2. High photon energy (139eV) - final states nearly parabolic and long penetration depth

Few systems with comparable experimental conditions

#### Compare ARPES to $QSGW E(\mathbf{k})$

 $QSGW E(\mathbf{k})$  match to ARPES and inverse PE (Santoni & Himpsel, Phys. Rev. B 1991) very well, but ...



#### Simulation of ARPES Measurement

Pendry:  $e^-$  scatter as they propagate to the surface, smearing out the final state  $\mathbf{k}_f$ . Strocov (J. Elec. Spect. and Rel. Phenomena, 2003):  $\mathbf{k}_f \perp$  surface is broadened into a Lorentzian distribution. Extract  $\Delta k_{\perp}$  from measurement. For  $\hbar \omega_{\text{photo}} \sim 100-130 \text{eV}$ ,  $\Delta k_{\perp} \approx 0.2 \text{Å}^{-1}$  (Feibelman PRB 1974). Model for ARPES:

$$V^{QSGW}(\mathbf{k}, \boldsymbol{\omega}) \propto \int dk_{\perp} A_{f}(\mathbf{k}_{\perp} + \mathbf{k}) A^{QSGW}(\mathbf{k}_{\perp} + \mathbf{k}, \boldsymbol{\omega})$$
  
**k**-broadened final QSGW spectral function:  
state  $\boldsymbol{\omega}$ -broadened QP

# Effect of $\Delta k_f$ on Apparent FS at k=0.77H





**k**=(0,0,0.77) is the minimum point on line **k**=( $k_{\perp}/\sqrt{2}$ ,  $k_{\perp}/\sqrt{2}$ , 0.77).

A measurement that averages over  $k_{\perp}$ biases the peak closer towards  $E_F$ . Conclusion: ARPES  $I(\omega)$  not a direct measure of  $A(\omega)$ . Suitably interpreted, excellent agreement with QSGW  $A(\omega)$ .



# Effect of $\Delta k_f$ on minority V,VI for k < 0.3H



At the  $\Gamma$ -point, peaks in  $A(\omega)$  and  $I(\omega)$  coincide. QSGW closely matches — PES at  $\Gamma$ .

As k increases the peaks separate, and then merge back together.

Conclusion: most of the discrepancy with PES data is an artifact of broadening of the excited final state by scattering.

Compare QSGW $v_F$ to ARPES							
Band	Г-	·H	Γ	-N	Г-	P†	
	QSGW	ARPES	QSGW	ARPES	QSGW	ARPES	
Ι	2.2	1.1	1.6	1.2	3.7	2.4‡	
II	0.7	0.7					
$V^{\dagger}$	1.1	1.1			4.1	2.3‡	
VI	0.8	0.7	1.2	0.8	1.3	0.9	

Main point of discrepancy: band I. This is a very dispersive sp band.

But QSGW matches cyclotron m <sup>*</sup>							
		$m^{*}/m$ [	110]		$m^*/m$	[111]	
	QSGV	V LDA	$\exp[17]$	QSGW	LDA	$\exp[17]$	
Ι	2.5	XXX	2.6				
$\mathbf{V}$				-1.7	XXX	-1.7	
VI				2.0	XXX	2.8	

Conclusion: QSGW  $v_F$  is more reliable than ARPES measurement





### **ARPES Measurements of Ni**



in the charge channel only ... no spin fluctuations.

# Spin Fluctuations

In Ni spin fluctuations are important (Nolting et al, 1989) Quite generally, QSGW appears to:

- predict M in local-moment systems very well
- overestimate M in itinerant systems.



LDA has two distinct errors:  $\langle M \rangle$  is too large in itinerant materials.  $\langle M \rangle$  is too small in localmoment systems (CoPt, MnAs) In Ni the errors cancel ...  $\langle M \rangle$  is fortuitously good!

Spin fluctuations reduce  $\langle M \rangle$ . Moriva estimated  $\langle \Delta M \rangle$  from FD theorem. Requires  $\int d\omega \, \text{Im} \chi$  (Mazin et al PRL 2004). ... Better fluctuations are built into higher order diagrams.

# Renormalization of $\Delta E_x$

- •If Ni is reasonably described by a QP picture, fluctuations will modify the static (QSGW) 1-body  $B^{sf}$ .
- •Simulate (for now) by adding an external static  $B^{sf}$  to the QSGW potential, iterate  $QSGW + B^{sf}$  to self-consistency.



Bsf=0

 $\mathsf{B}^{\mathrm{sf}}$  at  $\langle M \rangle = \langle M^{\mathrm{expt}} \rangle$ 

# $La_2CuO_4$

LSCO : antiferromagnetic insulator, gap ~2 eV Nonmagnetic calculation: LSCO is metal with Cu  $x^2-y^2$  at  $E_F$ .



