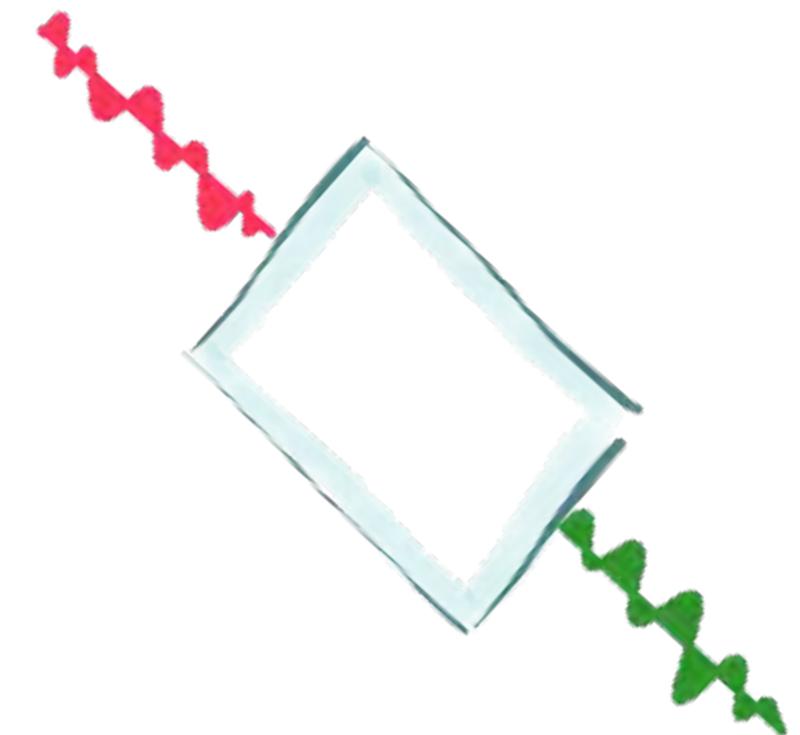
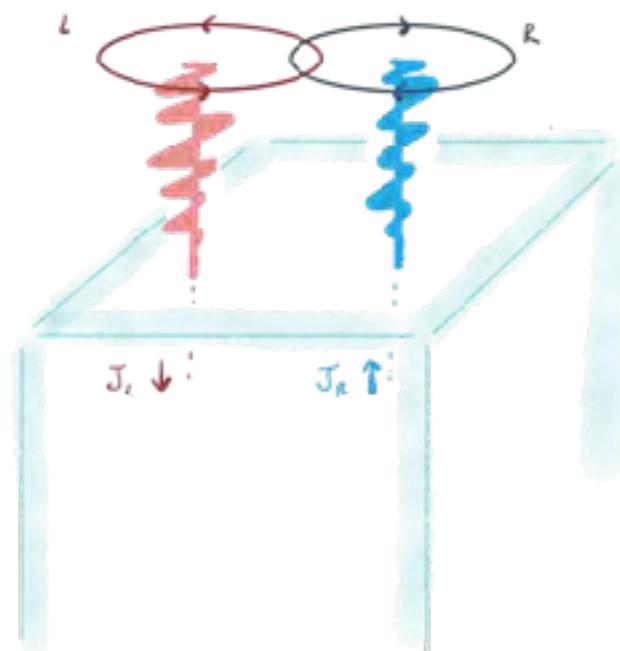


# Chiral and non-linear optical responses in topological metals

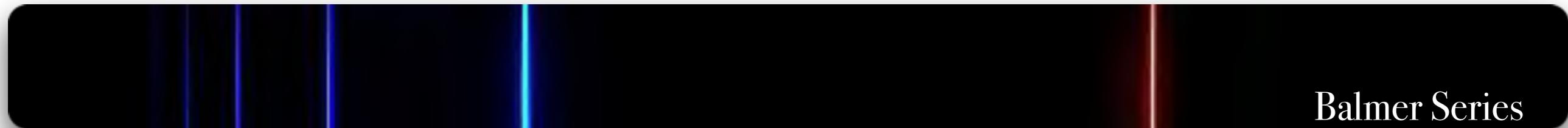


F. de Juan, AGG, T. Morimoto, J. E. Moore  
Nat. Comm. 8, 15995 (2017)

F. Flicker, F. de Juan, T. Morimoto, B.  
Bradlyn, M. Vergniory, AGG 1806.09642

S. Pakantar et al.  
1804.06973

# Quantization



In solids, quantization occurs typically as a **linear response** of **insulators**

Quantum Hall effect

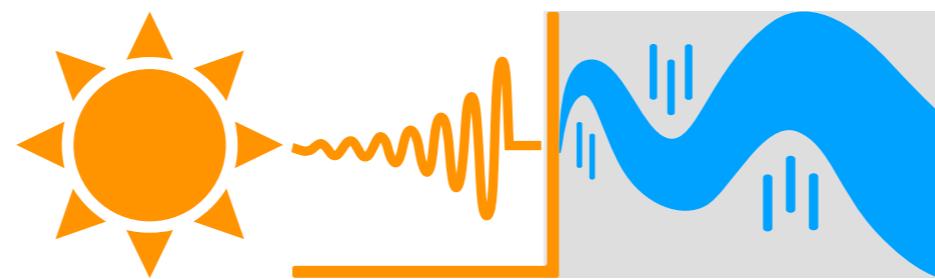
von Klitzing, Tsui, Stormer (80's)

Kerr/Faraday rotation in topological insulators

L. Wu, et al. Science (2016)

# Large magnitude

Solar cells



$$j(0) \sim \sigma^{(2)} I(\omega)$$

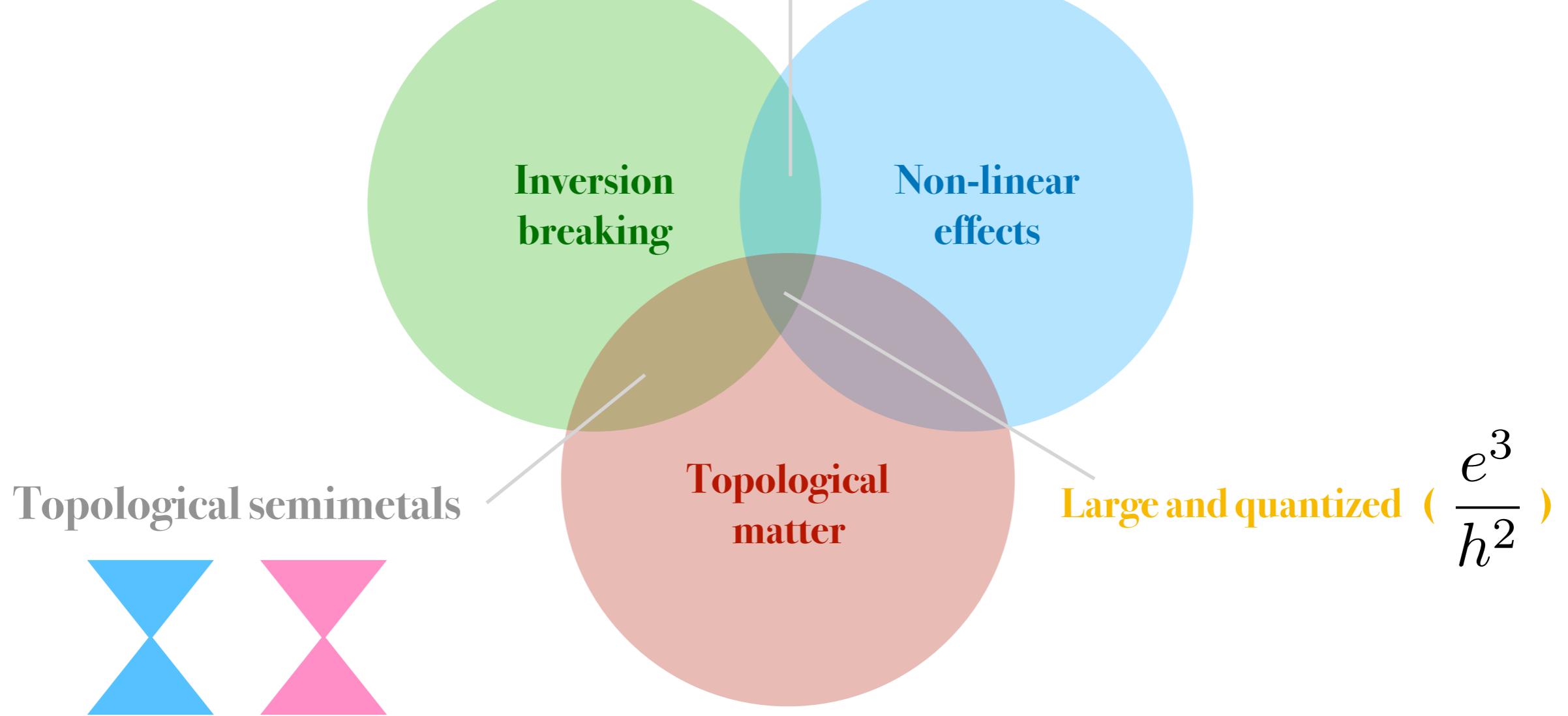
2nd harmonic generation



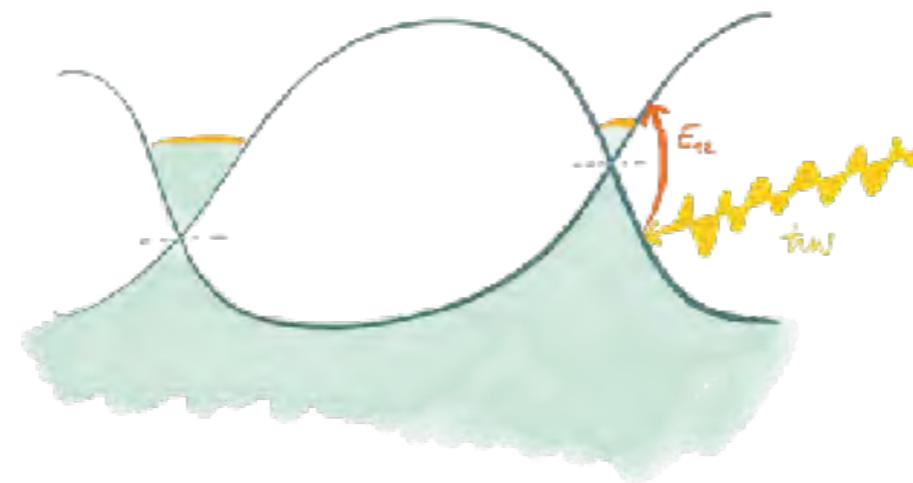
$$j(2\omega) \sim \sigma^{(2)} I(\omega)$$



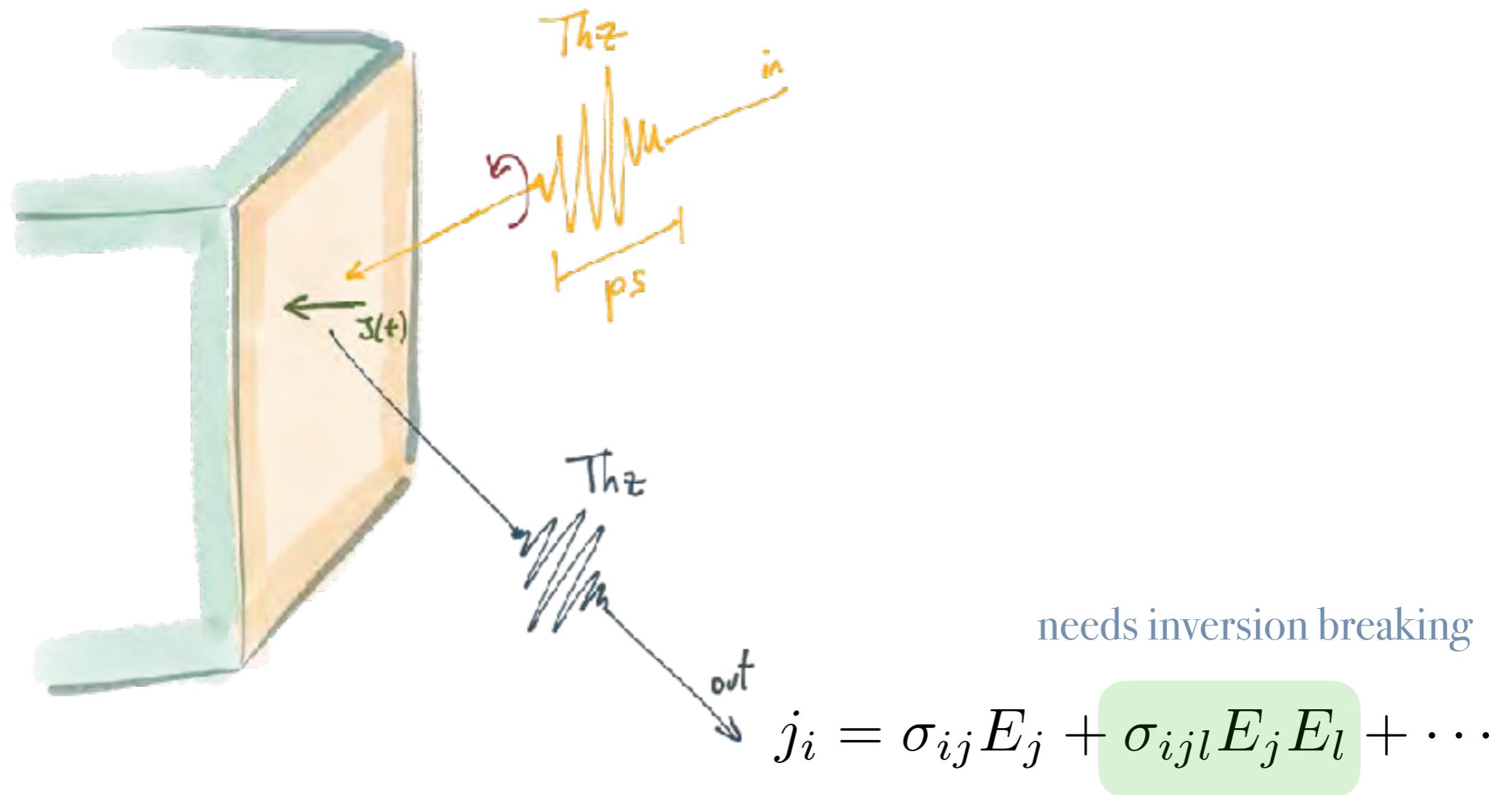
2nd order non-linear response



Chiral topological metals have large and quantized non linear responses



# Non-linear responses



Traditional: GaAs, BaTiO<sub>3</sub>

Bergfeld and Daum PRL '99

Young and Rappe PRL '15

Topological: TaAs, Bi<sub>2</sub>Se<sub>3</sub>

Ma et. al. Nat. Phys '17 (MIT)

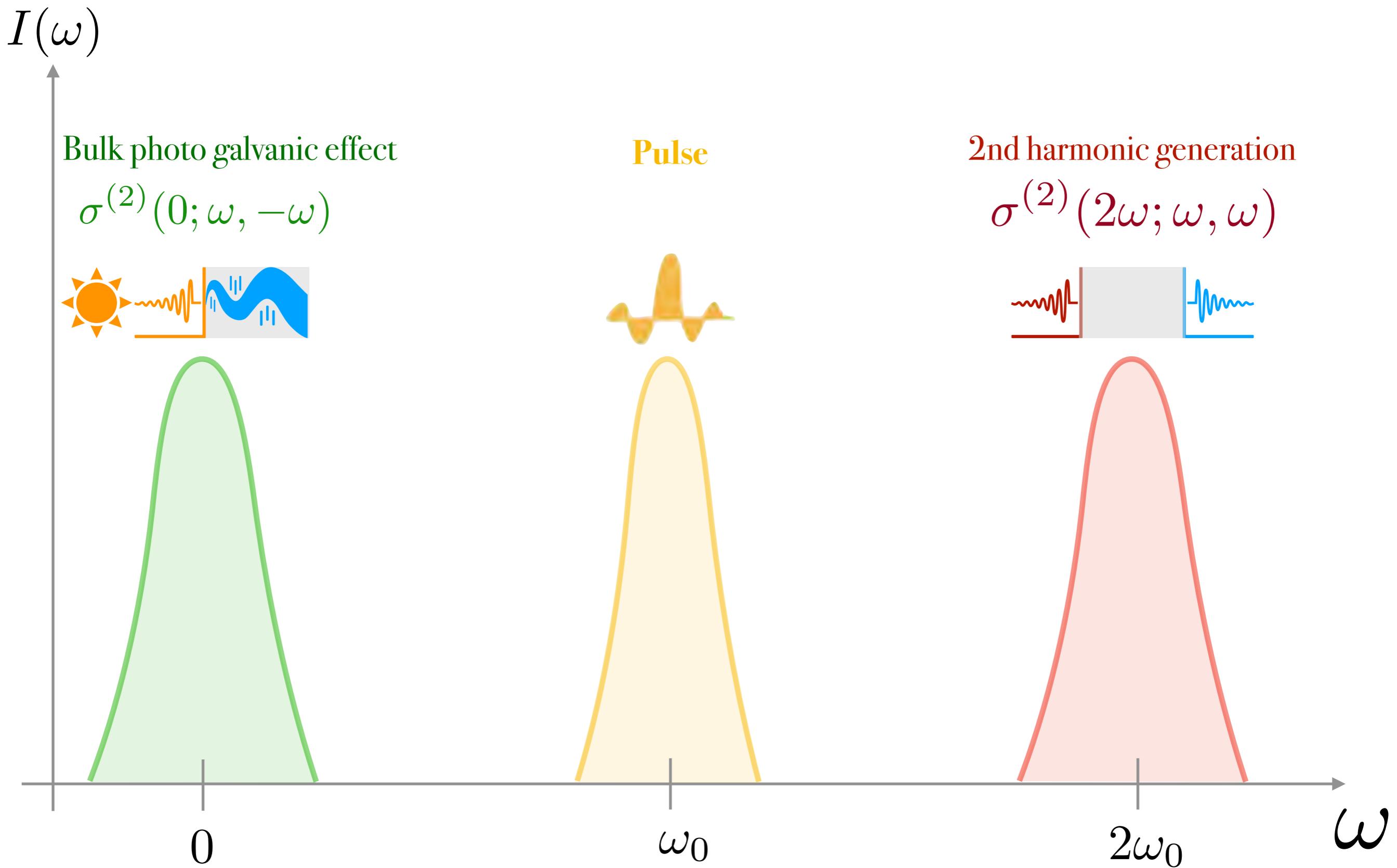
Wu et al Nat. Phys '17 (Berkeley)

Bas et al Opt. Exp.'16 (Toronto/Virginia)

Osterhoudt et al. arXiv '17

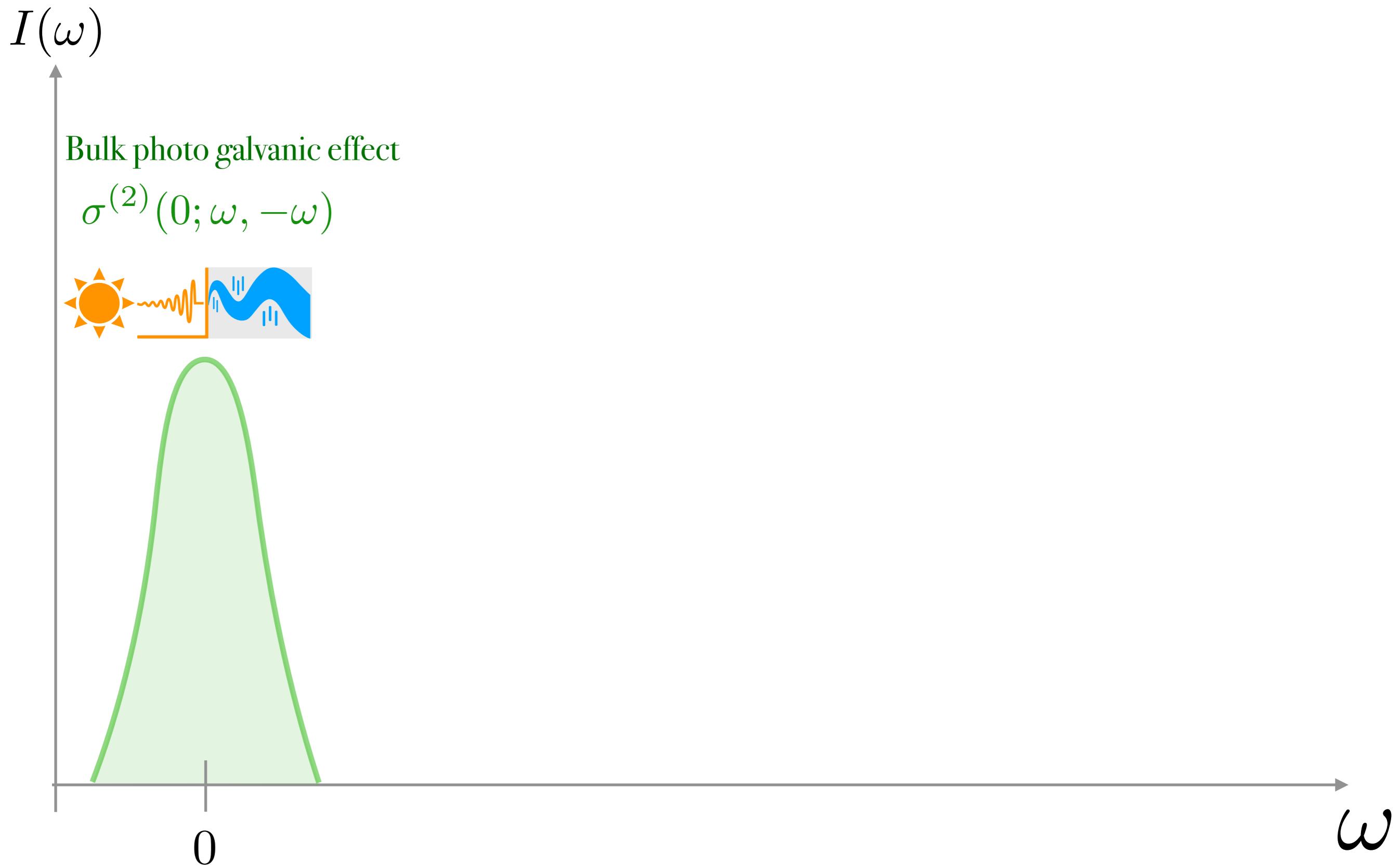
# Second order zoo

$$j_i \propto \sigma_{ijl} E_j E_l$$



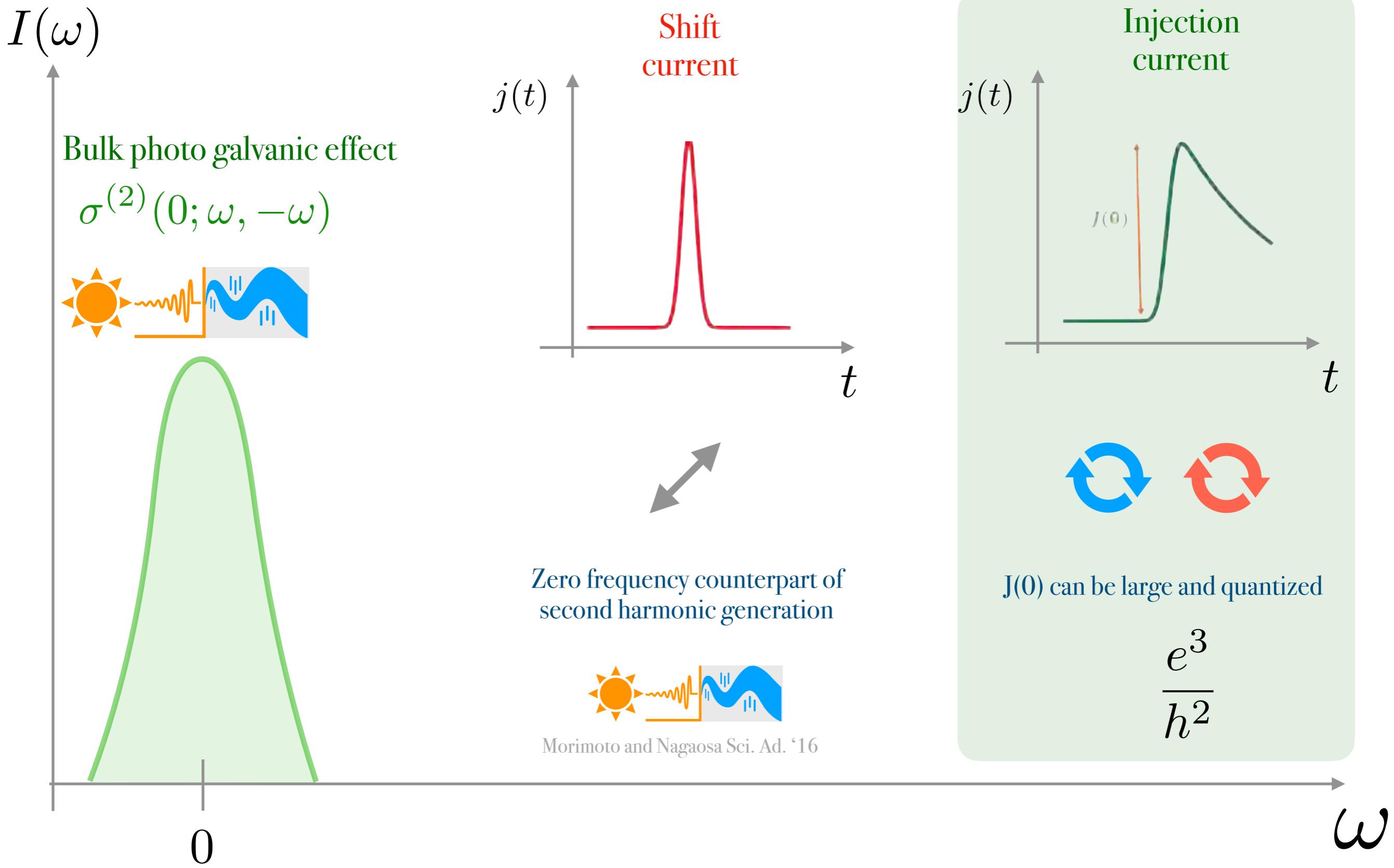
# Second order zoo

$$j_i \propto \sigma_{ijl} E_j E_l$$



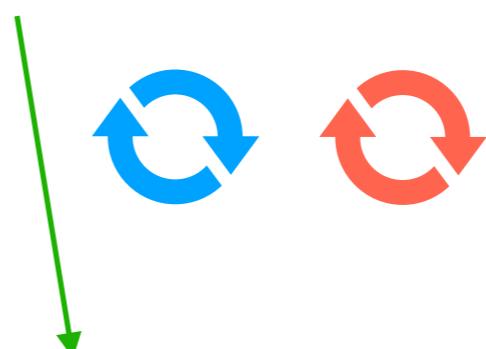
# Second order zoo

$$j_i \propto \sigma_{ijl} E_j E_l$$



## Second order zoo

Injection current

$$j_i \propto \sigma_{ijl} E_j E_l$$
$$\propto (i\omega)^{-1}$$

$$(E \times E^*)_j$$

## Second order zoo

Injection current

$$\dot{j}_i \propto \sigma_{ijl} E_j E_l$$

$$\propto (i\omega)^{-1}$$

$$\frac{d\dot{j}_i}{dt}$$



$$(\mathbf{E} \times \mathbf{E}^*)_j$$

## Second order zoo

Injection current

$$\dot{j}_i \propto \sigma_{ijl} E_j E_l$$

$$\propto (i\omega)^{-1}$$

$$\frac{d\dot{j}_i}{dt} = \beta_{ij}(\omega) (\mathbf{E} \times \mathbf{E}^*)_j$$



electron linear momentum rate

photon angular momentum density

# Second order zoo

Injection current

Linear momentum // i

$$j_i \propto \sigma_{ijl} E_j E_l$$

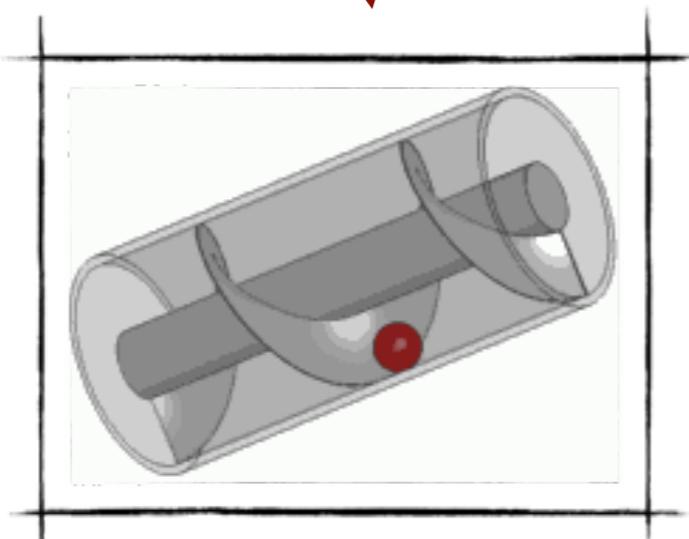
$$\propto (i\omega)^{-1}$$



Angular momentum // j

$$\frac{d j_i}{dt} = \beta_{ij}(\omega) (\mathbf{E} \times \mathbf{E}^*)_j$$

$$\text{Tr}[\beta]$$



classical screw!

