

Static and dynamical engineering of topological superconductivity from Shiba bound states

Pascal Simon
University Paris Saclay



A magnetic impurity in a superconductor



Bogoliubov-de Gennes Ham. $\mathcal{H}_0 = \xi_p \tau_z + \Delta \tau_x$ Assumes Δ constant (homogeneous)

Spin impurity Ham. $\mathcal{H}_{\text{imp}} = -JS \cdot \sigma \delta(\mathbf{r})$

Consider the classical spin limit $S \gg 1$

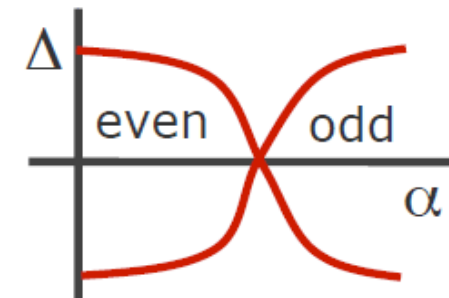
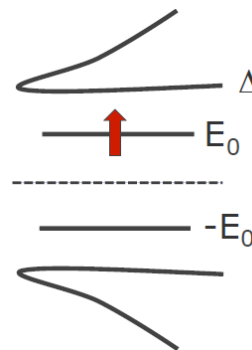
➡ $\mathcal{H}_{\text{imp}} = -JS\sigma^z \delta(\vec{r})$ Like a local magnetic field

It creates an attractive potential

**Shiba
bound state**

$$E_0 = \Delta \frac{1-\alpha^2}{1+\alpha^2}$$

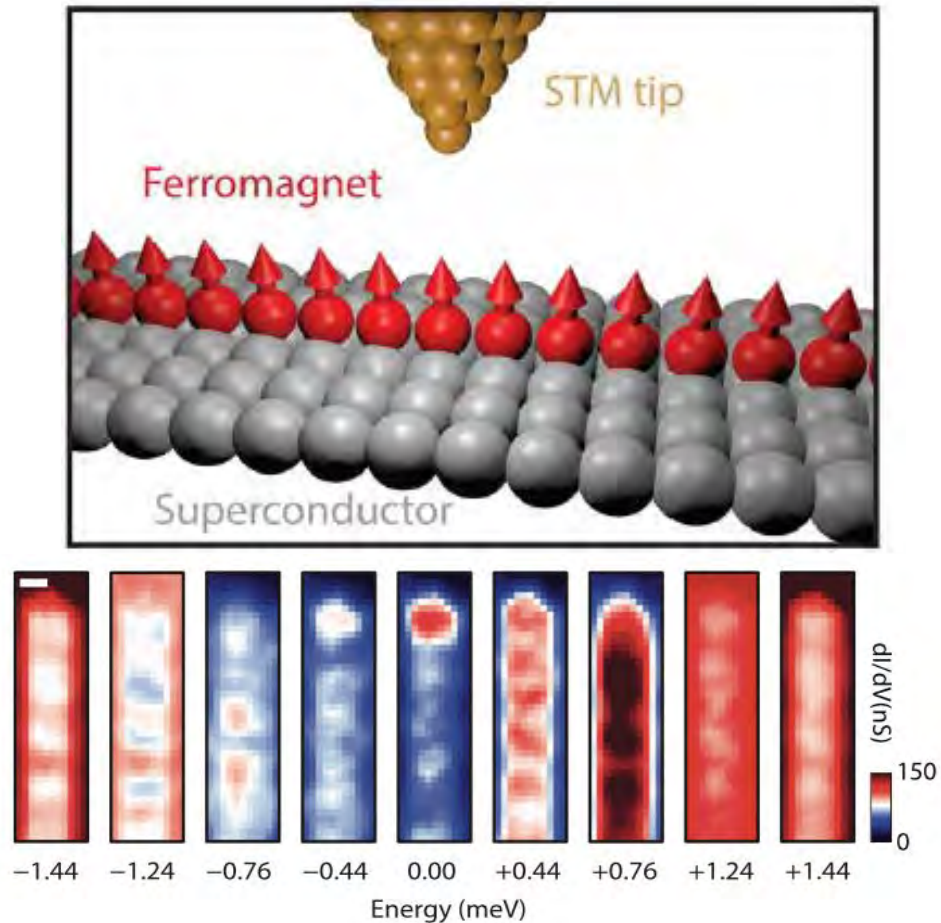
$$\alpha = \pi v_0 JS$$



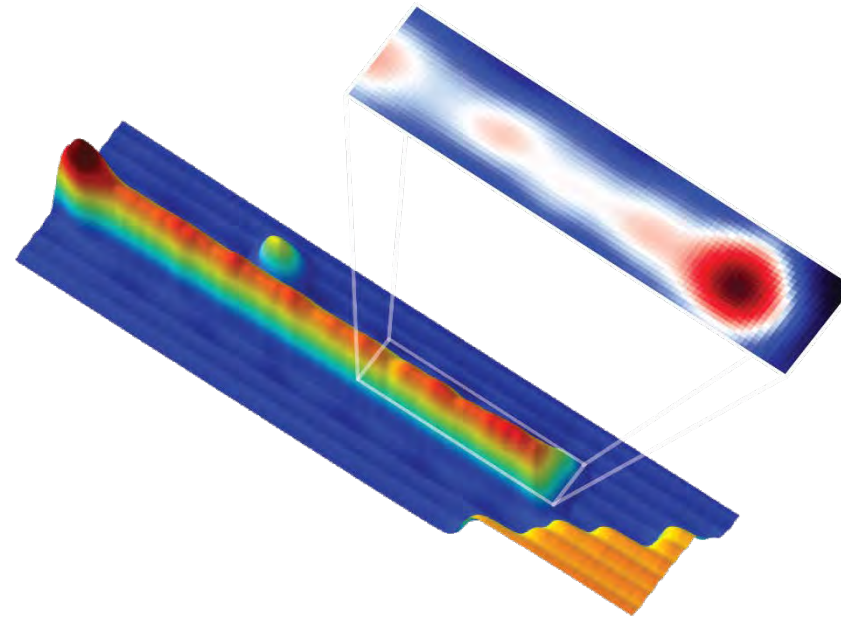
Yu Lu (1965), Shiba (1968), Rusinov (1969)

Majorana end states in magnetic chains : Fe/Pb(110)

Possible experimental realizations



Zero-bias anomaly localized on the last atoms
of the Fe chain,
almost no extension into the Pb substrate

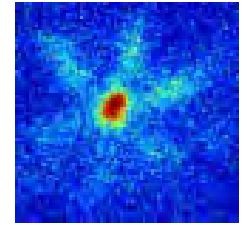


Stevan Nadj-Perge et al., Science **346**, 6209 (2014) (Princeton)
B. E. Feldman et al., Nature Physics (2016)

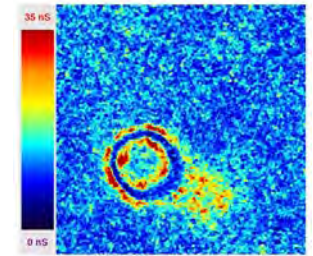
See also M. Ruby et al., PRL 2015; R. Pawlak et al., NPJ QI (2016)

Outline

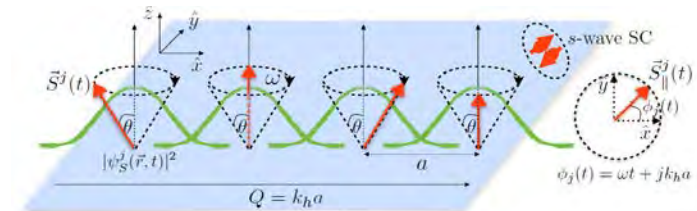
I) Determining the properties of the host superconductor using Shiba states spin polarized spectroscopy



II) Engineering topological superconductivity with Co clusters in Pb/Si(111)



III) Dynamical engineering of 1D topological superconductivity with driven magnetic adatoms.



**I) Determining the properties of
the host superconductor
using Shiba states
spin polarized spectroscopy**

Asymptotics of the Shiba wave-function

Convenient parametrization

$$E = \Delta \cos(\delta^+ - \delta^-)$$

$$\tan \delta^\pm = V \nu_0 \pm \pi \nu_0 J S$$

Rusinov (1969)

Potential scattering term

Asymptotics of the Shiba wave function

In 3D

$$\psi_\pm(r) \approx \frac{1}{\sqrt{\mathcal{N}}} \frac{\sin(k_F r + \delta^\pm)}{k_F r} e^{-\Delta \sin(\delta^+ - \delta^-) r / \hbar v_F}$$

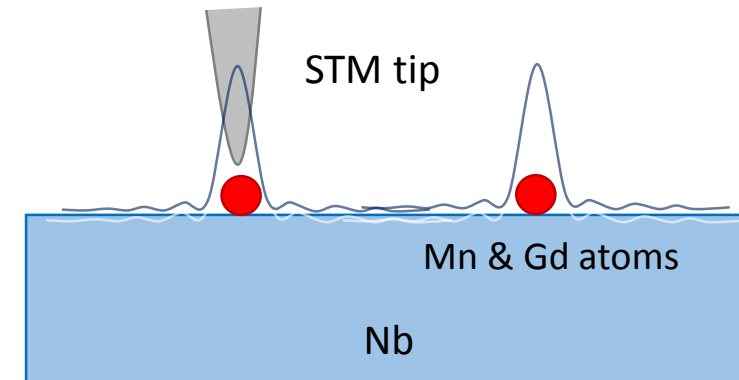
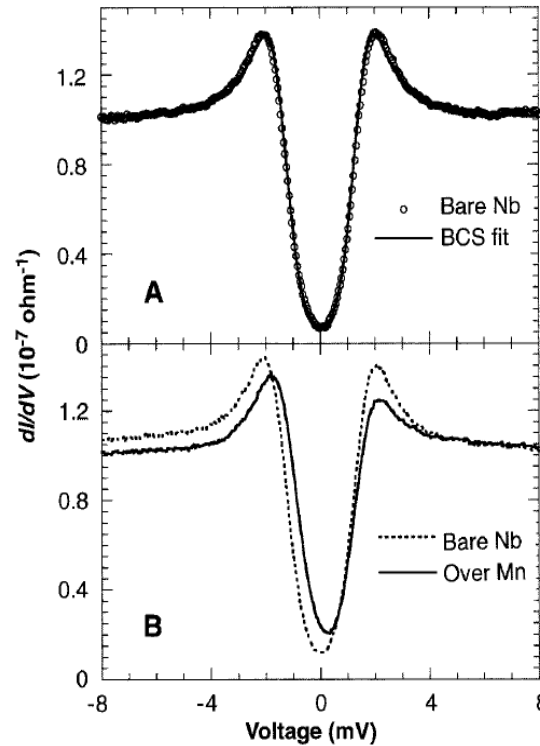
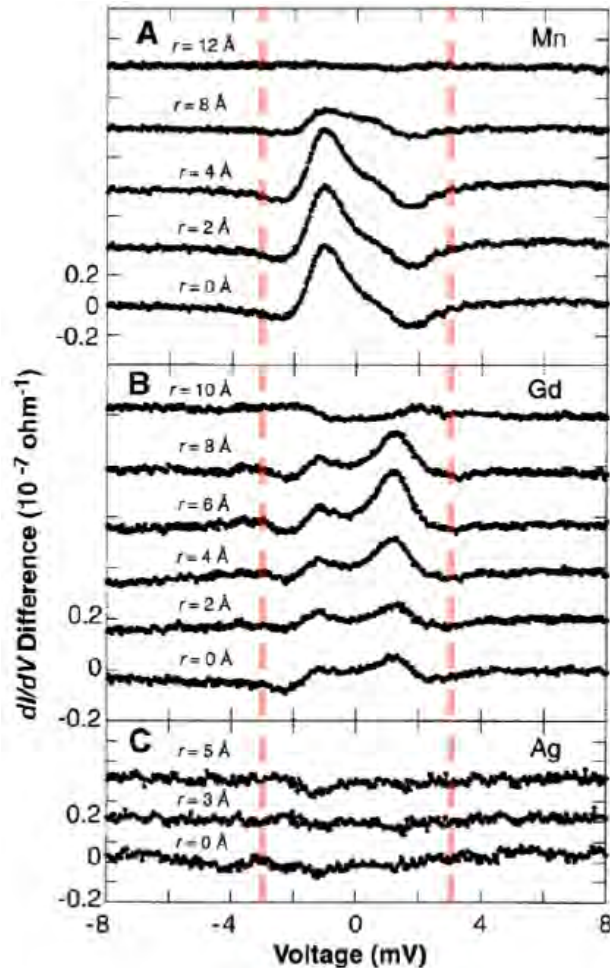
Rusinov (1969)

