



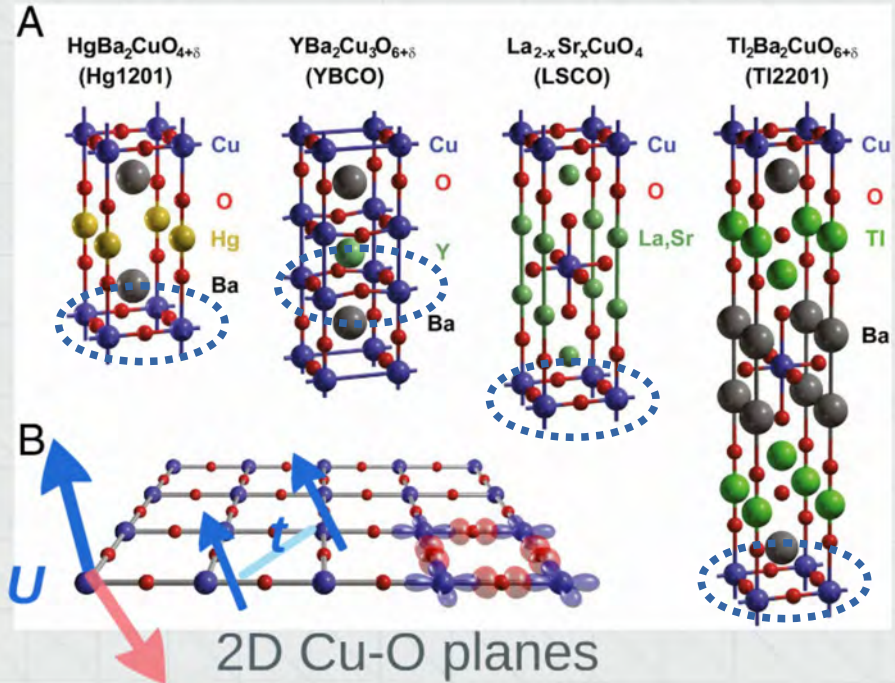
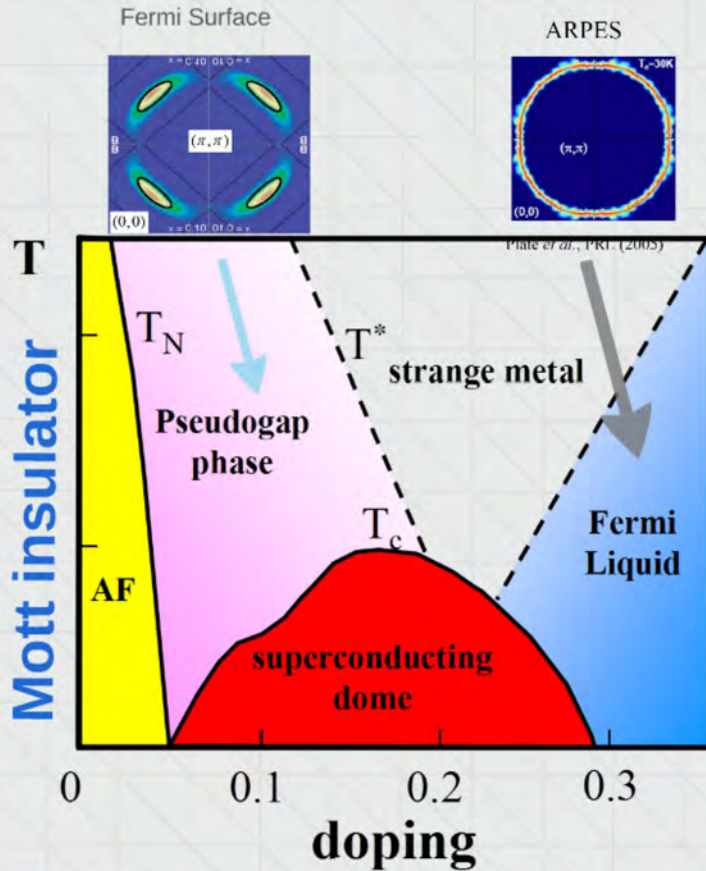
Comprendre le monde,  
construire l'avenir®

# Pseudo-Gap to the Extreme

**Marcello Civelli**

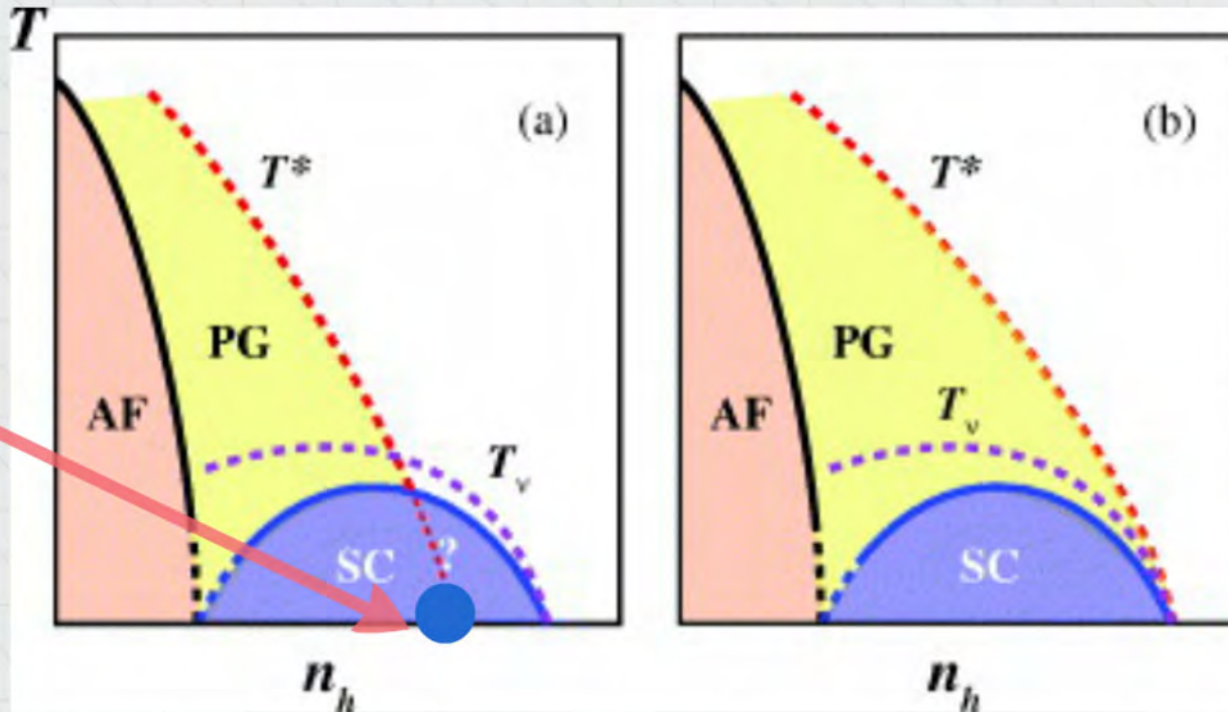
# Cuprates

1986 Bednorz and Muller



**PSEUDOGAP IS AN UNCONVENTIONAL (BAD) METAL**

# PseudoGap vs SupraGap: a long-standing open issue



Competing orders

Quantum critical point



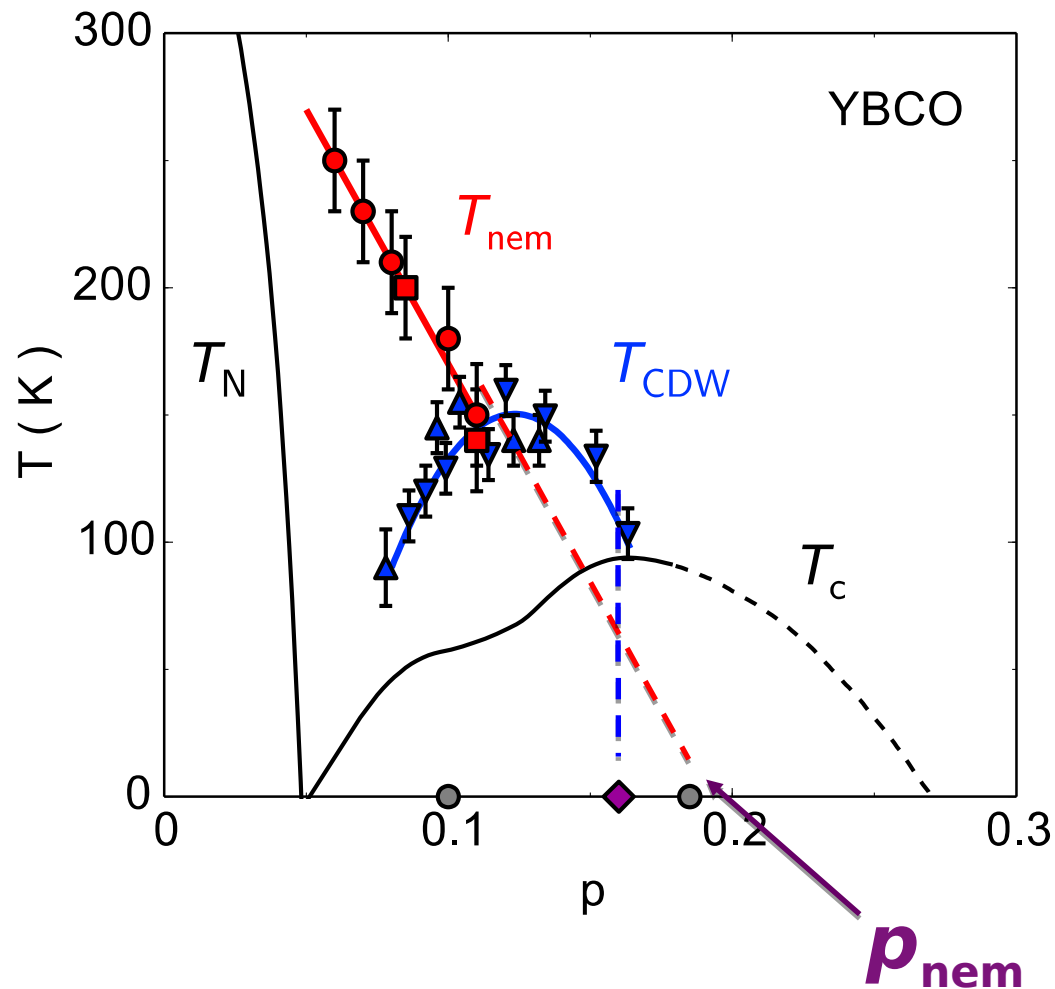
Anderson's RVB

"preformed" Cooper pairs

H. Alloul et al. EPL 91 37005 (2010)

**IS THE HIGH- $T_c$  MECHANISM BURIED ALREADY IN THE NORMAL PG PHASE ? CAN WE UNDERSTAND PG ?**

# ***PG phase: the longstanding search for a hidden order***



**COMPETING  
ORDERS :**

CDW,  
SDW,  
loop currents,  
Nematicity .... ?

