

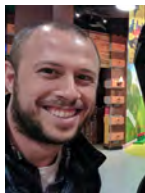
Electronic Squeezing of Pumped Phonons: Negative U and Transient Superconductivity

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Nature Physics **13**, 479 (2017) (arXiv:1609.03802)

Controlling Electronic Properties with Light?

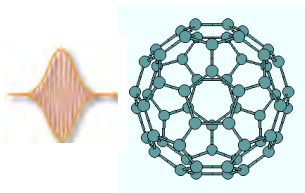
e.g. superconducting-like response in K_3C_{60} :

LETTER

doi:10.1038/nature16522

Possible light-induced superconductivity in K_3C_{60} at high temperature

M. Mitrano¹, A. Cantaluppi^{1,2}, D. Nicoletti^{1,2}, S. Kaiser¹, A. Perucchi³, S. Lupi⁴, P. Di Pietro³, D. Pontiroli⁵, M. Riccò⁵, S. R. Clark^{1,6,7}, D. Jaksch^{7,8} & A. Cavalleri^{1,2,7}



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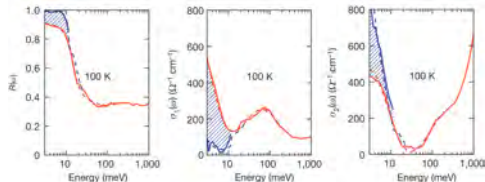
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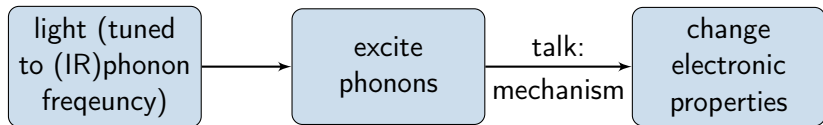
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superconduct.-like response (above T_C):



Controlling Electronic Properties with Light?



- phonon in K_3C_{60} experiment coupled DIRECTLY to electrons only via gradient ($T_{1u} \otimes T_{1u}$ no coupling)

$$H_{ep} = \vec{q} \cdot \sum_k \psi_k^\dagger \vec{\nabla} \psi_k$$

very weak!

- \Rightarrow seek non-linear coupling?

Non-Linear Phononics

different proposals

- Light-induced change in lattice structure via quartic (IR)phonon–(Raman)phonon coupling
[Subedi, et. al. PRB **89**, 220301(R) (2014)]
- Periodic modulation of interaction by direct coupling [Singla, et. al. PRL **115**, 187401 (2015)]
- \Rightarrow variant applied to changes in shape of C_{60} molecule gives orbital dependence of U promoting SC
[Kim, et. al. PRB **94**, 155152 (2016)]
- dynamical modulations of other phonons via (IR)phonon–(Raman)phonon coupling
[Knap, et. al. PRB **94**, 214504 (2016);
M. Babadi et. al. arXiv:1702.02531]
- Interesting different proposal: effective cooling (not Phonons)
[Nava, et. al. arXiv:1704.05613]

Non-Linear Phononics

different proposals: common ground

[Subedi, et. al.; Singla, et. al.; Kim, et. al.; Knap, et. al.] light induced changes in electronic properties (distribution func., electron or electron-phonon Hamiltonian)

here: what about the phonon energetics?

the phonon properties depend on the electronic state: could this (partially) be the transduction mechanism?

simple model (symmetry allowed):

$$H_{e-ph} = gK \sum_i \hat{n}_i \hat{Q}_i^2$$

with small dimensionless coupling $g \sim 0.05 - 0.1$.

⇒ restoring force for phonon depends on electron density \hat{n}

simple model

$$H = H_e + H_{e\text{-ph}} + H_{\text{ph}}$$

electron-phonon coupling

$$H_{e\text{-ph}} = gK \sum_i \hat{n}_i \hat{Q}_i^2$$

bare phonon Hamiltonian

$$H_{\text{ph}} = \sum_i \left(\frac{K}{2} \hat{Q}_i^2 + \frac{1}{2M} \hat{P}_i^2 \right) = \omega_0 \sum_i \left(b_i^\dagger b_i + \frac{1}{2} \right)$$

phonon energetics

$$H_{e\text{-ph}} \Rightarrow K \rightarrow K' = (1 + 2g\hat{n}_i)K$$

$$\omega_0 \rightarrow \omega_0 \sqrt{1 + 2g\hat{n}_i}$$

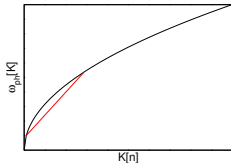
$$\omega_0 \rightarrow \omega_0 \sqrt{1 + 2g\hat{n}_i}$$