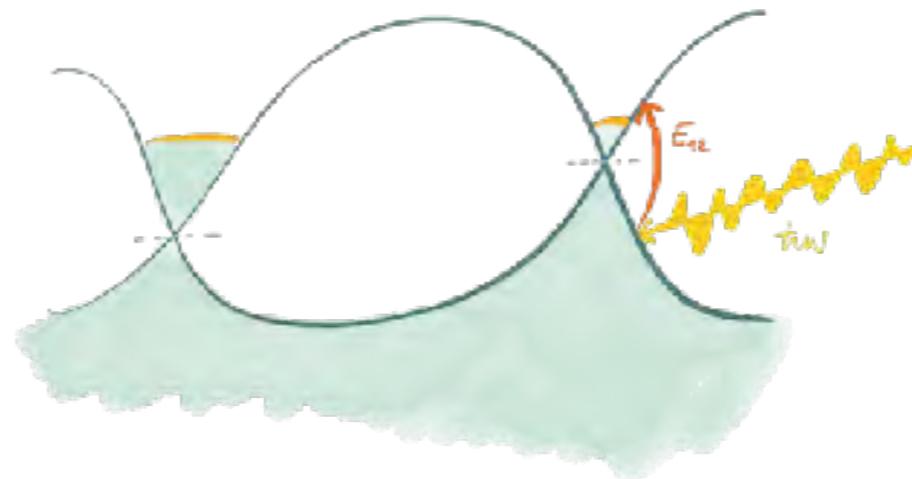
$$\text{Tr}[\beta] \neq 0$$

Lesson\*

Mirror-free (chiral)  
point groups

\*Brute force way: transform tensor under point group symmetries and check for non-vanishing components

Topological metals have **large** and **quantized** injection currents



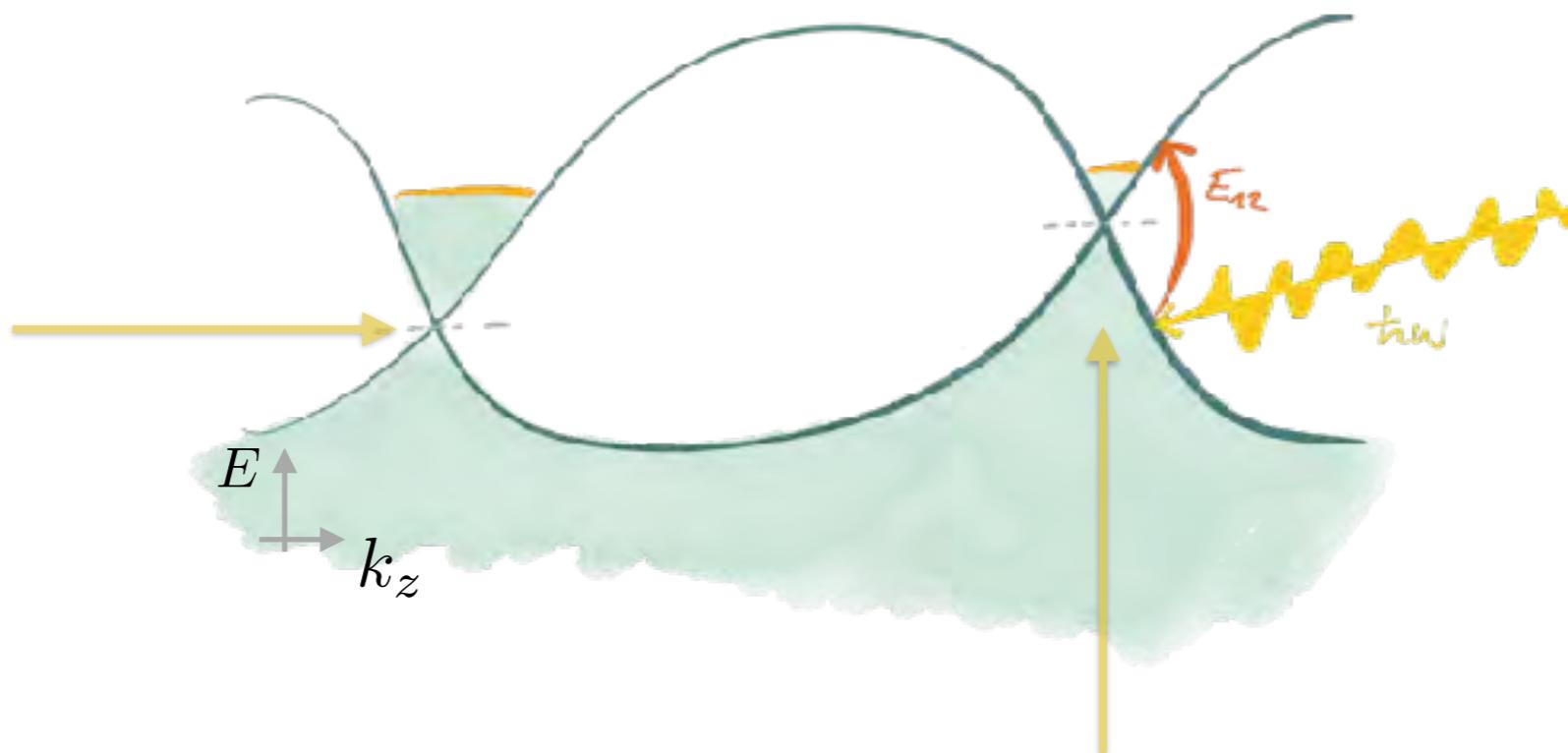
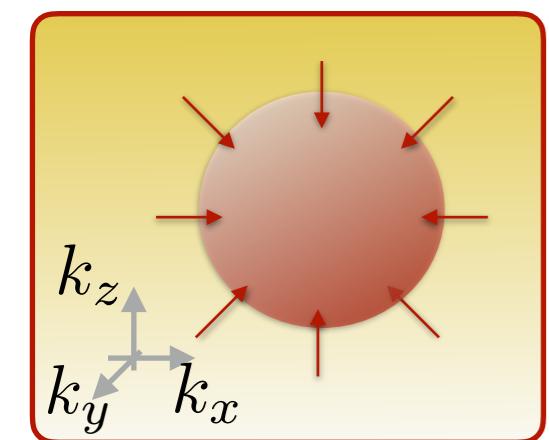
F. de Juan, AGG, T. Morimoto, J. E. Moore Nat. Comm. '17

# Injection current

$$\frac{d\mathbf{j}_i}{dt} = \beta_{ij}(\omega)(\mathbf{E} \times \mathbf{E}^*)_j$$

Tr[ $\beta$ ]

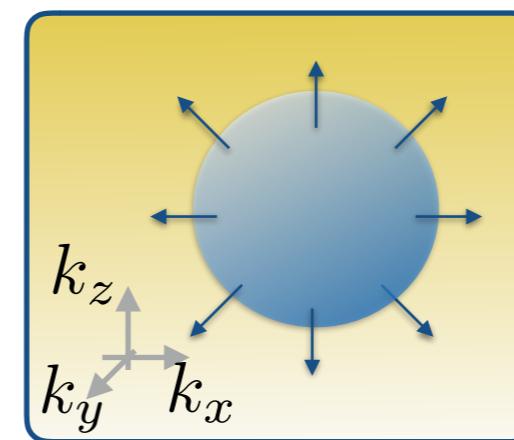
Two band model



$-C$

$$\oint_S d\mathbf{S} \cdot \boldsymbol{\Omega}$$

$C$

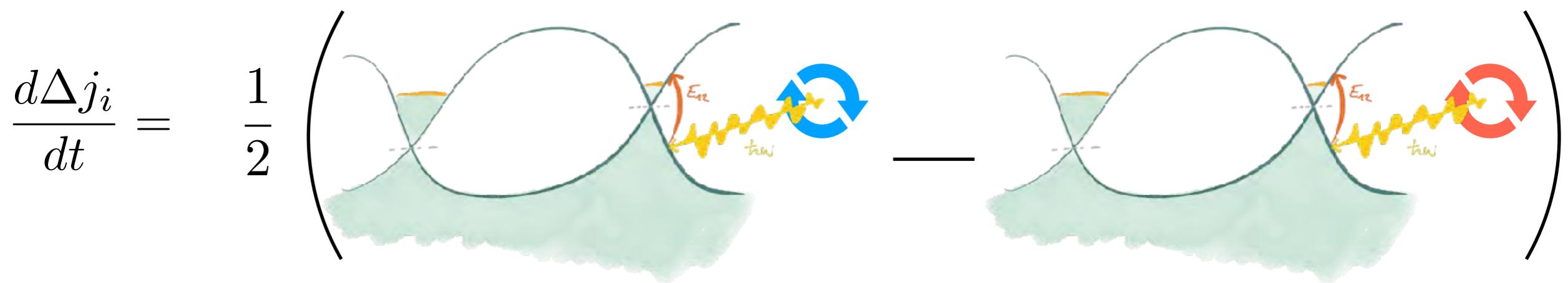


# Injection current

$$\frac{d\vec{j}_i}{dt} = \beta_{ij}(\omega) (\mathbf{E} \times \mathbf{E}^*)_j$$

$\text{Tr}[\beta]$

Two band model



= group velocity x excitation rate

$$\Delta\Gamma_{\mathbf{k}}(\omega) \longrightarrow \pi \frac{e^2}{2h} |E|^2 \Omega_{\mathbf{k}}^i \delta(\varepsilon_1 - \varepsilon_0 - \hbar\omega)$$

Fermi's golden rule

$$\Gamma \propto |v_x \pm iv_y|^2 \text{JDOS}$$

Vanderbilt, Souza '13

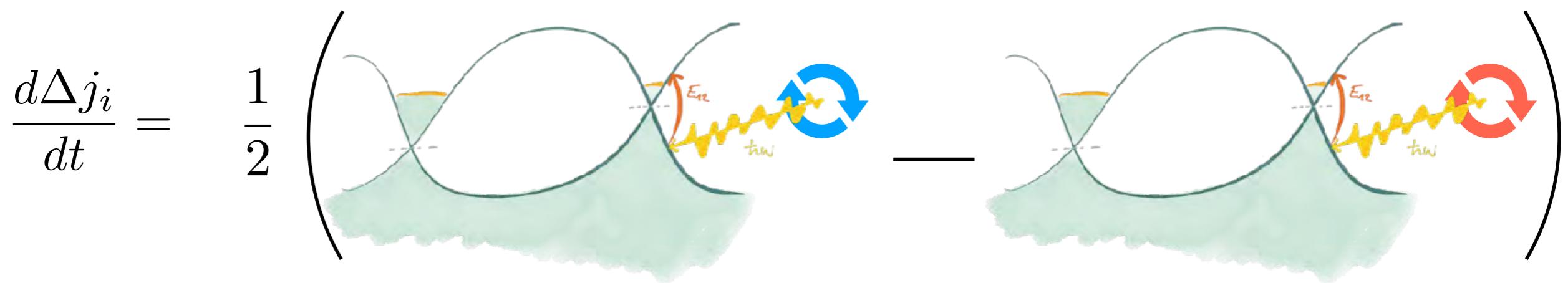
D. T. Tran, A. Dauphin, AGG et al Sci. Adv '17

# Injection current

$$\frac{d\mathbf{j}_i}{dt} = \beta_{ij}(\omega) (\mathbf{E} \times \mathbf{E}^*)_j$$

Tr[ $\beta$ ]

Two band model



= group velocity x excitation rate

$$\frac{d\Delta j_i}{dt} = e \int \frac{d^3 k}{(2\pi)^3} (v_1^i - v_0^i) \Delta \Gamma_{\mathbf{k}}(\omega) \rightarrow \pi \frac{e^2}{2h} |E|^2 \Omega_{\mathbf{k}}^i \delta(\varepsilon_1 - \varepsilon_0 - \hbar\omega)$$

Fermi's golden rule

$$\Gamma \propto |v_x \pm i v_y|^2 \text{JDOS}$$

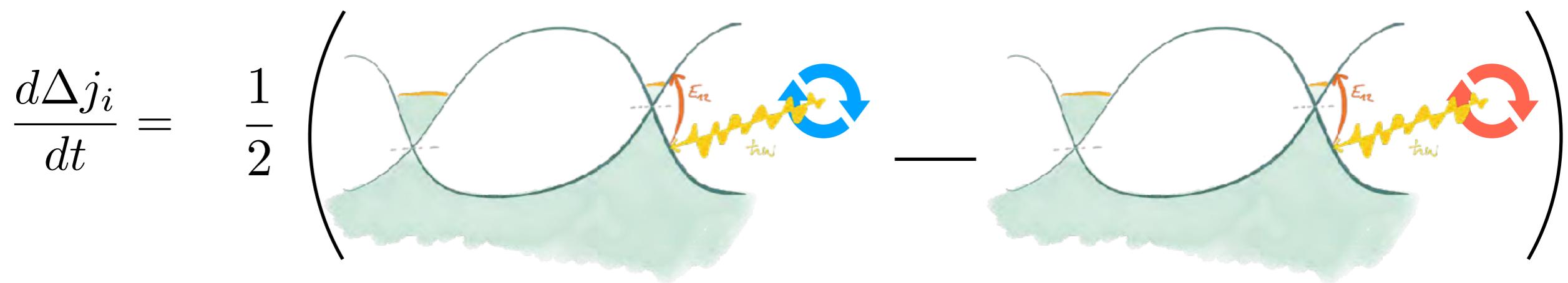
Vanderbilt, Souza '13

D. T. Tran, A. Dauphin, AGG et al Sci. Adv '17

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$$\frac{d\mathbf{j}_i}{dt} = \beta_{ij}(\omega) (\mathbf{E} \times \mathbf{E}^*)_j$$
$$\text{Tr}[\beta]$$

Two band model



= group velocity x excitation rate

$$\frac{d\Delta j_i}{dt} = e \int \frac{d^3 k}{(2\pi)^3} (v_1^i - v_0^i) \Delta \Gamma_{\mathbf{k}}(\omega) \longrightarrow \pi \frac{e^2}{2h} |E|^2 \Omega_{\mathbf{k}}^i \delta(\varepsilon_1 - \varepsilon_0 - \hbar\omega)$$

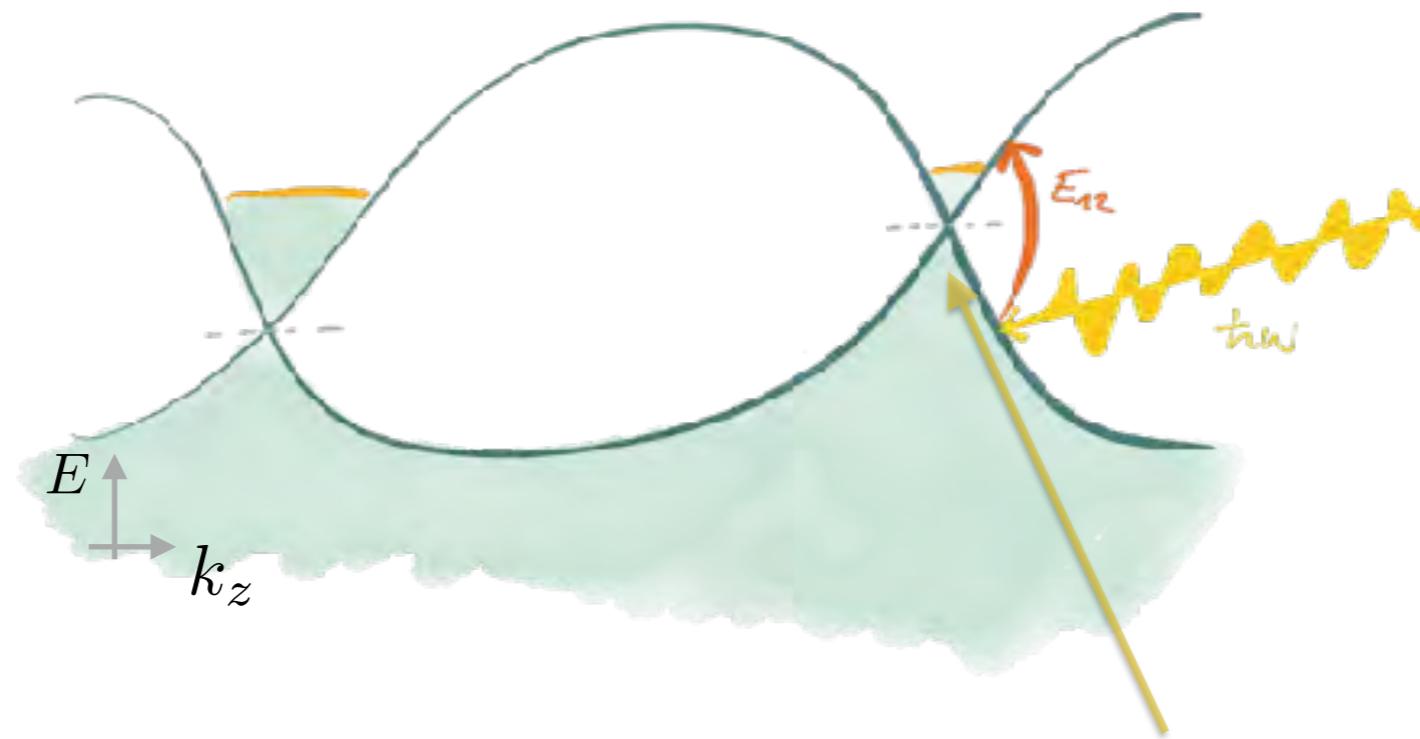
$$\text{Tr}[\beta] = i \frac{e^3}{2h^2} \oint_S d\mathbf{S} \cdot \boldsymbol{\Omega}_1$$

# Injection current

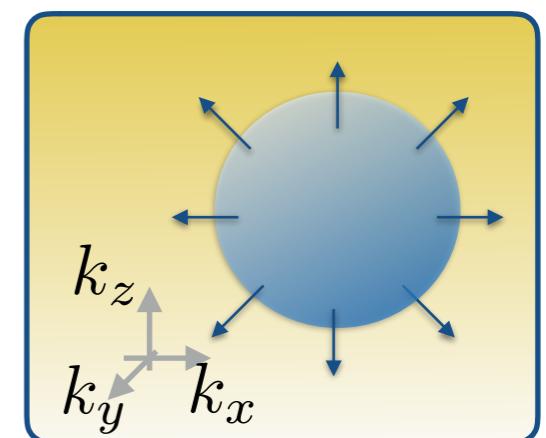
$$\frac{d\mathbf{j}_i}{dt} = \beta_{ij}(\omega)(\mathbf{E} \times \mathbf{E}^*)_j$$

$\text{Tr}[\beta]$

Two band model



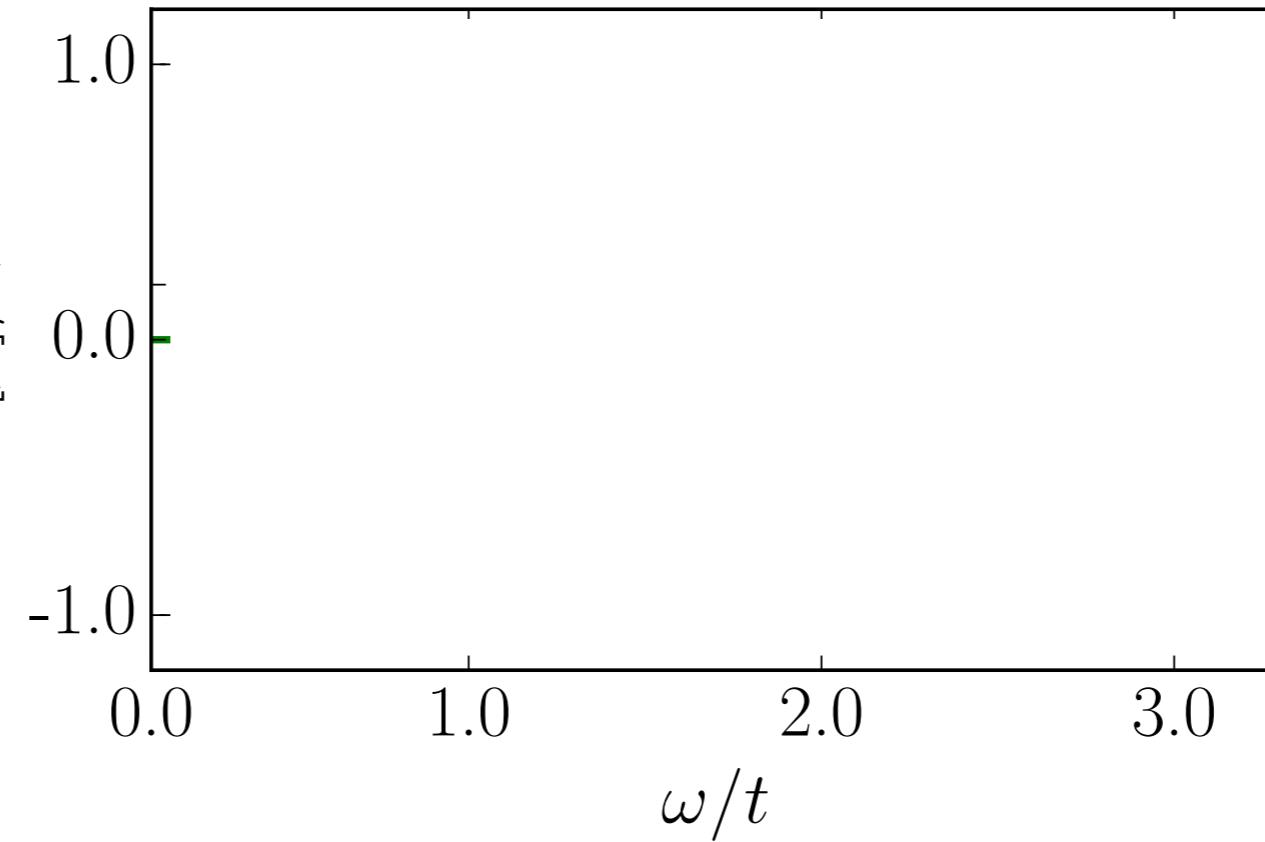
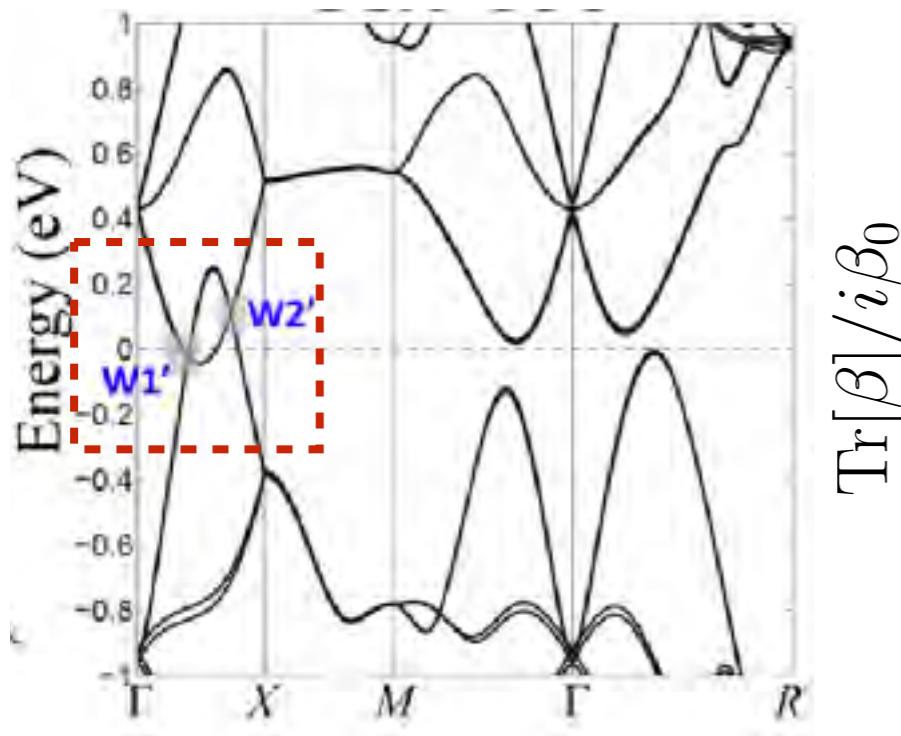
$$\text{Tr}[\beta] = i \frac{e^3}{2h^2} \oint_S d\mathbf{S} \cdot \boldsymbol{\Omega}_1 = i\pi \frac{e^3}{h^2} C$$



