Spin Dynamics in the Dirac Systems Mainz, Jun. 6, 2017

Topology and symmetry in topological semimetals: tutorial

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Various topological phases in electronic systems

(1) Bulk is an insulator: "topological insulator" in a broader sense

(1-1) Integer quantum Hall system (Chern insulator)

(1-2) topological insulator

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(1-3) topological crystalline insulator

(2) Bulk is a metal: topological metal (topological semimetal)

(2-1) Dirac semimetal

(2-2) Weyl semimetal

(2-3) nodal-line semimetal

(2) Bulk is a metal: topological metal (topological semimetal)

Band degeneracy occurs

- at high-symmetry points/lines
- At other general points, bands usually anticross.





Degeneracies in electronic states in crystals

$$H(\vec{k}) \to E_1(\vec{k}), E_2(\vec{k}), E_3(\vec{k}), \dots$$

- When do degeneracies appear?
- What conditions are required?

$$E_n(\vec{k}) = E_m(\vec{k})$$



<u>Case 1: symmetry</u>: High-dimensional irreducible representation of a little group



At high-symmetry points/lines, there might be degeneracies due to symmetry

https://www.tf.uni-kiel.de/matwis/amat/semi_en/kap_2/backbone/r2_1_5.html

Degeneracies in electronic states in crystals

<u>Case 2: topology</u> "accidental degeneracy"

Wigner, Herring, Volovik, Murakami,

Simple example: general 2*2 Hamiltonian in k space

 $H(\vec{k}) = \begin{pmatrix} a(\vec{k}) & b(\vec{k}) \\ b^*(\vec{k}) & c(\vec{k}) \end{pmatrix} \qquad \begin{array}{l} a(\vec{k}), c(\vec{k}) & : \text{ real} \\ b(\vec{k}) & : \text{ complex} \\ \end{array}$ $\rightarrow E(\vec{k}) = \frac{a+c}{2} \pm \sqrt{\left(\frac{a-c}{2}\right)^2 + \left|b\right|^2} \\ \hline \text{Degeneracy appears when} \begin{bmatrix} a(\vec{k}) = c(\vec{k}) \\ \text{Re} b(\vec{k}) = 0 \\ \text{Im} b(\vec{k}) = 0 \end{bmatrix}$

In 3D k space: they may have solutions

In 2D k space: they have no solutions (unless there are additional constraints)

Dimensionality matters !

Degeneracies in electronic states in crystals

Case 2: topology $H(\vec{k}) = \begin{pmatrix} a(\vec{k}) & b(\vec{k}) \\ b^*(\vec{k}) & c(\vec{k}) \end{pmatrix} \rightarrow E(\vec{k}) = \frac{a+c}{2} \pm \sqrt{\left(\frac{a-c}{2}\right)^2 + |b|^2}$ Degeneracy appears when $\begin{cases} a(\vec{k}) = c(\vec{k}) \\ Reb(\vec{k}) = 0 \\ Imb(\vec{k}) = 0 \end{cases}$ In 3D k space: they may have solutions: isolated points in k space: $k = k_0$

Apart from this degeneracy point at k_0 ,

energy separation between two bands is linear in wavevector

k K0 K

 $k = k_0$: Weyl node (: not necessarily at high-symmetry point)

Note: this Weyl node cannot be removed perturbatively ! i.e. topologically stable $H(\vec{k}) \rightarrow H(\vec{k}) + \delta H(\vec{k})$ Weyl nodes in 3D is topologically stable

<u>3D Weyl node</u> : $H(\vec{k}, m) = k_x \sigma_x + k_y \sigma_y + k_z \sigma_z + m \sigma_z$ $= k_x \sigma_x + k_y \sigma_y + k_z + m \sigma_z$

Weyl point moves but gap does not oper $(0,0,0) \rightarrow (0,0,-m)$

<u>3D Weyl node is topological.</u>







Apart from 2-band model:

How one can topologically characterize Weyl nodes?