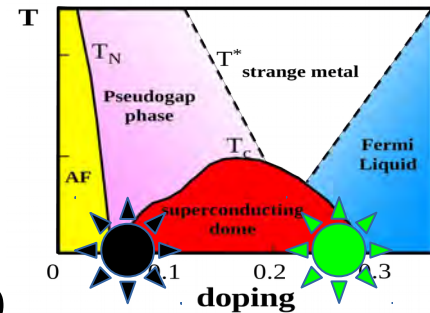
# OUTLINE

## Cluster DMFT :

1) WE DO NOT NEED A BROKEN ORDER TO EXPLAIN PG

2) PG vs SuperConductivity (different beasts? friends ?...):

### FOCUS ON EXTREMES OF THE DOME

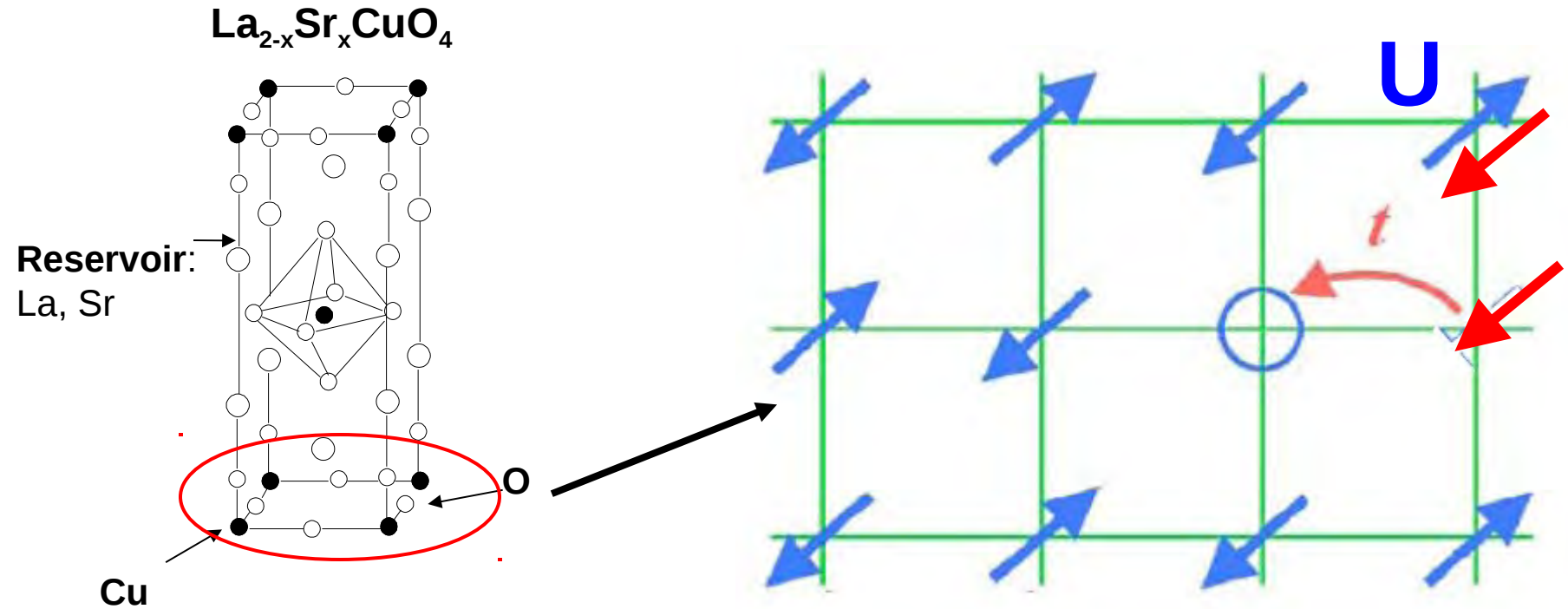


☀ Low doping: non-nodal PG vs nodal SC gap theory as compared to Raman experiments...

☀ High doping: Raman collapse of PG at a VanHove Sing.

CAN the interplay between PG and SC BOOST UP  $T_C$  ?

# Theoretical play-ground model

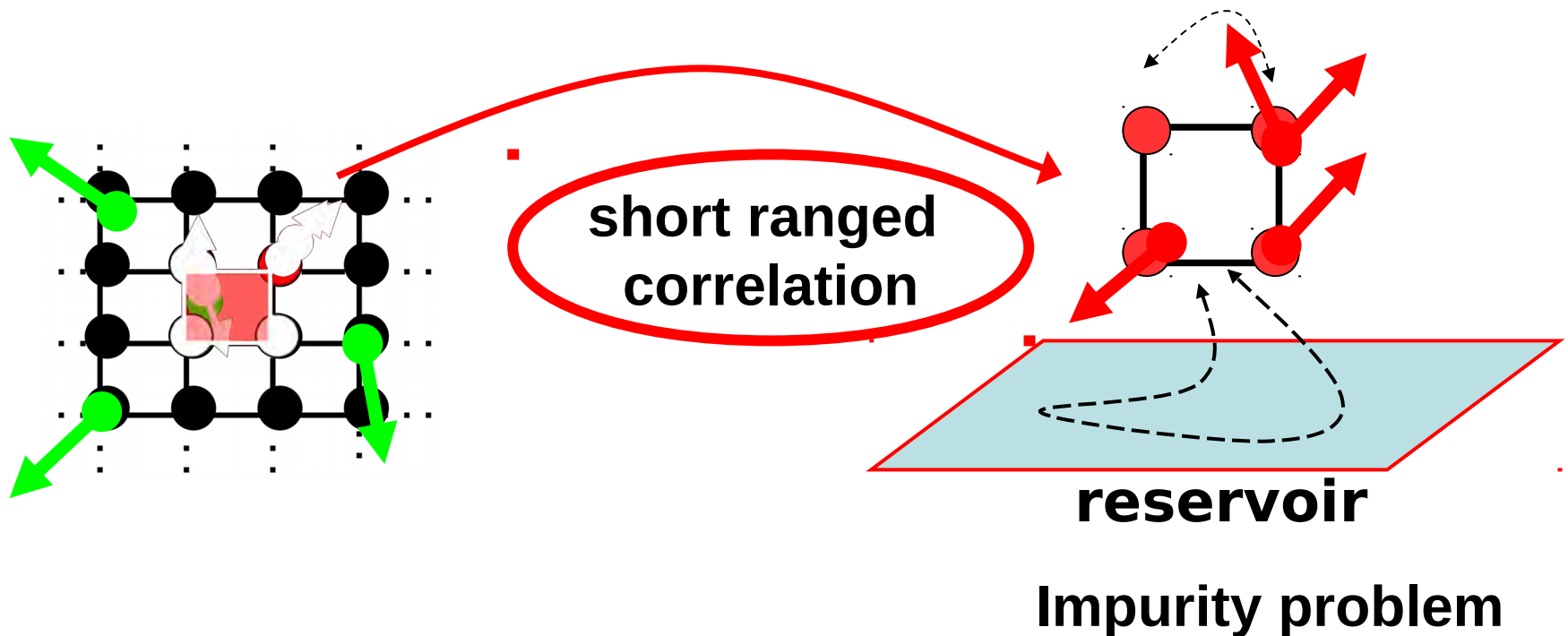
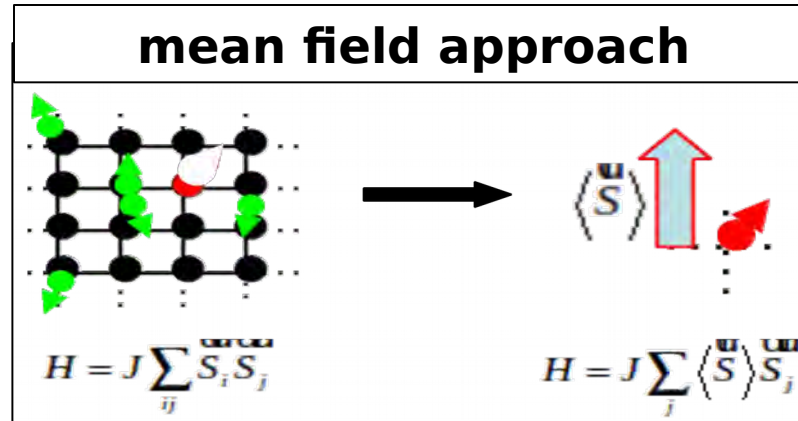


## 2D Hubbard Model

$$H = -t \sum_{ij\sigma} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\downarrow} n_{i\uparrow}$$