# Candidates

## SrSi<sub>2</sub>

Huang, et al. PNAS 113 1180 (2015)

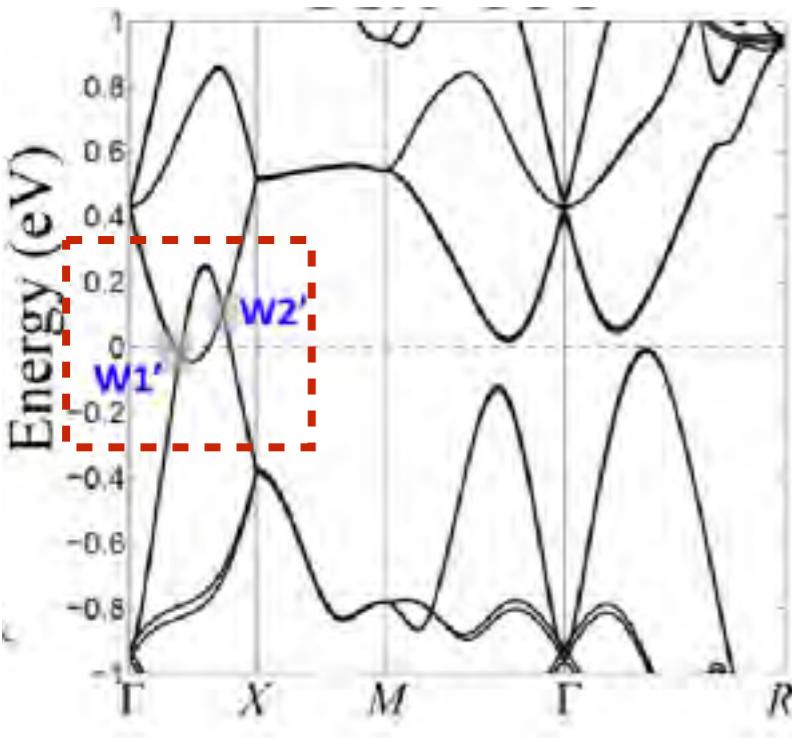


Chiral Weyls

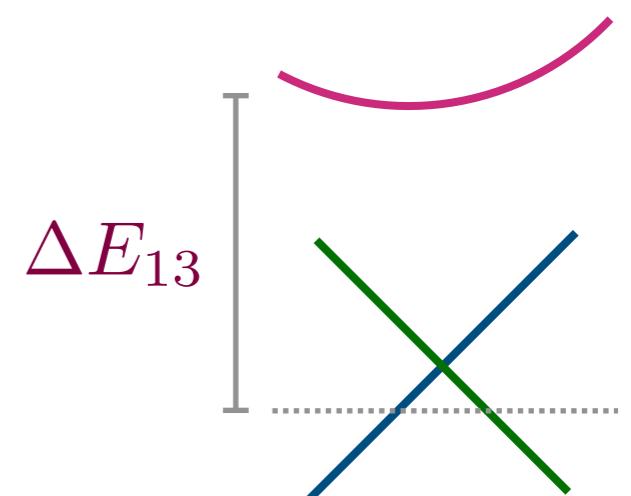
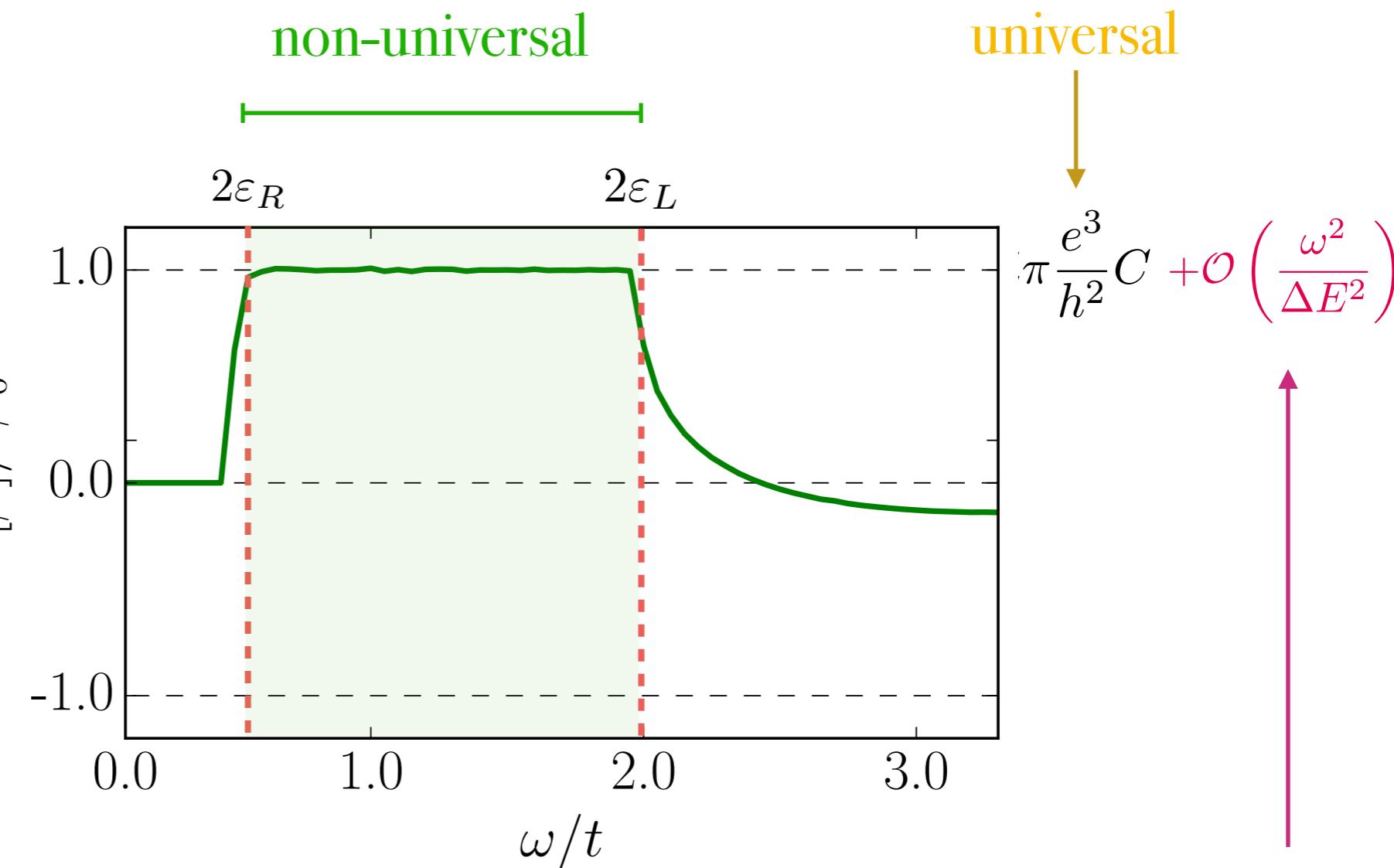
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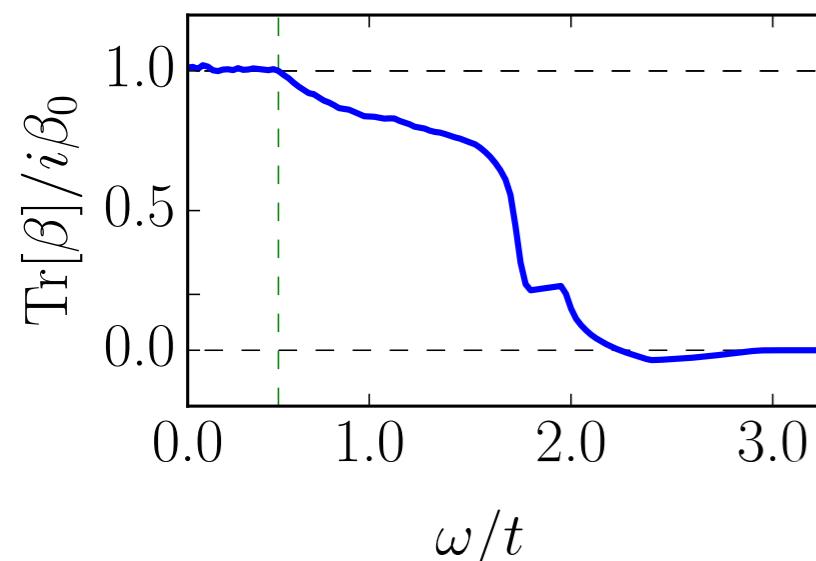
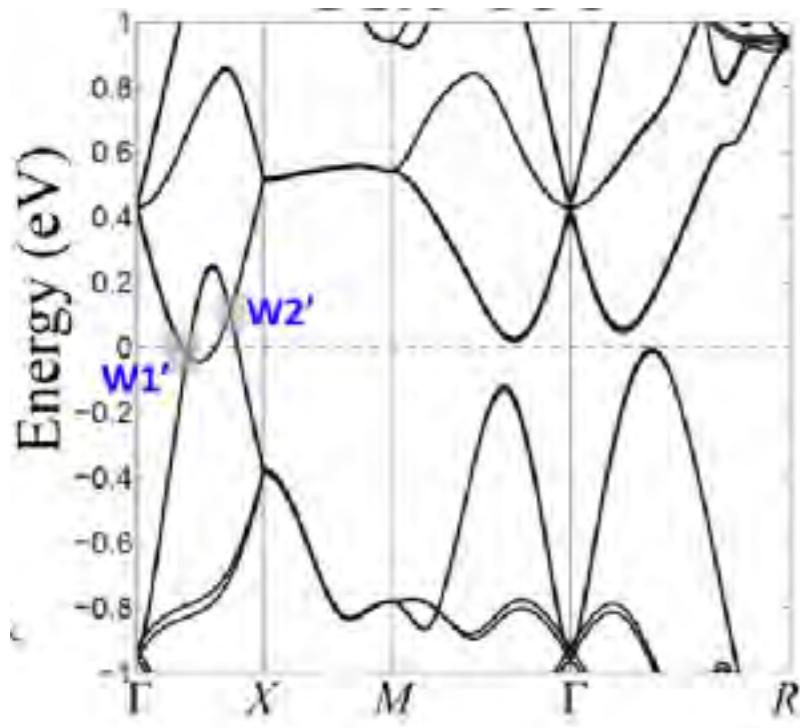


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SrSi<sub>2</sub>

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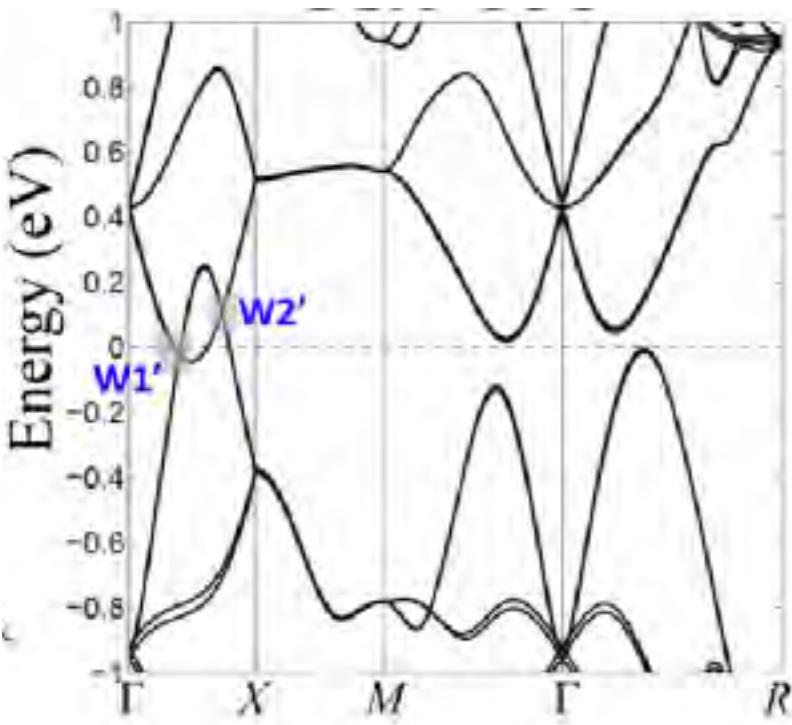


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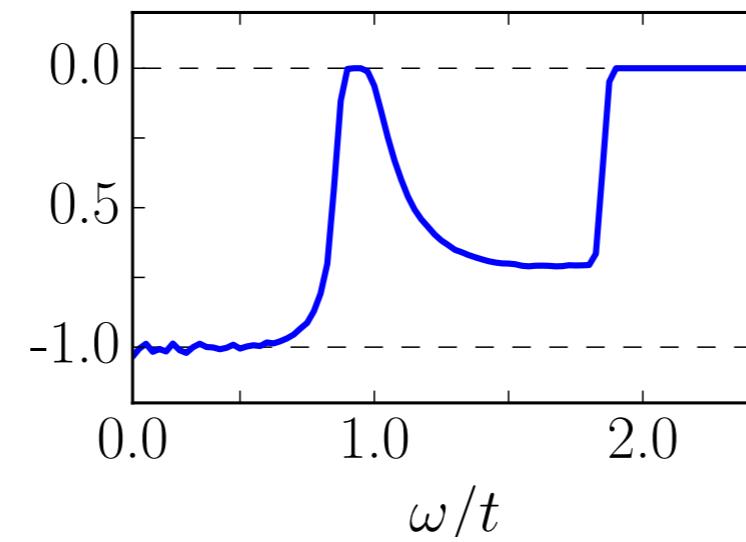
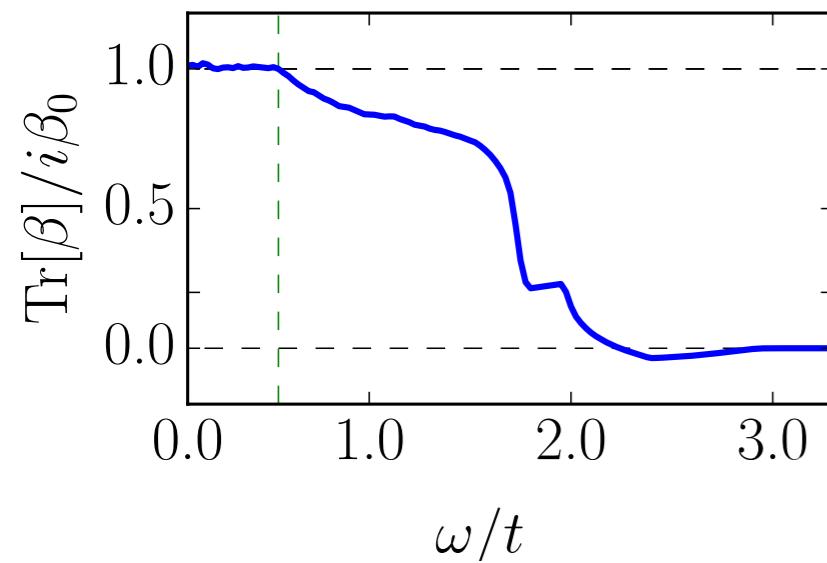
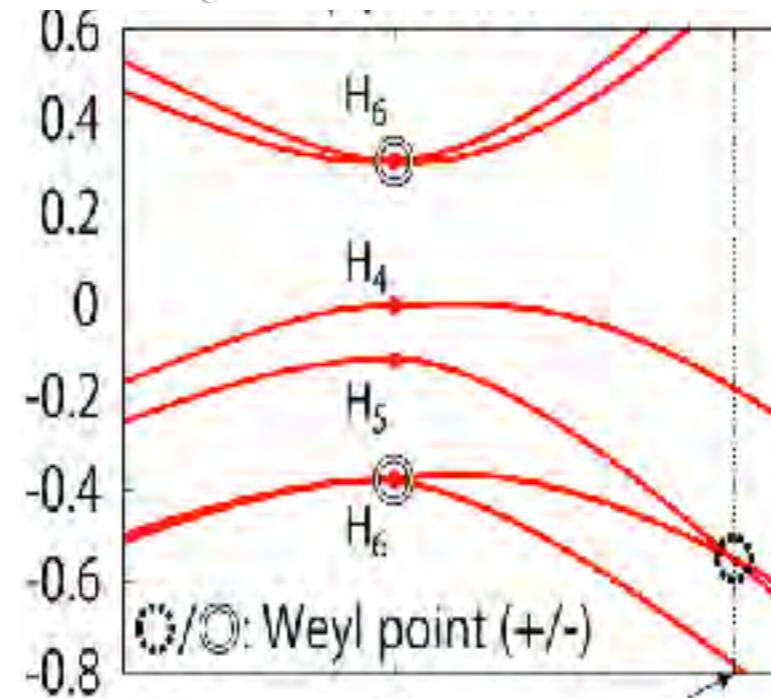


Kramers Weyls

Tellurium

Hirayama, PRL 114, 206401 (2015)

Chang et. al arXiv: 1611.07925

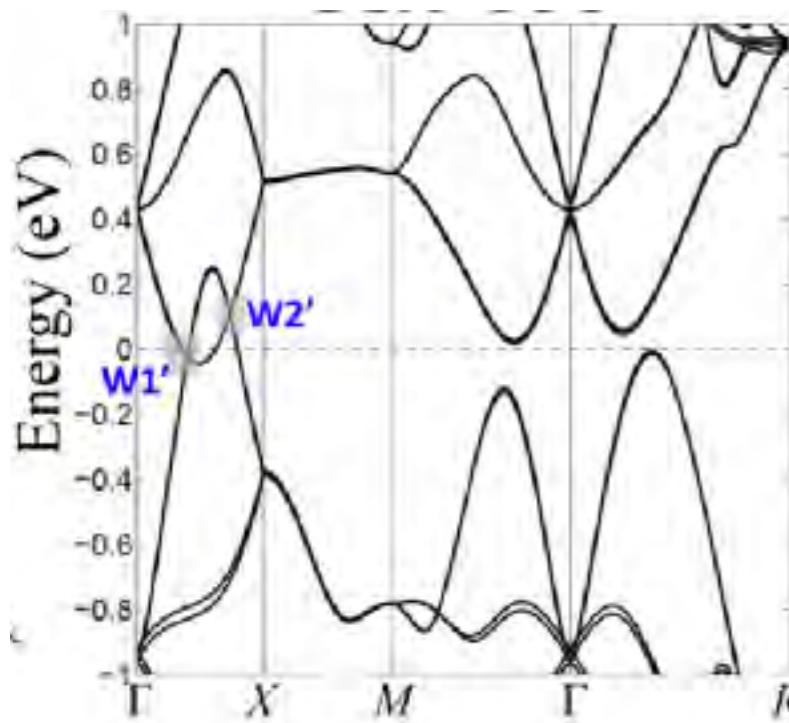


# Candidates

Chiral Weyls

SrSi<sub>2</sub>

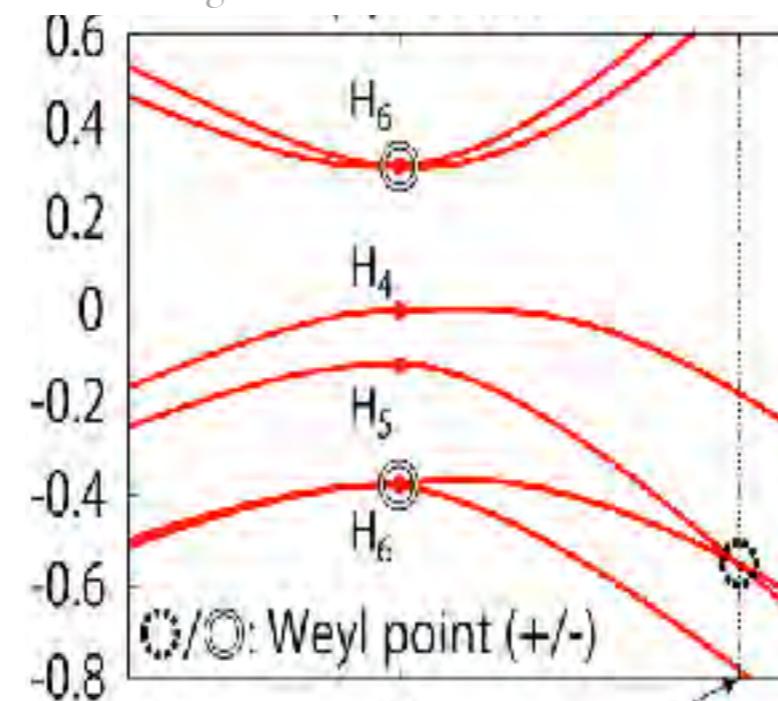
Huang, et al. PNAS 113 1180 (2015)



Kramers Weyls

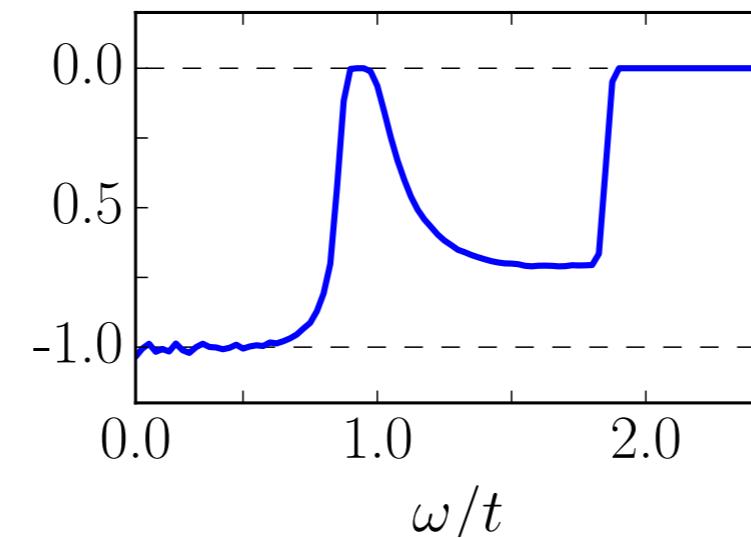
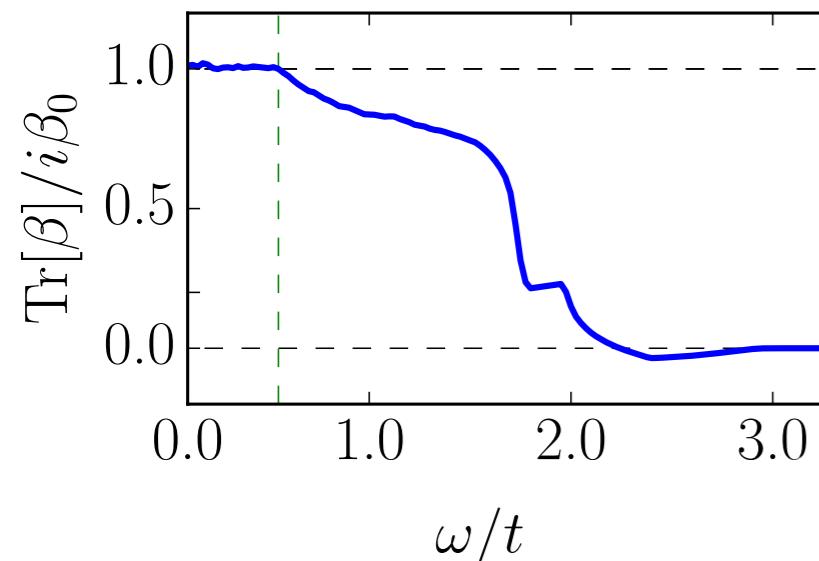
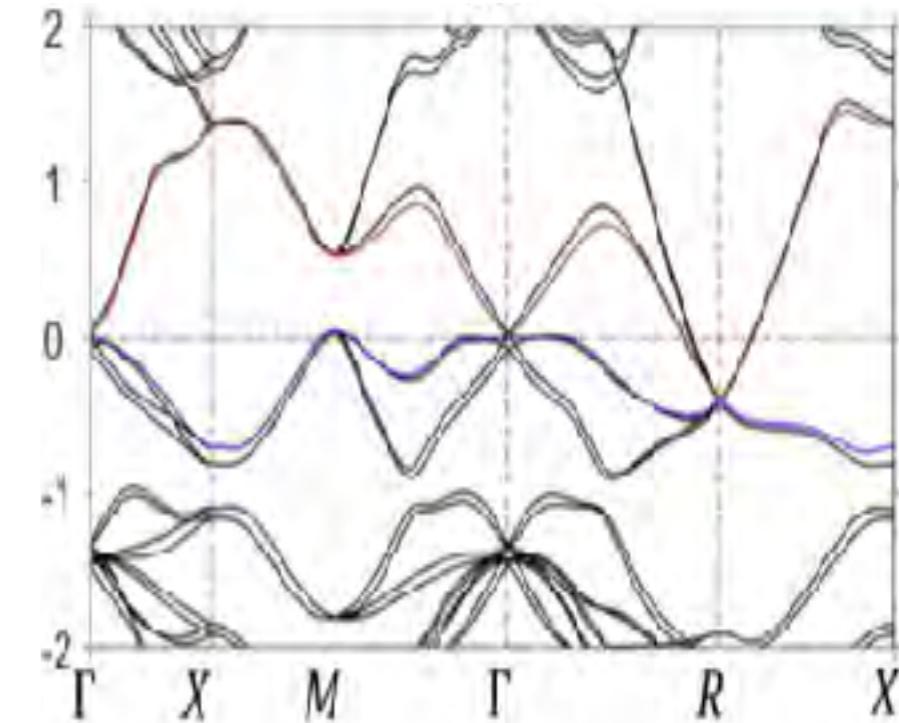
Tellurium

