

Emission noise in an interacting quantum dot

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OUTLINE

1 Introduction

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2 Emission noise

- Interacting quantum dot
- Noise calculation
- Result including both elastic and inelastic contributions
- Link to the Landauer-Büttiker formula

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NEXT SECTION

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2 Emission noise

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FLUCTUATIONS

Current under DC voltage

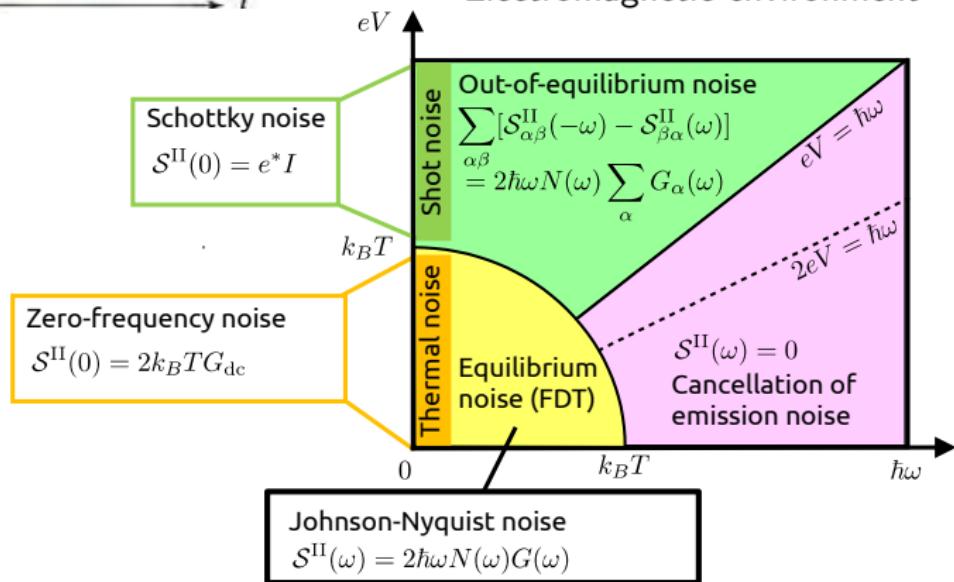


Information
contains
in noise

Crépieux,
Eyméoud,
Michelini,
IEEE (2017)

Origin

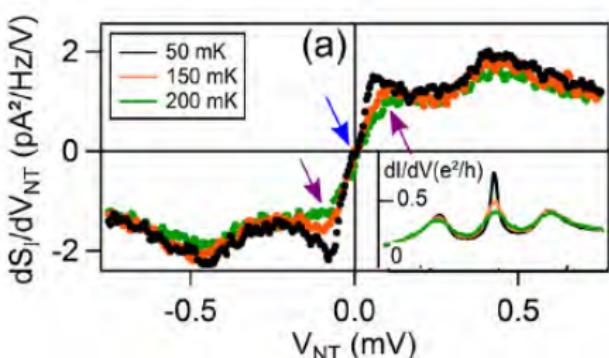
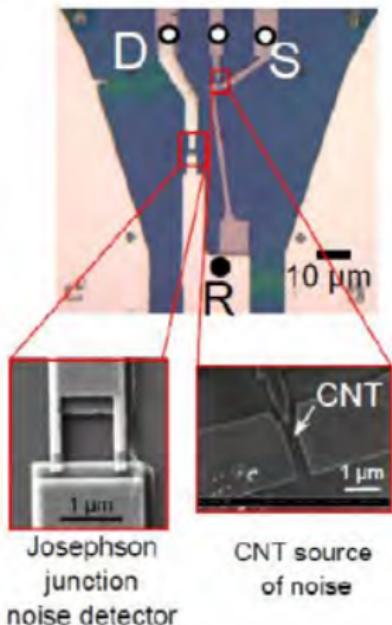
- Thermal agitation
- Impurities/Defects
- Probabilistic nature of transfer
- Electromagnetic environment



FINITE-FREQUENCY NOISE MEASUREMENT

Delagrange, Basset, Bouchiat, Deblock, PRB 97, 041412R (2018)