In 2D

$$\psi_\pm(r) \approx \frac{1}{\sqrt{\mathcal{N}}} \frac{\sin(k_F r - \frac{\pi}{4} + \delta^\pm)}{\sqrt{\pi k_F r}} e^{-\Delta \sin(\delta^+ - \delta^-) r / \hbar v_F}$$

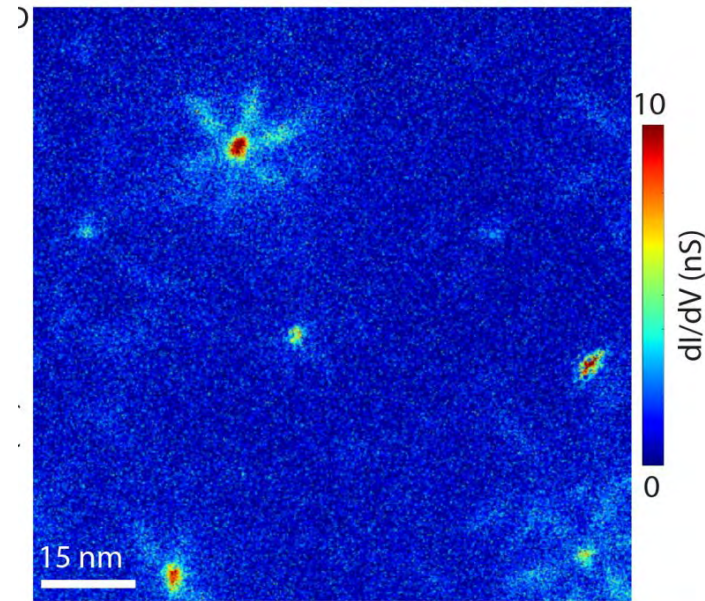
Single magnetic impurities observed by STM

- Bound states for magnetic impurities (Mn & Gd) on Nb
- No bound states for non magnetic Ag adatoms on Nb

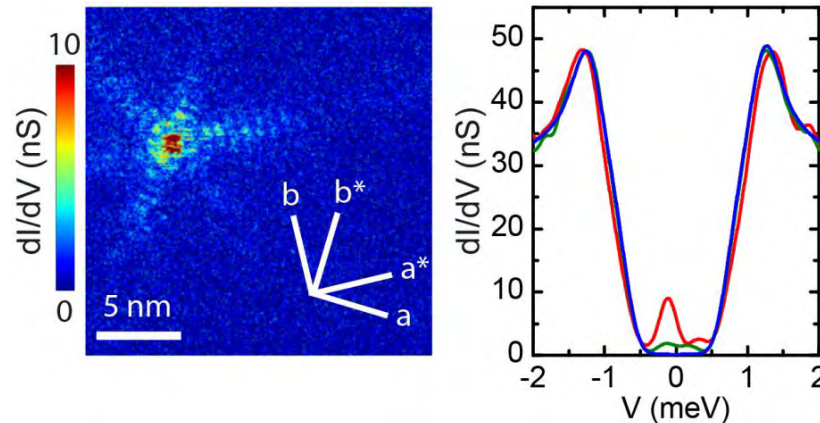


The wave function of the bound states is localized at less than $10 \text{ \AA}$ from the impurities

Observation of bound states around magnetic impurities in 2H-NbSe₂



dI/dV maps at -0.13 mV (320 mK)



The Nb used for the crystal growth contains magnetic impurities :

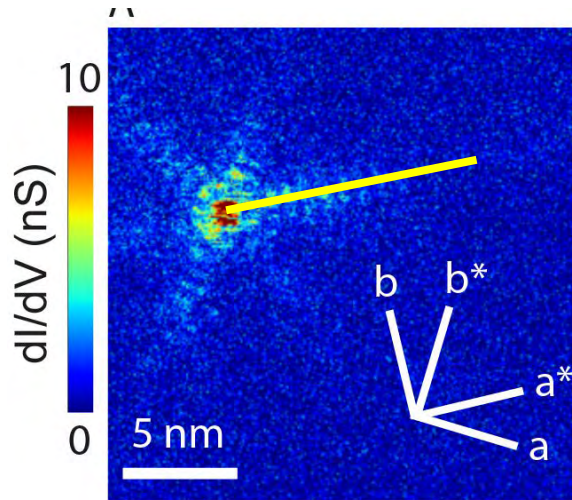
- 175 ppm of Fe
- 54 ppm of Cr
- 22 ppm of Mn

G. Ménard et al., Nature Physics 2015

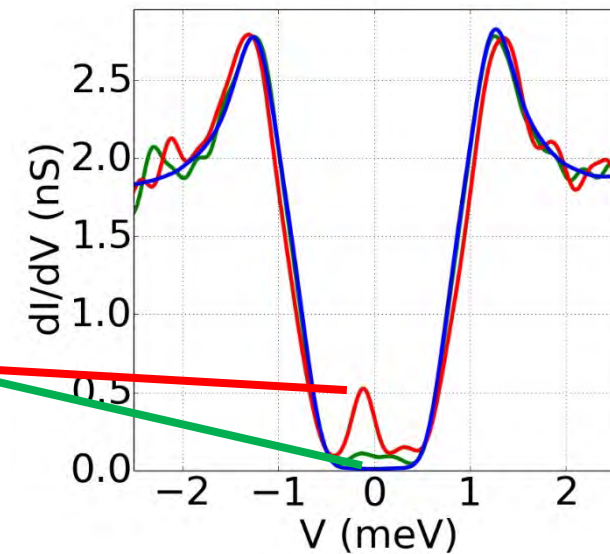
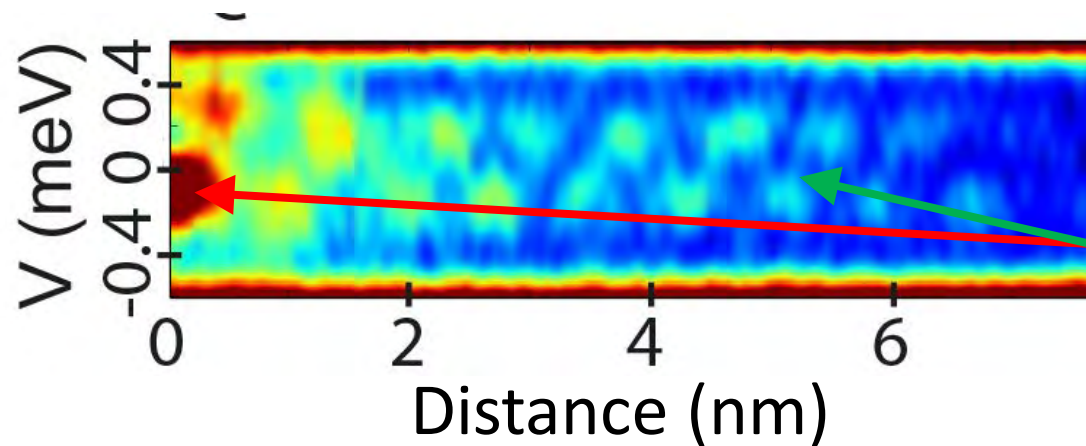
See also N. Hatter et al., Nature Commun. (2015); M. Ruby et al., PRL (2016)

Spatial oscillation of Shiba bound states

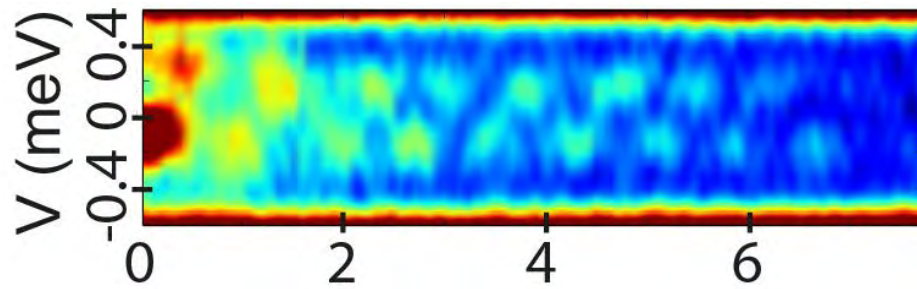
Electron-hole asymmetry



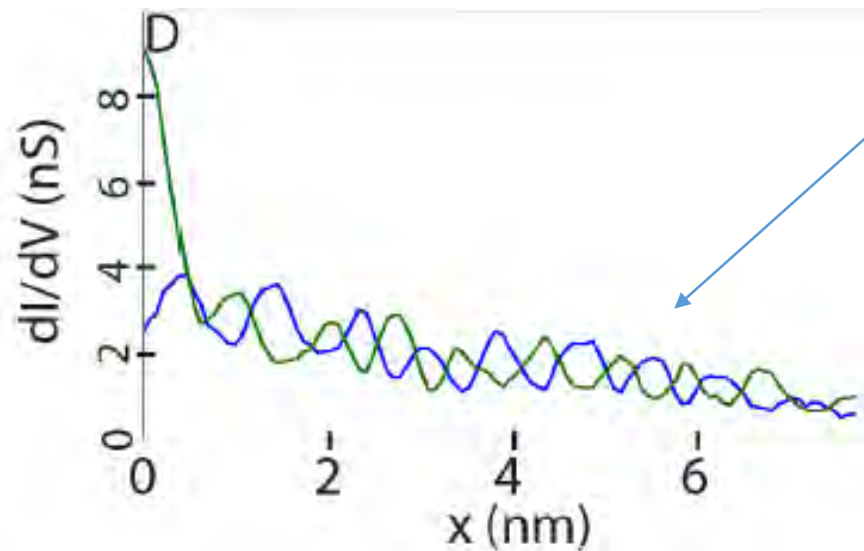
- Oscillations of the local density of states with a phase opposition between positive and negative energy states
- Decrease of the Shiba bound states on a size of the order of the coherence length ξ



Spatial oscillations and electron-hole asymmetry



Good agreement with theoretical calculations for Shiba state in a **2D** SC in the asymptotic limit.