Hirayama, PRL 114, 206401 (2015)  
Chang et. al arXiv: 1611.07925

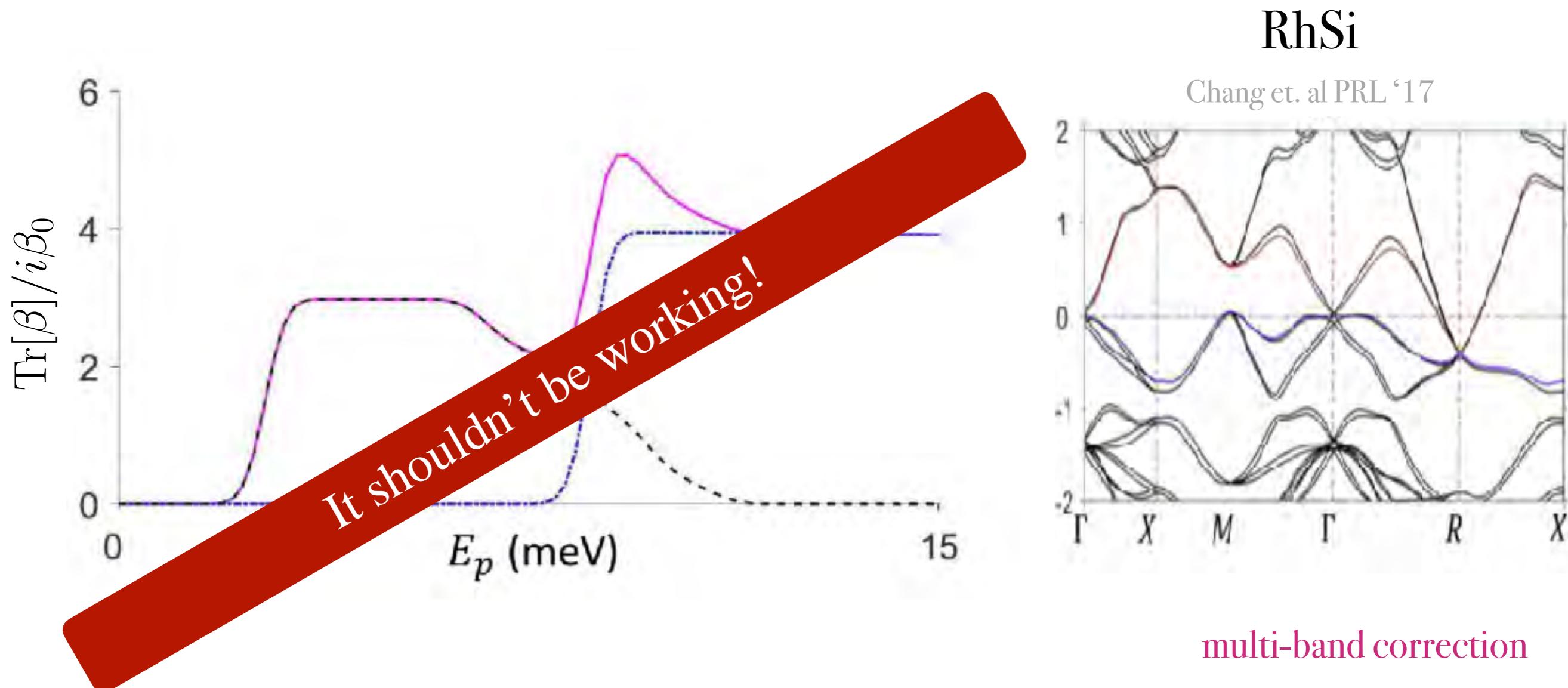


RhSi

Chang et. al PRL '17

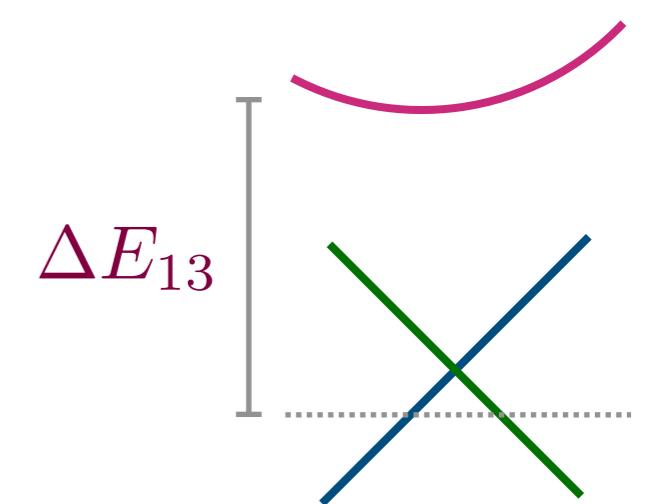


# Candidates

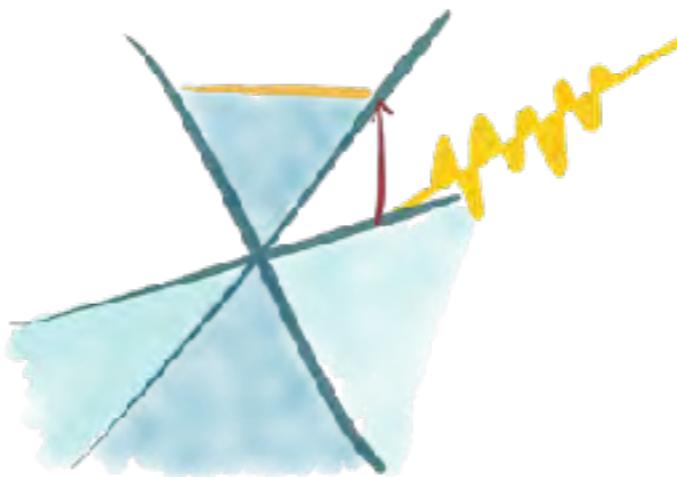


$$+ \mathcal{O}\left(\frac{\omega^2}{\Delta E^2}\right)$$

multi-band correction



# Quantized injection with multifold fermions



Felix Flicker, Fernando de Juan, Takahiro Morimoto

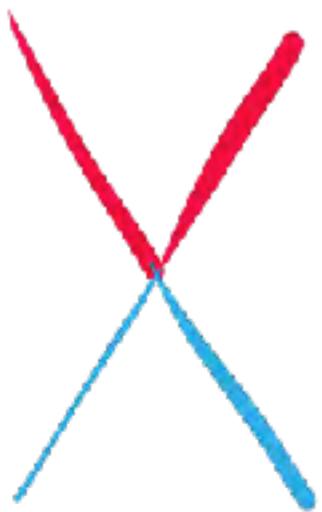


Maia Vergniory, Barry Bradlyn



# Types of multifold fermions

2-fold = Weyl

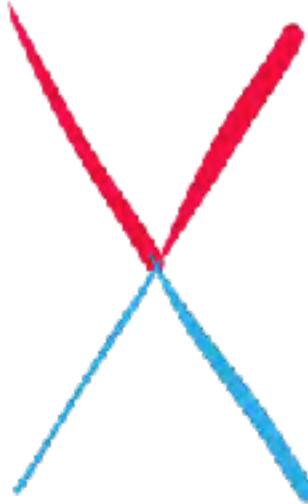


$C_n$

- 1
- 0
- -1

# Types of multifold fermions

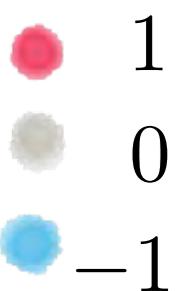
2-fold = Weyl



4-fold

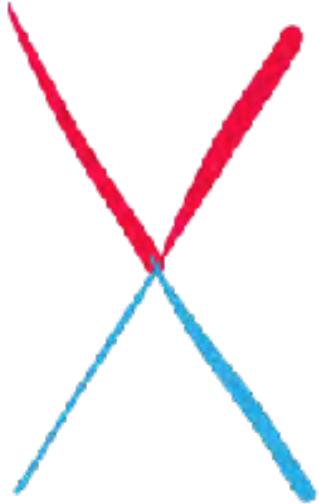


$C_n$

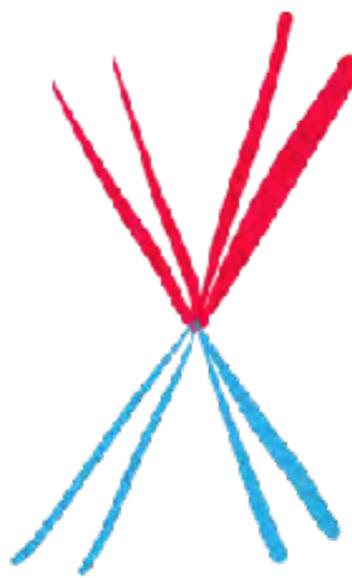


# Types of multifold fermions

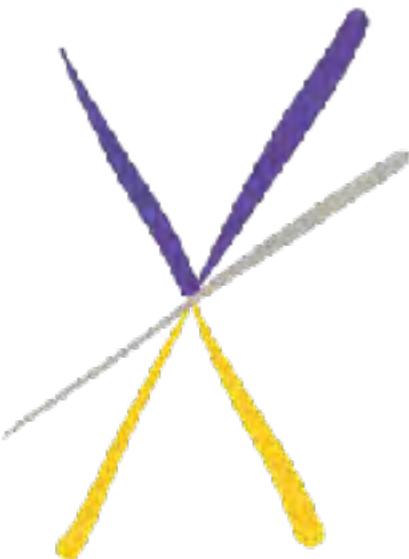
2-fold = Weyl



4-fold



3-fold

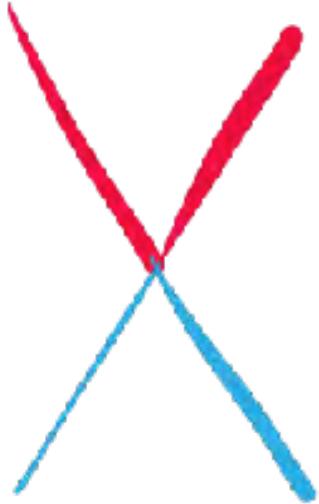


$C_n$

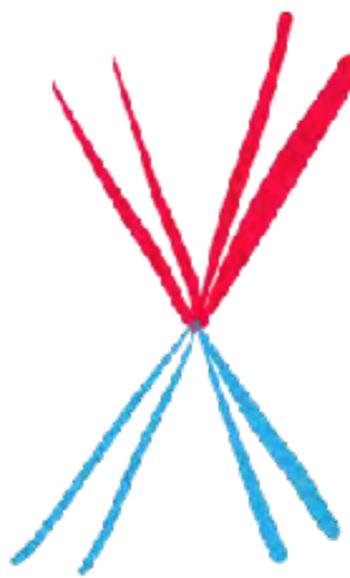
- 2
- 1
- 0
- -1
- -2

# Types of multifold fermions

2-fold = Weyl



4-fold

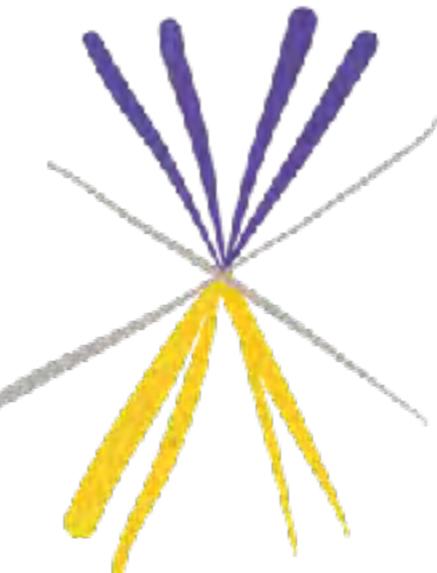
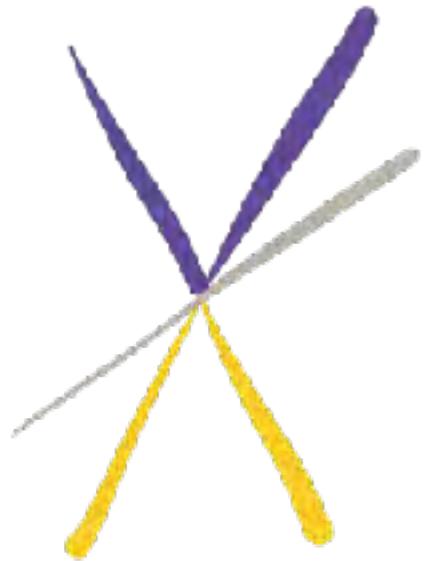


$C_n$

- 2
- 1
- 0
- -1
- -2

3-fold

6-fold

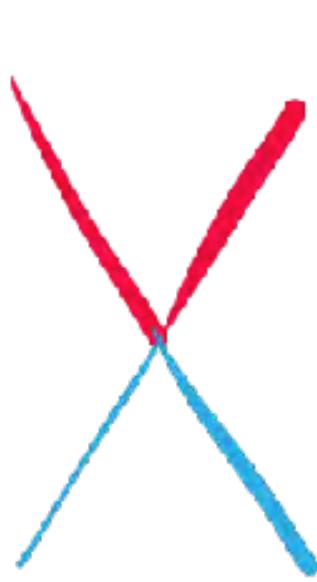


Bradlyn, Cano, Wang, Vergniory et al Science (2016)

Wieder, Kim et. al. PRL (2016) Tao, Zhou, Zhang 1706.03817

# Types of multifold fermions

2-fold = Weyl



4-fold



$C_n$

3

2

1

0

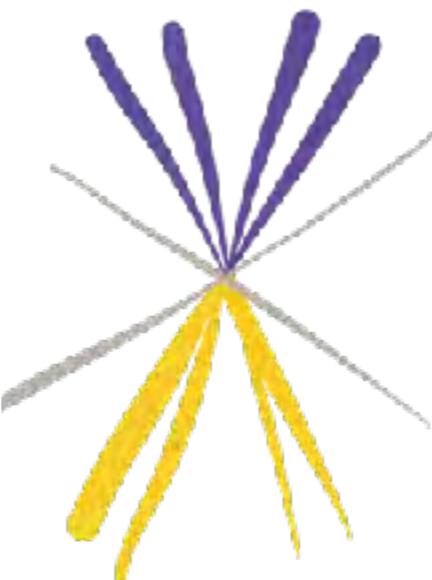
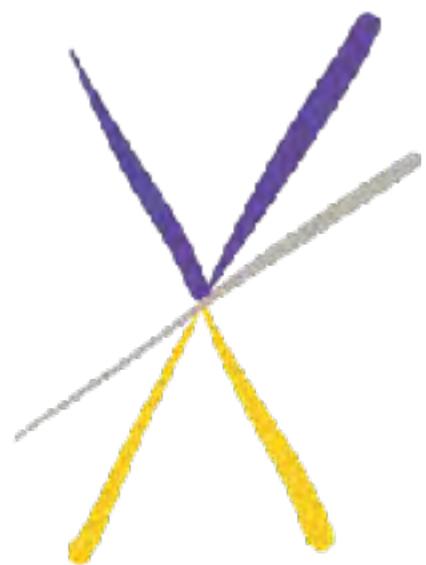
-1

-2

-3

3-fold

6-fold

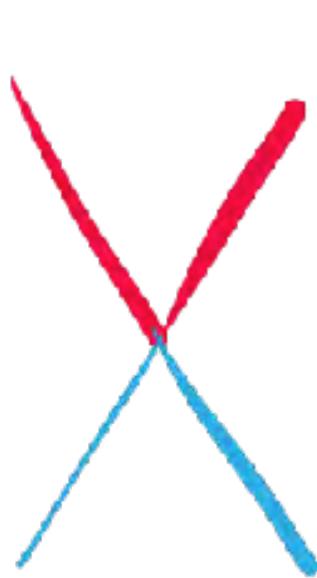


Bradlyn, Cano, Wang, Vergniory et al Science (2016)

Wieder, Kim et. al. PRL (2016) Tao, Zhou, Zhang 1706.03817

# Types of multifold fermions

2-fold = Weyl



4-fold



$C_n$

3

2

1

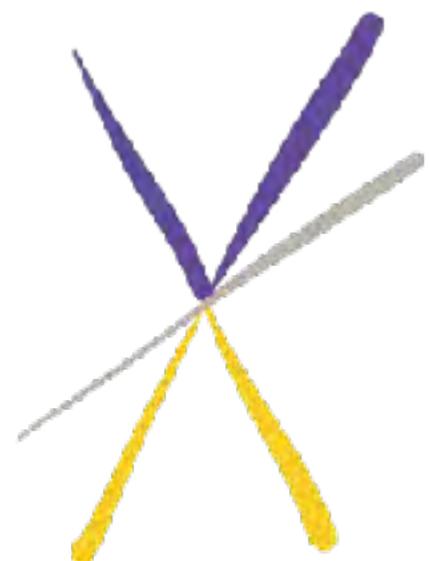
0

-1

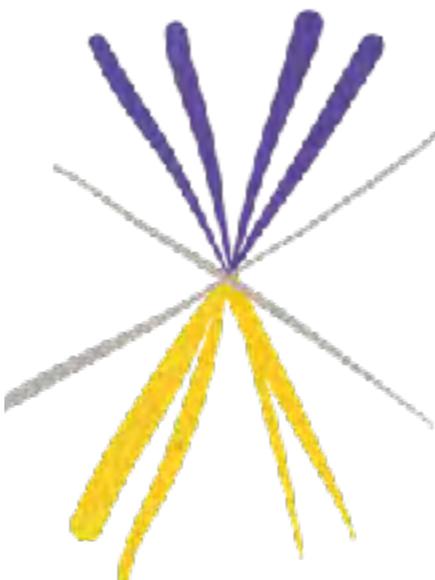
-2

-3

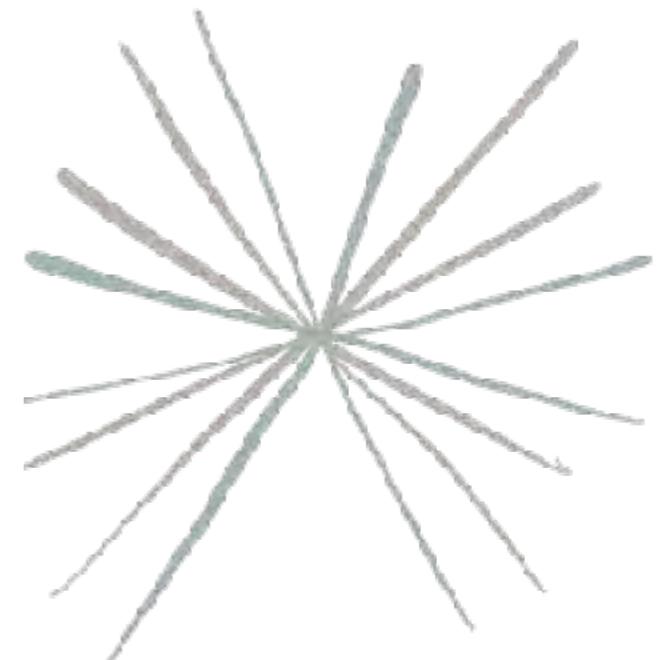
3-fold



6-fold

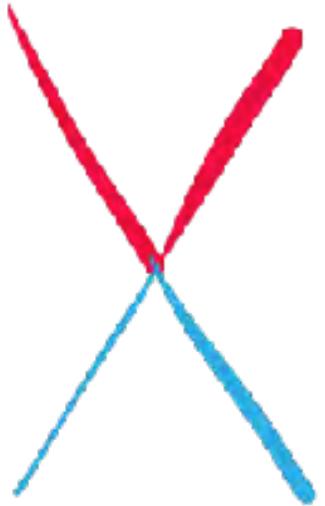


8-fold



# Types of **chiral** multifold fermions

2-fold = Weyl



4-fold



$C_n$

3

2

1

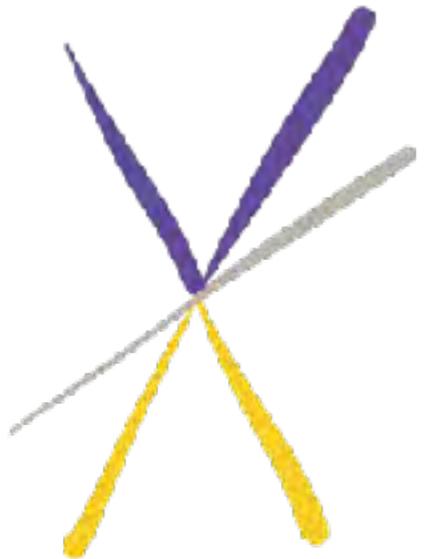
0

-1

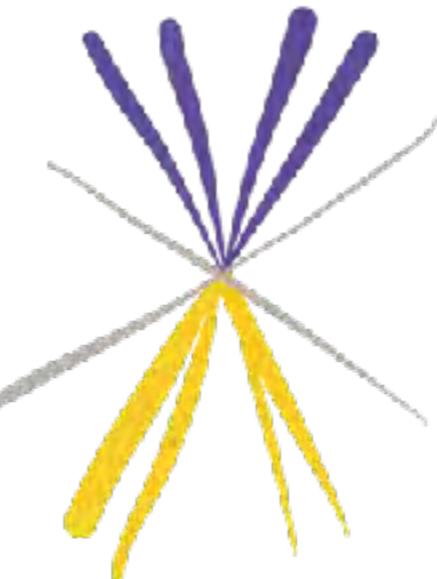
-2

-3

3-fold



6-fold



8-fold



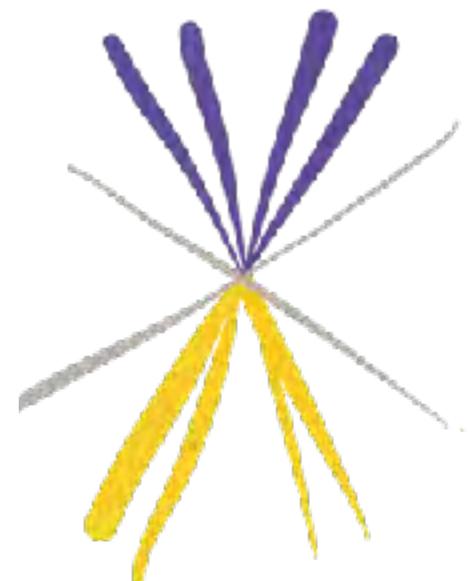
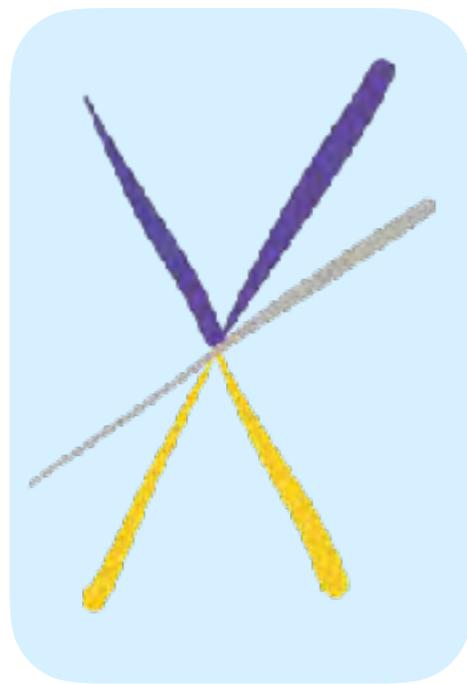
# Space groups that host chiral multifold fermions