QSGW La<sub>2</sub>CuO<sub>4</sub> ordered antiferromagnetic state Low T° phase: AFM with  $(\pi, \pi)$  ordering QSGW: insulating state with  $E_g$ ~4eV.



#### Results:

- Lowest CB is Cu  $x^2-y^2$  with significant O 2p admixed.
- VBM is O 2p.
- $Cu x^2 y^2$  1 and 1 split by ~10eV
- Remaining Cu d pushed below O 2p.
- Magnetic moment M~0.8.

#### Failings:

- •Gap ~4eV >> expt (2 eV)
- Disorder is expensive

CoO shows a pattern very similar to  $La_2CuO_4$ .... AFM II spin configuration: The 5 Co<sup>1</sup> states are filled The 5 Co<sup>1</sup> states split into 3(occ)+2(unocc) separated by a gap. The QSGW gap (4.4 eV) is 2 eV too big (experiment ~2.4 eV).



LDA: a stable AFM state with no gap (TR symmetry). The O(2p) - Cu (3d) alignment is ~2 eV different from GW

#### ... where NiO does not



The BIS spectra show 3 distinct peaks:

- •1 near 4.5 eV (Ni d)
- •2 near 10 eV (O *sp*)
- •3 near 14 eV (mixed)

QSGW overestimates :

•1 by ~1.1 eV (similar to SrTiO<sub>3</sub> and many nonmagnetic TM oxides)

•2 by 0.3 eV (similar to *sp* semiconductors)

•3 by 0.5 eV. by the ladder diagrams missing from the RPA polarizability ... (next slide)

#### Why the NiO Bandgap is too large





#### Better screening in the charge channel fixes much

Result: spectra aligns almost exactly with BIS. Peaks 1, 2, 3 shift different amounts

Seen in most TM oxides and universally seen in *sp* systems

# A different kind screening for CoO and $La_2CuO_4$

For CoO and  $La_2CuO_4$  similar renormalization of W is not enough.

*W* should be screened in spin channels too. Adds a new  $W^{\uparrow\downarrow}$ and modifies  $W^{\uparrow\uparrow}$ ,  $W^{\downarrow\downarrow}$ (Katsnelson and Lichtenstein, J. Phys C 11, 1037)

$$\Sigma_{1,1'}^{\sigma(ph)} = G_{2,3}^{\sigma'} W_{12,1'3}^{\sigma\sigma'}$$

$$W^{\sigma\sigma} = U^{d} \chi^{dd} U^{d} + U^{m} \chi^{mm} U^{m}$$
$$\pm U^{d} \chi^{dm} U^{m} \pm U^{m} \chi^{dm} U^{d}$$
$$W^{\sigma \neq \sigma'} = U^{m} \chi^{\sigma \neq \sigma'} U^{m}$$



... but is hard to do and other terms may also matter (See Antropov's talk tomorrow)

#### Nonperturbative Additions to GW diagrams

#### GW+DMFT: Alternative to low-order diagrammatic theory

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PHYSICAL REVIEW LETTERS

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First-Principles Approach to the Electronic Structure of Strongly Correlated Systems: Combining the *GW* Approximation and Dynamical Mean-Field Theory

S. Biermann,<sup>1,2</sup> F. Aryasetiawan,<sup>3</sup> and A. Georges<sup>2,1</sup>

Formulate theory in terms of free energy functional  $\Gamma(G,W)$ . With Dyson equation, solve for (G,W) in terms of  $(G_0,v)$  in a 2-step process

 $G^{(1)} = G^{(0)} + G^{(0)} \Sigma^{(1)} G^{(1)} \qquad \qquad W^{(1)} = v + v P^{(1)} W^{(1)}$  $G^{(2)} = G^{(1)} + G^{(1)} \Sigma^{(2)} G^{(2)} \qquad \qquad W^{(2)} = W^{(1)} + W^{(1)} P^{(2)} W^{(2)}$ 

Do stage (1) at GW level for whole system; Do stage (2) at high level for a subsystem you select.