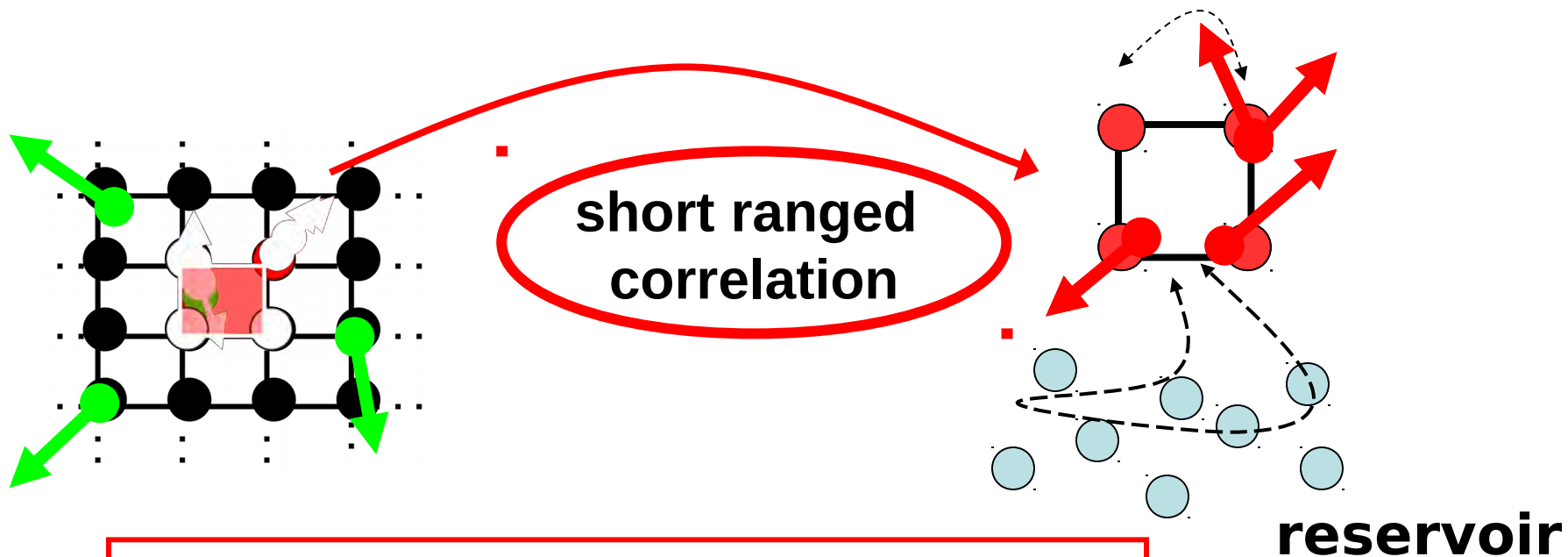
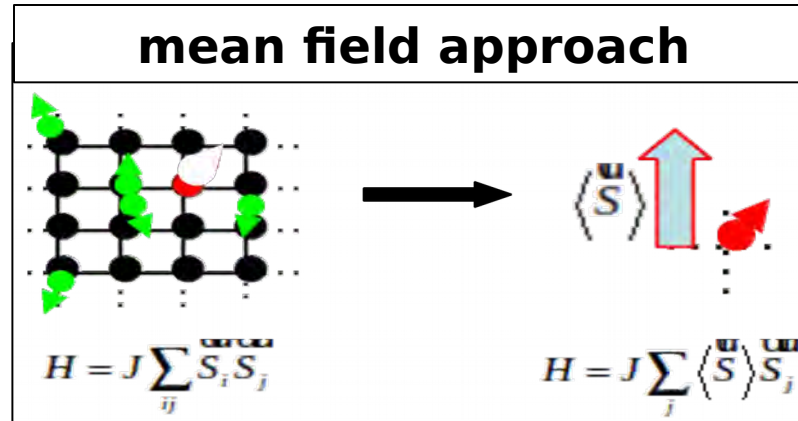
# The CLUSTER (2x2) DMFT

Th. Maier et al. , Rev. of Mod. Phys. 77,1027 '05; G. Kotliar et al., Rev. of Mod. Phys. 78, 865 '06.



# The **CLUSTER (2x2)** DMFT

Th. Maier et al. , Rev. of Mod. Phys. 77,1027 '05; G. Kotliar et al., Rev. of Mod. Phys. 78, 865 '06.



The **CLUSTER (2x2)** DMFT implemented with EXACT DIAGONALIZATION, Krauth & Caffarel '94



# The **CLUSTER (2x2)** DMFT

**GOAL:** the one-article propagator  $\rightarrow$  **SPECTRAL FUNCTION**

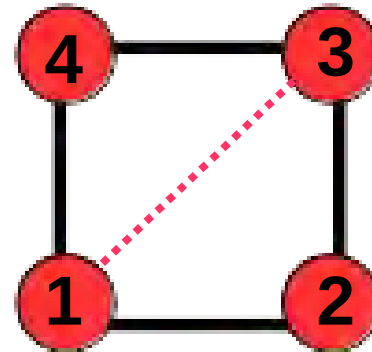
$$G(k, \omega) = \langle\langle c_{-k\sigma}^\dagger c_{k\sigma} \rangle\rangle(\omega)$$

$$A(k, \omega) = -\Im G(k, \omega)$$

$$G_{loc}(\omega) = \langle\langle c_{1\sigma}^\dagger c_{1\sigma} \rangle\rangle(\omega)$$

$$G_{n.n}(\omega) = \langle\langle c_{1\sigma}^\dagger c_{2\sigma} \rangle\rangle(\omega)$$

$$G_{n.n.}(\omega) = \langle\langle c_{1\sigma}^\dagger c_{3\sigma} \rangle\rangle(\omega)$$



**CDMFT (2x2)** gives energy  $\omega$  and approximate **k-dependence:**

$$G(k, \omega) = \underbrace{G_{loc}}_{\text{DMFT single site}} + G_{n.n}(\cos k_x + \cos k_y) + G_{n.n.n} \cos(k_x) \cos(k_y)$$

DMFT single site

Delicate point !

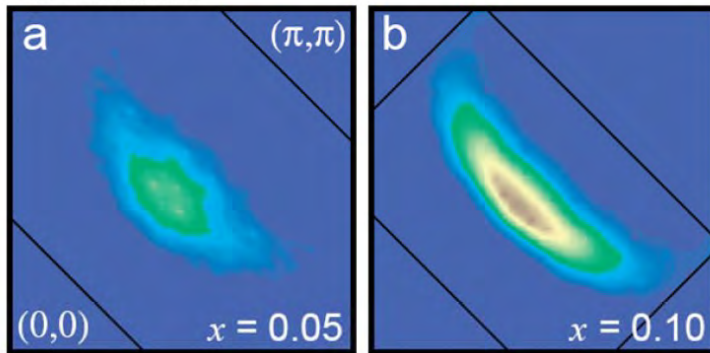
**Normal state**

# PSEUDOGAP and Fermi arcs

Civelli et al: PRL 95, 106402 (2005)

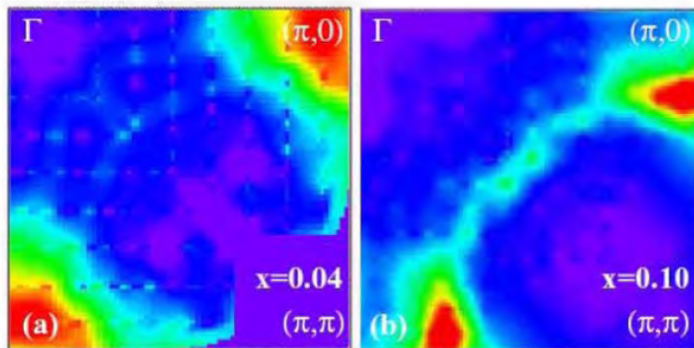
## ARPES Experiments

hole doped



K.M. Shen et al., Science '04

e- doped

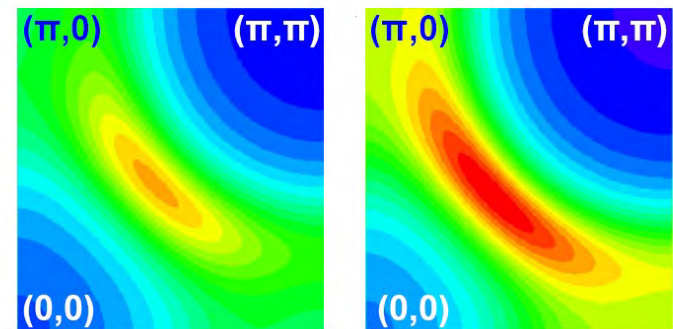


N.P. Armitage et al., PRL '02

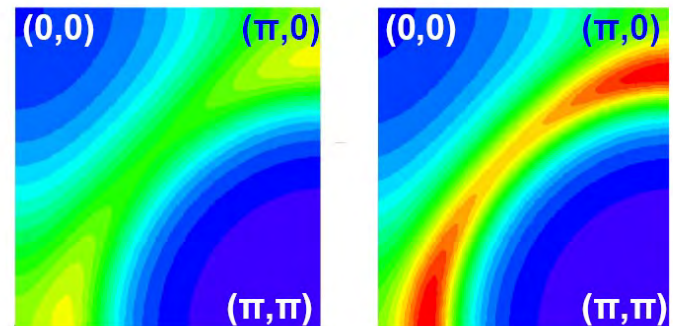
$$A(k, \omega = 0)$$

## CDMFT Theory

hole doped

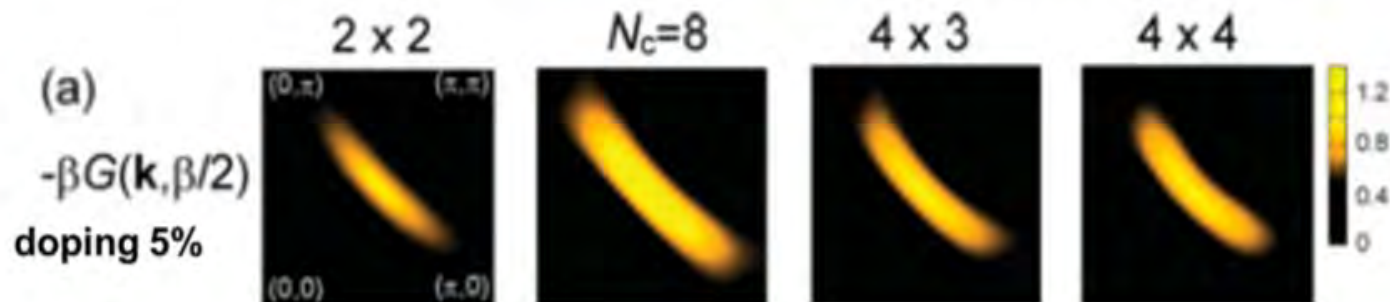


e- doped



# Increasing the cluster size

**Shiro Sakai** et al. PRB 85, 035102 (2012)  
CDMFT + Continuous Time QMC



**The Pseudogap is a solid feature!**

Many groups have been developing **CDMFT (DCA)** to study the **normal and SC state of the 2D Hubbard Model**

**Mark Jarrell and co-workers (DCA, large clusters)**

**G. Kotliar and K. Haule group in Rutgers (CDMFT)**

**A.-M. Trambley recently in collaboration with**

**G. Sordi and K. Haule (CDMFT CTQMC)**

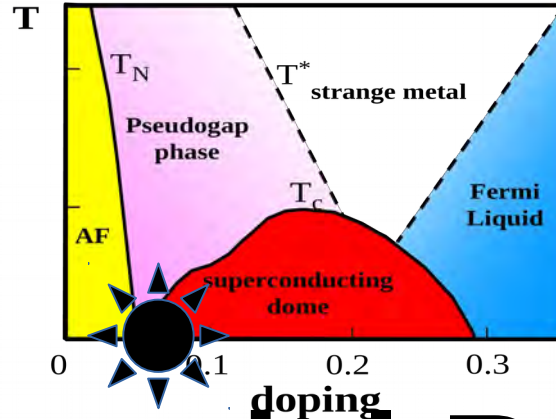
**A.J. Millis and E. Gull (DCA CTQMC)**

**A. Georges, O. Parcollet, M. Ferrero (from small to large cluster....CTQMC and DCA)**

**S. Sakai and M. Imada (CDMFT, ED, CTQMC...)**

**Everybody agrees on the gross picture of the PG**

# Underdoped side

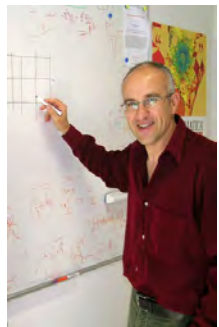


## Non-nodal PG v.s. d-wave nodal SC gap

S. Sakai et al.

Phys. Rev. Lett. 111, 107001 (2013)