node	$C_n$	No SO	SO
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Sixfold (doubled spin-1)	$(-2, 0, 2) \times 2$	–	$198, 212, 213$
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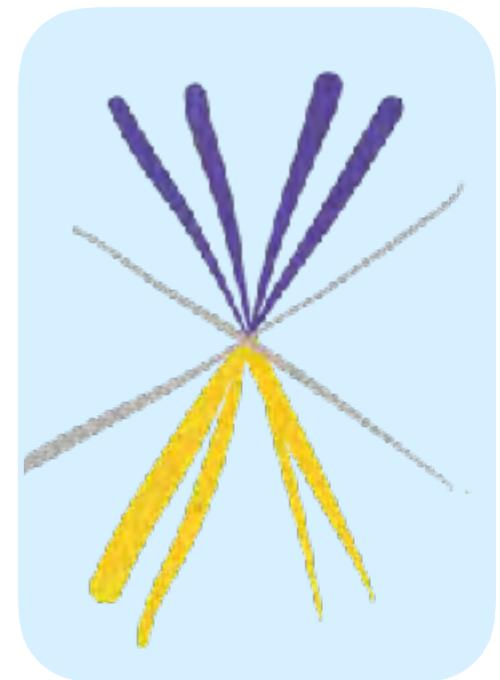
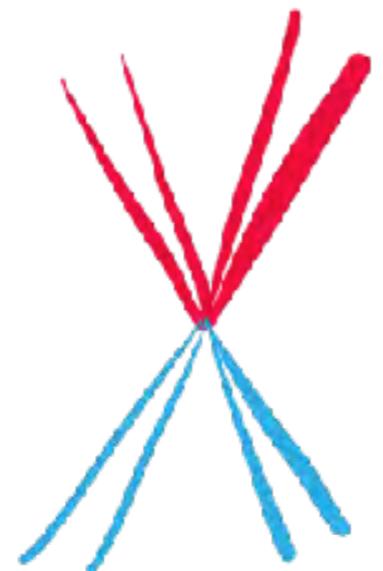
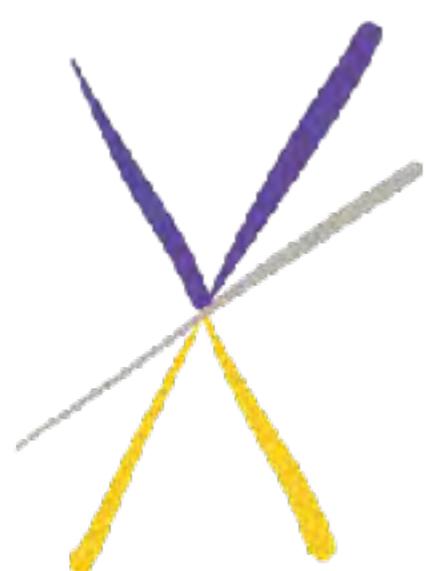
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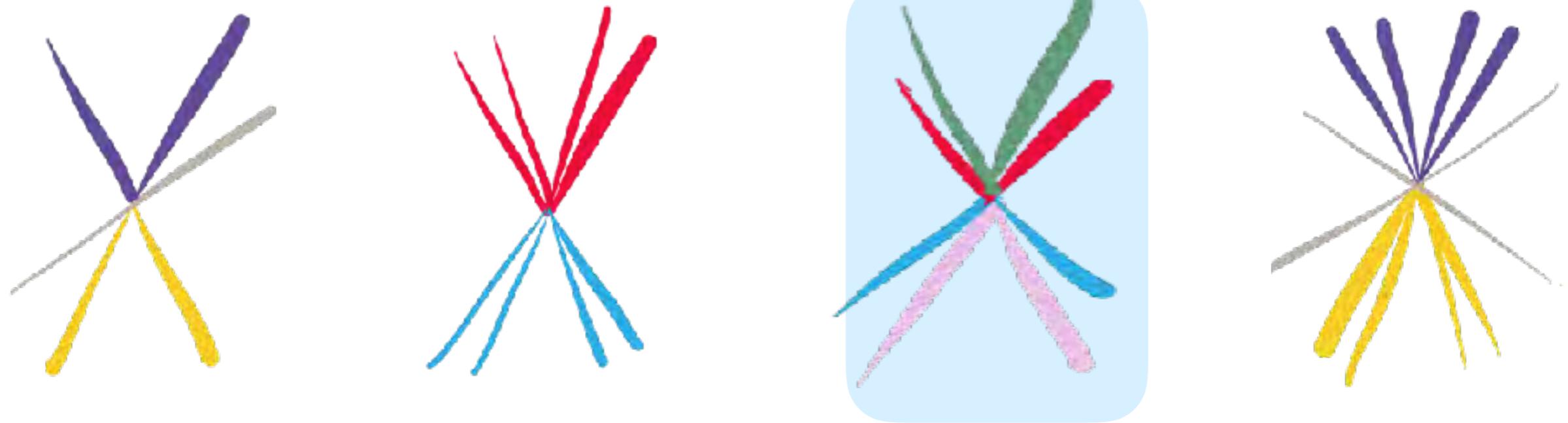
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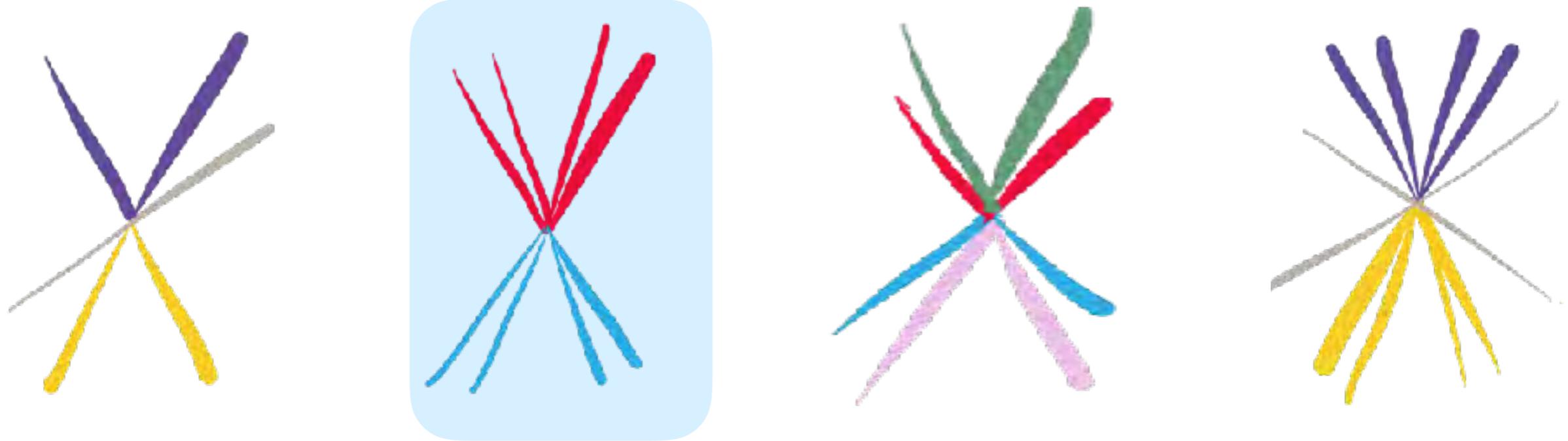
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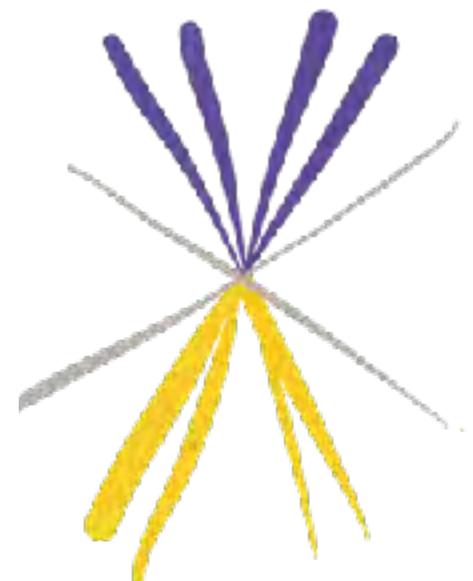
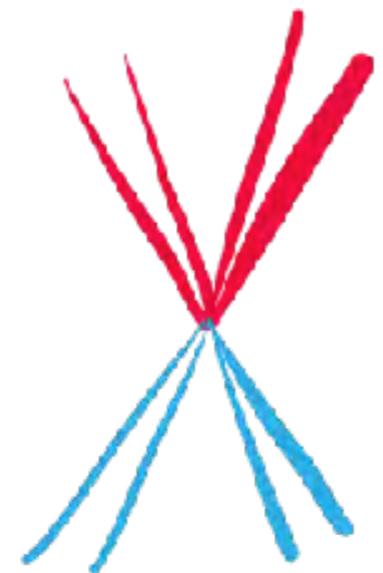
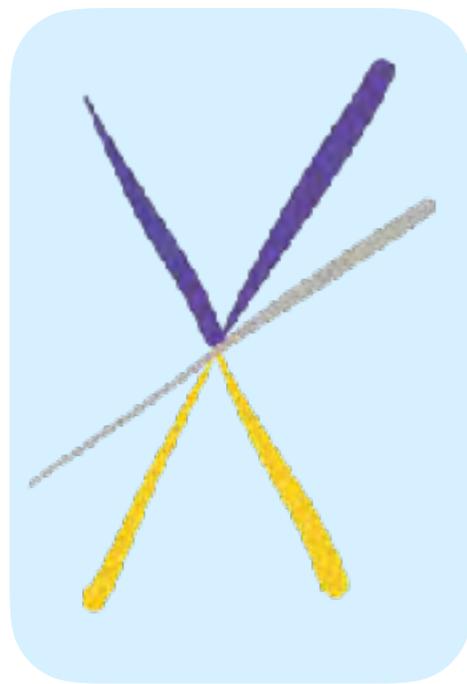
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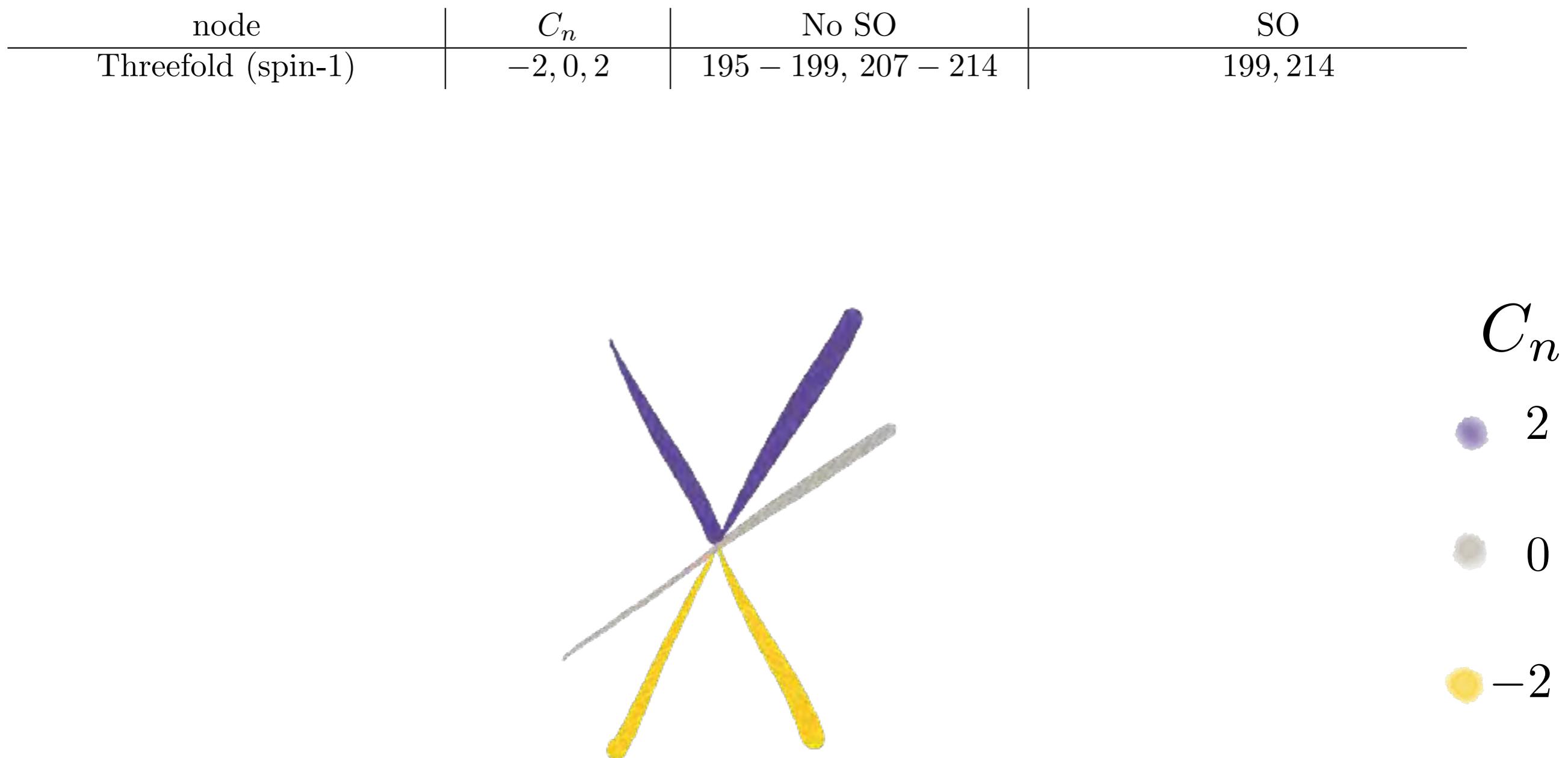


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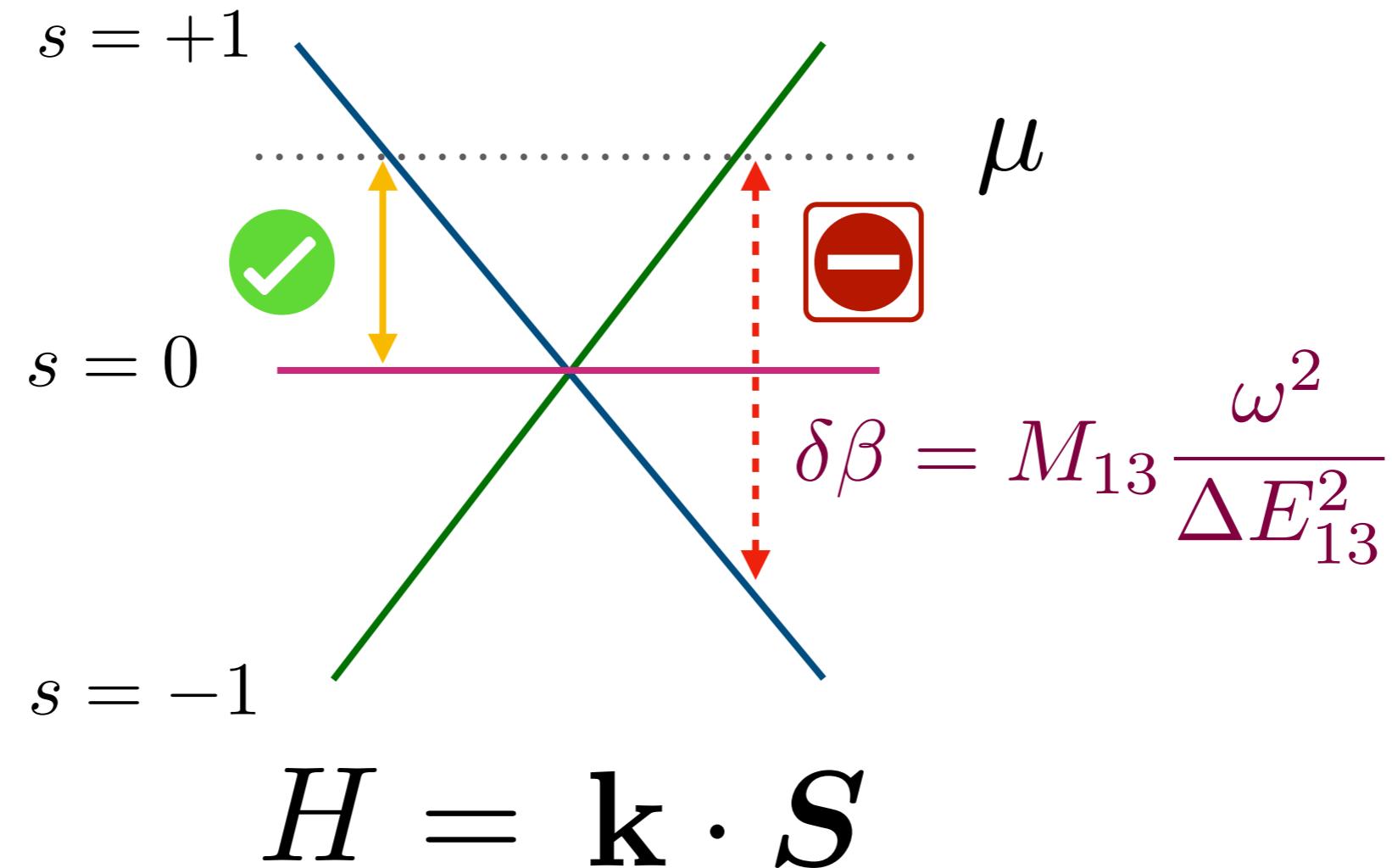


# Three-fold fermion



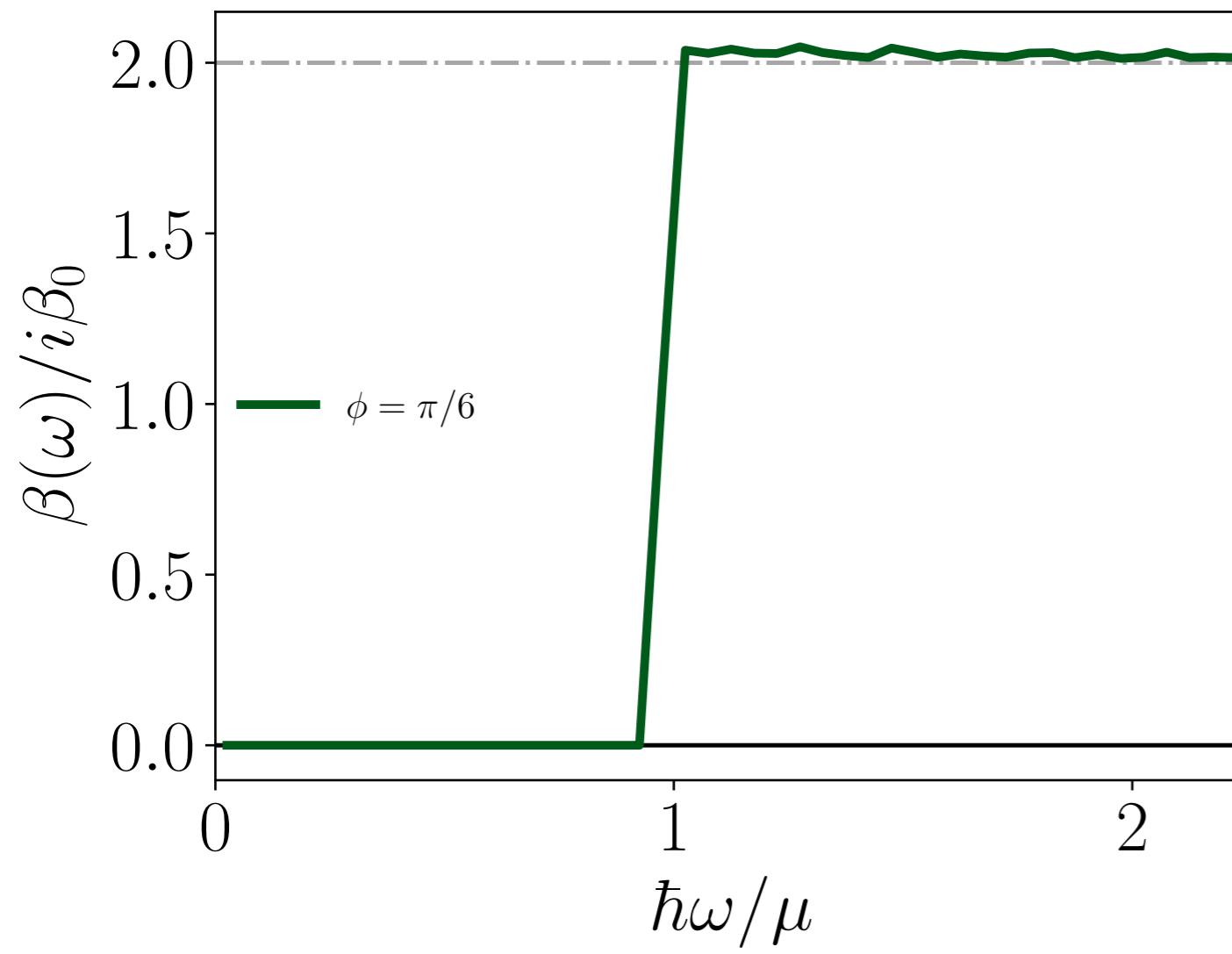
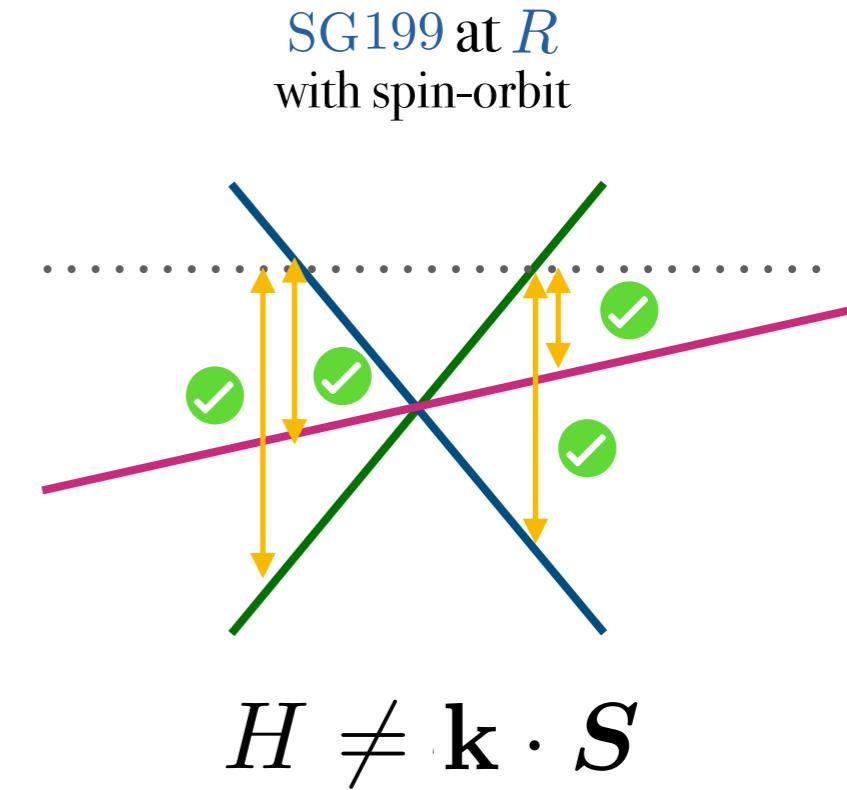
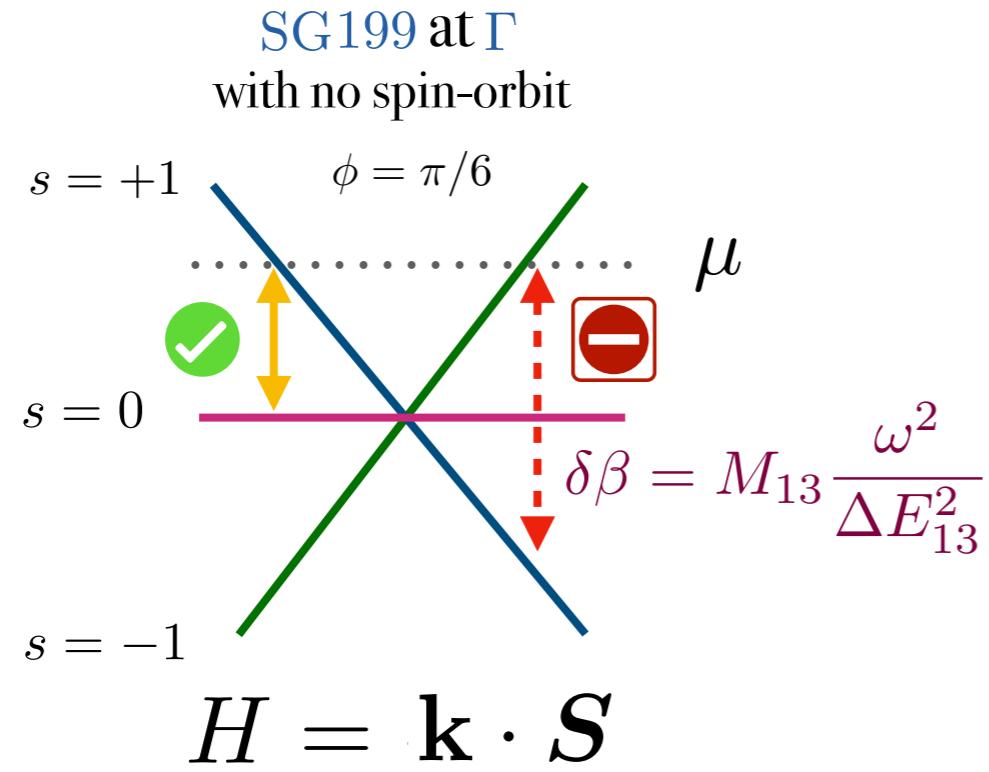
SG 195 – 199, 207 – 214

# Three-fold fermion



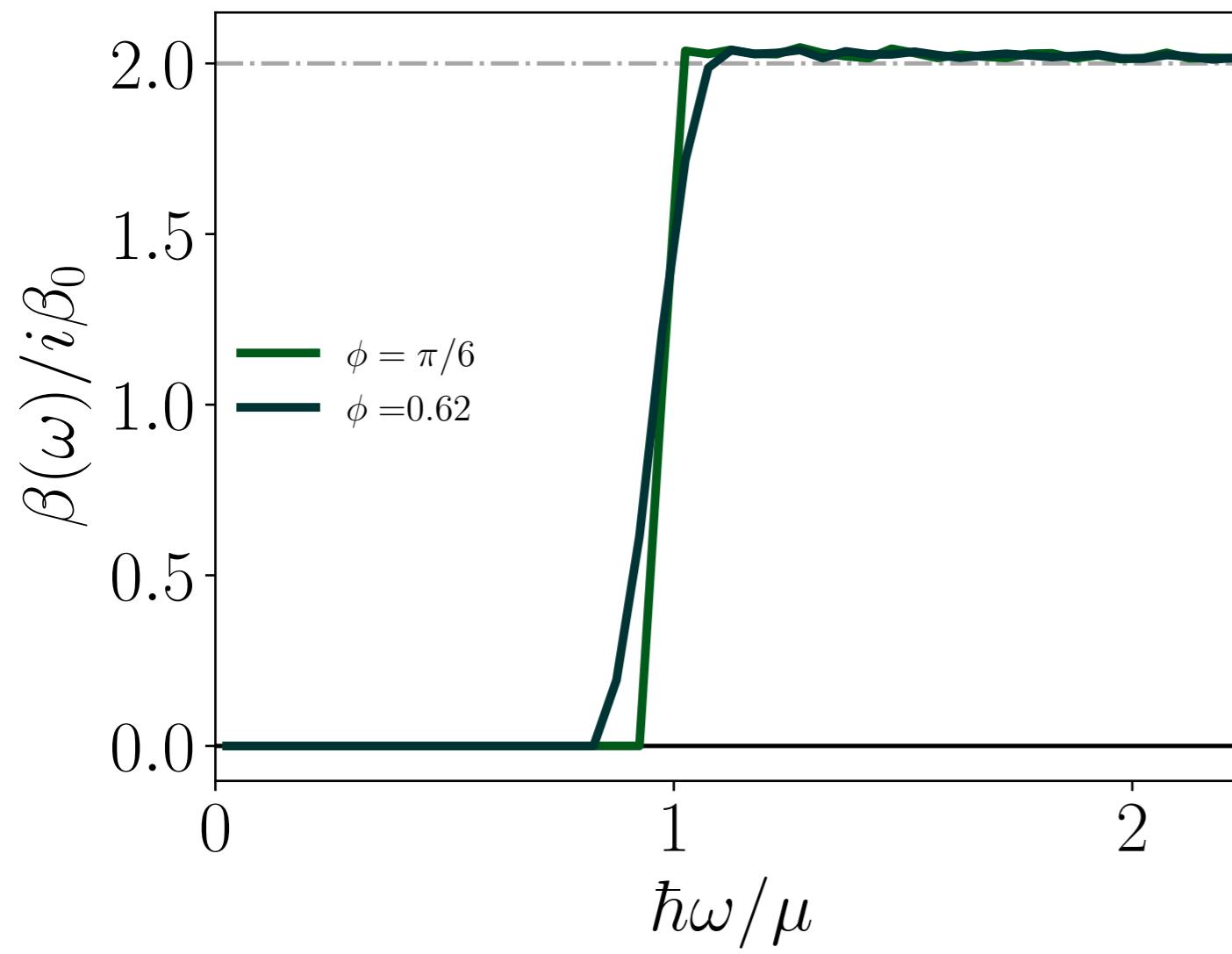
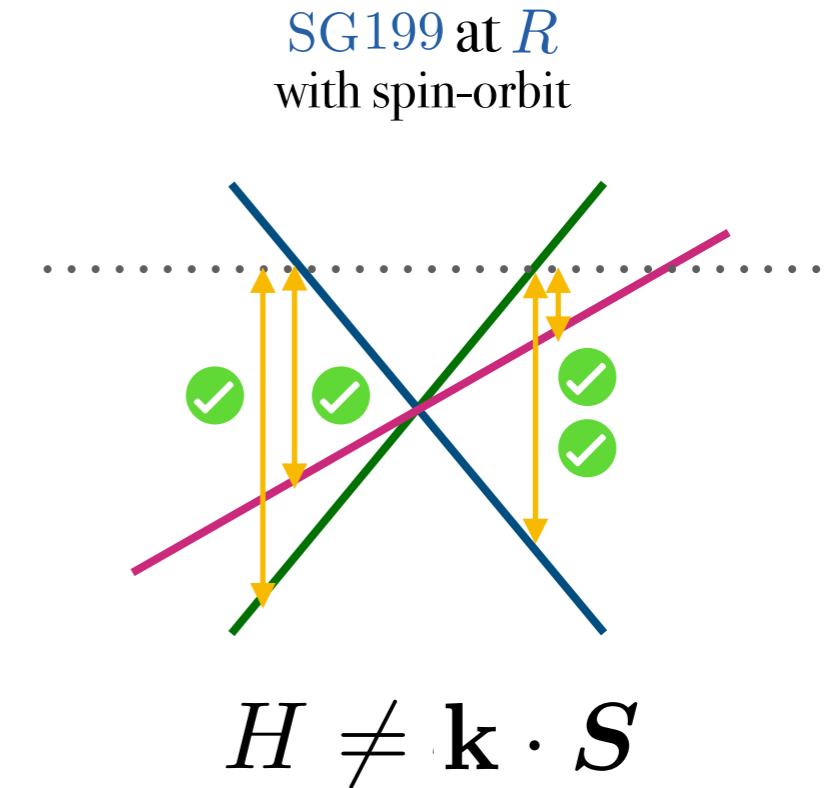
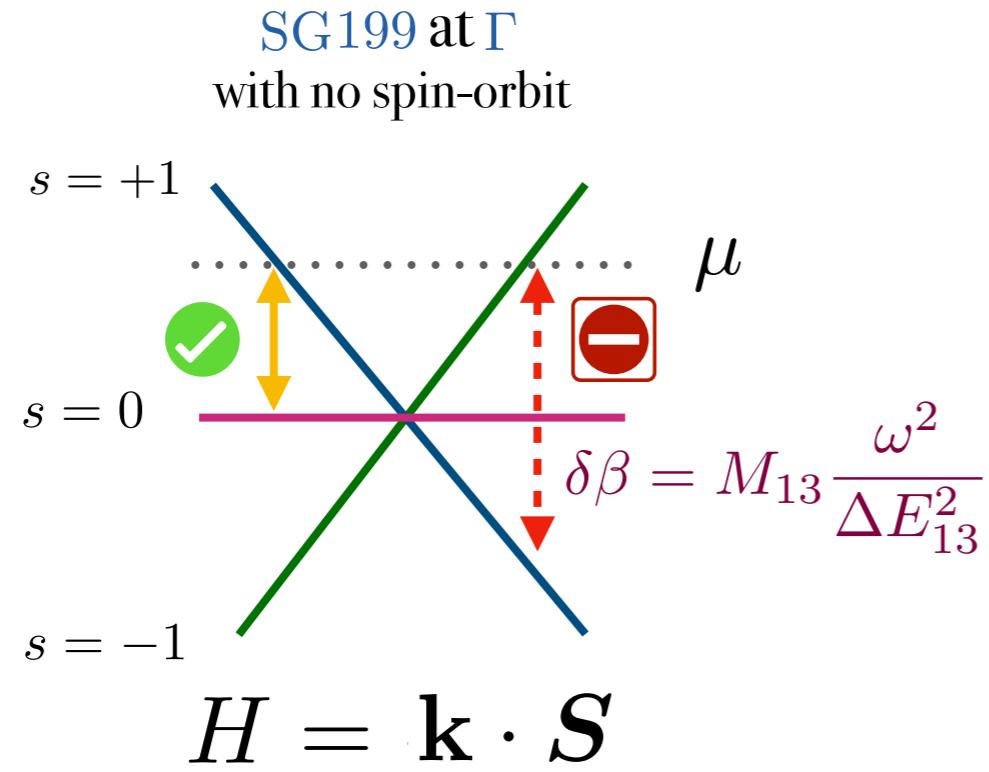
e.g. SG 199 at the  $\Gamma$  point with no spin-orbit