# A QPGW+DMFT study of La<sub>2</sub>CuO<sub>4</sub>

- New QPGW+DMFT performed at Rutgers (Choi, Kutepov, Kotliar, Haule) See arXiv: 1504.07569
- QPGW is intermediate between COHSEX and QSGW  $\Sigma(\omega)$  is linearized:  $\Sigma^{lin}(\omega) = \Sigma(0) + \omega \Sigma'(0)$ COHSEX Linear term

Quasiparticlize  $\Sigma^{\text{lin}}(\omega) \rightarrow [\Sigma^{\text{lin}}(\omega_i) + \Sigma^{\text{lin}}(\omega_j)]/2$ 

 $\Sigma^{lin}$  increases without bound  $\Rightarrow$  QPGW should underestimate gaps , while COHSEX should overestimate them

$$\Sigma^{\text{lin}}$$

	QSGW	scGW	QPGW
$E_G$	4.0	4.0	3.5
M	0.8	0.8	0.8

# skip On the QPGW+DMFT Implementation

1. carry out a QPGW calculation to self-consistency on the Matsubara axis and obtain a quasiparticlized  $G_0$ 

2. Choose a set of local orbitals from maximally localized Wannier functions  $\{i\}$  taken from a wide energy window.

3. Use the quasiparticlized  $G_0$  to calculate  $U_{ijkl}$  within constrained RPA and extract a static U and J.

4. Project G onto  $G^{\text{loc}}$  (initially G is the quasiparticlized  $G_0$ ). Use  $G^{\text{loc}}$ , U, J as inputs to generate  $\Sigma^{\text{loc}}$  from DMFT

--- Steps 5 and 6 are iterated to self-consistency

5. From  $\Sigma^{\text{loc}}$  calculate  $\Sigma^{\text{DC}}$ .

6. Embed ( $\Sigma^{\text{loc}} - \Sigma^{\text{DC}}$ ) into the quasiparticlized  $G_0$  to construct a new G.

# Novel features of QPGW+DMFT

- 1. The sole input is the projector to the set of localized orbitals.
- 2. Use a wide energy window (20 eV) so :

skip

- the orbitals are highly localized (>90% overlap with Cu atomic d orbital)
- The screening from the bath is greatly reduced so the effective interaction  $U(\omega)$  becomes weakly  $\omega$ -dependent. Replace with  $U(\omega)$  with U(0) for the DMFT solver (CTQMC) Re $\Delta$
- The hybridization function has an additional contribution (mostly O 2p) which substitutes for the missing screening of U





La <sub>2</sub> CuO <sub>4</sub> within G	QPGW+DMFT
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	QPGW(A)	QPGW(A)+DMFT	QPGW(N)+DMFT	Expt
$E_{G}$	3.5	1.6	1.5	~2
M	0.7	0.8	0.8	0.4-0.8

# Conclusions

- 1. GW provides an *ab initio* framework for optimal  $G_0$ , through QSGW. QSGW dramatically improves the consistency and reliability of  $G_0$  and is universally applicable.
- 2. QSGW alone sometimes sufficient. (ARPES in Fe, SW in NiO, MnO). But spin fluctuations are missing and they can be important (Ni, CoO,  $La_2CuO_4$ )
- 3. LDA+DMFT has been highly successful ... but LDA is has serious weaknesses. Results should be much better using QSGW (optimal  $G_0$ ) for bath.
- A new QPGW+DMFT approach was developed and applied to La<sub>2</sub>CuO<sub>4</sub>. Sums all local graphs, has no empirical input except choice of projector. Reasonable agreement with experiment



# QPGW+DMFT(RPA)





[1] A. Kutepov, K. Haule, S. Y. Savrasov, and G. Kotliar, Phys. Rev. B 85, 155129 (2012).
[2] A. Kutepov, S. Y. Savrasov, and G. Kotliar, Phys. Rev. B 80, 041103 (2009).

#### Self-consistent DMFT from QPGW

$$\begin{split} \widetilde{G}_{QP}(\mathbf{k}, i\omega_n) &= (i\omega_n + \mu - \widetilde{H}_{QP}(\mathbf{k}))^{-1} \\ \widetilde{P}(\mathbf{k}) \text{ Using MLWF, U and J from cRPA} \\ \\ G_{loc}(i\omega_n) &= \frac{1}{N_k} \sum_{\mathbf{k}} \\ \widetilde{P}(\mathbf{k}) \left\{ \widetilde{G}_{QP}^{-1}(\mathbf{k}, i\omega_n) - \widetilde{P}^{\dagger}(\mathbf{k}) \left( \widetilde{\Sigma}_{imp}(s, i\omega_n) - \widetilde{\Sigma}_{DC}(i\omega_n) \right) \widetilde{P}(\mathbf{k}) \right\}^{-1} \widetilde{P}^{\dagger}(\mathbf{k}) \\ \\ \\ \\ \Sigma_{imp}(i\omega_n) \text{ From CTQMC impurity solver} \end{split}$$

[1] P. Werner, et.al., Phys. Rev. Lett. 97, 076405 (2006).[2] K. Haule, Phys. Rev. B 75, 155113 (2007).