Experiments : Sacuto group Paris 7

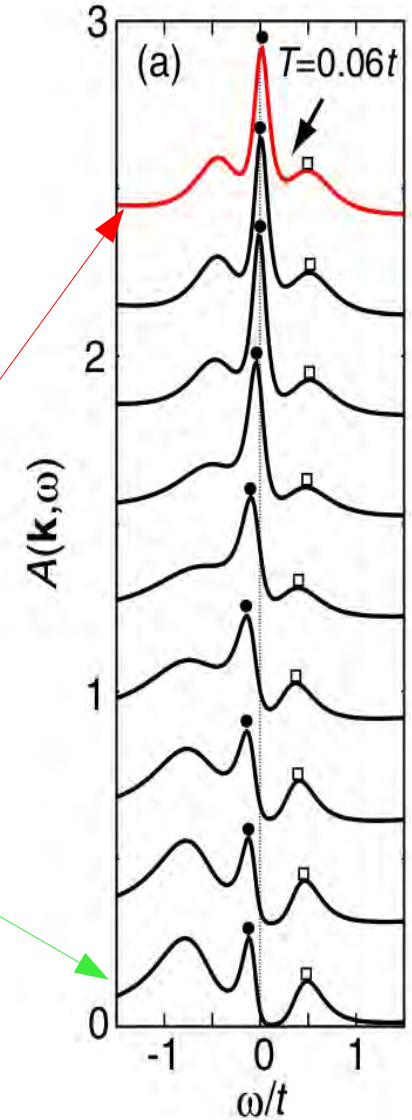
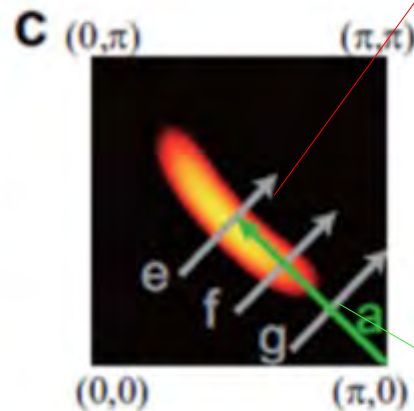
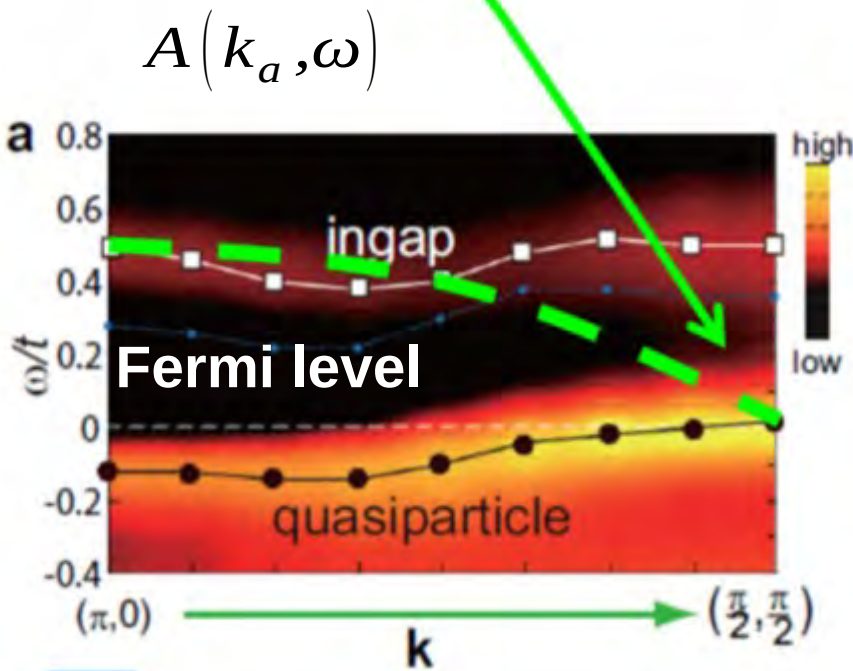


# PG and SC gap have different structures in the nodes and different particle-hole symmetry

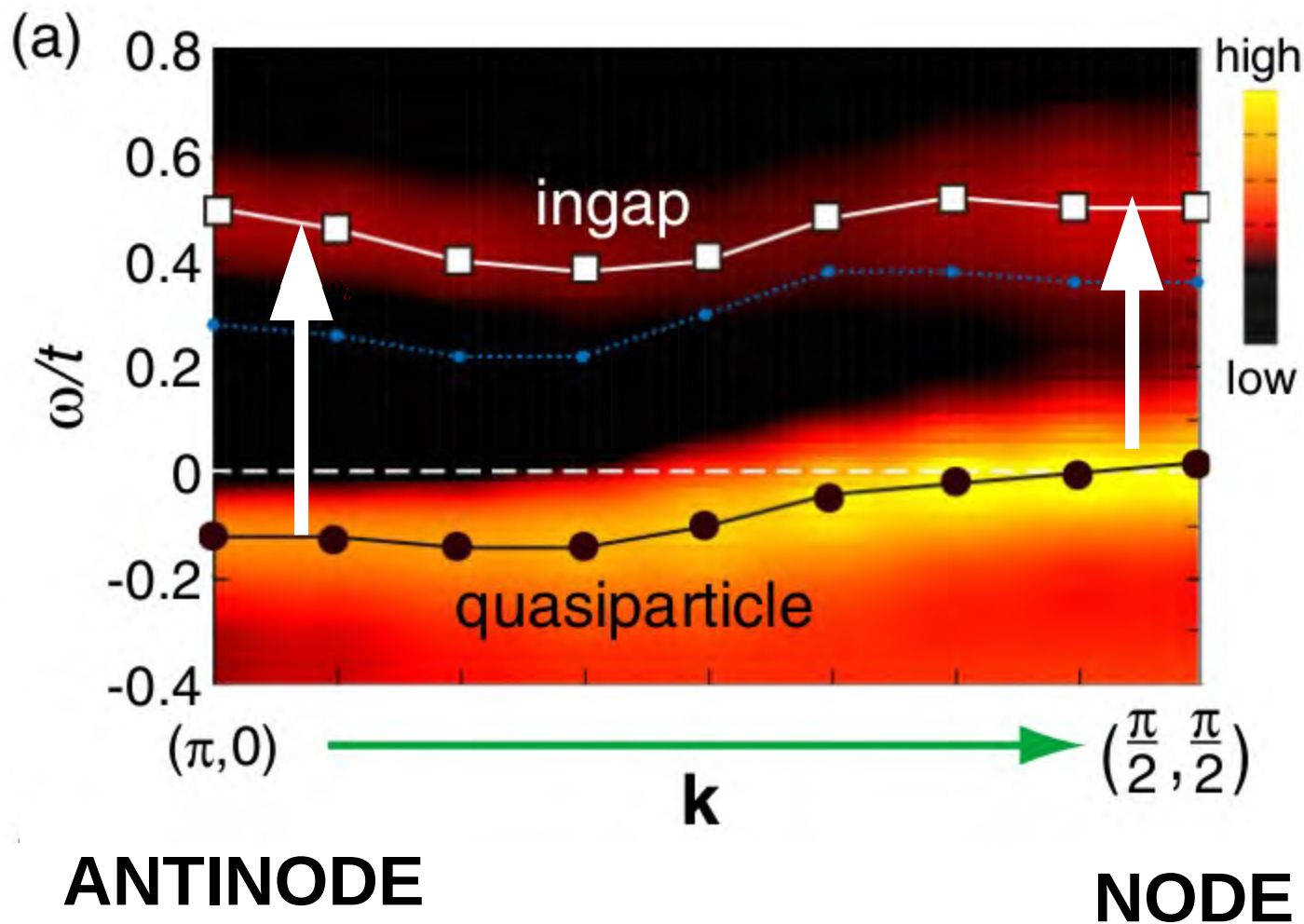
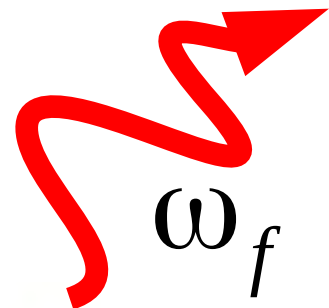
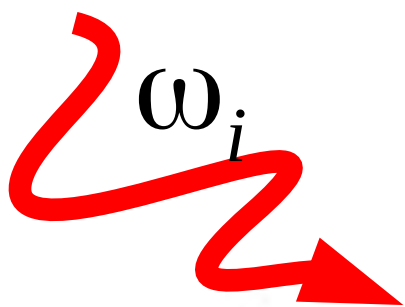
If the gap were d-wave, Nodes are expected!



$$A(k, \omega = 0)$$



# Raman: Nodal PG

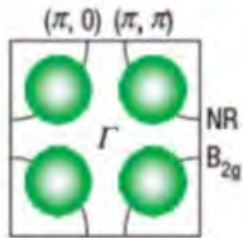




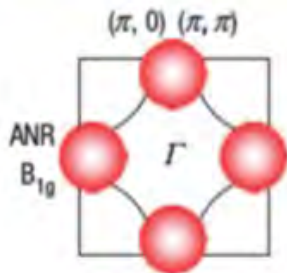
# RAMAN RESPONSE :

- 1) Polarized light sees different regions of  $k$ -space
- 2) Access the  $\omega > 0$  unoccupied spectrum