Berry curvature
$$\vec{B}_n(\vec{k}) = i \left\langle \frac{\partial u_n}{\partial \vec{k}} \right| \times \left| \frac{\partial u_n}{\partial \vec{k}} \right\rangle$$

Berry curvature in k space

Berry curvature

$$\vec{B}_n(\vec{k}) = i \left\langle \frac{\partial u_n}{\partial \vec{k}} \right| \times \left| \frac{\partial u_n}{\partial \vec{k}} \right\rangle$$

 $u_{n\vec{k}}$: periodic part of the Bloch wf.

$$\psi_{n\vec{k}}(\vec{x}) = u_{n\vec{k}}(\vec{x})e^{i\vec{k}\cdot\vec{x}}$$
 (*n* : band index)

: "magnetic field in k-space"

□ Monopole density

$$\rho_n\left(\vec{k}\right) = \frac{1}{2\pi} \nabla_{\vec{k}} \cdot \vec{B}_n\left(\vec{k}\right)$$



<u>3D Weyl nodes = monopole or antimonopole for Berry curvature</u>



- Weyl nodes are either monopole or antimonopole
- Quantization of monopole charge
 - \rightarrow Weyl nodes can be created only as a monopole-antimonopole pair
 - C. Herring, Phys. Rev. 52, 365 (1937).
 - G. E. Volovik, The Universe in a Helium Droplet (2007).
 - S. Murakami, New J. Phys. 9, 356 (2007).

Weyl semimetal and Fermi arc

Murakami, New J Phys. (2007) Wan et al., Phys. Rev. B (2011)

= Bulk 3D Dirac cones without degeneracy at or around the Fermi energy

• Weyl nodes are either monopole or antimonopole for Berry curvature

Q = -1



k

TaAs surface Fermi arc (Xu et al.,Lv et al., Yang et al. '15)

Weyl

'∗ node



Surface Fermi arc

Weyl semimetal

- connecting between Weyl nodes

Q = +1





3D system with Weyl node

Even in 3D, one can consider the plane, k_z =const., to be a 2D system and consider its Chern number.

$$Ch_{(n)}(k_z) = \frac{1}{2\pi} \int_{k_z = const} \vec{B}(\vec{k}) \cdot \vec{n} \, dS$$

This Chern number depends on k_z

When a monopole (Weyl node with Q=1) is at $k_z^{W \cup k}$ the Chern number jumps by +1



E.g.
$$\begin{cases} Ch_{(n)}(k_z^W - \delta) = 0\\ Ch_{(n)}(k_z^W + \delta) = 1 \end{cases}$$
, chiral surface states exist not at $k_z < k_z^W$

but at $k_z > k_z^W$

→ Surface states starting from the Weyl node projection = Fermi arc Wan et al. (2011) Symmetry and monopole density

• Time-reversal symmetry

 \rightarrow Monopoles distribute symmetrically w.r.t. k=0

• Inversion symmetry

→ Monopoles distribute antisymmetrically with respect to k=0

- Total monopole charge in the whole BZ vanishes.
- Inversion + Time-reversal
 → menopoles do not exist
 - → monopoles do not exist
 → Weyl semimetal cannot be realized
 - (Dirac semimetal is possible)



•0

(no time-reversal

symm.)

Topological metals with bulk Dirac cones

Dirac semimetals =

bulk 3D Dirac cone with Kramers degeneracy Both inversion- or time-reversal symmetry are required

- β-BiO₂ (Young et al., (2011))
- $A_3Bi(\bar{A} = Na, K, Rb)$ (Wang et al., (2011))
- Cd₃As₂ (Wang et al., (2012))
- NI/TI multilayer (Burkov, Balents)

Weyl semimetals=

bulk 3D Dirac cone without Kramers degeneracy Either inversion- or time-reversal symmetry should be broken

 pyrochlore iridates (Wan et al., PRB (2011), Yang et al., PRB(2011))

Weyl node

k

- NI/TI multilayer (Burkov, Balents)
- TaAs
- YbMnBi2 ...

Effective model : insulator – Weyl semiemtal

Okugawa, Murakami, Phys. Rev. B 89, 235315 (2014)

$$H = \gamma (k_x^2 - m)\sigma_x + v(k_y\sigma_y + k_z\sigma_z)$$

Bulk dispersion

$$E = \pm \sqrt{\gamma^2 (k_x^2 - m)^2 + v^2 k_y^2 + v^2 k_z^2}$$

<u>m < 0</u>: bulk gap = $2\gamma |m|$

= topological or normal insulator

<u>m>0</u>: bulk is gapless gap closed at W_{\pm} : $\boldsymbol{k} = (\pm \sqrt{m}, 0, 0)$

= Weyl semimetal



Surface Fermi arc : effective model calc.