Nanotube quantum dot in the Kondo regime



$$\omega = 12 \text{ GHz}$$

$$T = 80 \text{ mK}$$

$$T_K \approx 350 \text{ mK}$$

$$a = \Gamma_L / \Gamma_R = 11$$

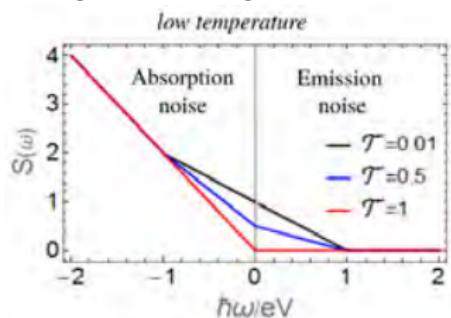
Strong asymmetry of the barriers

NON-SYMMETRIZED NOISE

Definition: $\mathcal{S}_{\alpha\beta}(\omega) = \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \Delta \hat{I}_\alpha(t) \Delta \hat{I}_\beta(0) \rangle$

with $\Delta \hat{I}_\alpha(t) = \hat{I}_\alpha(t) - \langle \hat{I}_\alpha \rangle$

Simple example of noise spectrum



$\mathcal{S}(\omega > 0) \Rightarrow$ Emission noise

$\mathcal{S}(\omega < 0) \Rightarrow$ Absorption noise

Lesovik, Loosen, JETP Lett. 65, 295 (1997)

Aguado, Kouwenhoven, PRL 84, 1986 (2000)

Emission noise cancels at $\hbar\omega \gg \text{eV}$

At equilibrium

KMS: $\mathcal{S}(-\omega) = e^{\hbar\omega/k_B T} \mathcal{S}(\omega)$

$\mathcal{S}_{\text{sym}}(\omega) = 2\hbar\omega \left[\frac{1}{2} + N(\omega) \right] G(\omega)$

Zero-point noise fluctuations

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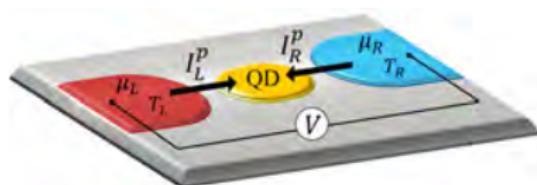
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INTERACTING QUANTUM DOT System



Single-level interacting QD
connected to 2 reservoirs

Bias voltage: $eV = \mu_L - \mu_R$

Coupling asymmetry: $a = \Gamma_L/\Gamma_R$

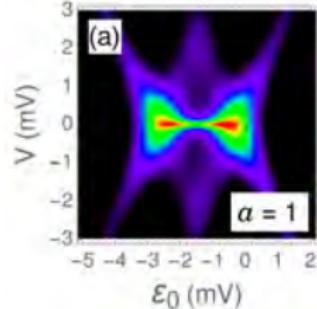
Anderson Hamiltonian

$$H = \sum_{k \in \{L,R\}, \sigma} \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma} + \sum_{\sigma} \varepsilon_0 d_{\sigma}^\dagger d_{\sigma} + U n_{\uparrow} n_{\downarrow} + \sum_{k \in \{L,R\}, \sigma} V_k c_{k\sigma}^\dagger d_{\sigma} + h.c.$$

Green function

$$\begin{aligned} G_{\sigma}^r(\varepsilon) &= \frac{1 - \langle n_{\bar{\sigma}} \rangle}{\varepsilon - \varepsilon_0 - \Sigma_{\sigma}^0(\varepsilon) - \Pi_{\sigma}^{(1)}(\varepsilon)} \\ &+ \frac{\langle n_{\bar{\sigma}} \rangle}{\varepsilon - \varepsilon_0 - U - \Sigma_{\sigma}^0(\varepsilon) - \Pi_{\sigma}^{(2)}(\varepsilon)} \end{aligned}$$

Differential conductance



Roermund, Shiao, Lavagna, PRB 81, 165115 (2010)

NOISE CALCULATION

$$\mathcal{S}_{\alpha\beta}(\omega) = \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \Delta \hat{I}_\alpha(t) \Delta \hat{I}_\beta(0) \rangle \quad \text{with } \Delta \hat{I}_\alpha(t) = \hat{I}_\alpha(t) - \langle \hat{I}_\alpha \rangle$$

Current operator

$$\hat{I}_\alpha = \frac{ie}{\hbar} \sum_{k \in \alpha, \sigma} \left(V_k c_{k\sigma}^\dagger d_\sigma - V_k^* d_\sigma^\dagger c_{k\sigma} \right)$$