- **shift in oscillator frequency:** energy of m -th eigenstate $E = \omega_0(m + \frac{1}{2})$ depends on local electron occupancy
- **electron pairing energy:** $U_{\text{eff}} = E(n = 2) + E(n = 0) - 2E(n = 1)$

$$U_{\text{eff}}[m] = U + \left(m + \frac{1}{2}\right) \omega_0 \left(\sqrt{1 + 4g} + 1 - 2\sqrt{1 + 2g}\right)$$

$$\stackrel{g \ll 1}{\approx} U - \left(m + \frac{1}{2}\right) \omega_0 g^2$$

- **effective interactions are:**
 - negative because square root is concave down
 - increases linearly with number of phonons m
 - proportional to g^2



Minimal Model: Hubbard Model + Optical Phonons

$$H = - \sum_{ij\sigma} J_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U_{\text{elec}} \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} + \sum_i \left(\frac{K}{2} \hat{x}_i^2 + \frac{1}{2M} \hat{p}_i^2 \right) + gK \sum_i \hat{n}_i \hat{x}_i^2$$

electron-phonon coupling via electron density dependence of osc. stiffness

treating $\hat{n} \hat{x}^2 \sim \hat{n} (b^\dagger + b)^2$ difficult

(unitary) squeezing transform $e^S H e^{-S}$ with $e^{\hat{S}} = e^{\frac{i}{2} \sum_j \zeta_j (\hat{x}_j \hat{p}_j + \hat{p}_j \hat{x}_j)}$ and

$$\zeta_j = -\frac{1}{4} \ln [1 + 2g (\hat{n}_{j\uparrow} + \hat{n}_{j\downarrow})]$$

$$\Rightarrow H_{\text{eff}} = - \sum_{\langle i,j \rangle \sigma} J_{ij}^* c_{i\sigma}^\dagger c_{j\sigma} + U_{\text{elec}} \sum_i n_{i\uparrow} n_{i\downarrow} + \omega_0 \sqrt{1 + 2g \hat{n}_i} \left(\beta_i^\dagger \beta_i + \frac{1}{2} \right)$$

Onsite Terms: Expand in g

$$\begin{aligned}\omega_0 \sqrt{1 + 2g\hat{n}_i} \left(\beta_i^\dagger \beta_i + \frac{1}{2} \right) &\approx \omega_0 \left(\beta_i^\dagger \beta_i + \frac{1}{2} \right) \\ &+ \frac{g\omega_0}{2} \left(1 - \frac{g}{2} \right) \left(2\beta_i^\dagger \beta_i + 1 \right) n_{i\sigma} \\ &- \frac{g^2\omega_0}{2} \left(2\beta_i^\dagger \beta_i + 1 \right) n_{i\uparrow} n_{i\downarrow}\end{aligned}$$

Three contribution:

1. usual phonon
2. onsite potential (will lead to effective disorder)
3. onsite attraction (will promote SC in the right parameter regime)

Hopping Term: Complicated

$$\tilde{H}_{hop}[\{n_i, n_j\}] = - \sum_{ij\sigma} J_{ij} c_{i\sigma}^\dagger c_{j\sigma} e^{i(\zeta[n_i+1]-\zeta[n_i])\mathcal{O}_i} e^{i(\zeta[n_j-1]-\zeta[n_j])\mathcal{O}_j}$$

$$\text{with: } \mathcal{O}_j = \frac{\beta_j^\dagger \beta_j^\dagger - \beta_j \beta_j}{2}$$

- hopping process involves pair creation or destruction of phonons
- because \mathcal{O} is quadratic in phonon operators the usual Feynman disentangling does not work
- checked in exactly solvable 2-site version of model \Rightarrow assume light field puts oscillators in coherent state that instantly loses coherence between different m sectors
- $\zeta[n_i \pm 1] - \zeta[n_i] = \pm \frac{g}{2} + \mathcal{O}(g^2 \hat{n})$ and expanding to leading contribution (with non-zero expectation value):

$$J^* = e^{-\frac{g^2}{8}(n_B^2 + 2n_B + 1)} J.$$

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$$\beta_i^\dagger \beta_i^\dagger - \beta_i \beta_i$$

$$|\alpha\rangle = e^{-|\alpha|^2/2} \sum_m \frac{\alpha^m}{\sqrt{m!}} |m\rangle$$

$$\rho_\alpha = |\alpha\rangle \langle \alpha| = e^{-|\alpha|^2} \sum_{m,m'} \frac{\alpha^m \alpha^{m'}}{\sqrt{m!m'!}} |m\rangle \langle m'|$$