# Three-fold fermion



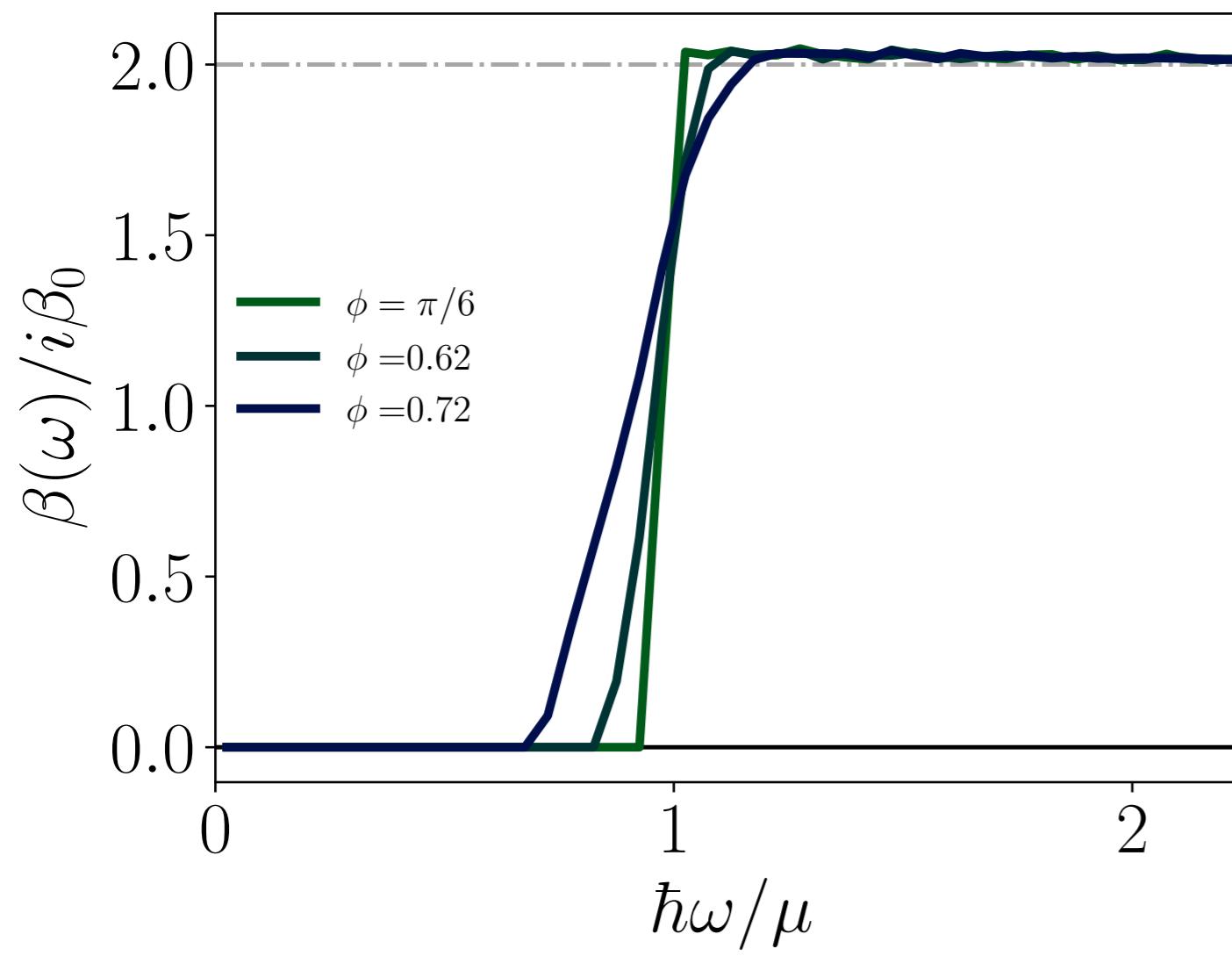
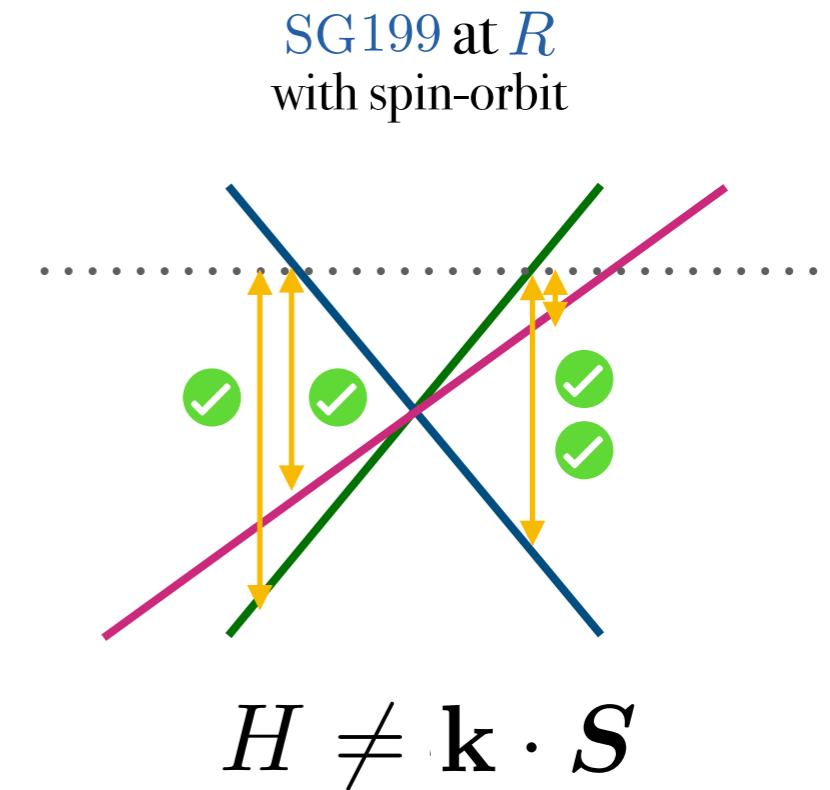
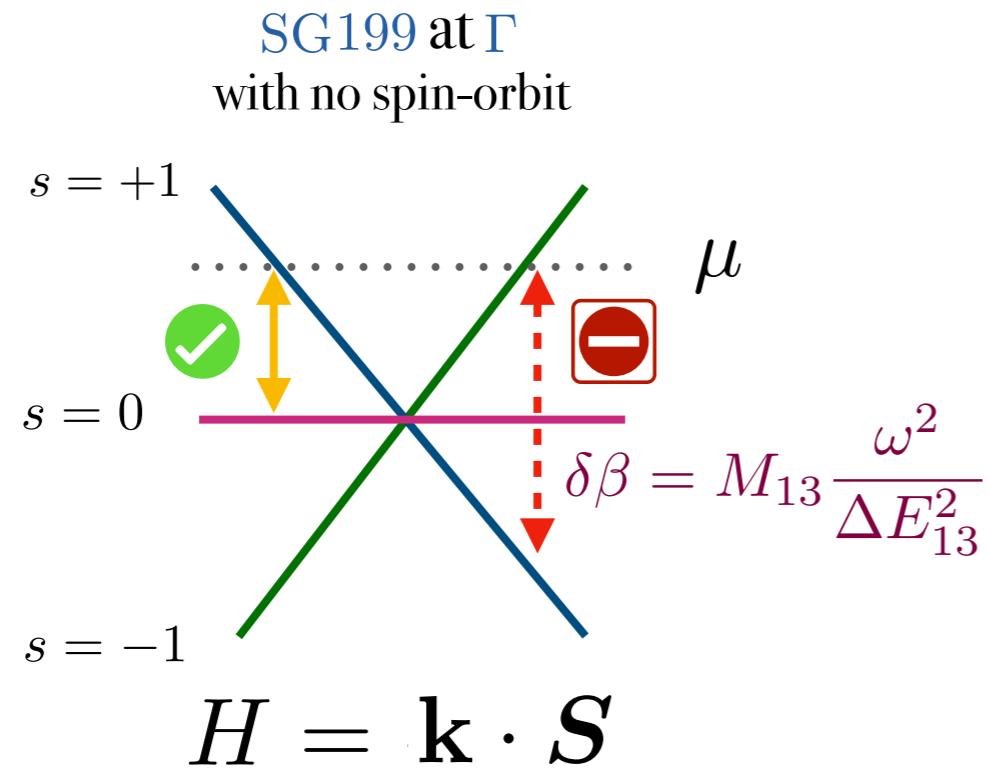
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# Three-fold fermion