Two relevant length scales: k_F & ξ

$$\psi_{\pm}(r) = \frac{1}{\sqrt{N\pi k_F r}} \sin\left(k_F r - \frac{\pi}{4} + \delta^{\pm}\right) e^{-\Delta \sin(\delta^+ - \delta^-)r / \hbar v_F}$$

$$E = \Delta \cos(\delta^+ - \delta^-)$$

$$\tan \delta^{\pm} = (K\nu_0 \pm \nu_0 JS/2)$$

The Shiba peaks **position relatively to the gap** is directly related to the phase shift.

Spin-orbit coupling in 2D superconductors

Question: Shiba bound states have a long range extent in 2D superconductors

Can we use Shiba bound state spectroscopy to determine/extract properties of the host superconductor ?

Motivation: Many new (quasi) 2D superconductors with a strong spin-orbit coupling are now available: Sr_2RuO_4 , Pb monolayer, etc.

Inversion symmetry is broken+ heavy elements  Strong spin orbit interaction

Similar question can be raised with 1D semiconducting wires (InAs, InSb) proximitized by a superconductor

Model Hamiltonian and bound state equations

$$\mathcal{H}_0 = \begin{pmatrix} \xi_p \sigma_0 & \Delta_s \sigma_0 \\ \Delta_s \sigma_0 & -\xi_p \sigma_0 \end{pmatrix} + \lambda (p_y \sigma_x - p_x \sigma_y) \otimes \tau_z \quad \text{in 2D}$$

 Rashba Spin Orbit coupling

$$\mathcal{H}_{imp} = \mathbf{J} \cdot \boldsymbol{\sigma} \otimes \tau_0 \cdot \delta(\mathbf{r}) \quad \text{with} \quad \mathbf{J} = (J_x, J_y, J_z)$$

Consider the classical spin limit $J \gg 1$

Bound state equation

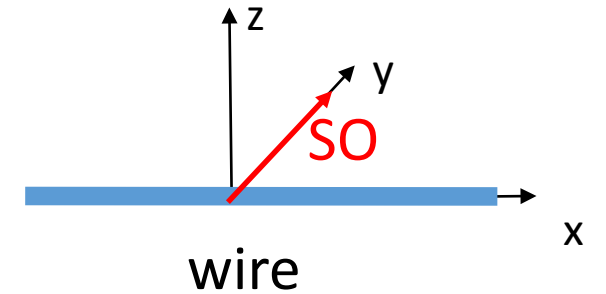
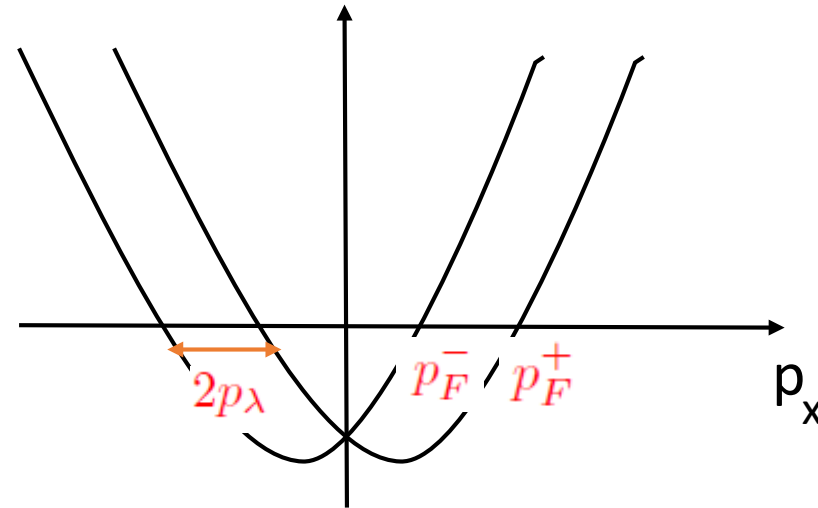
$$\left\{ \begin{array}{l} [\mathbb{I}_4 - V G_0(E, \mathbf{r} = 0)] \Phi(0) = 0 \\ \Phi(\mathbf{r}) = G_0(E, \mathbf{r}) V \Phi(0) \end{array} \right. \quad \text{with} \quad G_0(E, \mathbf{p}) = [(E + i\delta)\mathbb{I}_4 - \mathcal{H}_0(\mathbf{p})]^{-1}$$

Pientka, Glazman, von Oppen, PRB (2013)

Observables

$$S(E, \mathbf{r}) = \Phi^\dagger(\mathbf{r}) \begin{pmatrix} 0 & 0 \\ 0 & \sigma \end{pmatrix} \Phi(\mathbf{r}) \quad \text{and} \quad \rho(E, \mathbf{r}) = \Phi^\dagger(\mathbf{r}) \begin{pmatrix} 0 & 0 \\ 0 & \sigma_0 \end{pmatrix} \Phi(\mathbf{r})$$

Band dispersion in 1D systems



$$p_\lambda = p_{\text{so}} = m\lambda$$
$$p_F^\pm = p_F \pm p_\lambda$$

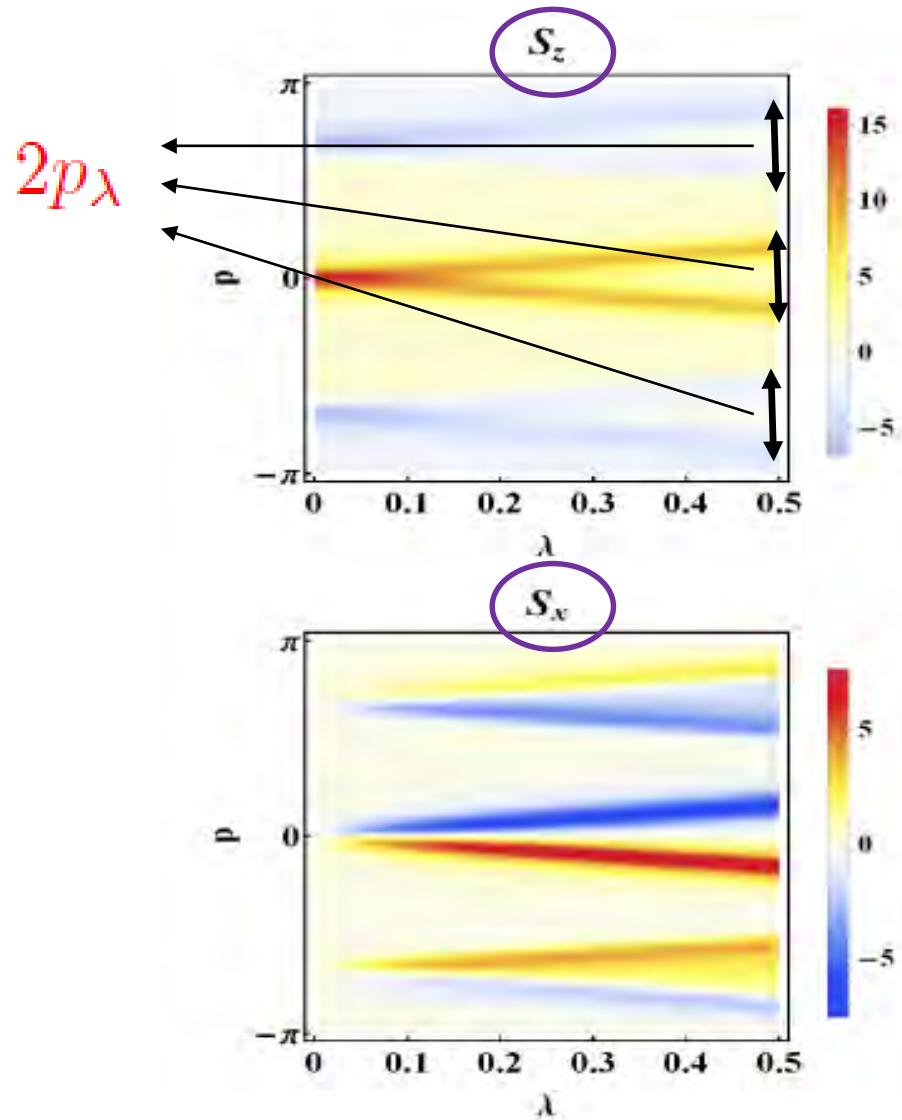


We expect the Friedel oscillations to depend on these momenta in metals.

What survives in presence of superconductivity ?

Magnetic impurity along the z axis in a 1D wire

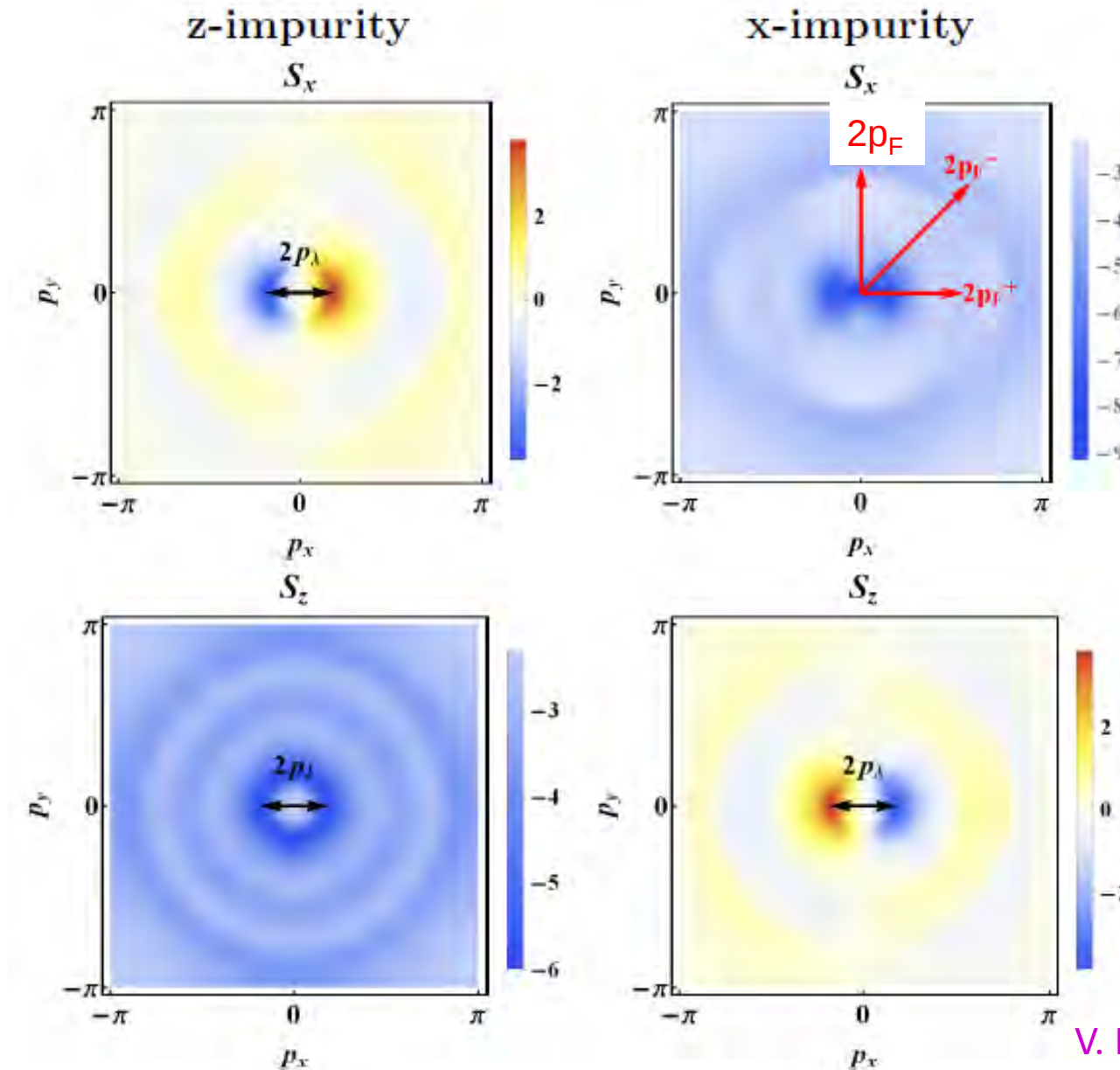
Analyze spin polarized spectroscopy



One can read off the SO coupling.
from **spin polarized spectroscopy**
of Shiba states