Weyl semimetal TaAs



Multilayers of a Weyl semimetal and a normal insulator

K. Yokomizo and S. Murakami

Phys. Rev. B **95**, 155101 (2017)





Multilayer (Pattern A)

$$H = \gamma \left(k_x^2 - \underline{m(z)} \right) \sigma_x + v \left(k_y \sigma_y - i \partial_z \sigma_z \right)$$
 Phase

Phase diagram of the multilayer



The multilayer becomes the WSM phase by increasing the thickness of the WSM layer

Multilayer (Pattern B)

Hamiltonian

$$H = \gamma \left(-\partial_x^2 - \underline{m(x)} \right) \sigma_x + v \left(k_y \sigma_y + k_z \sigma_z \right)$$

Spatial modulation



Phase diagram of the multilayer



(1) WSM phases periodically emerge(2) Quantum anomalous Hall (QAH) phases which have different Chern number periodically emerge

Trajectory of the Weyl nodes in multilayer (Pattern B)



K. Yokomizo and S. Murakami

Phys. Rev. B 95, 155101 (2017)

Nodal-line semimetal

Nodal-line semimetal: bulk gap closes along a loop in k space

2 typical mechanisms

(i) <u>Mirror symmetric system:</u>

Mirror eigenvalues are different between the valence and the conduction bands [spinless (SOC=0) $M = \pm 1$ spinful (nonzero SOC) $M = \pm i$

> → No anticrossing between the two bands (← prohibited hybridization)
> → Nodal line on a mirror plane

Dirac line node

- Carbon allotropes
- Cu3PdN
- Ca3P2
- LaN
- CaAgX (X=P,As)
- Weyl line node
- HgCr2Se4
- TITaSe2

2 typical mechanisms

(ii) Spinless (SOC=0) & time-reversal sym. & inversion symm.

topological nodal line at generic position in k space

(Example)

$$H(\vec{k}) = \begin{pmatrix} a(\vec{k}) & b(\vec{k}) \\ b^*(\vec{k}) & c(\vec{k}) \end{pmatrix} \qquad a(\vec{k}), c(\vec{k}) \text{ : real}$$

$$b(\vec{k}) \qquad \text{: complex}$$



With the above 3 conditions \rightarrow Hamiltonian is a real matrix.

 $b(\vec{k})$: real

Degeneracy appears when
$$a(\vec{k}) = c(\vec{k})$$

 $\operatorname{Re} b(\vec{k}) = 0$ 2 conditions
 \rightarrow nodal lineTopological characterization:
 π Berry phase around the nodal line2 conditions
 \rightarrow nodal line

(Example)

Rewrite the matrix in terms of Pauli matrices. Omit the trace part.



Phase of $z(\vec{k}) \equiv a_x(\vec{k}) + ia_z(\vec{k})$ winds by 2π around the nodal line. \rightarrow Nodal line is topological

In general systems, the nodal line is characterized by π Berry phase.

$$\phi = -i \oint_C d\vec{k} \cdot \left\langle u_n(\vec{k}) \right| \frac{\partial}{\partial \vec{k}} \left| u_n(\vec{k}) \right\rangle = \pi$$

Ca have nodal lines near E_F

Hirayama, Okugawa, Miyake, Murakami Nat. Commun.8, 14022 (2017)



Vasvari, Animalu, Heine, Phys. Rev. 154, 535 (1967). Vasvari, Heine, Phil. Mag. 15, 731–738 (1967).

nodal-line semimetal : drumhead surface states

Surface state often appears within the region surrounded by the nodal line

• Similar to the flat-band edge states in graphene zigzag ribbon.











Zak phase and charge polarization



(Vanderbilt, King-Smith, PRB,1993)

"modern theory of polarization"

<u>Total polarization for 3D system (=surface polarization charge density)</u>

 $\sigma = \int \frac{d^2 \vec{k}_{\parallel}}{(2\pi)^2} \sigma(\vec{k}_{\parallel}) \quad \text{(Note: only for insulators)}$



Surface charge



- It is not ferroelectric \leftarrow centrosymmetric fcc
- Where is the missing charge at π Zak phase region ?