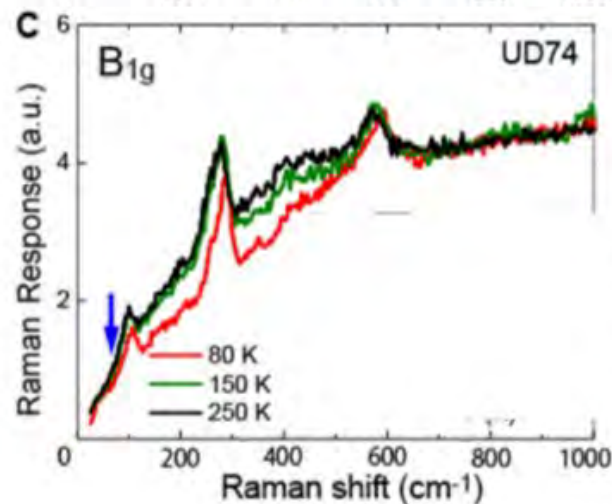
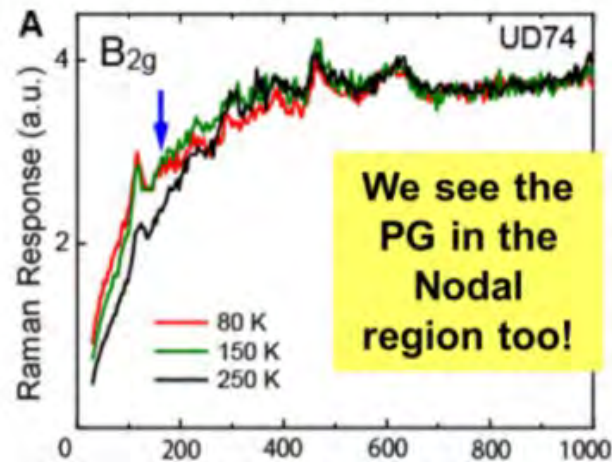
## B<sub>2g</sub> response



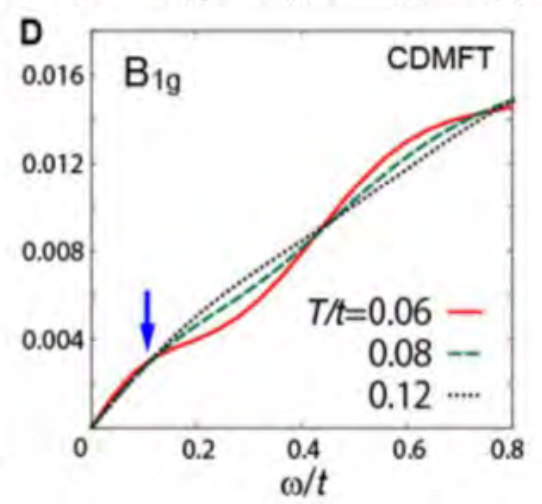
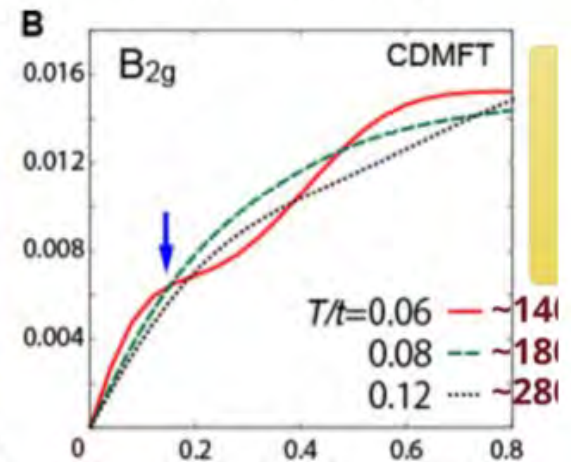
## B<sub>1g</sub> response



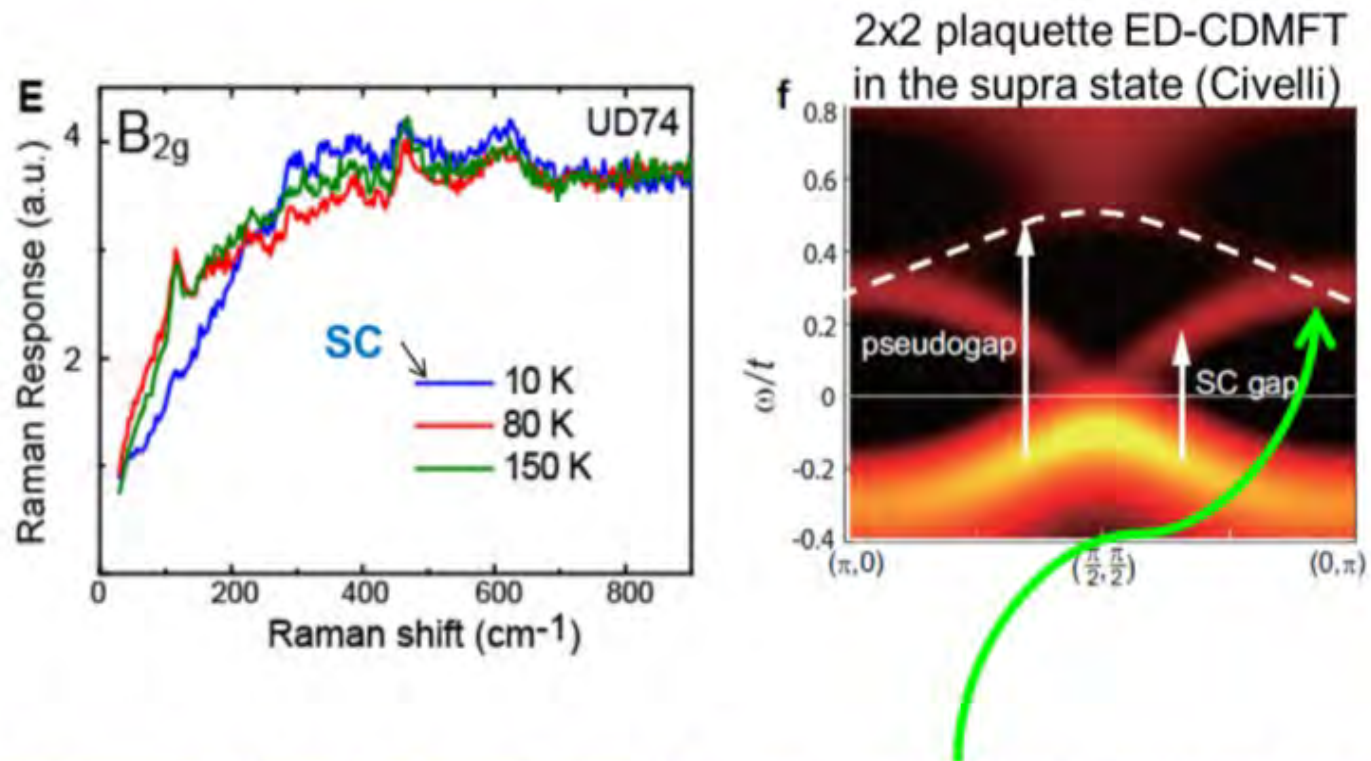
## Experiment Bi2212



## CDMFT Theory



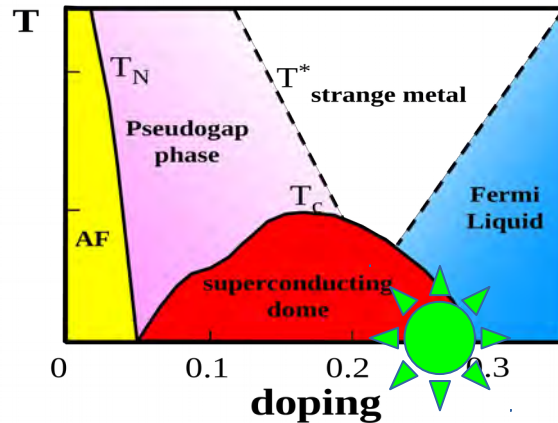
# Pseudo and superconducting gaps in Raman B<sub>2g</sub> (nodes) Alain Sacuto SQUAP group ay Paris 7



The opening of the SC d-wave gap (Bogoliubov bands formation) appears to insert electronic states within the s-wave pseudogap at the nodes (B<sub>2g</sub> Raman)

See also recent arXiv I. Gull, Olivier Parcollet, A. J. Millis, 16-site DCA  
In the superconducting state

# Overdoped side



## Collapse of the PG @ a Lifshitz transition

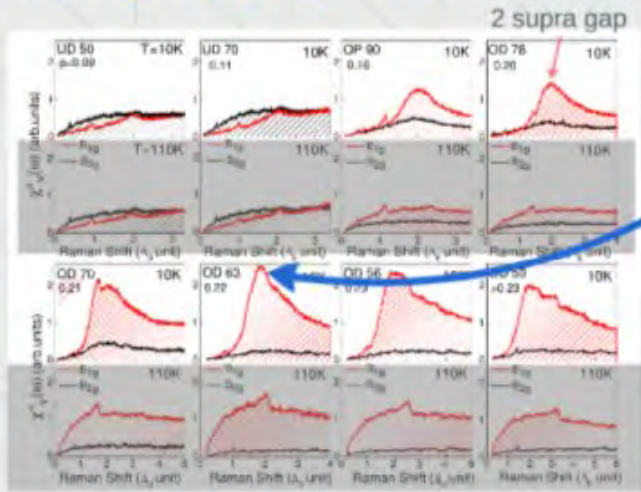


Raman experiments of the SQUAP group at Paris Diderot (**Benhabib**, Sacuto...)  
Theoretical interpretation : I. Paul, Paris Diderot  
Physical Review Letters 114, 147001, 2015

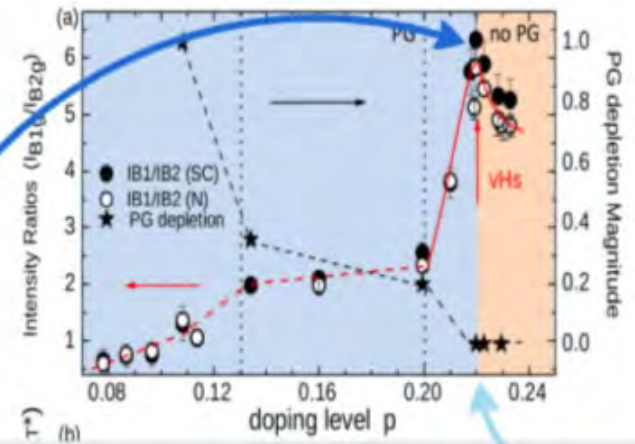
# Experiments by the Squap team, Paris Diderot

S. Benhabib et al. PRL 114, 147001, 2015

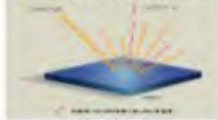
At  $p_c \sim 0.22$  we see an unexpected maximum in the Raman response !  
 Sacuto group @ Paris 7