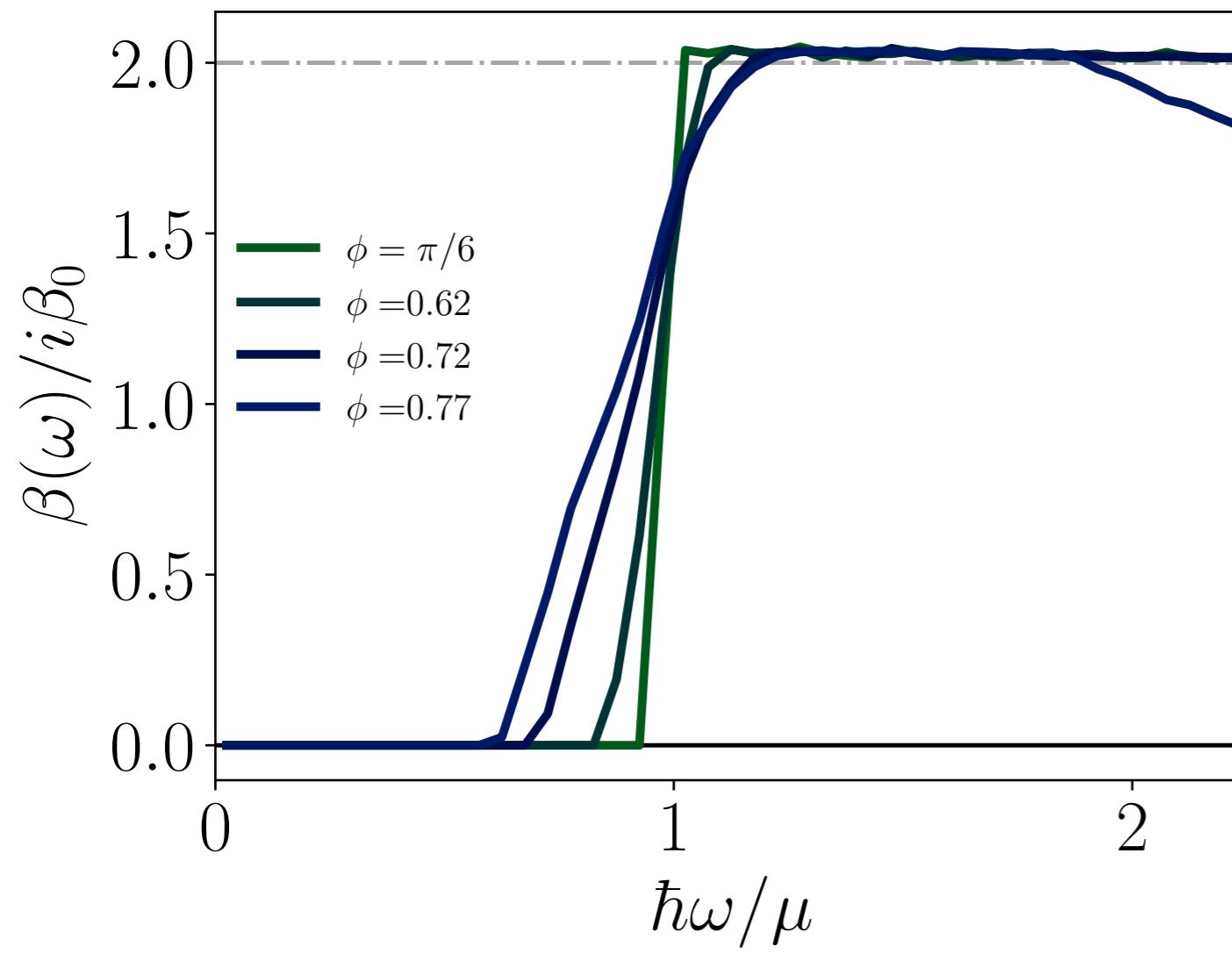
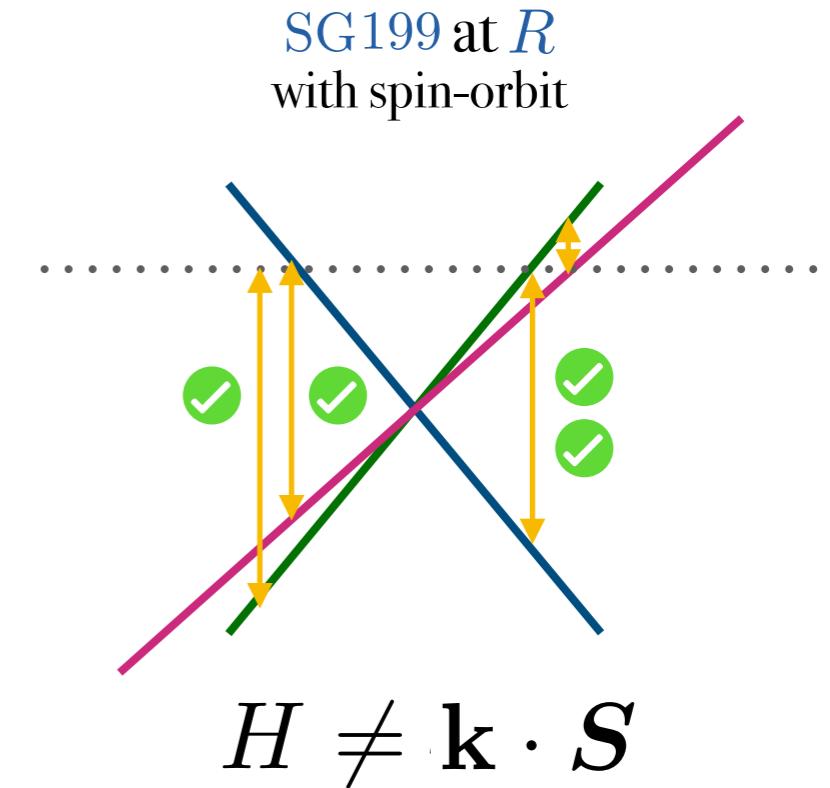
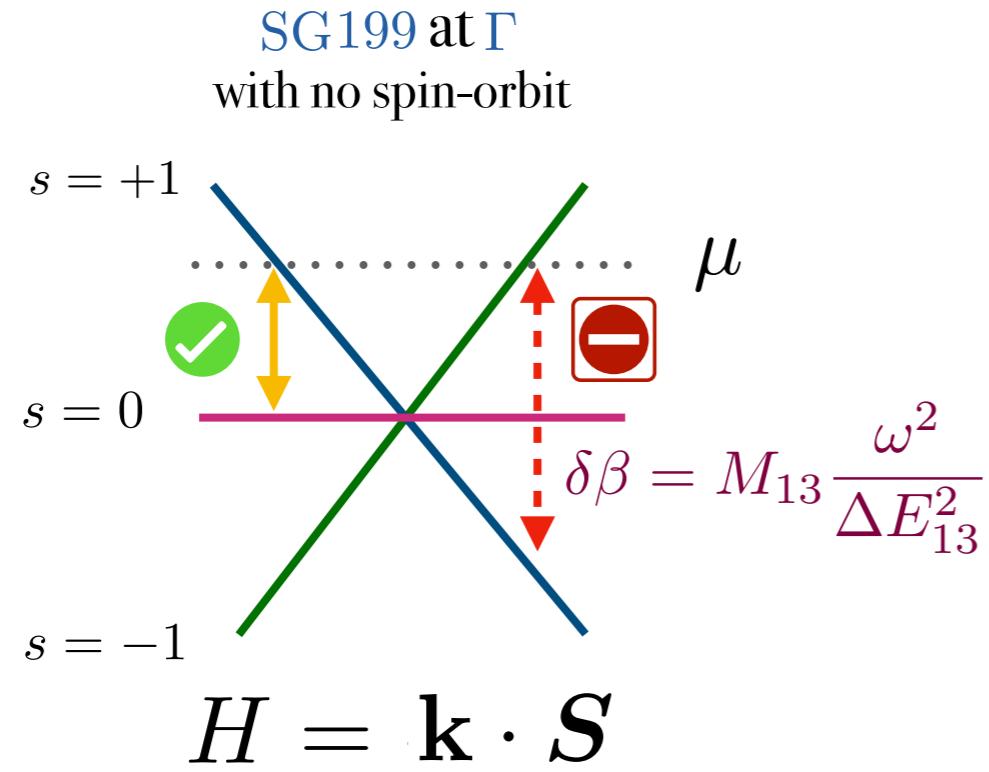
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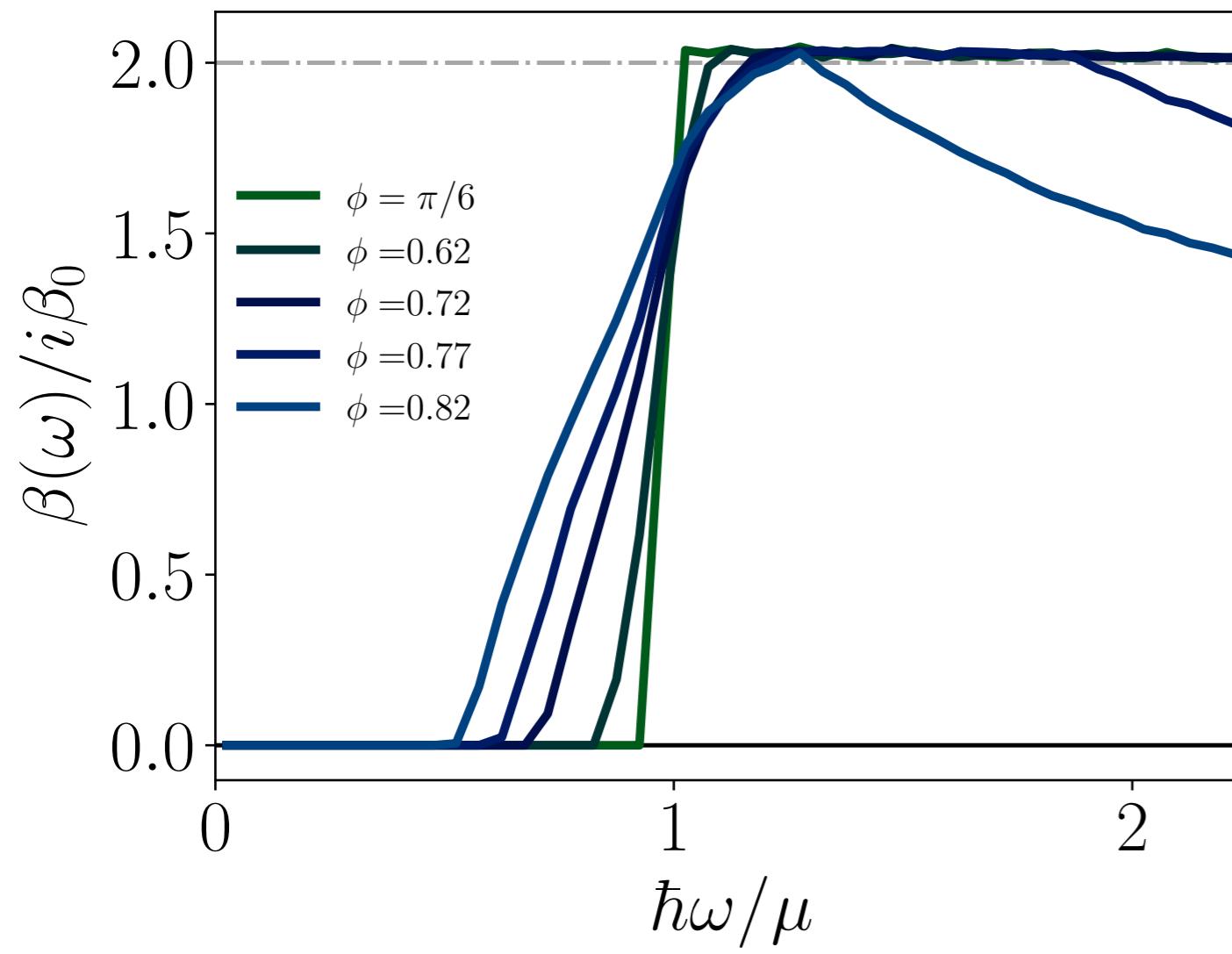
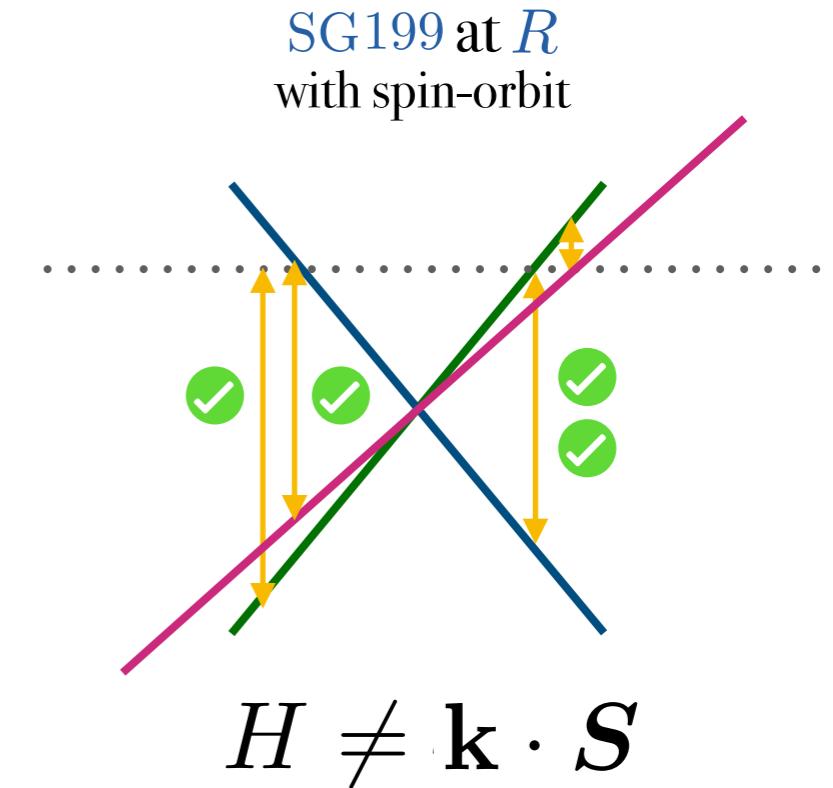
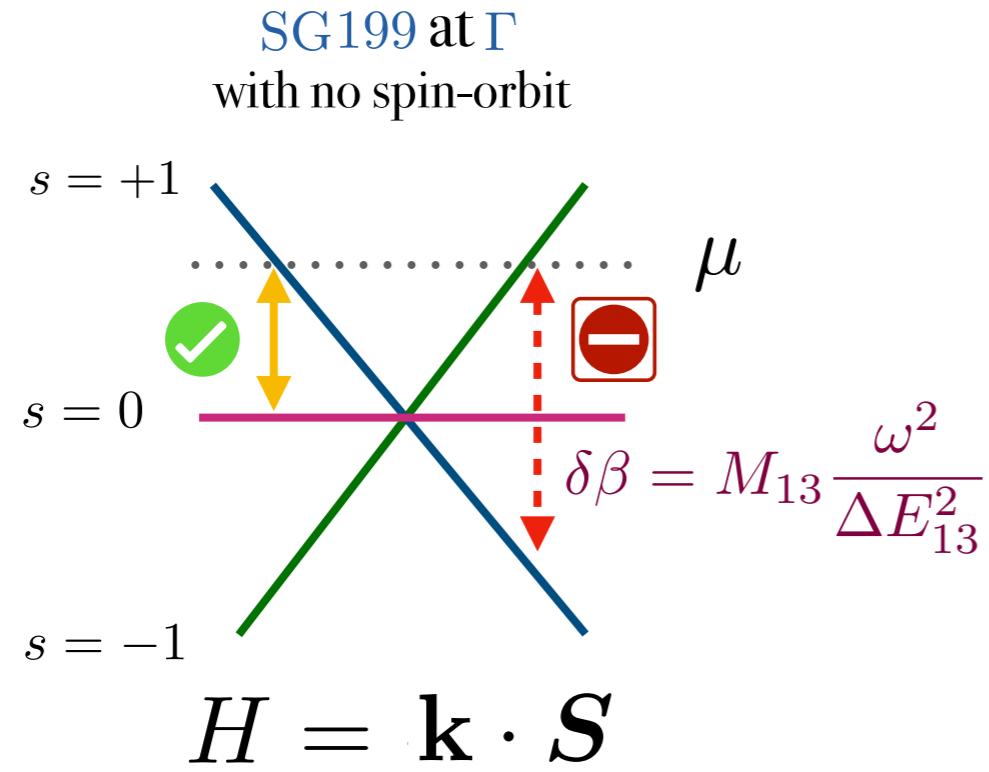
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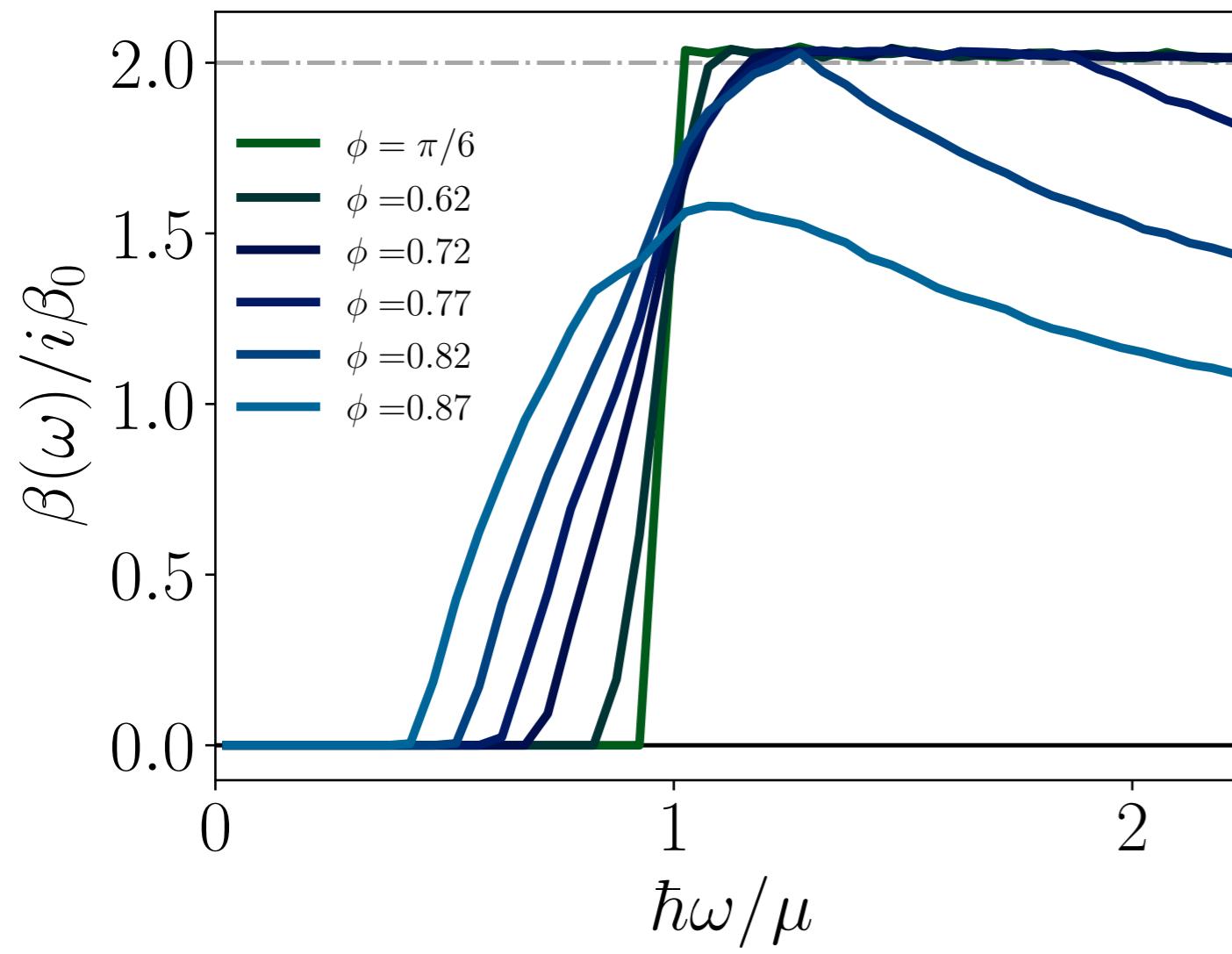
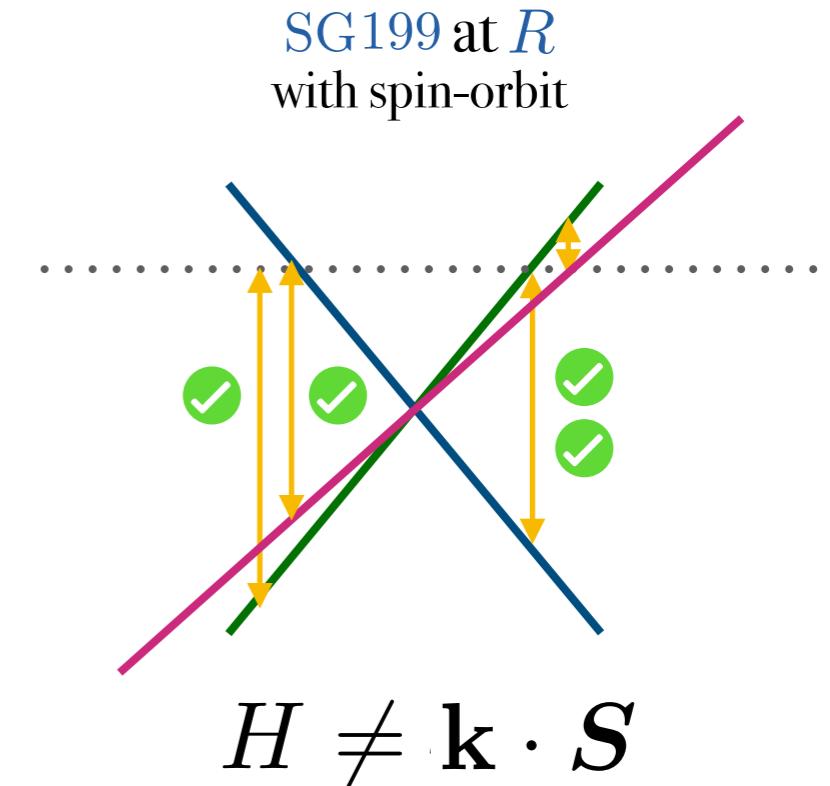
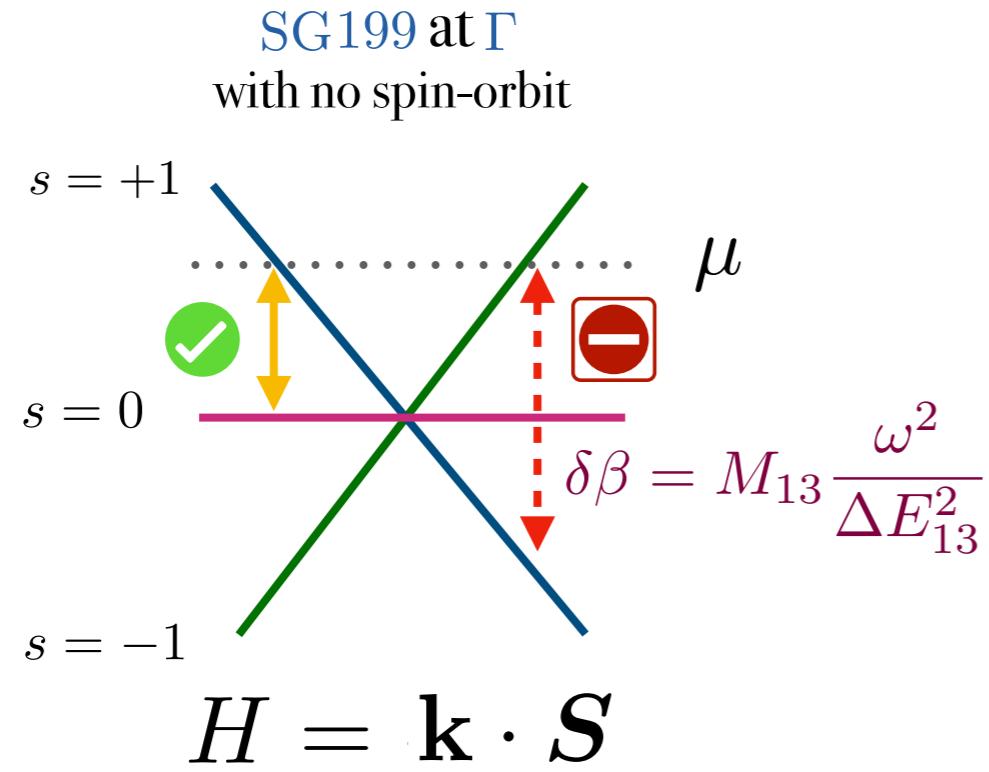
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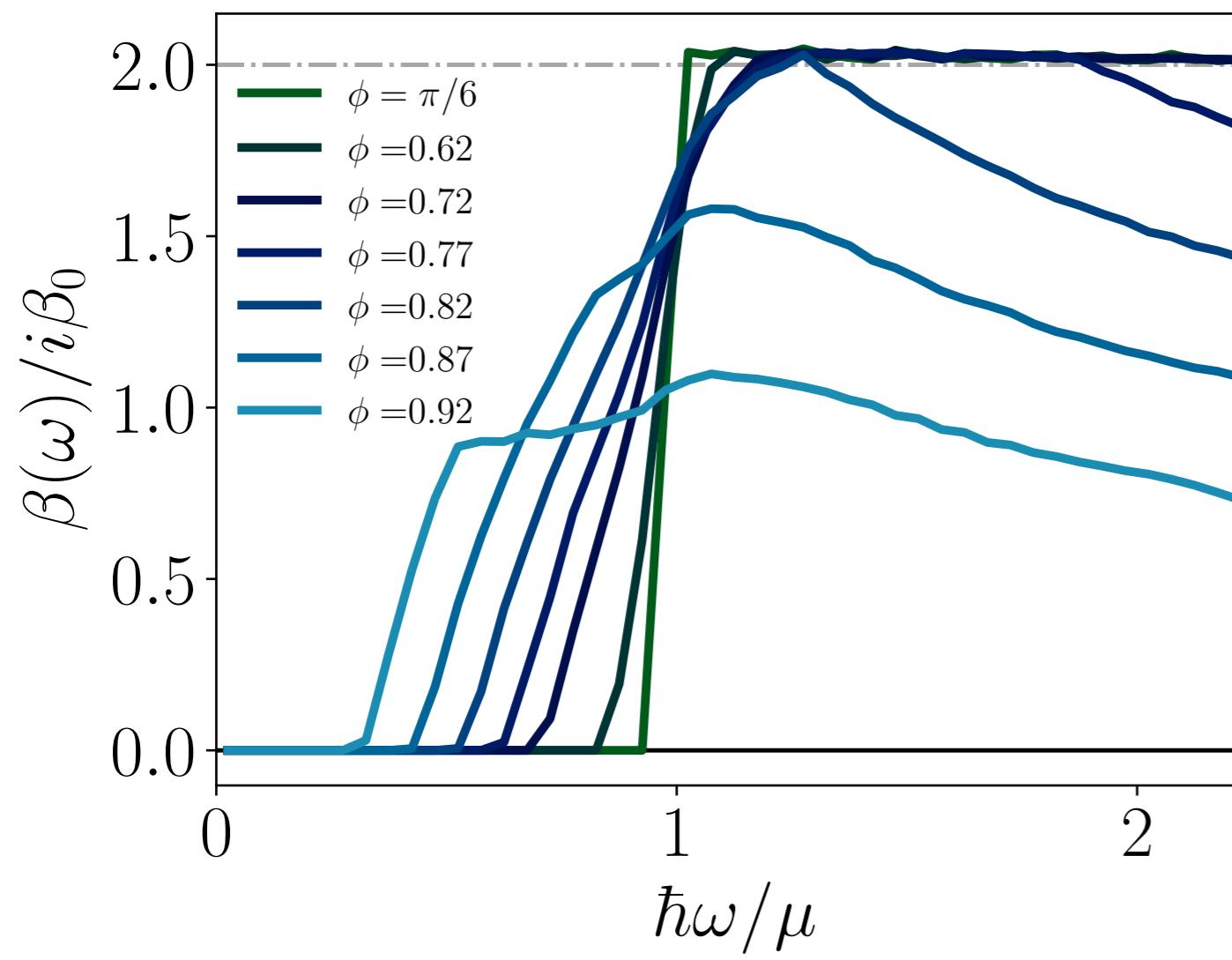
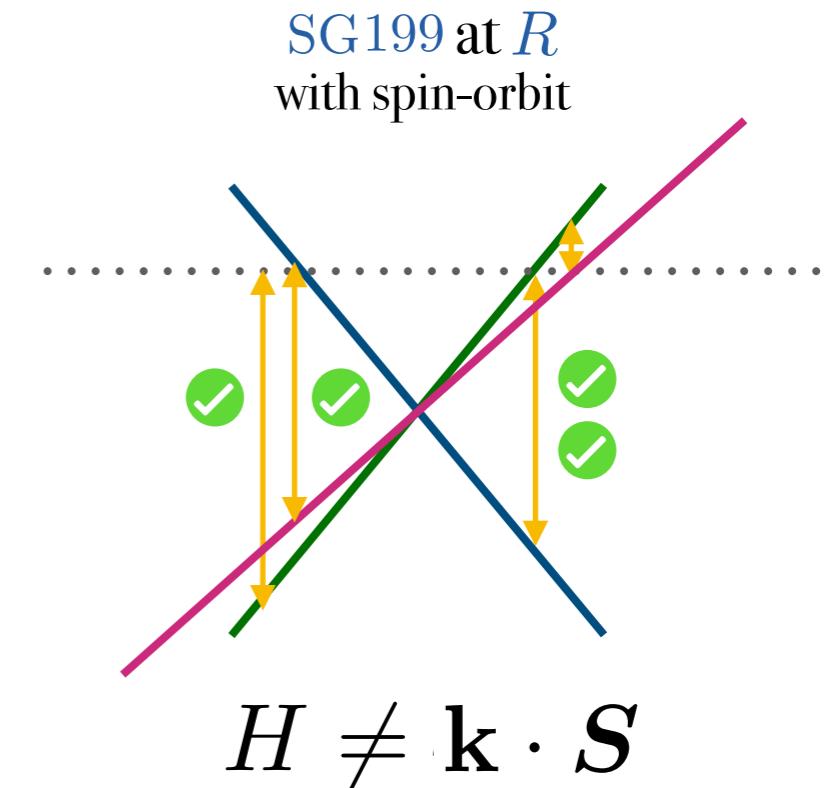
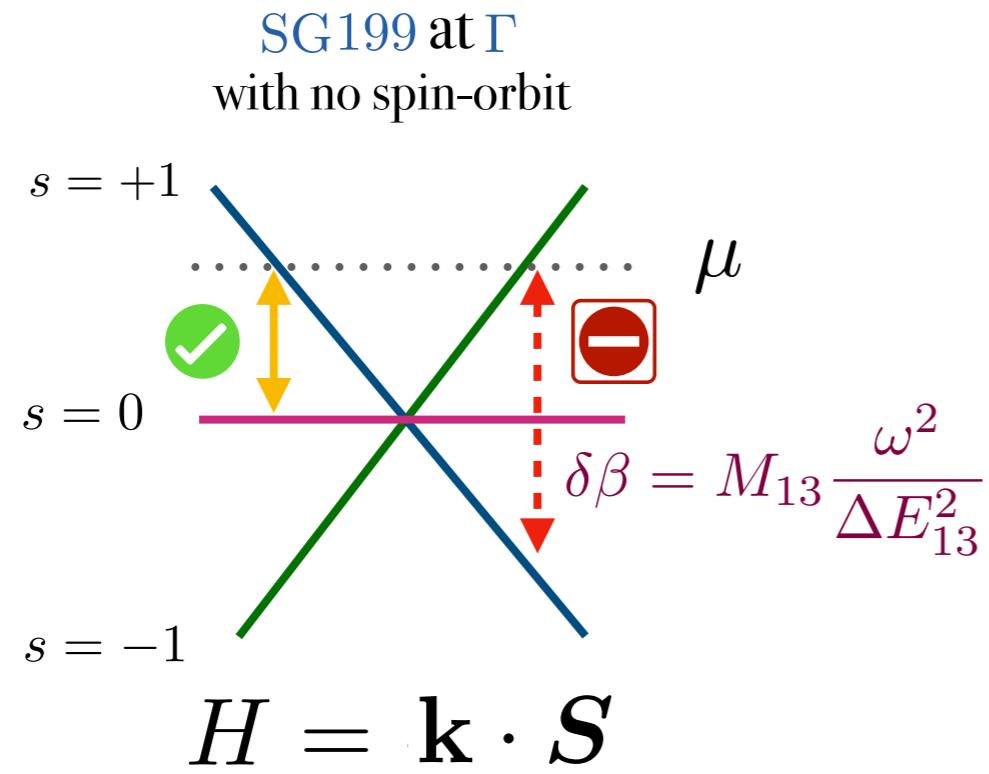
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# Three-fold fermion



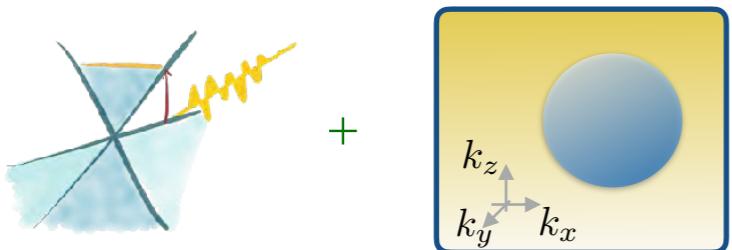
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# Conditions for plateaus and quantization

– the magic –

$$\text{Tr}[\beta] = 4\pi^2 \beta_0 \sum_{n,m} \int d\vec{S}_{nm} \cdot \vec{R}_{nm}$$

linear model + closed surfaces



$$= 4\pi^2 \beta_0 \int \frac{d\Omega}{(2\pi)^3} \bar{R}_{nm}$$

Sum rule

$$\Omega_n^c = i \sum_{m \neq n} R_{nm}^c$$

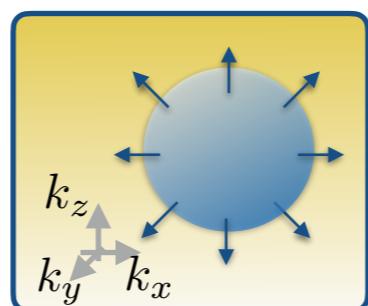
Sipe, Shkrebta (2000)