The number of bulk occupied bands change at the nodal lines .

Chemical potential will slightly change to accommodate missing charge.



Nodal-line and Berry phase



→ surface charge density = $0.485 \cdot \frac{e}{2}$ per surface unit cell

Huge surface polarization charge

 \rightarrow In metals this charge is screened by carriers and lattice

→ Charge imbalance & lattice relaxation at the surface

Topological metals often appears between various topological insulator phases

Topological insulator multilayer without time-reversal symmetry



- By changing parameters, the Weyl nodes move in k-space.
- When they meet, they are annihilated in pair and the system becomes an insulator in the bulk (i.e. either QAH phase or an insulator phase).

NI-TI universal phase diagram in 3D

SM, New J. Phys. ('07). SM. Kuga, PRB ('08) SM, Physica E43, 748 ('11)



Systems with inversion symmetry



Xu et al., Science.332, 560 ('11)

Systems without inversion symmetry



Z_2 topological number v

v=0: normal insulator (NI) v=1: topological insulator (TI)



BiTel under pressure



TI//NI superlattice without inversion sym.

ΤI

 k_x



Trajectories of Weyl nodes in kz=0 plane

Trajectories of Weyl nodes (within kx-ky plane)

Problem:

Start from any band insulator without inversion symmetry (spinful + time-reversal symm.)

 \rightarrow suppose a gap closes by changing a parameter *m*

What phase appears next?





Murakami, Hirayama, Okugawa, Miyake, Sci. Adv. 3, e1602680 (2017) (Example #1): C_2 symmetry (i.e. k: invariant under C_2)

 C_2 eigenvalue = +i or -i

(i) Same signs of C_2 gap cannot close at k – level repulsion

(ii) Different signs of C_2 Weyl semimetal Weyl nodes along C_2 line

 K_0





 k_0

(Example #2): mirror symmetry (i.e. k : invariant under M)

M eigenvalue = +i or -i

(i) <u>Same signs of M</u>

 K_0

gap closes at k on the mirror plane \rightarrow Weyl semimetal

(ii) <u>Different signs of M</u>
 nodal-line semimetal
 (gap closing along a loop on a mirror plane)





M

k

Semiconductors without inversion symmetry

 \rightarrow Gap-closing always leads to topological semimetals

(a) Nodal-line semimetal (← mirror plane) Murakami, Hirayama, Okugawa, Miyake, Sci. Adv. 3, e1602680 (2017)









Only two possibilities. No insulator-to-insulator transition happens. (in contrast to inversion symmetric systems)

Te : lattice with helical chains





- Chiral lattice with helical chains •
- No inversion symmetry ۲
- No mirror symmetry
- \rightarrow Allow Weyl nodes



ENERGY (eV)

Insulator: gap=0.3eV

M. Hirayama, R. Okugawa, S. Ishibashi, S. Murakami, T. Miyake, PRL (2015) (a) Te 0GPa 4 2 0 -2 -4 ΑГ Η ΗK MK A Г 2.1GPa ENERGY (meV) H

-2

-4

fromA

: Weyl point

HP3 P2

Weyl semimetal

toK

Conclusions

- Weyl semimetals (in inversion asymmetric systems)
 - Appear in TI-NI phase transition

e.g. Tellurium: Weyl semimetal at high pressure

Murakami, NJP 9, 356 (2007) Murakami, Kuga, PRB78, 165313 (2008) Okugawa, Murakami, PRB 89, 235315 (2014) Hirayama et al., PRL 114, 206401 (2015) Murakami, Hirayama, Okugawa, Miyake, Sci. Adv. 3, e1602680 (2017)



- Nodal lines in alkaline earth metals Ca, Sr, Yb
 - nodal lines if spin-orbit couping is neglected
 - large "polarization" for k_{ll} inside the nodal line
 - surface Rashba SOC is enhanced e.g. Bi/Sr(111), Bi/Ag(111)

Hirayama et al., Nat. Commun.8, 14022 (2017)