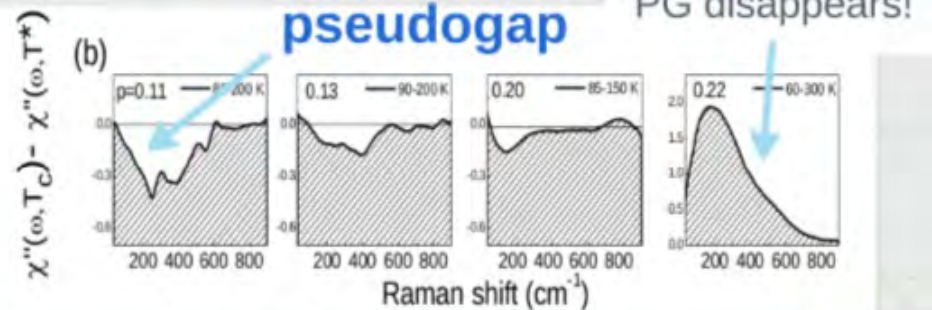
Ratio B1g/B2g: SC et normal



Raman spectroscopy

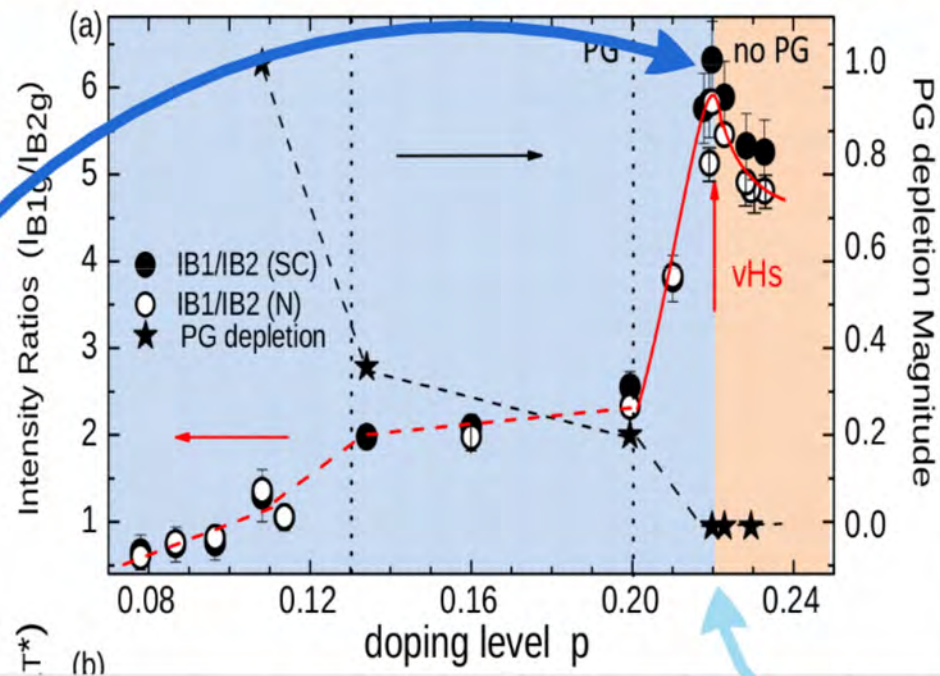


2 light-polarisations : 2 different regions probed in k-space

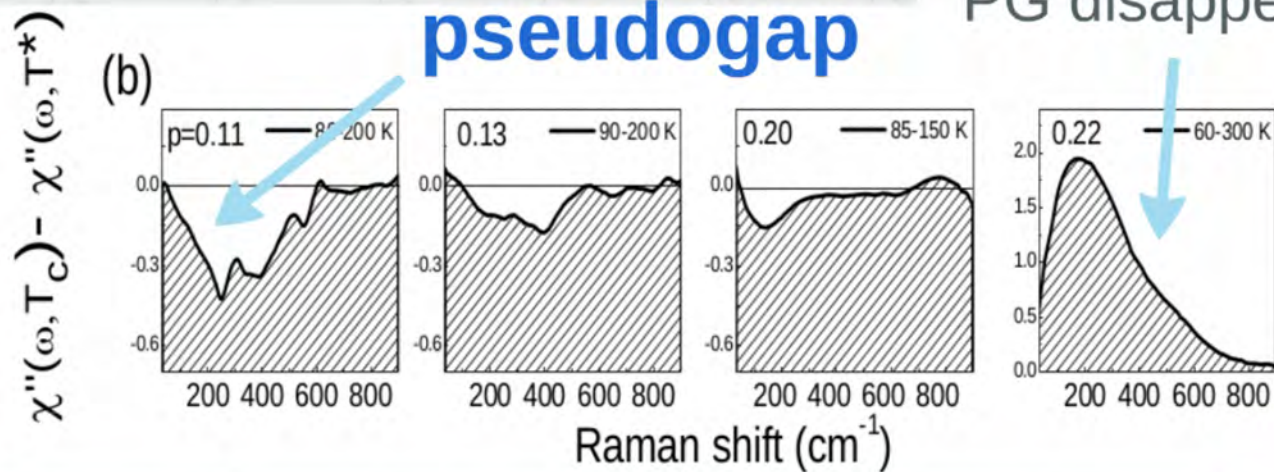


# Ratio B1g/B2g: SC et normal

maximum here  
 $p=0.22$

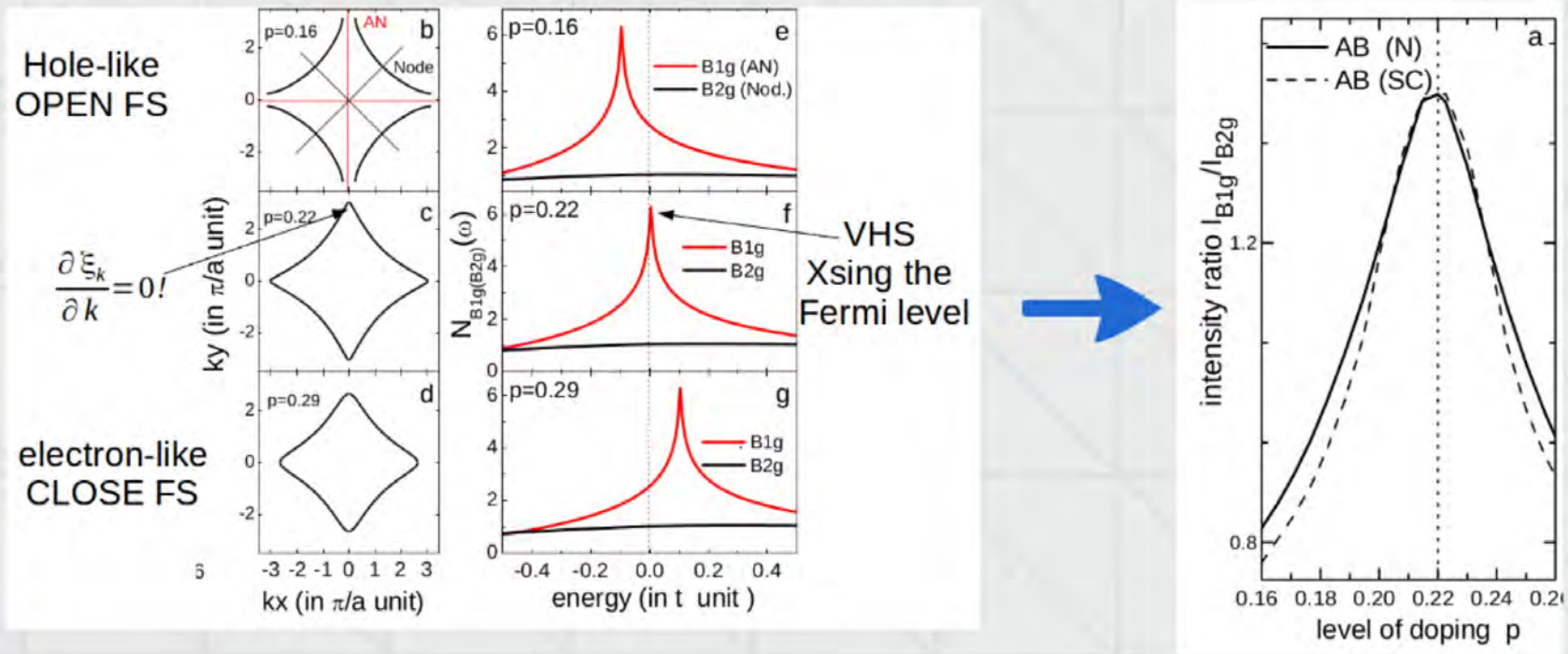


PG disappears!



# Theory: Vhs and Lifshitz transition

tight-binding Model of Bi2212, collab. with I. Paul



## **CONCLUSIONS**

**Van hove singularity can explain the maximum in the B1g reponse !!**

**This point marks a change in topology of the Fermi surface (Lifshitz transition), no QCP needed**

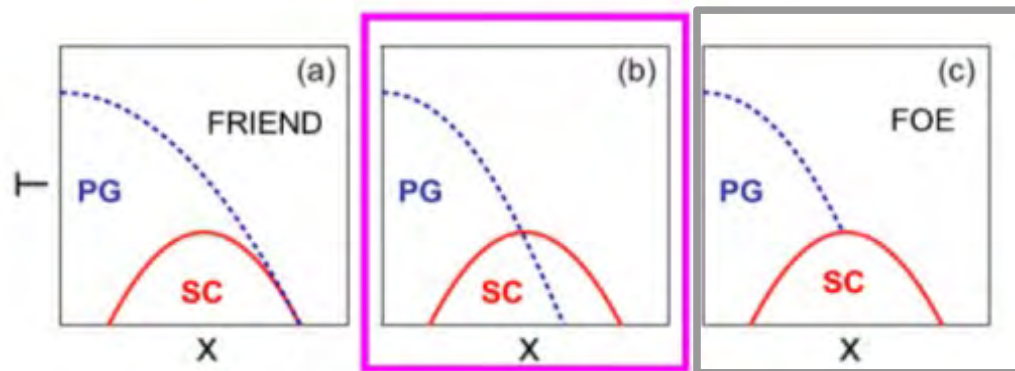
**The SC seems not much affected by this transition (and this explains the differences among different materials...)**

**SC and PG are different animals!! (but no QCP needed)**

# Conclusions: PG and SC looks having a different structure

The pseudogap: friend or foe of high  $T_c$ ?

Norman, Pines, Kallin (2005)



Competing scenarios!