Magnetic impurity in a 2D s-wave superconductor

Fourier transform
of the **SPIN**
resolved
Local DOS



$$p_\lambda = p_{\text{so}} = m\lambda$$

$$p_F^\pm = p_F \pm p_\lambda$$

One can read off the SO
coupling from
spin polarized STM

Take home message

Suggests to use spin-polarized STM

- spin-polarized DOS is sensitive to the spin-orbit coupling

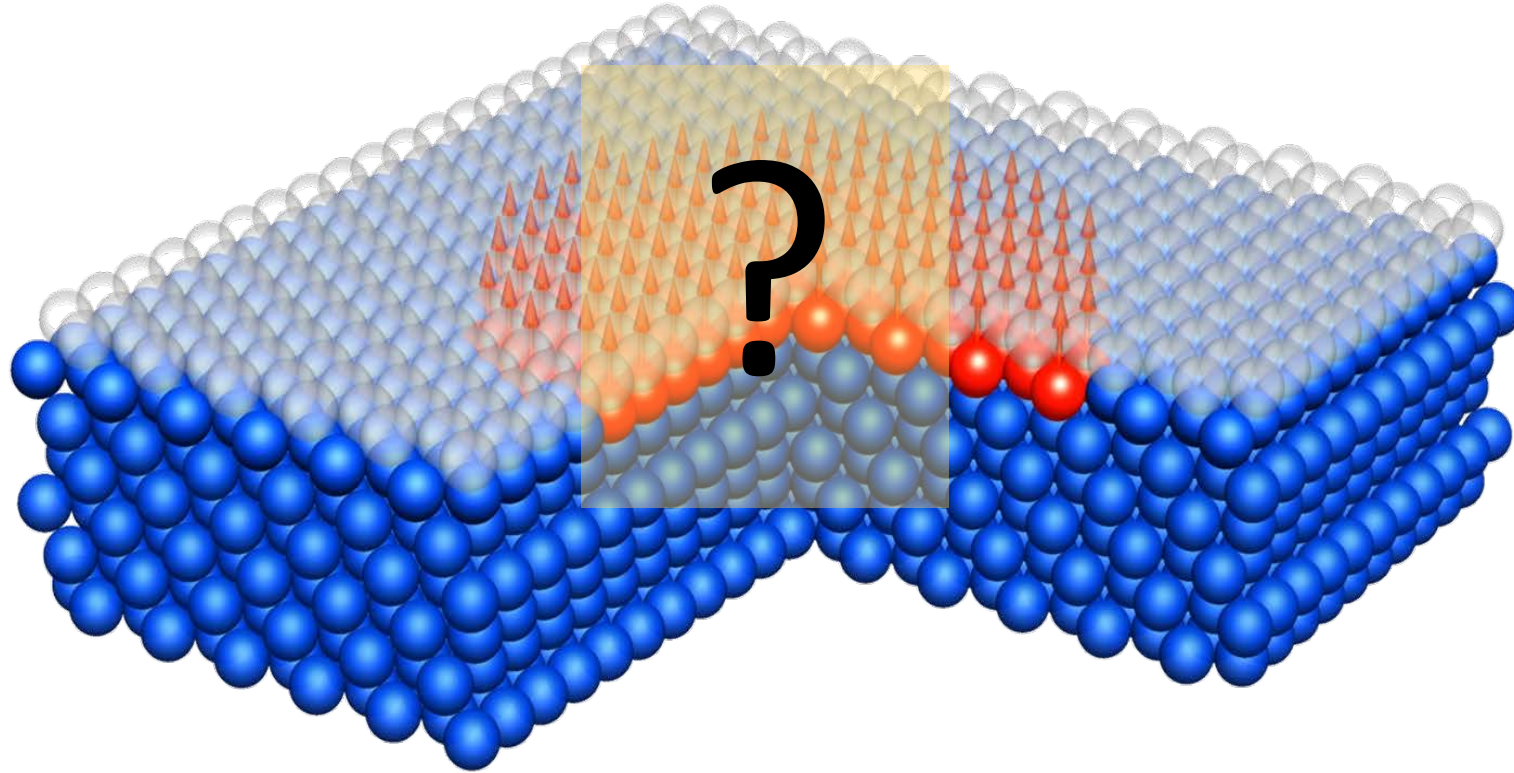
V. Kaladzhyan, PS, C. Bena, PRB 2016

- Allows to distinguish - s-wave vs p-wave
 - in-plane d-vector vs out-of plane d-vector

V. Kaladzhyan, C. Bena, PS, 2016

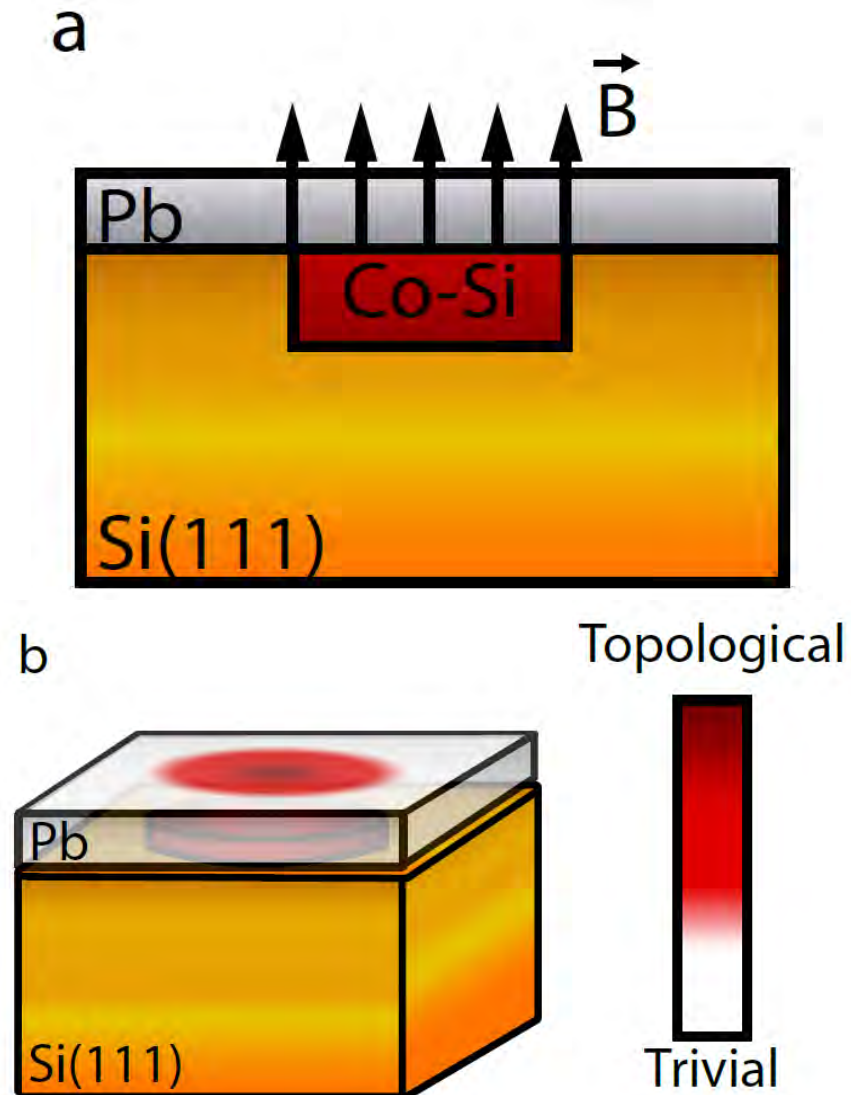
**II) Engineering topological
superconductivity with Co clusters
in Pb/Si(111)**

Magnetic impurities: Coupling the impurities

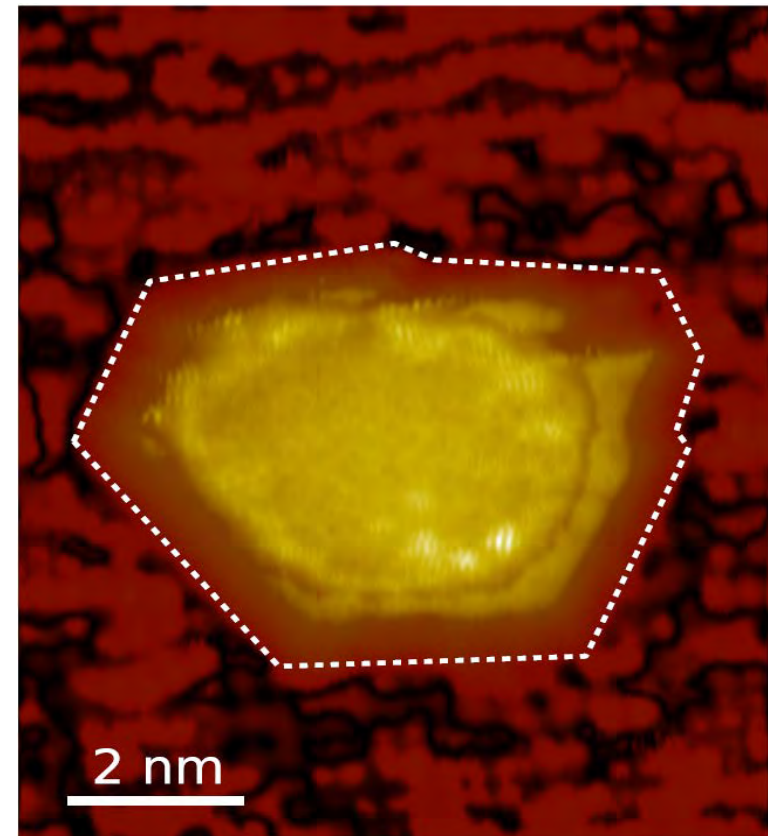


What happens for multiple impurities and ordered magnetic clusters ?