Two-particle Keldysh Green functions

$$\begin{aligned} G_1^{cd,>} (t, t') &= i^2 \langle c_{k\sigma}^\dagger(t) d_\sigma(t) c_{k'\sigma'}^\dagger(t') d_\sigma(t') \rangle \\ G_2^{cd,>} (t, t') &= i^2 \langle c_{k\sigma}^\dagger(t) d_\sigma(t) d_\sigma^\dagger(t') c_{k'\sigma'}(t') \rangle \\ G_3^{cd,>} (t, t') &= i^2 \langle d_\sigma^\dagger(t) c_{k\sigma}(t) c_{k'\sigma'}^\dagger(t') d_\sigma(t') \rangle \\ G_4^{cd,>} (t, t') &= i^2 \langle d_\sigma^\dagger(t) c_{k\sigma}(t) d_\sigma^\dagger(t') c_{k'\sigma'}(t') \rangle \end{aligned}$$

Steps

- Calculation of $G_{1,2,3,4}^{cd,T}(t, t')$ + Decoupling
- Many electron-hole pair processes are neglected
- Langreth rules to get Keldysh Green functions
- Flat wide-band approximation

RESULT

$$\mathcal{S}_{\alpha\beta}(\omega) = \frac{e^2}{h} \sum_{\gamma\delta} \int_{-\infty}^{\infty} d\varepsilon M_{\alpha\beta}^{\gamma\delta}(\varepsilon, \omega) f_{\gamma}^e(\varepsilon) f_{\delta}^h(\varepsilon - \hbar\omega)$$

where

$M_{\alpha\beta}^{\gamma\delta}(\varepsilon, \omega)$	$\gamma = \delta = L$	$\gamma = \delta = R$	$\gamma = L, \delta = R$	$\gamma = R, \delta = L$
$\alpha = L$	$T_{LR}^{\text{eff},L}(\varepsilon) T_{LR}^{\text{eff},L}(\varepsilon - \hbar\omega)$	$T_{LR}(\varepsilon) T_{LR}(\varepsilon - \hbar\omega)$	$[1 - T_{LR}^{\text{eff},L}(\varepsilon)] T_{LR}(\varepsilon - \hbar\omega)$	$T_{LR}(\varepsilon) [1 - T_{LR}^{\text{eff},L}(\varepsilon - \hbar\omega)]$
$\beta = L$	$+ t_{LL}(\varepsilon) - t_{LL}(\varepsilon - \hbar\omega) ^2$			
$\alpha = R$	$T_{LR}(\varepsilon) T_{LR}(\varepsilon - \hbar\omega)$	$T_{LR}^{\text{eff},R}(\varepsilon) T_{LR}^{\text{eff},R}(\varepsilon - \hbar\omega)$	$T_{LR}(\varepsilon) [1 - T_{LR}^{\text{eff},R}(\varepsilon - \hbar\omega)]$	$[1 - T_{LR}^{\text{eff},R}(\varepsilon)] T_{LR}(\varepsilon - \hbar\omega)$
$\beta = R$		$+ t_{RR}(\varepsilon) - t_{RR}(\varepsilon - \hbar\omega) ^2$		
$\alpha = L$	$t_{LR}(\varepsilon) t_{LR}^*(\varepsilon - \hbar\omega)$	$t_{LR}^*(\varepsilon) t_{LR}(\varepsilon - \hbar\omega)$	$t_{LR}(\varepsilon) t_{LR}(\varepsilon - \hbar\omega)$	$t_{LR}^*(\varepsilon) t_{LR}^*(\varepsilon - \hbar\omega)$
$\beta = R$	$\times [r_{LL}^*(\varepsilon) r_{LL}(\varepsilon - \hbar\omega) - 1]$	$\times [r_{RR}(\varepsilon) r_{RR}^*(\varepsilon - \hbar\omega) - 1]$	$\times r_{LL}^*(\varepsilon) r_{RR}^*(\varepsilon - \hbar\omega)$	$\times r_{RR}(\varepsilon) r_{LL}(\varepsilon - \hbar\omega)$
$\alpha = R$	$t_{LR}^*(\varepsilon) t_{LR}(\varepsilon - \hbar\omega)$	$t_{LR}(\varepsilon) t_{LR}^*(\varepsilon - \hbar\omega)$	$t_{LR}^*(\varepsilon) t_{LR}^*(\varepsilon - \hbar\omega)$	$t_{LR}(\varepsilon) t_{LR}(\varepsilon - \hbar\omega)$
$\beta = L$	$\times [r_{LL}(\varepsilon) r_{LL}^*(\varepsilon - \hbar\omega) - 1]$	$\times [r_{RR}(\varepsilon) r_{RR}(\varepsilon - \hbar\omega) - 1]$	$\times r_{LL}(\varepsilon) r_{RR}(\varepsilon - \hbar\omega)$	$\times r_{RR}^*(\varepsilon) r_{LL}^*(\varepsilon - \hbar\omega)$