$$\rho_{\text{deph}} = e^{-|\alpha|^2} \sum_m \frac{\alpha^{2m}}{m!} |m\rangle \langle m|$$

ce

Hopping Term: Complicated

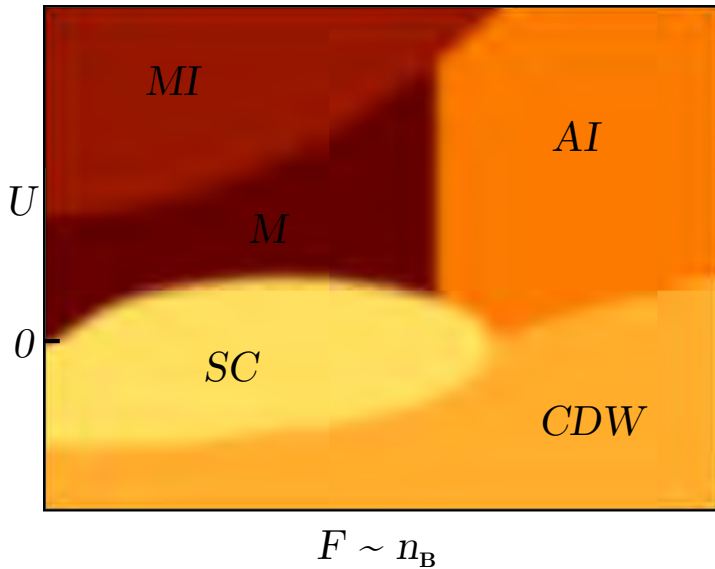
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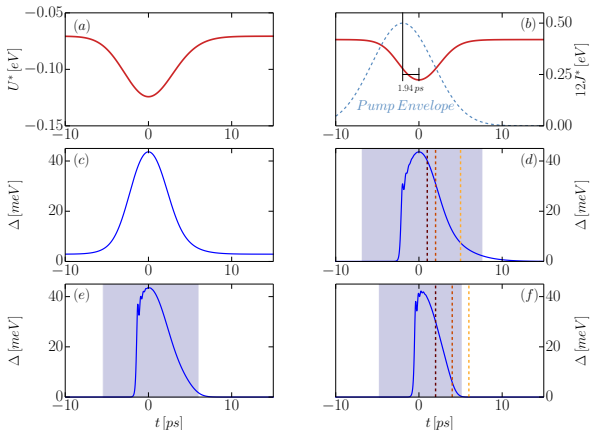
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"Phases"



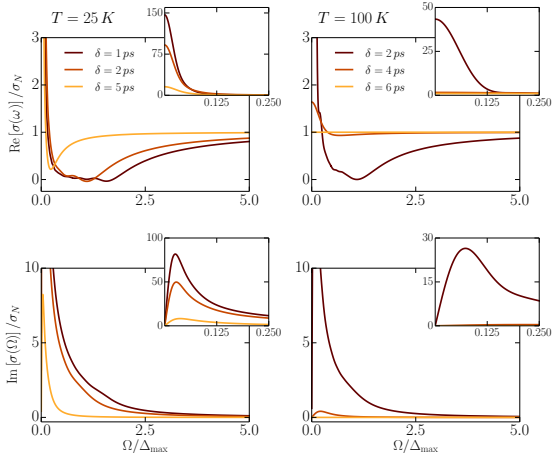
Experimental Parameters

- neglect disorder
- BCS treatment (+ phenomenological electron and phonon relaxation mechanism)



Optical Conductivity: Adiabatic Approximation

- define as Fourier transform of $j(t) = \int dt' \sigma(t, t') E_{\text{probe}}(t')$ with $E_{\text{probe}}(t) = E_P \delta(t - t_D)$
- adia. approx. for conductivity: $\sigma(T, t_{\text{rel}}) \approx \sigma_{\text{equil}}^{\Delta(T)}(t_{\text{rel}})$



Summary and Outlook

so far:

- new generic mechanism connecting phonon drive to changed interaction parameters
- plausible for light induced SC, but our value of g is a factor of 2-4 to large compared to the one estimated from experiments!
- see also M.A. Sentef [[Phys. Rev. B **95**, 205111 \(2017\)](#)]

many open issues:

- Energy flow and heating?
 - DMRG and perturbation theory
- calculation of the non-equilibrium conductivity (not adiabatic)
 - [arXiv:1703.07248](#)
- inclusion of other phonon modes
- interplay with other mechanisms
- Application to other materials (optical control of Mott insulators)

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