System studied: Magnetic nano-cluster embedded in Pb/Si(111)



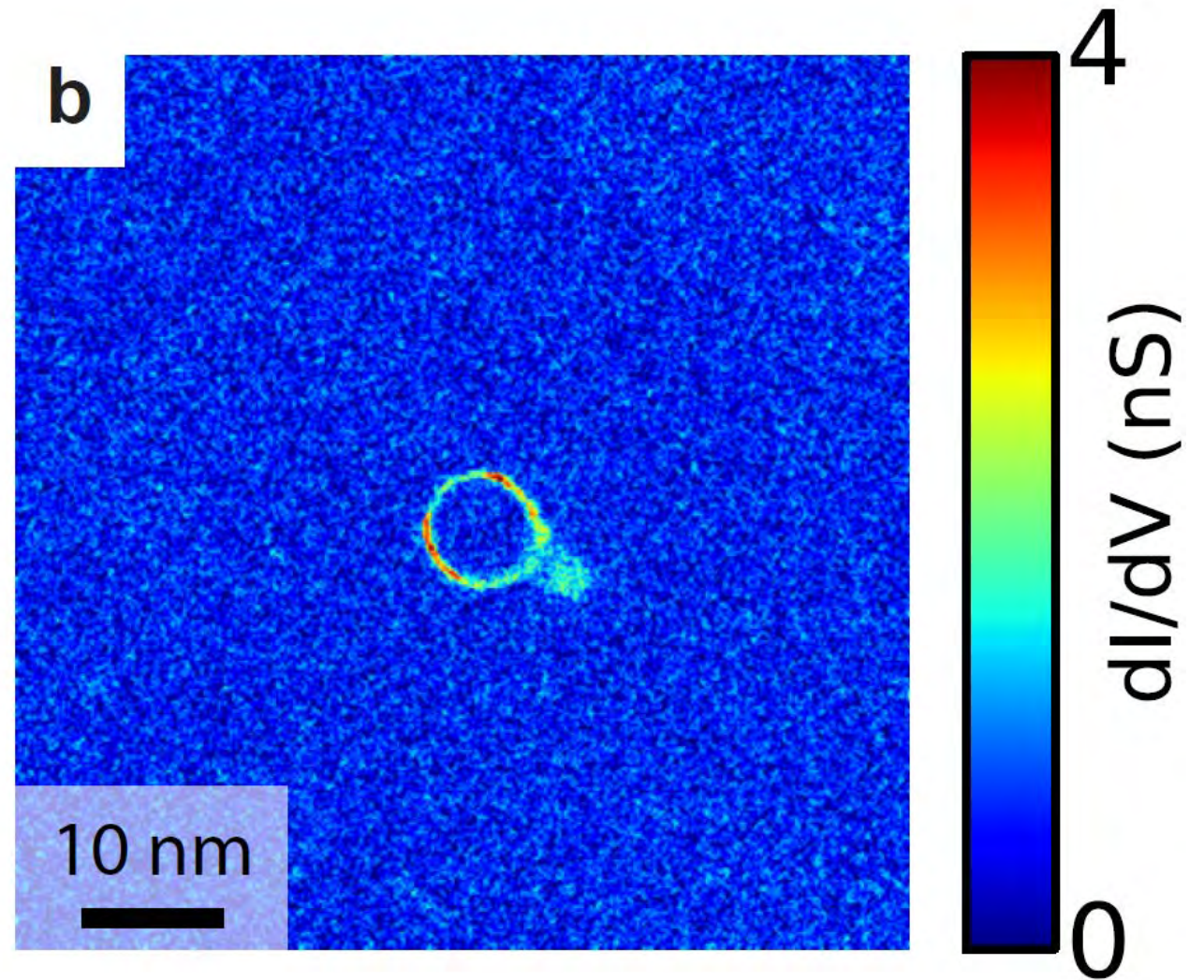
Magnetic clusters under the Pb layer to create topological superconductivity over the cluster



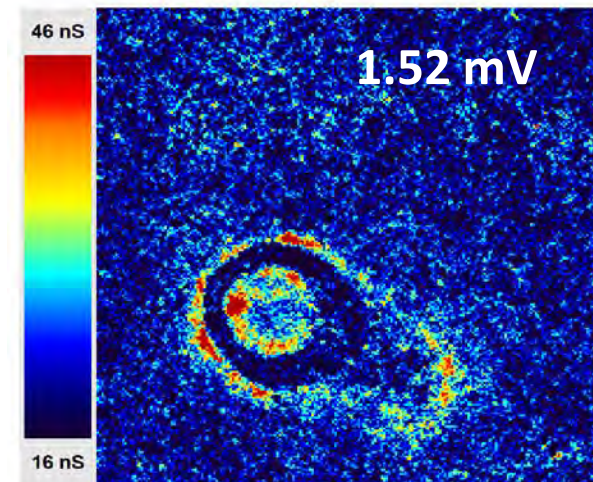
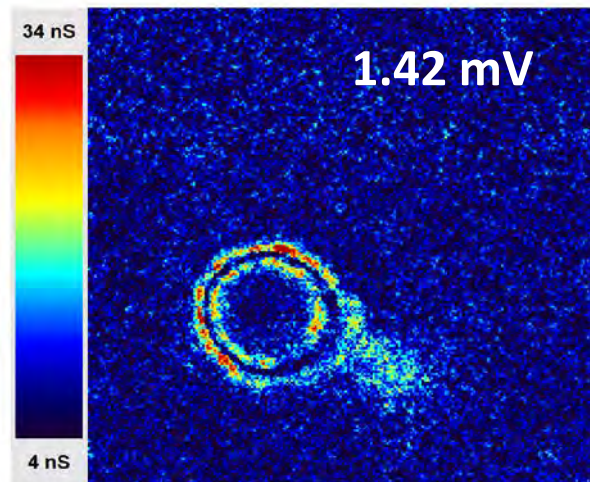
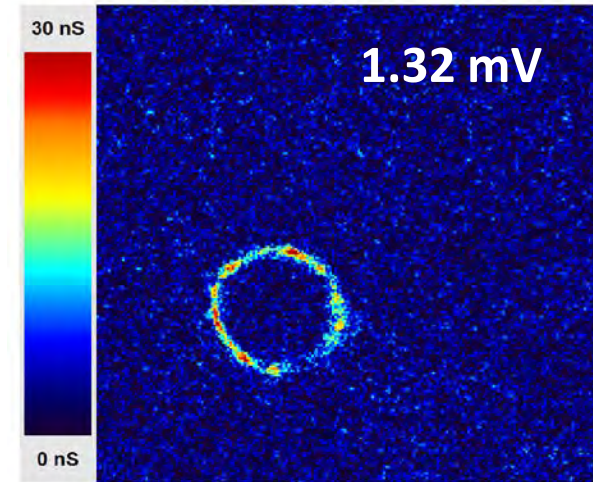
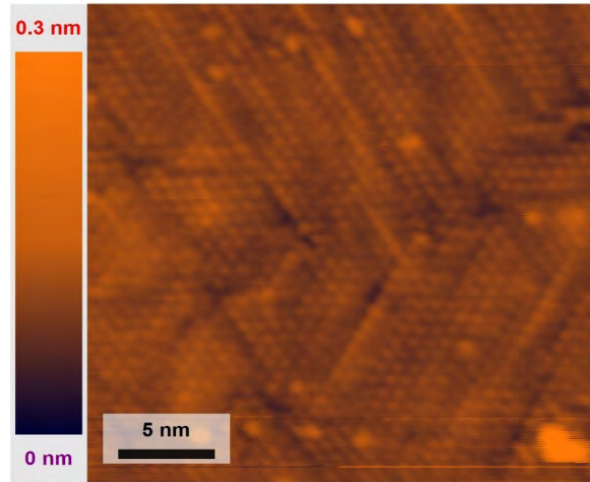
Magnetic nano-cluster: Majorana dispersive state ?

Observation of perfectly circular structure at the Fermi energy

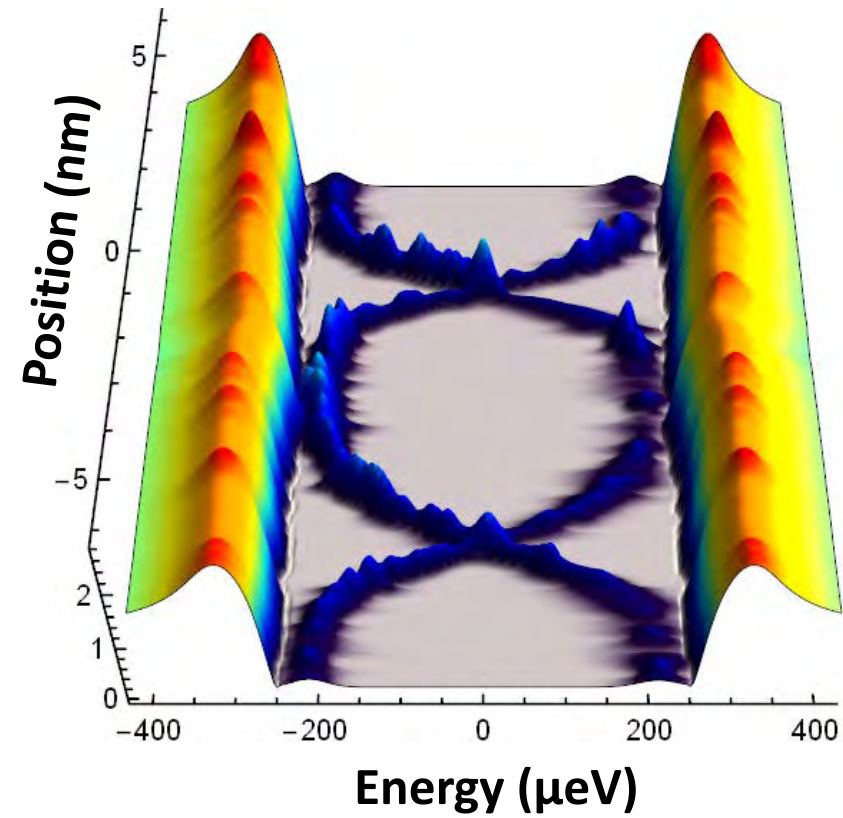
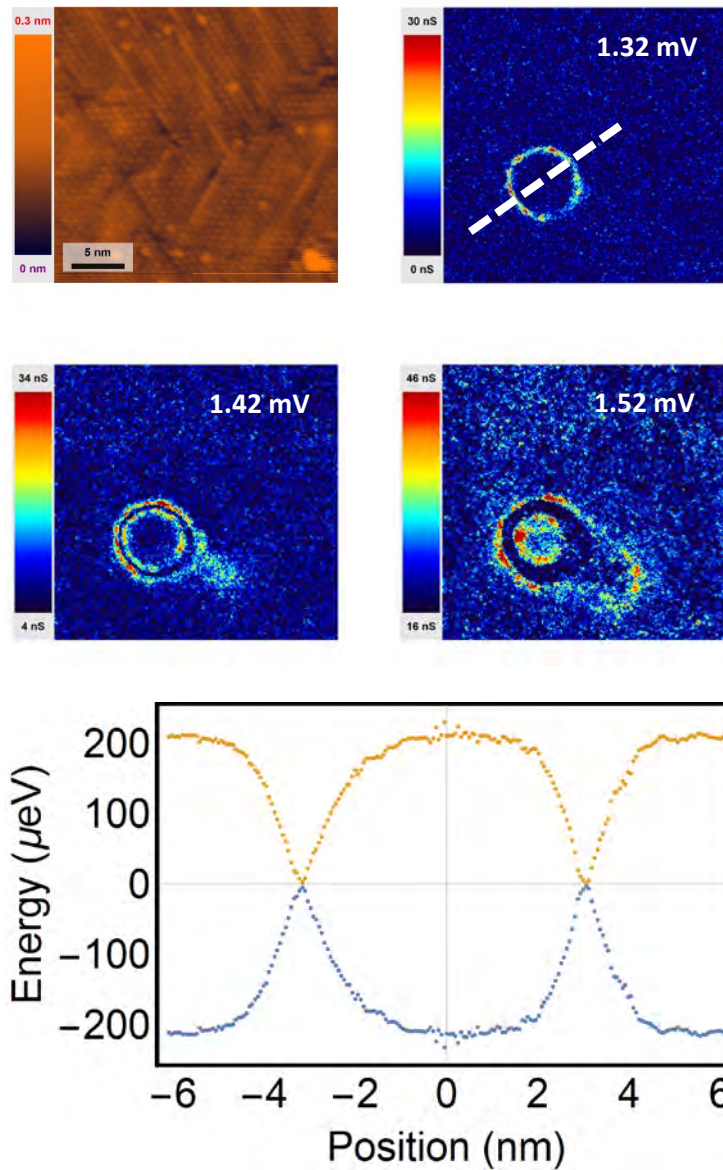
300 mK conductance map at
 $V=0$ meV using a
superconducting tip