and

- $f_{\gamma}^e(\varepsilon), f_{\delta}^h(\varepsilon)$ = Distribution function for electrons, holes
- $t_{\alpha\beta}(\varepsilon) = i\sqrt{\Gamma_{\alpha}\Gamma_{\beta}} G_{\text{dot}}^r(\varepsilon)$ and $\mathcal{T}_{\alpha\beta}(\varepsilon) = |t_{\alpha\beta}(\varepsilon)|^2$
- $\boxed{\mathcal{T}_{LR}^{\text{eff},\alpha}(\varepsilon) = 2\text{Re}\{t_{\alpha\alpha}(\varepsilon)\} - \mathcal{T}_{\alpha\alpha}(\varepsilon)}$

Effective transmission coefficient includes inelastic contributions

ELASTIC CONTRIBUTIONS ONLY

Optical theorem

Unitary of the S-matrix: $\mathbf{S}\mathbf{S}^+ = \mathbf{1}$ with $\mathbf{S} = \mathbf{1} + i\mathbf{T}$

$$\mathbf{T} = \begin{pmatrix} it_{LL}(\varepsilon) & it_{LR}(\varepsilon) \\ it_{RL}(\varepsilon) & it_{RR}(\varepsilon) \end{pmatrix} \Rightarrow 2\text{Re}\{t_{\alpha\alpha}(\varepsilon)\} - \mathcal{T}_{\alpha\alpha}(\varepsilon) = \mathcal{T}_{LR}(\varepsilon)$$
$$\Rightarrow \boxed{\mathcal{T}_{LR}^{\text{eff},\alpha}(\varepsilon) = \mathcal{T}_{LR}(\varepsilon)}$$

Noise in the L-reservoir

$$\begin{aligned} S_{LL}(\omega) = & \frac{e^2}{h} \int_{-\infty}^{\infty} d\varepsilon \left[\mathcal{T}_{LR}(\varepsilon) \mathcal{T}_{LR}(\varepsilon - \hbar\omega) f_R^e(\varepsilon) f_R^h(\varepsilon - \hbar\omega) \right. \\ & + [1 - \mathcal{T}_{LR}(\varepsilon)] \mathcal{T}_{LR}(\varepsilon - \hbar\omega) f_L^e(\varepsilon) f_R^h(\varepsilon - \hbar\omega) \\ & + \mathcal{T}_{LR}(\varepsilon) [1 - \mathcal{T}_{LR}(\varepsilon - \hbar\omega)] f_R^e(\varepsilon) f_L^h(\varepsilon - \hbar\omega) \\ & \left. + \left[\mathcal{T}_{LR}(\varepsilon) \mathcal{T}_{LR}(\varepsilon - \hbar\omega) + |t_{LL}(\varepsilon) - t_{LL}(\varepsilon - \hbar\omega)|^2 \right] f_L^e(\varepsilon) f_L^h(\varepsilon - \hbar\omega) \right] \end{aligned}$$

In agreement with *Büttiker (1992)* and *Hammer, Belzig (2011)*

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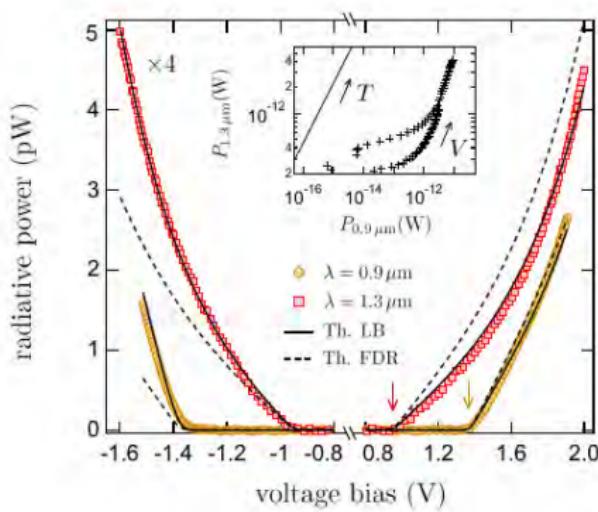
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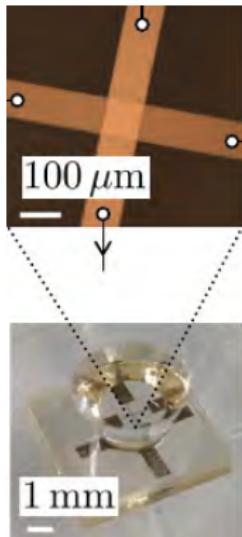
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5 Conclusion