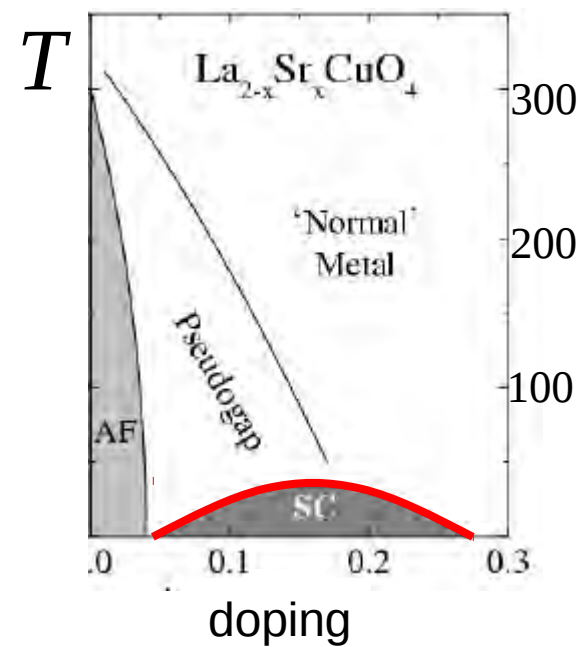
Shiro Sakai et al. arXiv: 1207.5070



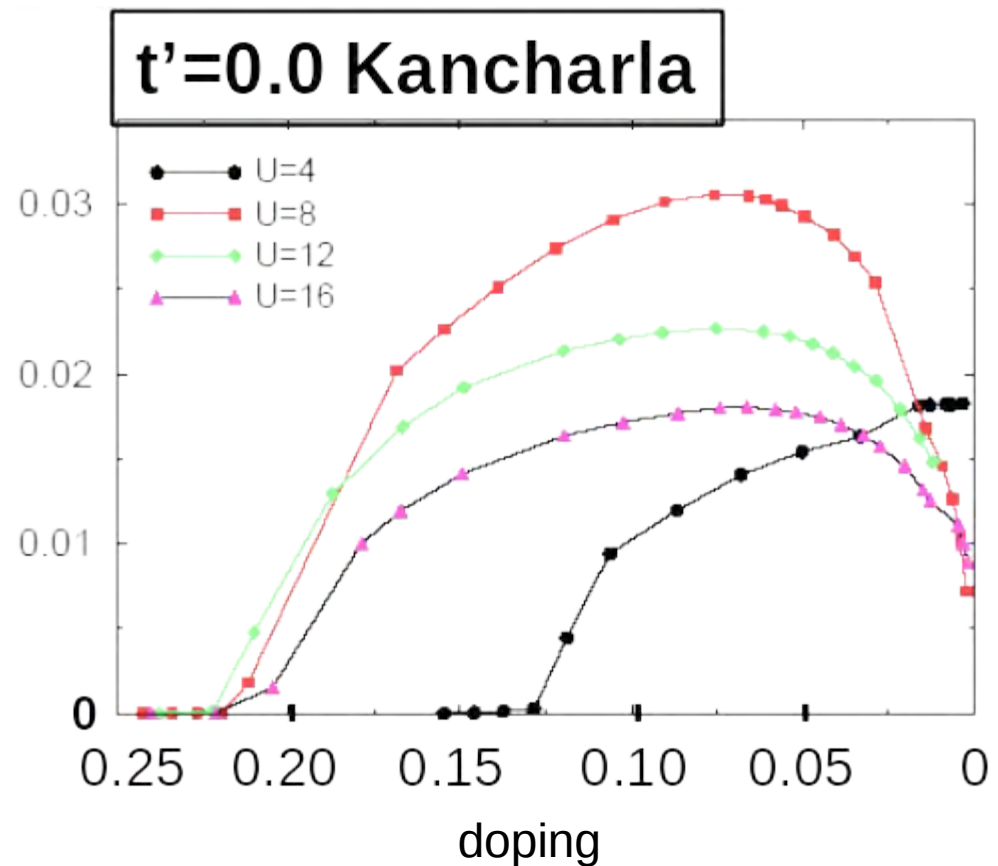
# **PG and Superconducting state**

# Superconducting d-wave state!

order parameter in the Hubbard Model  $\phi = \langle c_{1\uparrow} c_{2\downarrow} \rangle$