Splitting of rings at finite energy

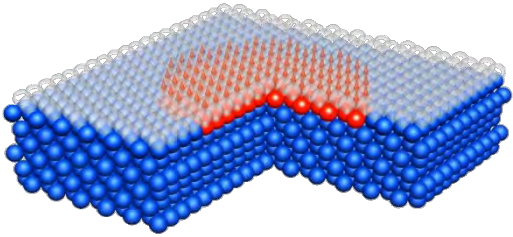


Edges dispersions in Pb/Co/Si(111)



Modeling of the cluster area

Let us first consider an **homogeneous** situation :



$$H = \xi_k \tau_z + \Delta_S \tau_x + V_z \sigma_z + \left(\alpha \tau_z + \frac{\Delta_T}{k_F} \tau_x \right) (\sigma_x k_y - \sigma_y k_x)$$

Zeeman

Rashba
SO

Triplet superconducting
order parameter

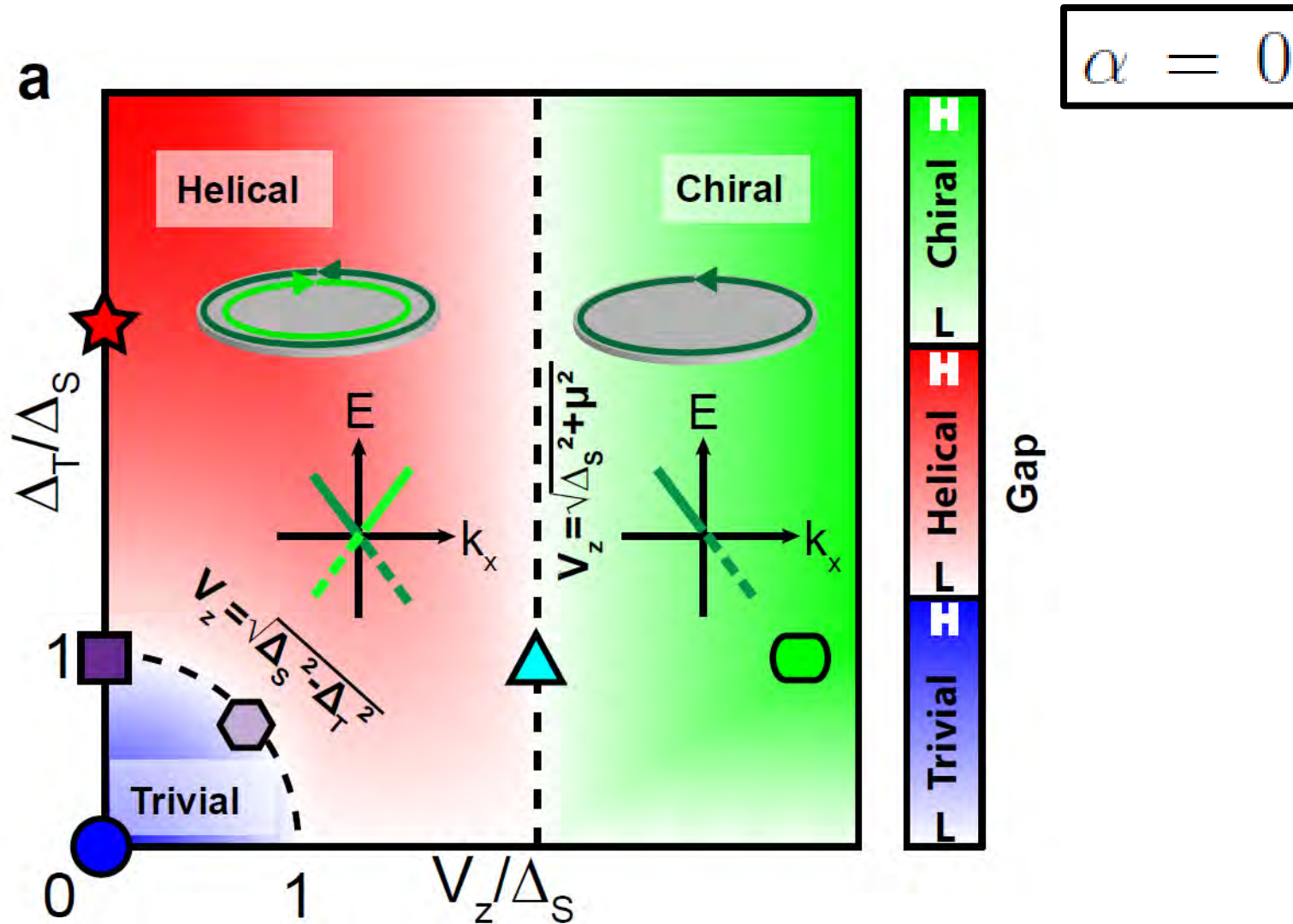
Spectrum:

$$E_{\pm}^2(k) = V_z^2 + (\alpha k)^2 + \Delta_S^2 + \Delta_T^2 \frac{k^2}{k_F^2} + \xi_k^2 \pm 2 \sqrt{V_z^2 (\Delta_S^2 + \xi_k^2) + \frac{k^2}{k_F^2} (\Delta_S \Delta_T + \alpha k_F \xi_k)^2}$$

Ghosh, Sau, Tewari, Das Sarma, PRB (2010)

Tanaka, Sato, Nagaosa, JPSJ (2012)

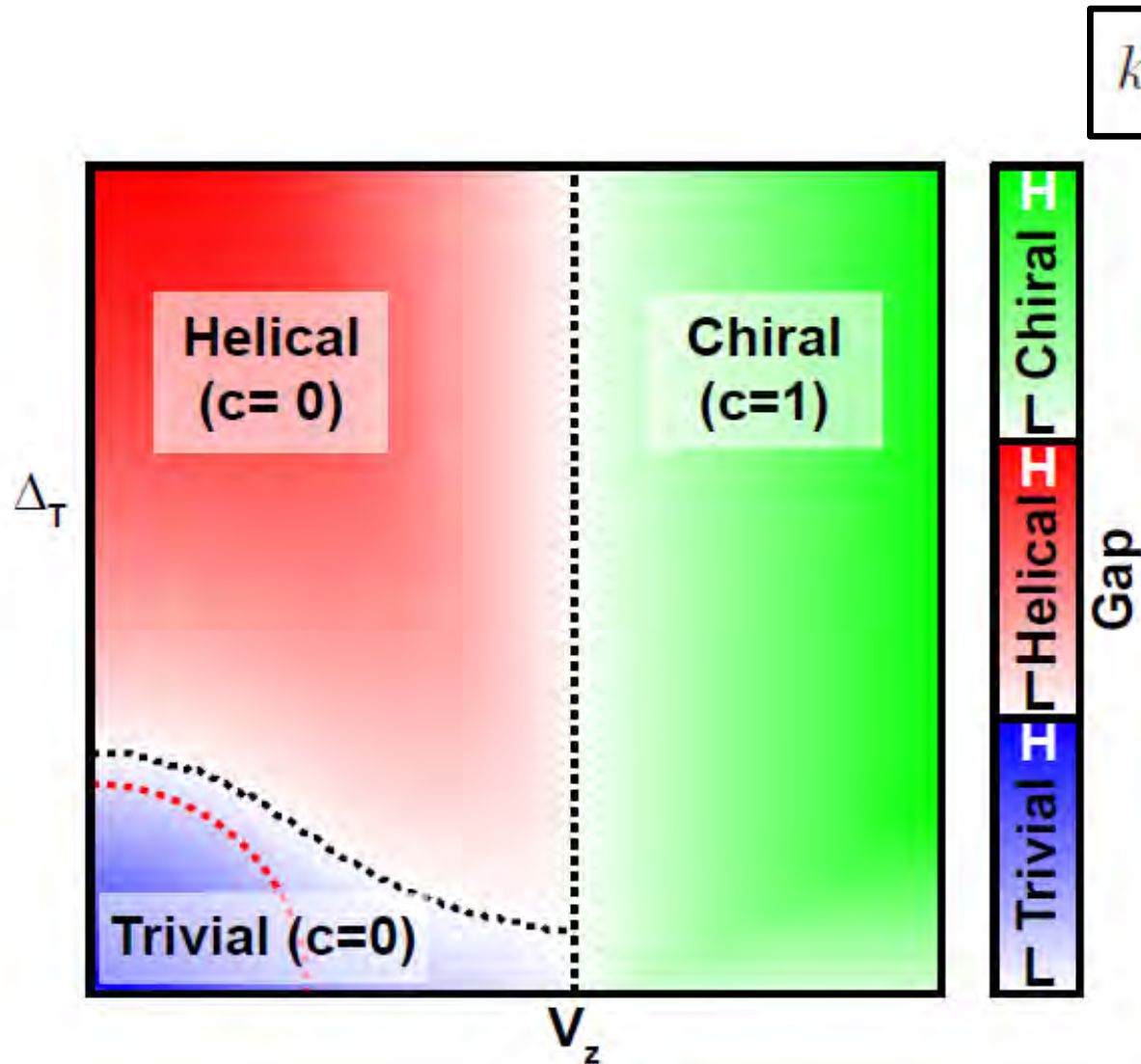
Topological superconductivity: phase diagram based on Band structure topology



Two control parameters:
-Zeeman field
-Triplet amplitude

Two topological regimes:
-Chiral (one edge state) $\equiv$ quantum Hall effect
-“Quasi” helical (two edge states) $\equiv$ quantum spin Hall effect

Topological superconductivity: phase diagram based on Band structure topology



Two control parameters:

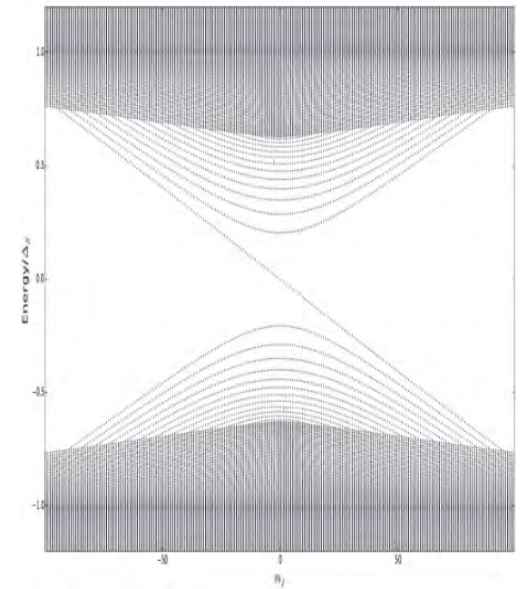
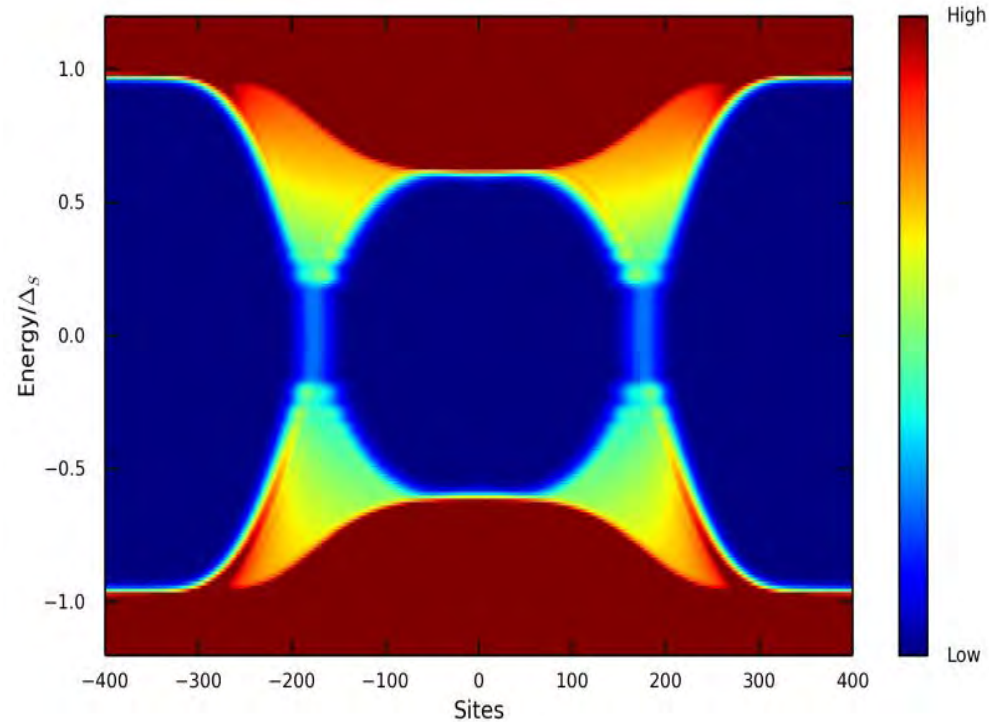
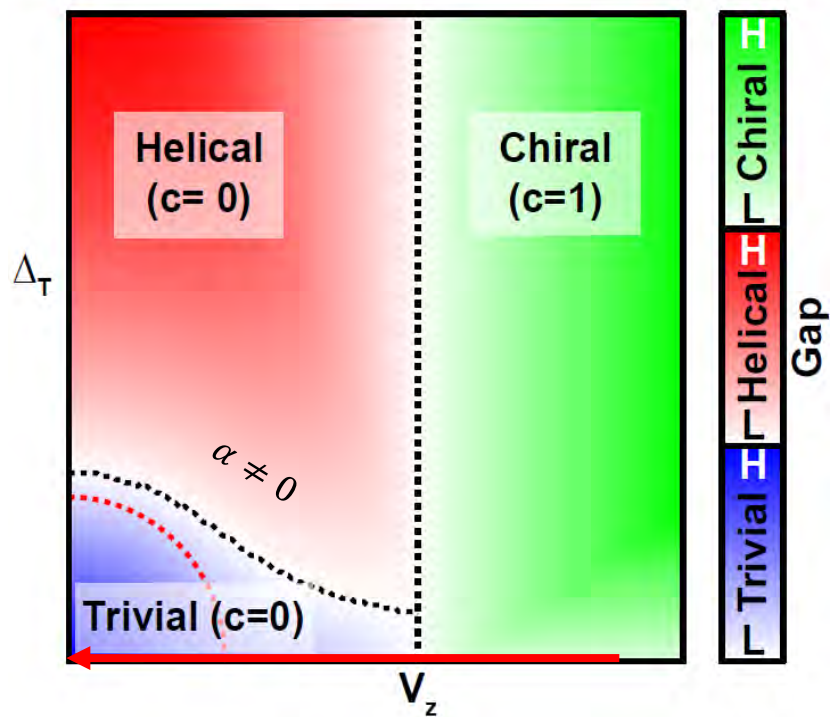
- Zeeman field
- Triplet amplitude

Two topological regimes:

- Chiral (one edge state) $\equiv$ quantum Hall effect
- Helical (two edge states) $\equiv$ quantum spin Hall effect

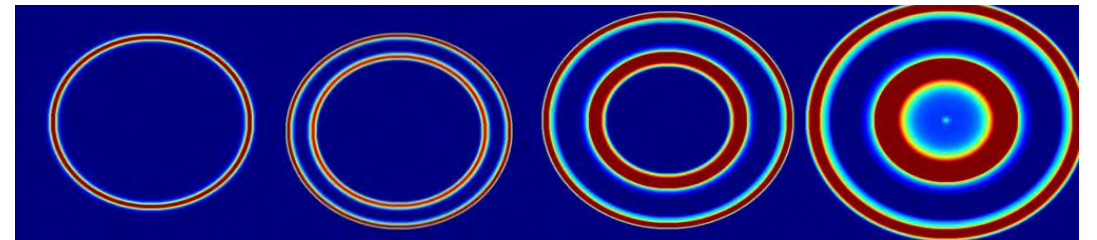
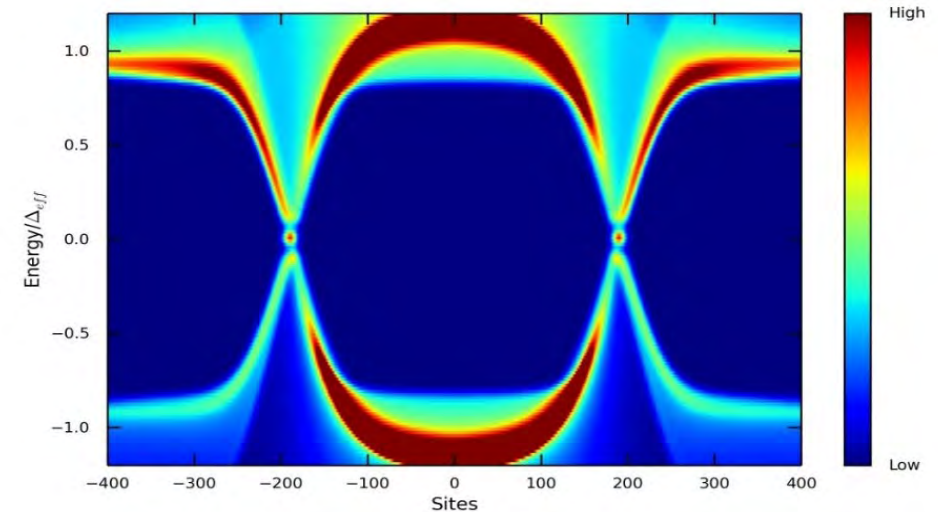
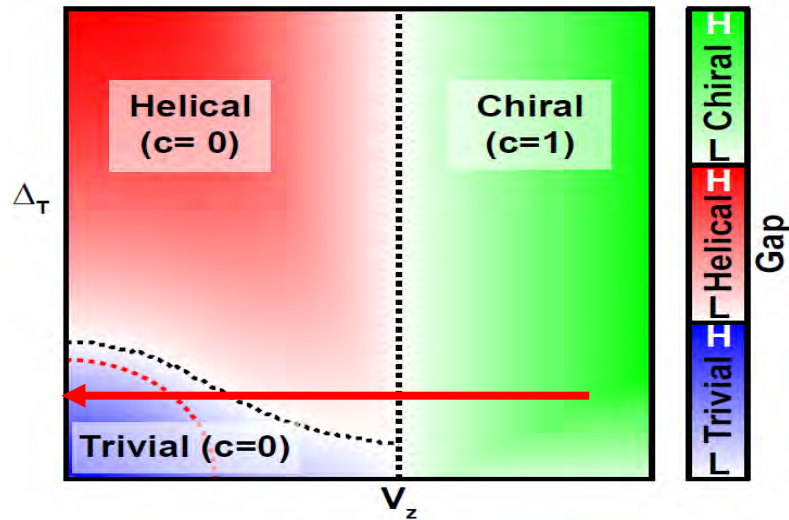
Simplest model: purely chiral case (Singlet pairing, Rashba and Zeeman)

$$H = \xi_k \tau_z + V_Z \sigma_z + \alpha \tau_z + \Delta_S \tau_x$$



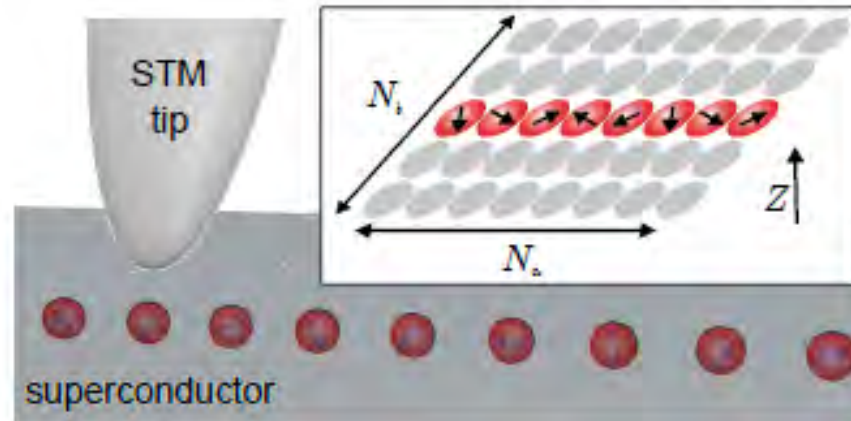
Time reversal broken helical state (Singlet & triplet pairing and Zeeman)

$$H = \xi_k \tau_z + V_Z \sigma_z + \alpha \tau_z + \Delta_S \tau_x + \frac{\Delta_T}{k_F} \tau_x (\sigma_x k_y - \sigma_y k_x)$$



**III) Dynamical engineering of 1D
topological superconductivity with
driven magnetic adatoms.**

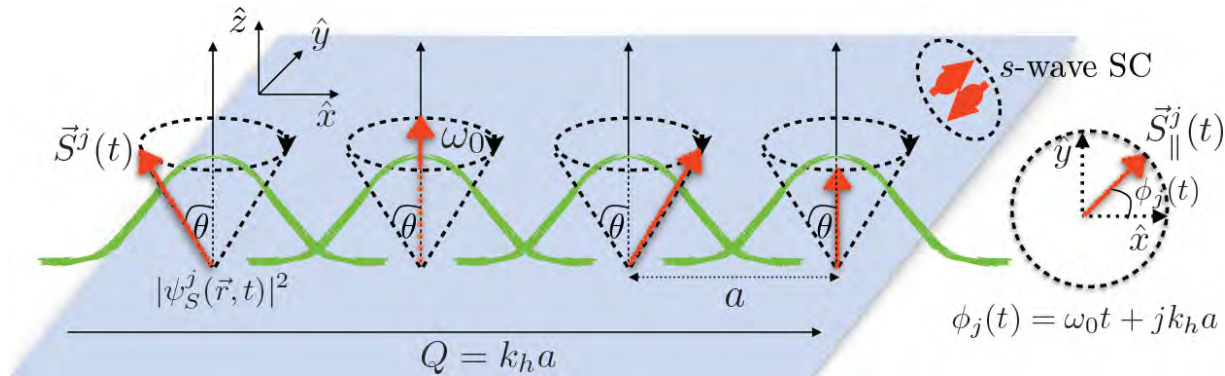
A static chain: host for topological superconductivity



s-wave SC + chain of Shiba states = p-wave SC

Nadj-Perge, Drozdov, Bernevig, Yazdani, PRB (2013)