TUNNEL JUNCTION (Al/AlO_x/Al)



Février, Gabelli, arXiv:1707.03803

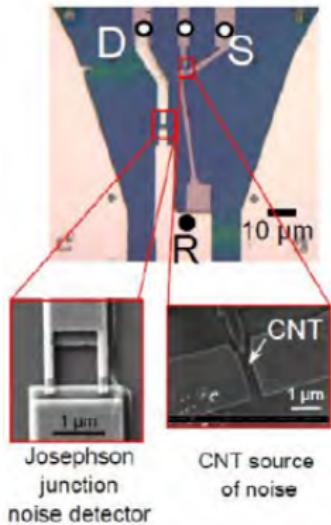


$T = 100 \text{ K}$

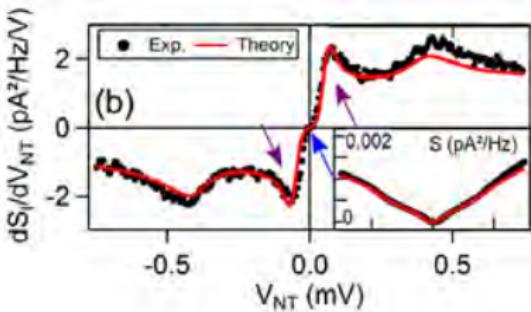
$$P = \mathcal{S}_{LL}(\omega) + \mathcal{S}_{RR}(\omega) - [\mathcal{S}_{LR}(\omega) + \mathcal{S}_{RL}(\omega)]$$

with $\mathcal{S}_{LL}(\omega) \neq \mathcal{S}_{RR}(\omega)$ and $\mathcal{S}_{LL}(\omega) \neq -\mathcal{S}_{LR}(\omega)$

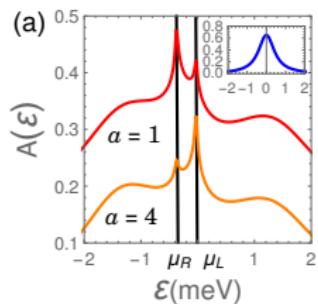
NANOTUBE QUANTUM DOT



*Delagrange, Basset
Bouchiat, Deblock
PRB 97, 041412R (2018)*



- Plateau at $eV < \hbar\omega$
- Kondo peak at $eV \approx \pm \hbar\omega$
- Coulomb blockade at $eV \approx \pm U/2$



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3 Comparison to experiments

4 Interpretation in terms of e-h pair transmission

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$$\begin{aligned} S_{LL}(\omega) = & \frac{e^2}{h} \int_{-\infty}^{\infty} d\varepsilon \left[\mathcal{T}_{LR}(\varepsilon) \mathcal{T}_{LR}(\varepsilon - \hbar\omega) f_R^e(\varepsilon) f_R^h(\varepsilon - \hbar\omega) \right. \\ & + \left[1 - \mathcal{T}_{LR}^{\text{eff},L}(\varepsilon) \right] \mathcal{T}_{LR}(\varepsilon - \hbar\omega) f_L^e(\varepsilon) f_R^h(\varepsilon - \hbar\omega) \\ & + \mathcal{T}_{LR}(\varepsilon) \left[1 - \mathcal{T}_{LR}^{\text{eff},L}(\varepsilon - \hbar\omega) \right] f_R^e(\varepsilon) f_L^h(\varepsilon - \hbar\omega) \\ & \left. + \left[\mathcal{T}_{LR}^{\text{eff},L}(\varepsilon) \mathcal{T}_{LR}^{\text{eff},L}(\varepsilon - \hbar\omega) + |t_{LL}(\varepsilon) - t_{LL}(\varepsilon - \hbar\omega)|^2 \right] f_L^e(\varepsilon) f_L^h(\varepsilon - \hbar\omega) \right] \end{aligned}$$

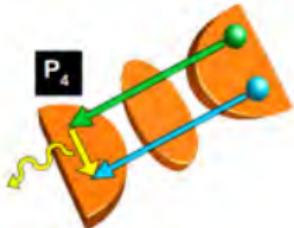
Each of the 4 contributions to the noise is associated to a **different initial configuration for the e-h pair**

Is it possible to identify the **physical processes** that lead to each of these 4 contributions?