$$\phi = \langle c_{1\uparrow} c_{2\downarrow} \rangle$$



S.S Kancharla et al, PRB '08

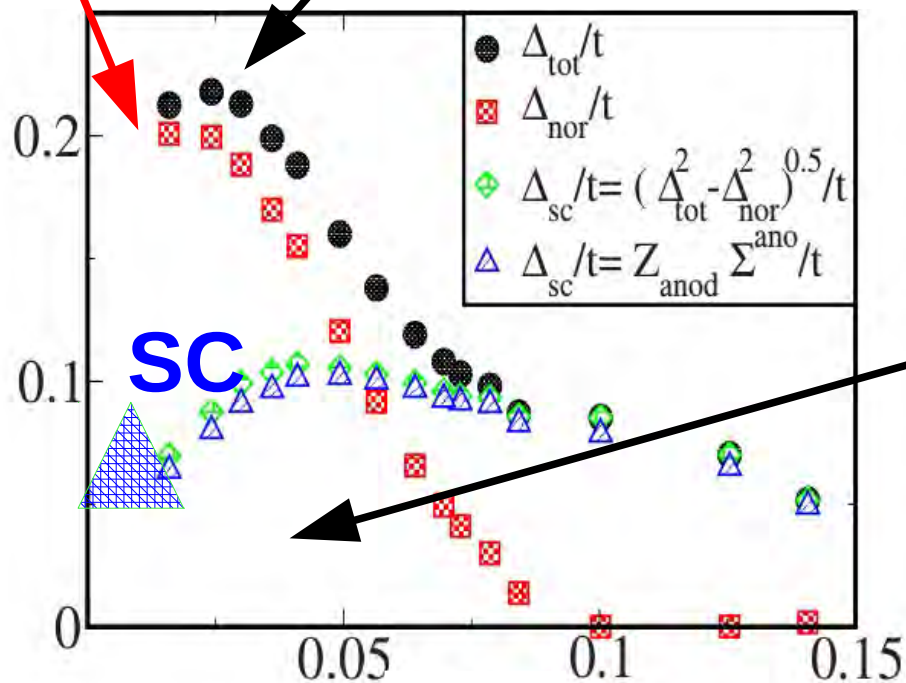
# PG co-existing with SC

In the underdoped side

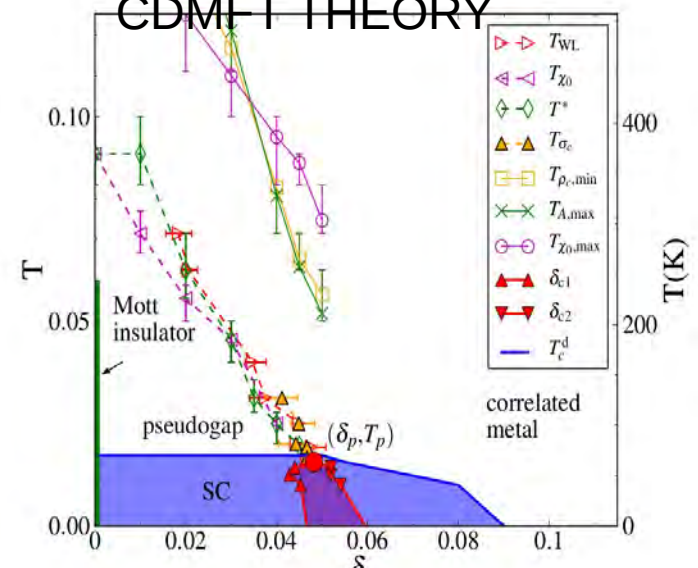
**Total gap** 

**PG** 

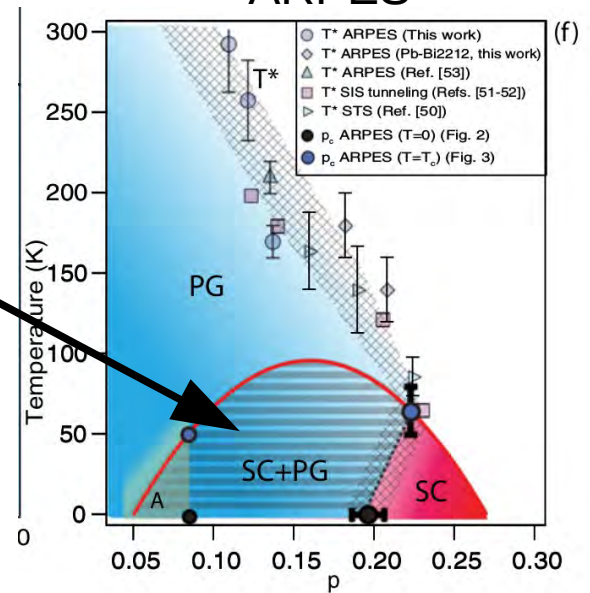
**CDMFT T=0**



**CDMFT THEORY**



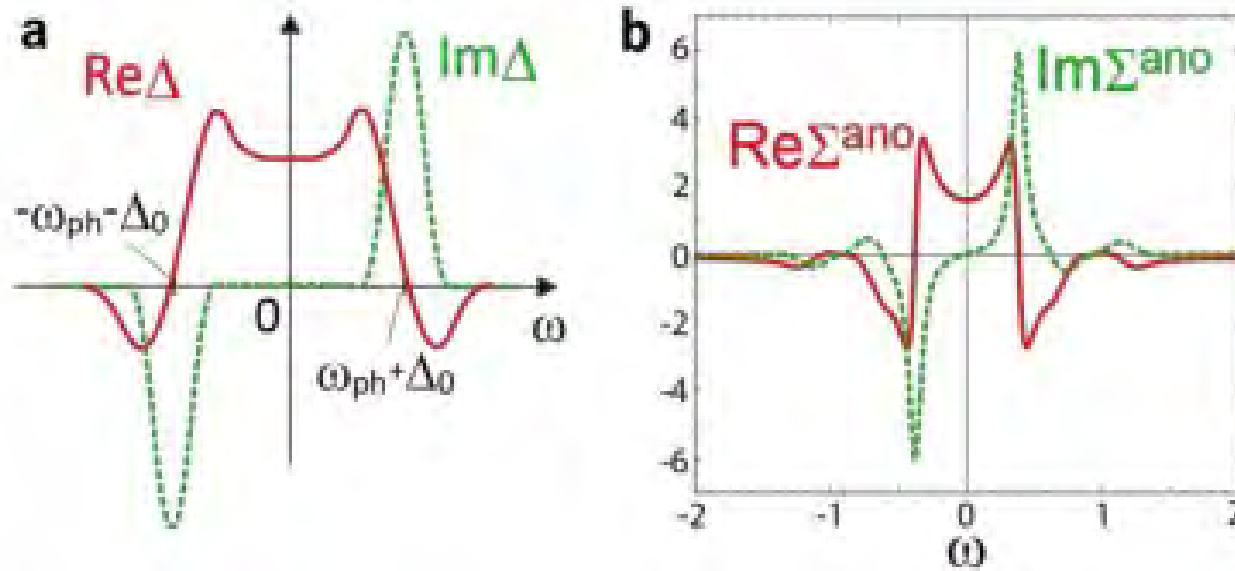
**ARPES**



**PG**  
**+**  
**SC**

# Pairing function (2x2 cdmft U=8t)

Sakai, Civelli, Imada, <http://arxiv.org/pdf/1411.4365.pdf>

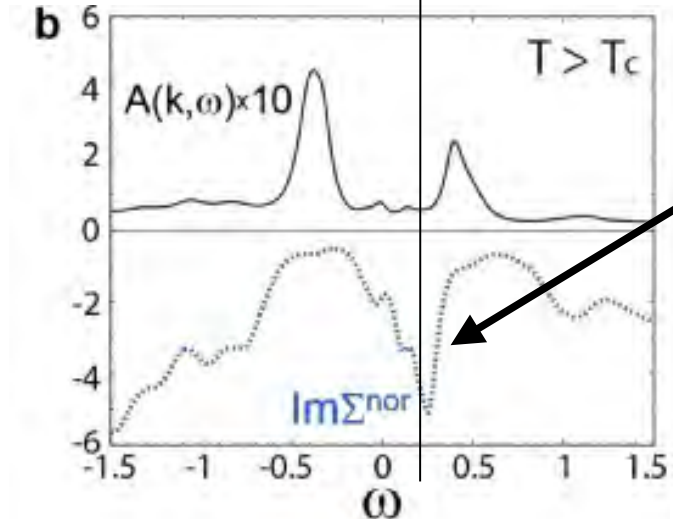


The **peak** in  $\text{Im}\Sigma^{\text{ano}}$  is in standard (Migdal-Eliashberg) theory associated to the **boson mediating the pairing interaction**

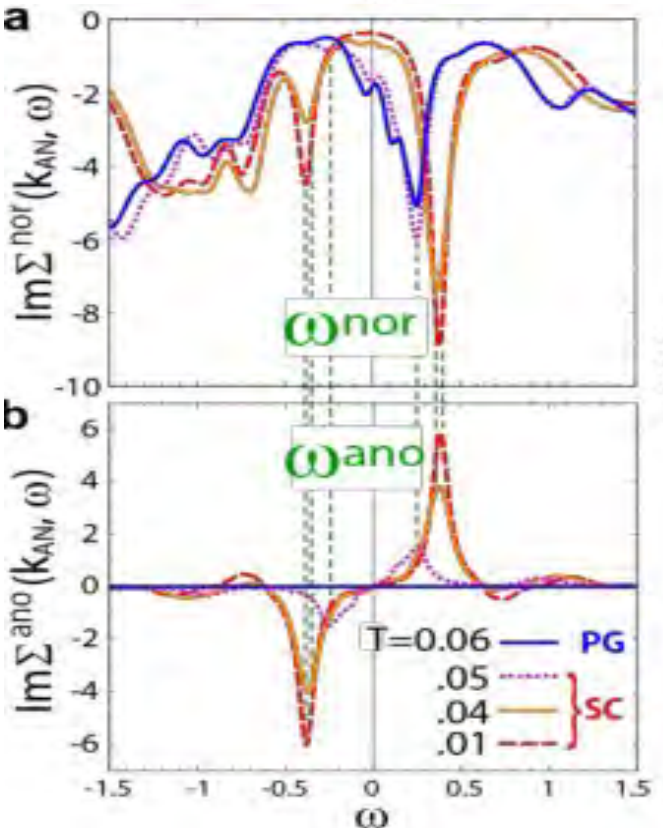
$$\text{Re}\Delta(\mathbf{k}, \omega = 0) = \frac{2}{\pi} \int_0^{\infty} \frac{\text{Im}\Delta(\mathbf{k}, \omega')}{-\omega'} d\omega' \quad \text{Phonos, spin-density waves, ...}$$

CDMFT+ ED (with temperature)

The peak in  $\text{Im}\Sigma_{\text{nor}}$  is responsible for the pseudogap in  $A(k, \omega)$

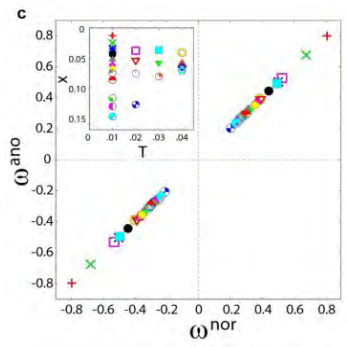


Peak in  $\text{Im}\Sigma_{\text{ano}}$  has been seen already in CDMFT  
 Haule and Kotliar PRB 76 2007  
 Maier Poilblanc Scalapino PRL 2008  
 Civelli PRL 2009  
 Kyung, Senechal, Trambly PRB 2009  
 Millis and Gull PRL 2013, PRB 2015 **BUT**



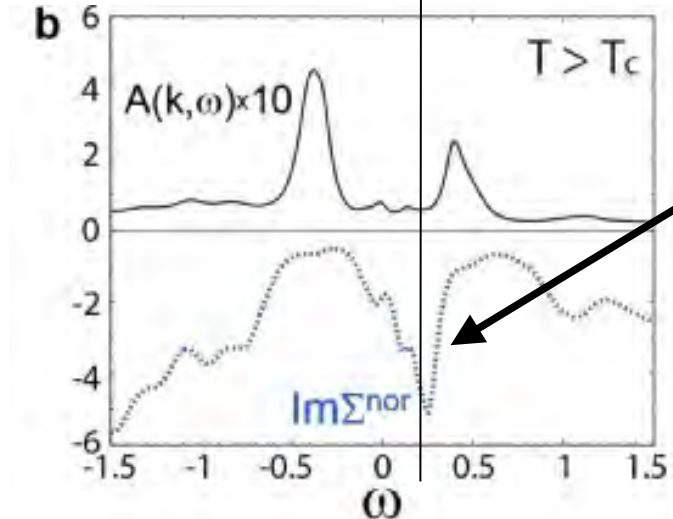
**Sakai, Civelli, Imada arXiv :1411.4365**

The peak in  $\text{Im}\Sigma_{\text{nor}}$  and  $\text{Im}\Sigma_{\text{ano}}$  are the **SAME**

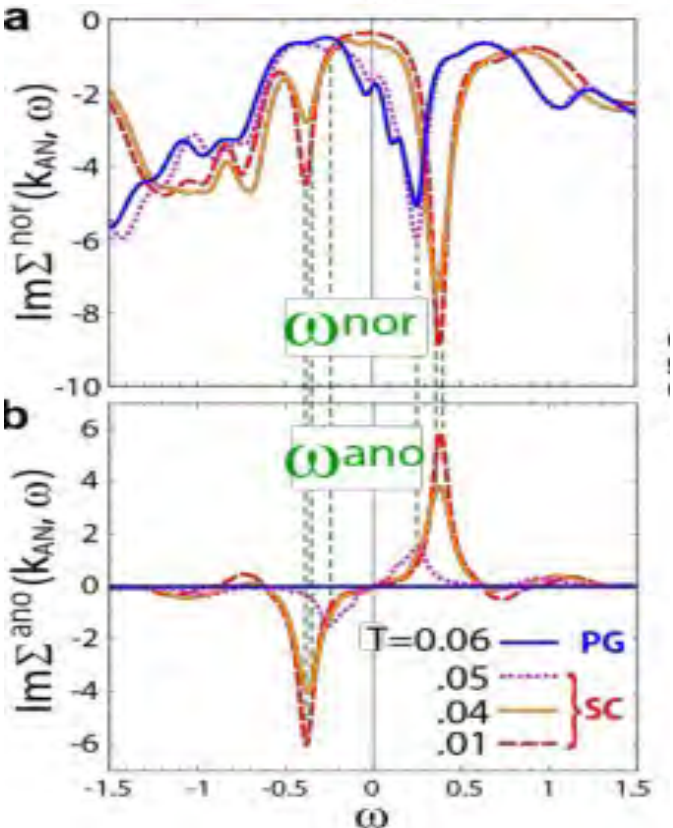


CDMFT+ ED (with temperature)

**The peak in  $\text{Im}\Sigma_{\text{nor}}$  is responsible for the pseudogap in  $A(k,\omega)$**

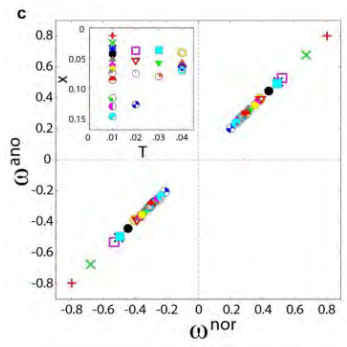


Peak in  $\text{Im}\Sigma_{\text{ano}}$  has been seen already in CDMFT  
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 Civelli PRL 2009  
 Kyung, Senechal, Trambly PRB 2009  
 Millis and Gull PRL 2013, PRB 2015 **BUT**



**Sakai, Civelli, Imada arXiv :1411.4365**

**The peak in  $\text{Im}\Sigma_{\text{nor}}$  and  $\text{Im}\Sigma_{\text{ano}}$  are the SAME**



# Mechanism driving the PG drives the H-Tc ?

$$\Re \Sigma_{ano}(k, \omega=0) = \frac{1}{\pi} \int \frac{\Im \Sigma_{ano}(k, \omega')}{\omega'} d\omega'$$

1) **A peak in  $\text{Im}\Sigma_{ano}$  can push up  $\text{Re}\Sigma_{ano}(\omega=0)$ ,**  
the peak comes from the PG

$$\Delta(k, \omega) = \frac{\Sigma_{ano}(k, \omega)}{\left(1 - \frac{\Sigma_{nor}(k, \omega) - \Sigma_{nor}(k, -\omega)^\dagger}{2\omega}\right)}$$

$$\Delta(k, \omega) = Z(k, \omega) \Sigma_{ano}(k, \omega)$$

2) **A peak in  $\text{Im}\Sigma_{nor}$  implies  $Z \rightarrow 0$ , destroys  $\Delta$**  (Anderson ?)

Interpretation in terms of « hidden fermion » by M. Imada

# CONCLUSIONS

## 1) Cluster DMFT :

**WE DO NOT NEED A BROKEN ORDER TO EXPLAIN PG**,  
which comes from Mott physics (pole in  $\Sigma_{\text{nor}}$ )

2) **PG and SC are competing** (in overdoped and underdoped sides, agreement with Raman....)

3) The origin of the **PG creates peaks in the pairing function** which can boost  $T_c$

4) At the same time **PG=MOTT, quasiparticles and SC** are bound to **go down** approaching the Mott insulator

PG friend AND foe of  $H-T_c$