Dynamical Shiba chain



$$i\partial_t \Psi(\mathbf{r}, t) = \mathcal{H}(t) \Psi(\mathbf{r}, t)$$

$$\mathcal{H}(t + T) = \mathcal{H}(t), \quad T = 2\pi/\omega_0$$

Rotating wave transformation

$$\Psi(\mathbf{r}, t) = e^{-i\omega_0 t \sigma_z/2} \Phi(\mathbf{r}) e^{-iEt}$$

➡ $[\mathcal{H}(0) - B\sigma_z] \Phi(\mathbf{r}) = E\Phi(\mathbf{r}), \quad B \equiv \omega_0/2$
(4 × 4)

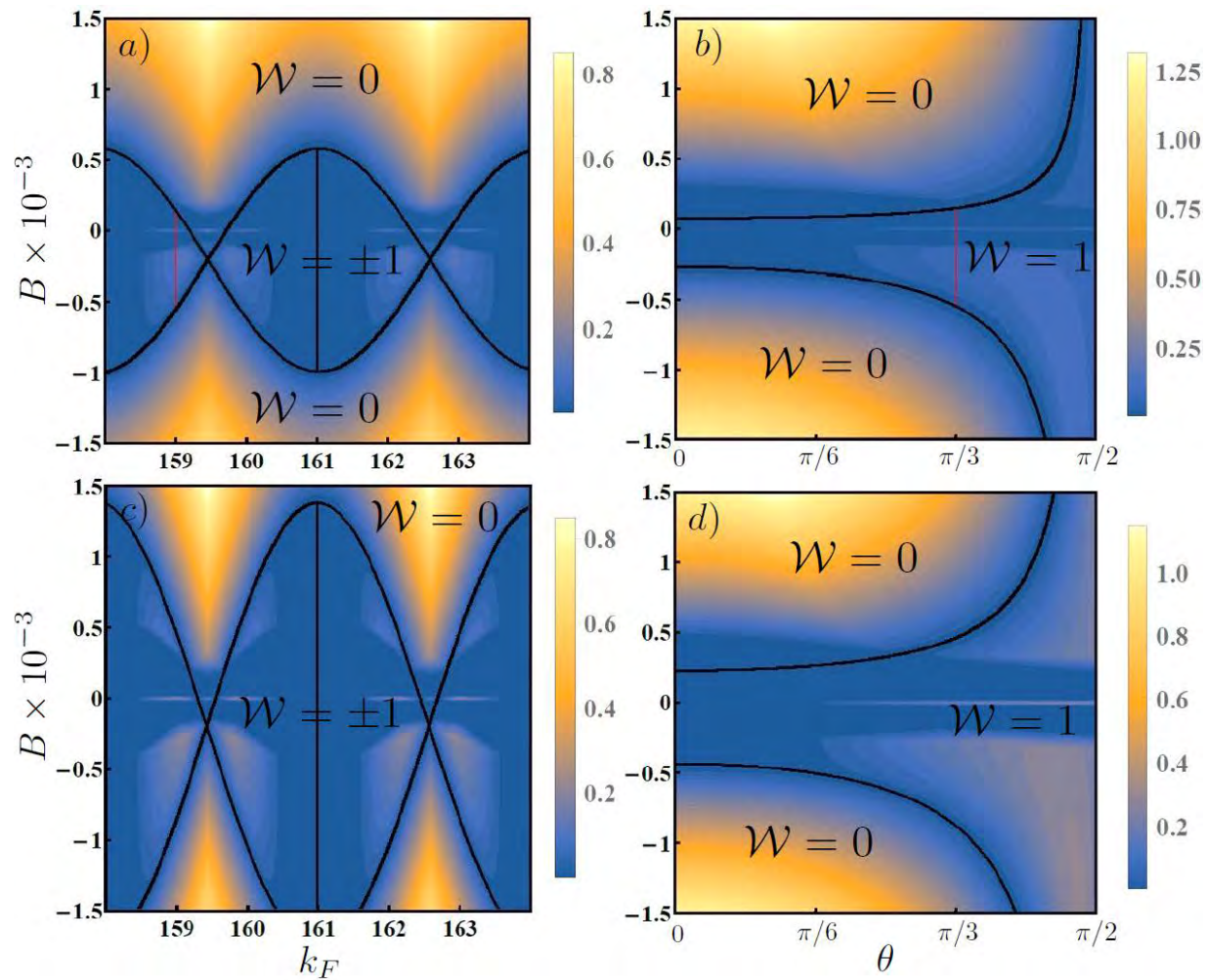
➡ Deep Shiba limit: Effective 2-band model $\mathcal{H}(k) = d_0(k) + \mathbf{d}(k) \cdot \boldsymbol{\tau}$
(2 × 2)

$$d_0(k) = [\Delta_s \cos \theta - B(1 - \alpha \sin^2 \theta)] F_0(B, ka, k_F a)$$

$$d_x(k) = (\Delta_s - \alpha B \cos \theta) F_x(B, ka, k_F a) \sin \theta,$$

$$d_z(k) = -\epsilon_0(B) + (\Delta_s - B \cos \theta) F_z(B, ka, k_F a),$$

Shiba band gap in the spectrum



Short coherence length, $\xi \ll a$

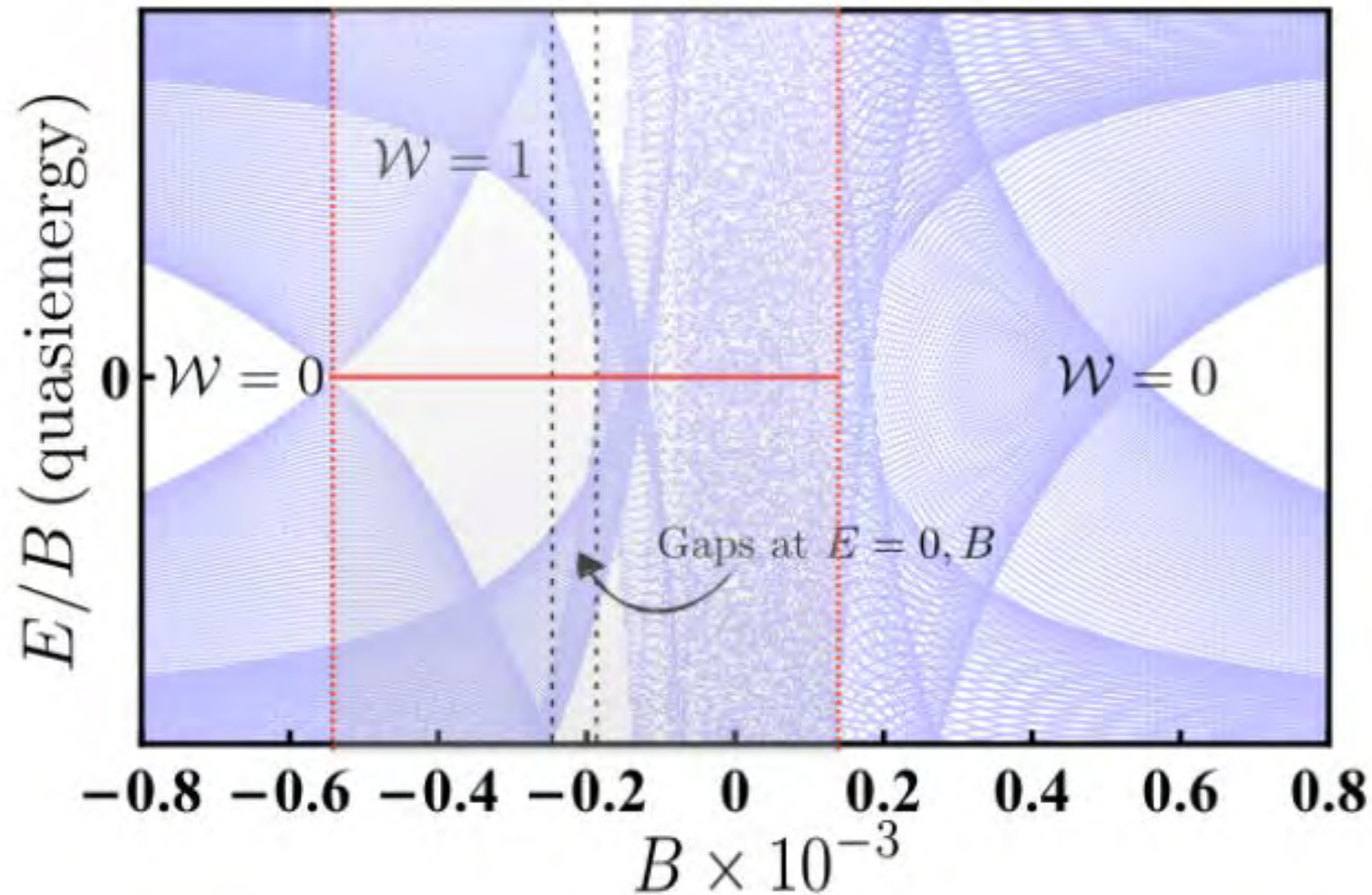


Long coherence length, $\xi \gg a$



Gap and topology can be controlled by driving!

Open BC: edges modes



➡ Majorana zero energy modes emerging

Summary

-  Spectral analysis of the long-range extension of the Shiba state in 2D 2H-NbSe₂
 - Oscillating electron-hole asymmetry

G. Ménard et al., Nature Physics (2015)
-  Using spin-polarized spectroscopy of Shiba states to extract the properties of the host superconductor

V. Kaladzhyan, PS, C. Bena, PRB (2016)

V. Kaladzhyan, C. Bena, PS, PRB (2016), JPCM (2016)
-  Observation of dispersive in-gap states around a Co cluster interpreted as a spatial topological-non topological superconducting transition

G. Ménard et al., arXiv:1607.06353
-  Dynamical engineering of 1D topological superconductivity with driven magnetic adatoms.

V. Kaladzhyan, PS, M. Trif, arXiv:1703.01870

My precious collaborators

Properties of the host SC
Via Shiba spin-polarized STM

V. Kadadzhyan (PhD, Orsay&Saclay)
C. Bena (Saclay)

V. Kadadzhyan, C. Bena, PS, PRB (2016)

V. Kadadzhyan, PS, C. Bena, PRB (2016) &
JPCM (2016)

Experiments (Paris)

G. Ménard (Paris→Copenhagen)
C. Brun, S. Pons, V. Stolyarov, F. Debontridder,
D. Roditchev, T. Cren

Theory (Orsay)

S. Guissart (PhD Orsay), M. Trif

G. Ménard et al., Nature Physics (2015)

G. Ménard et al., arXiv:1607.06353

Dynamical engineering on
topological superconductivity

V. Kadadzhyan (PhD, Orsay&Saclay)
M. Trif (Orsay → Tsinghua)

V. Kadadzhyan, PS, M. Trif, , arXiv:1703.01870