YES!

CONTRIBUTIONS TO $S_{LL}(\omega)$

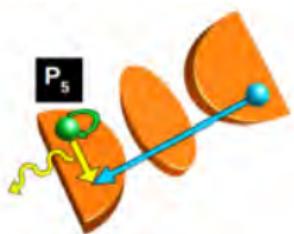
e-h pair initially in the R-reservoir



$$t_4 = t_{RL}(\varepsilon) t_{RL}^*(\varepsilon - \hbar\omega)$$

$$\Rightarrow |t_4|^2 = \mathcal{T}_{LR}(\varepsilon) \mathcal{T}_{LR}(\varepsilon - \hbar\omega)$$

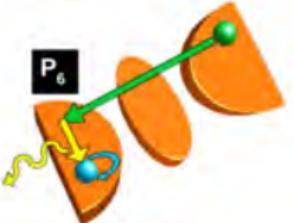
electron in L & hole in R



$$t_5 = r_{LL}(\varepsilon) t_{RL}^*(\varepsilon - \hbar\omega)$$

$$\Rightarrow |t_5|^2 = [1 - \mathcal{T}_{LR}^{\text{eff},L}(\varepsilon)] \mathcal{T}_{LR}(\varepsilon - \hbar\omega)$$

electron in R & hole in L



$$t_6 = t_{RL}(\varepsilon) r_{LL}^*(\varepsilon - \hbar\omega)$$

$$\Rightarrow |t_6|^2 = \mathcal{T}_{LR}(\varepsilon) [1 - \mathcal{T}_{LR}^{\text{eff},L}(\varepsilon - \hbar\omega)]$$

CONTRIBUTIONS TO $S_{LL}(\omega)$

e-h pair initially in L

We identify 3 processes: P_1 , P_2 and P_3



$$t_1 = t_{LL}(\varepsilon) t_{LL}^*(\varepsilon - \hbar\omega) \quad t_2 = t_{LL}(\varepsilon) r_{LL}^*(\varepsilon - \hbar\omega) \quad t_3 = r_{LL}(\varepsilon) t_{LL}^*(\varepsilon - \hbar\omega)$$

When more than one process involved \Rightarrow Take the coherent superposition

$$|t_1 + t_2 + t_3|^2 = \mathcal{T}_{LR}^{\text{eff},L}(\varepsilon) \mathcal{T}_{LR}^{\text{eff},L}(\varepsilon - \hbar\omega) + |t_{LL}(\varepsilon) - t_{LL}(\varepsilon - \hbar\omega)|^2$$

\Rightarrow Powerful method to get $S_{LL}(\omega)$ without heavy calculation !

Crépieux, Sahoo, Duong, Zamoum, Lavagna, PRL 120, 107702 (2018)

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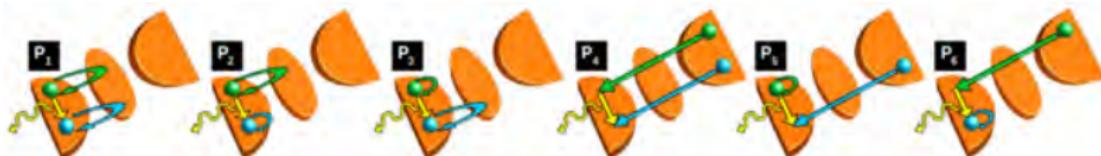
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CONCLUSION

- ① Expression for the noise which includes **inelastic contributions**
- ② Each contribution to the noise can be interpreted in terms of e-h pair transmission and **energy exchange with the environment**



- ③ More than one physical process (starting from the same initial state)
⇒ Take the **coherent superposition of scattering paths**
- ④ **Good agreement with experimental results**
 - Tunnel junction
 - Nanotube Kondo quantum dot

OUTLOOKS

Find a similar interpretation for **heat noise** and **mixed noise**

CO-AUTHORS



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THANKS TO

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PUBLICATIONS

- A. Crépieux, S. Sahoo, T. Duong, R. Zamoum, M. Lavagna, PRL 120, 107702 (2018)*
- R. Zamoum, M. Lavagna, A. Crépieux, PRB 93, 235449 (2016)*
- R. Zamoum, M. Lavagna, A. Crépieux, JSTAT 054013 (2016)*