$$\frac{dj_i}{dt} = \beta_{ij}(\omega) (\mathbf{E} \times \mathbf{E}^*)_j$$

$$R_{nm}^j = \epsilon_{jkl} r_{nm}^k r_{mn}^l$$

$$\mathbf{r}_{\mathbf{k},nm} = i \langle n | \partial_{\mathbf{k}} | m \rangle$$

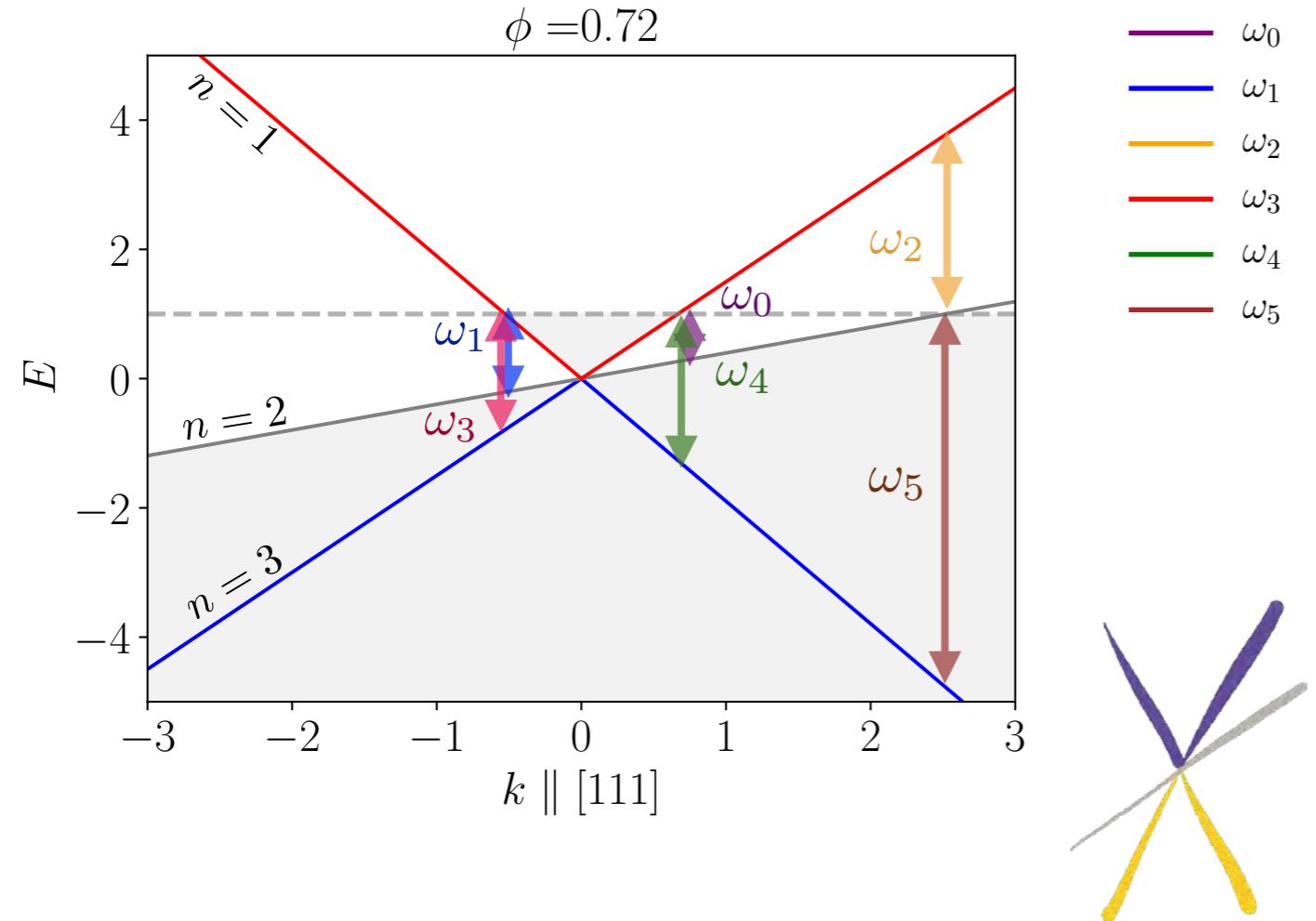
**plateau  
(non-universal)**



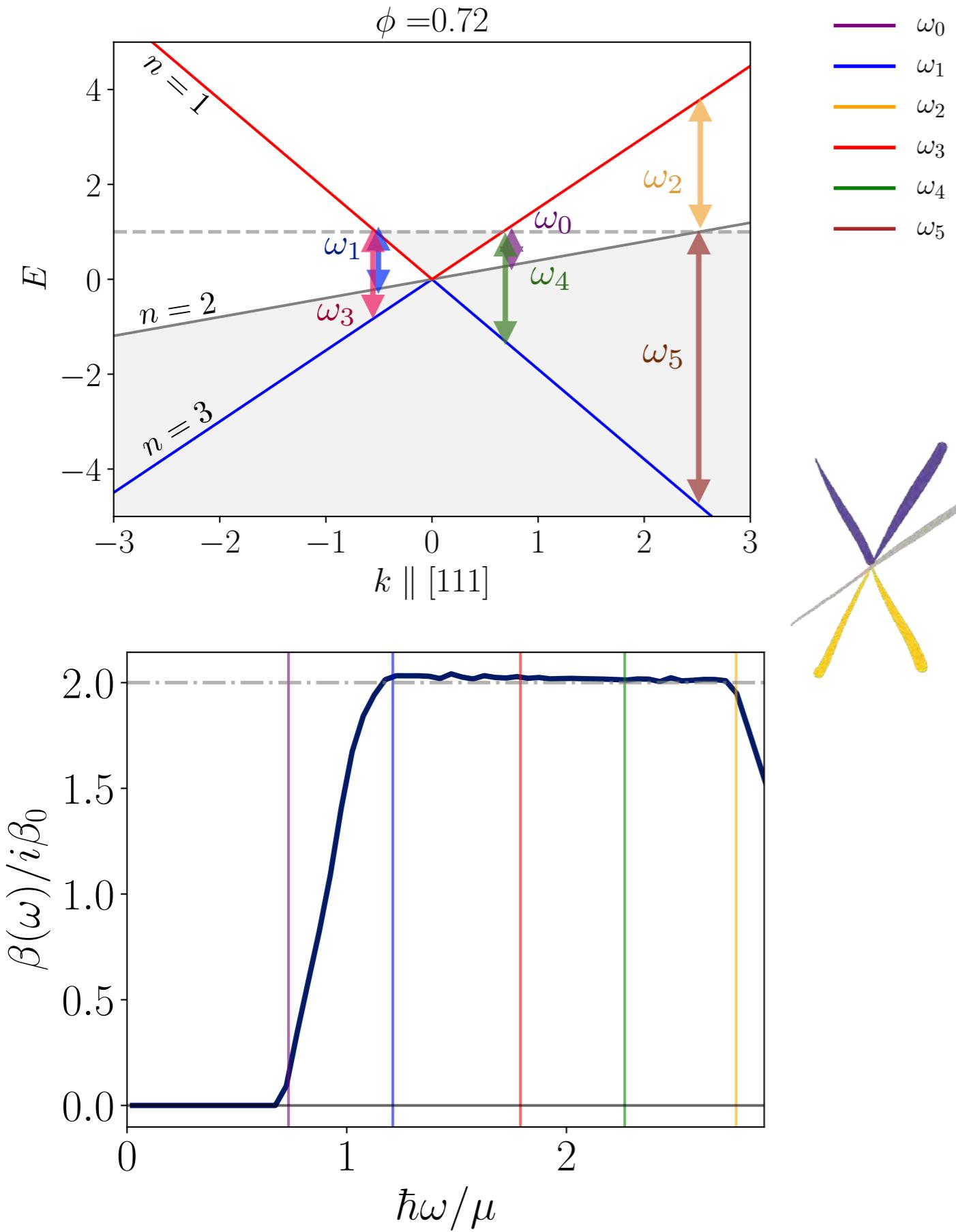
**plateau (universal)**

$$\beta(\omega) = 4\pi^2 \beta_0 C_\Sigma$$

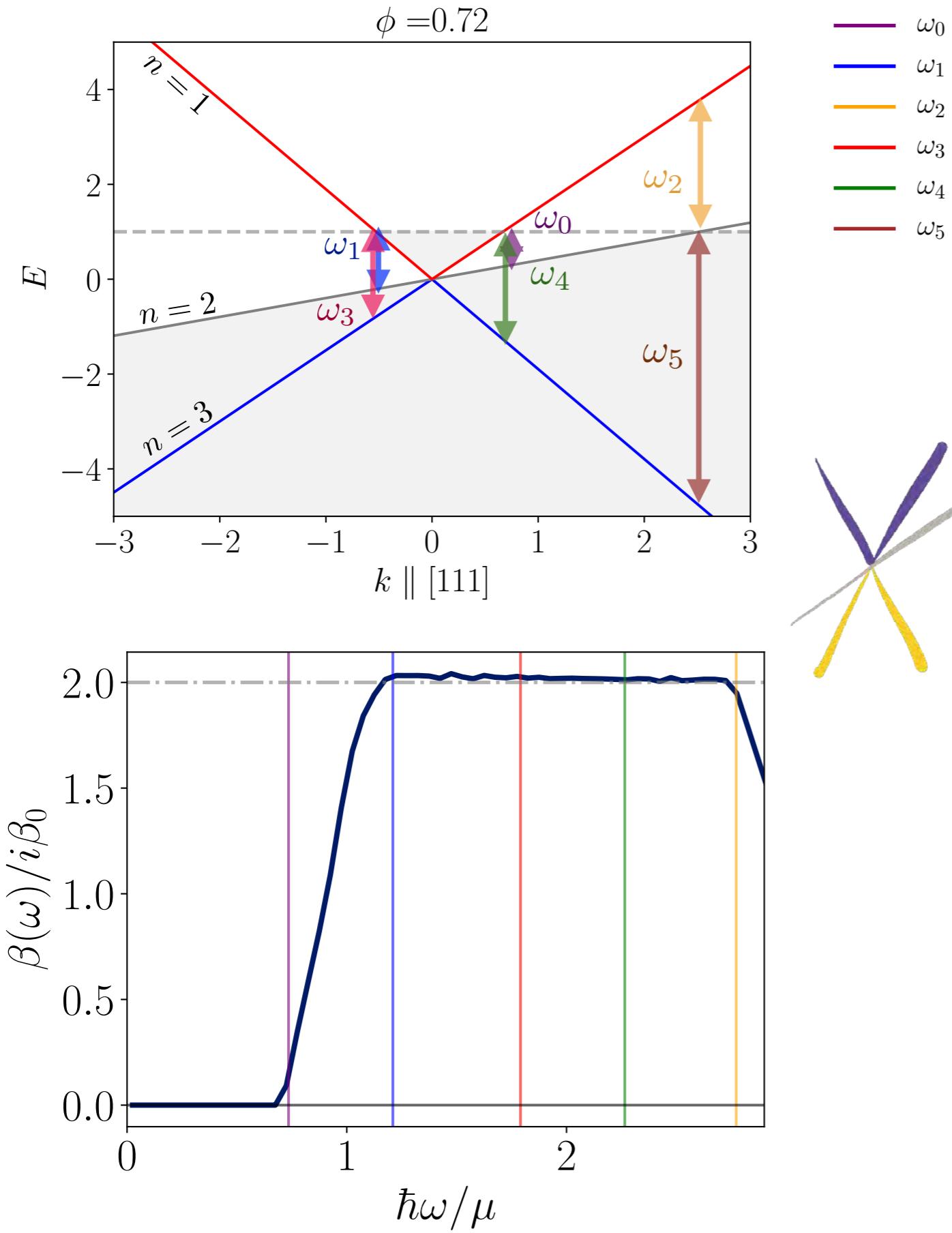
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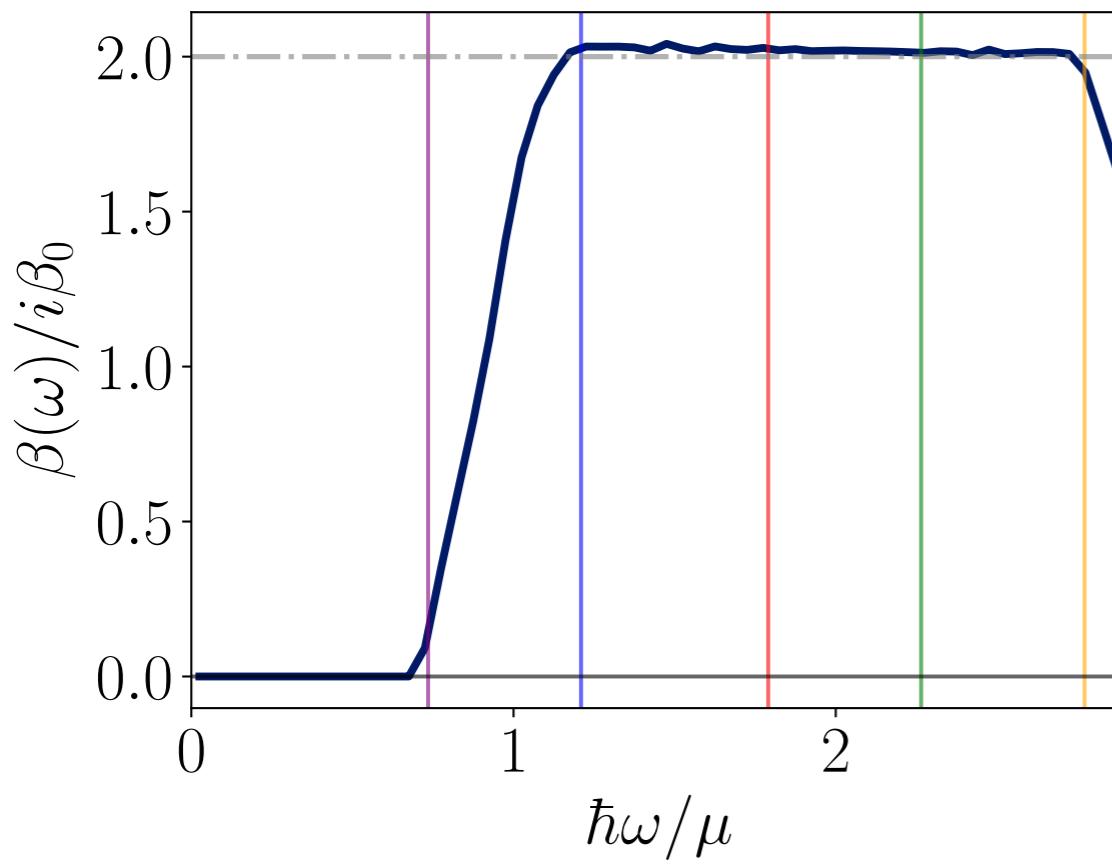
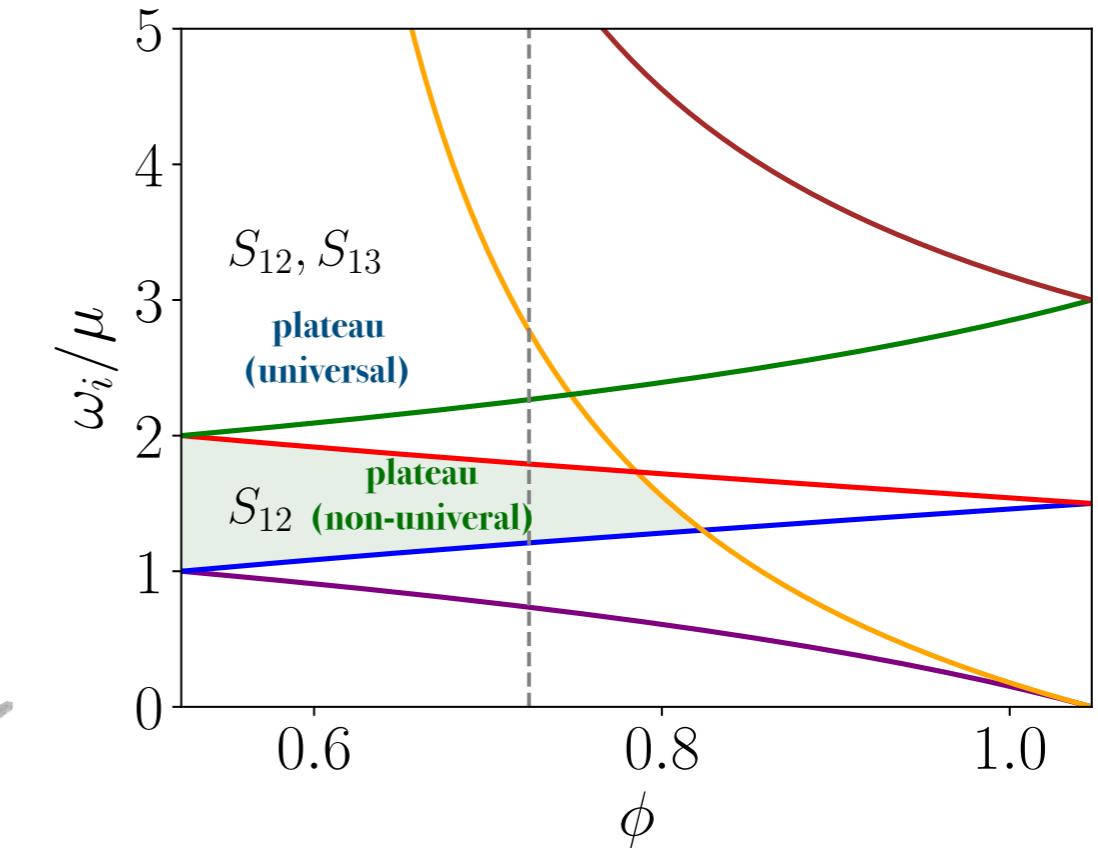
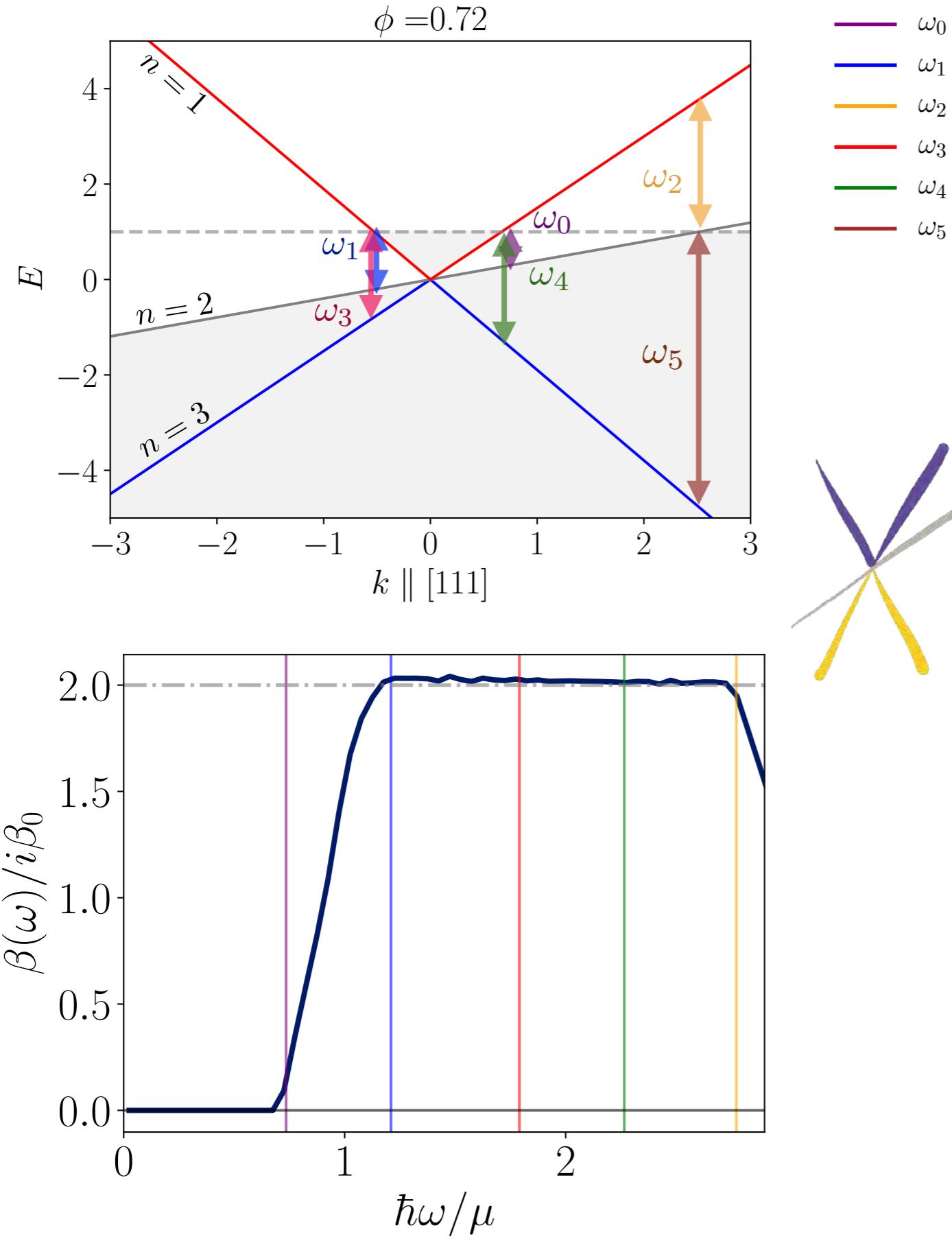
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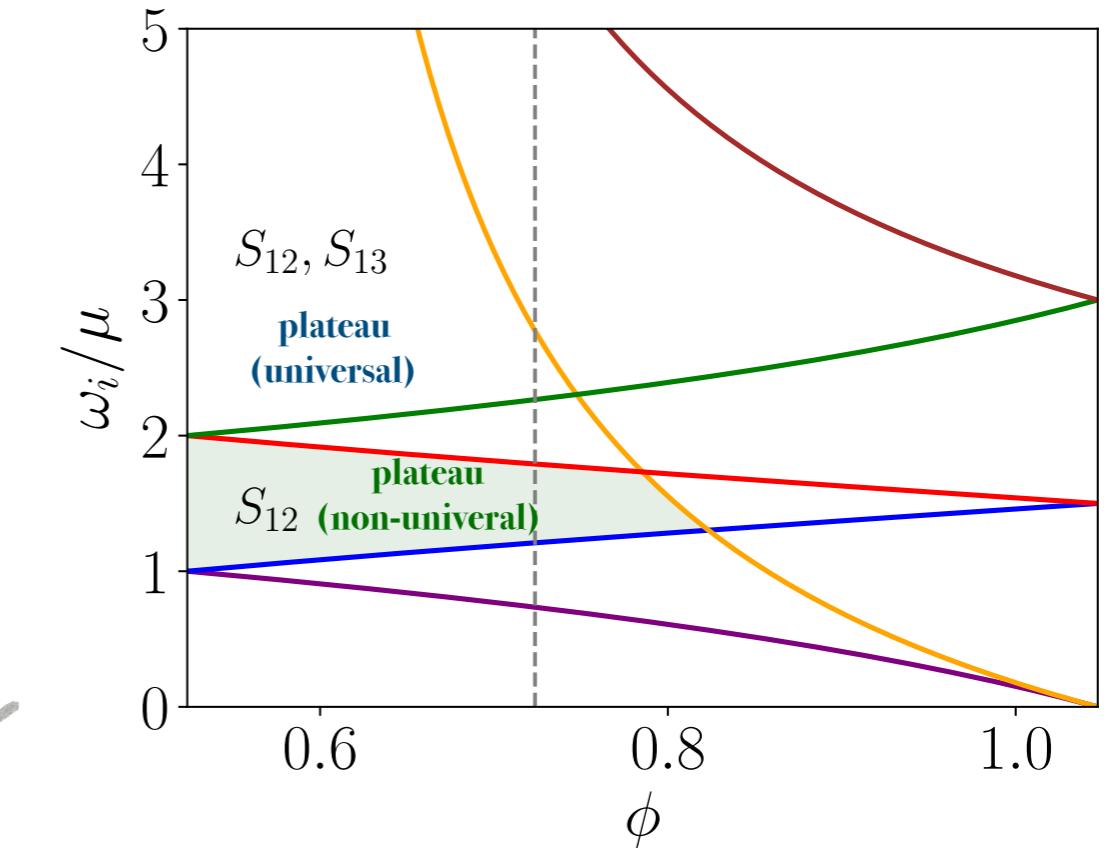
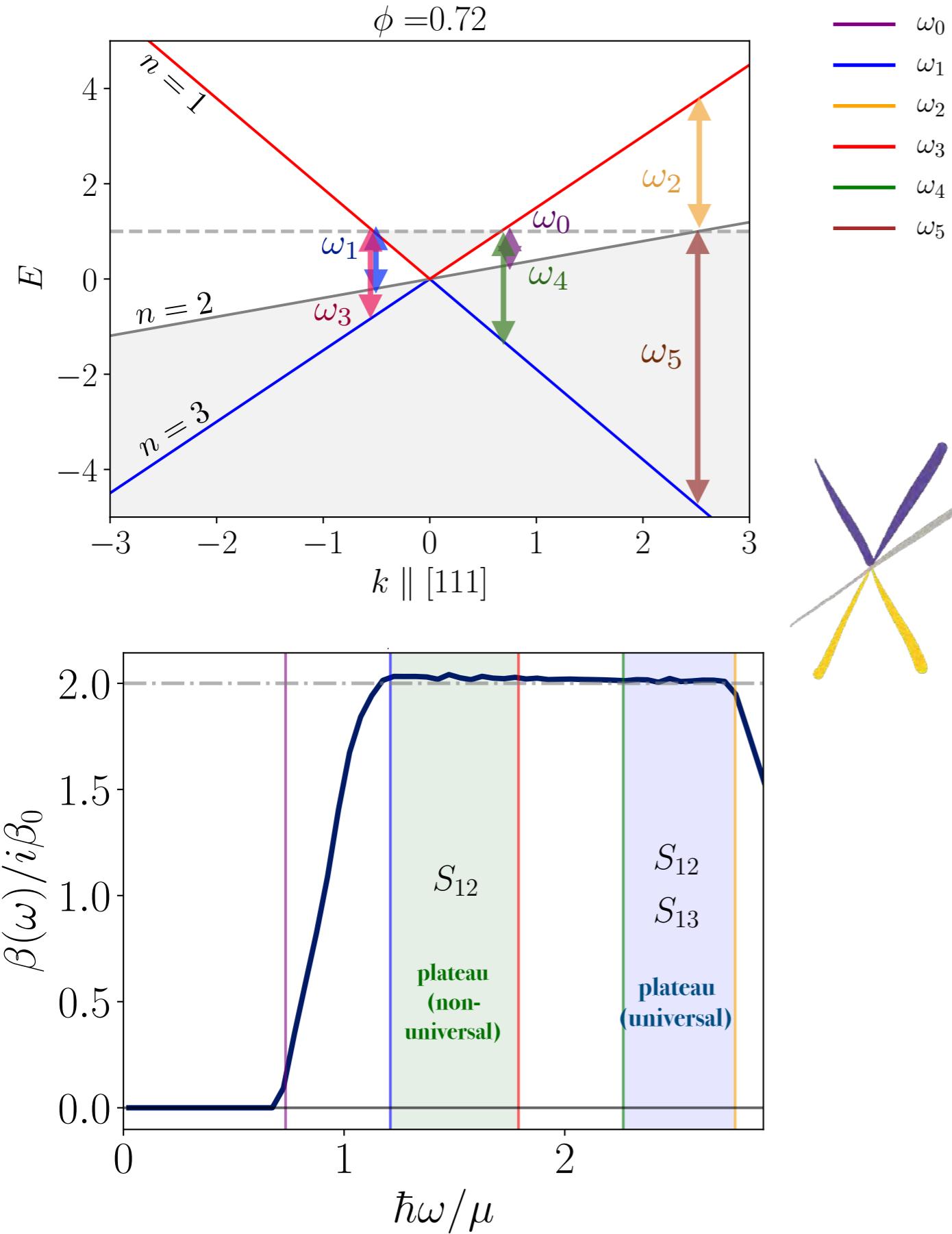
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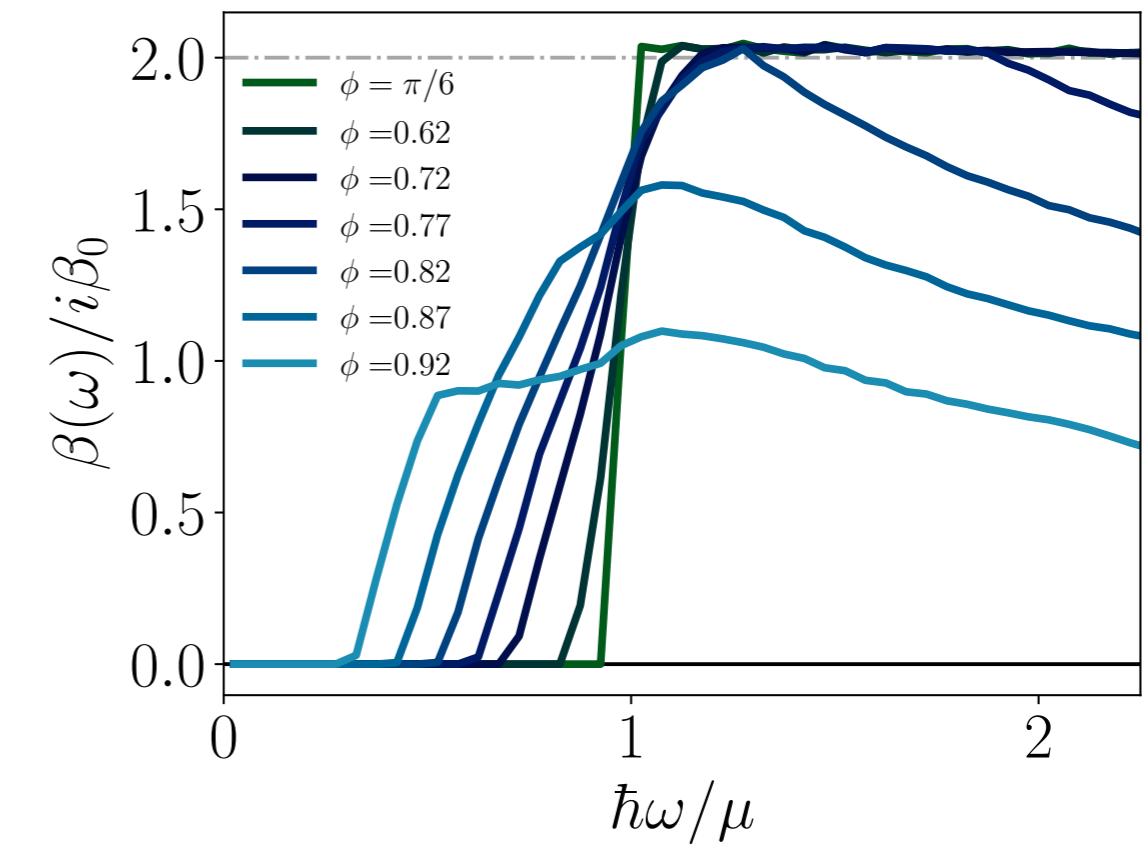
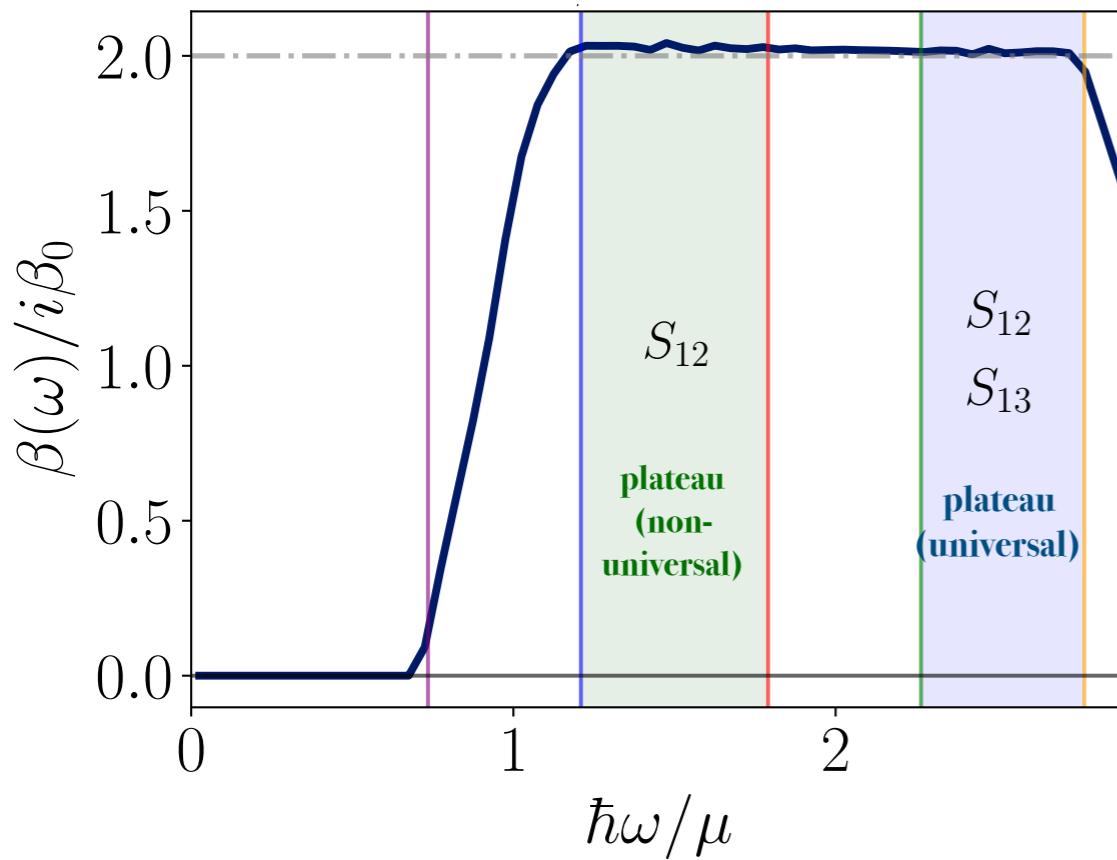
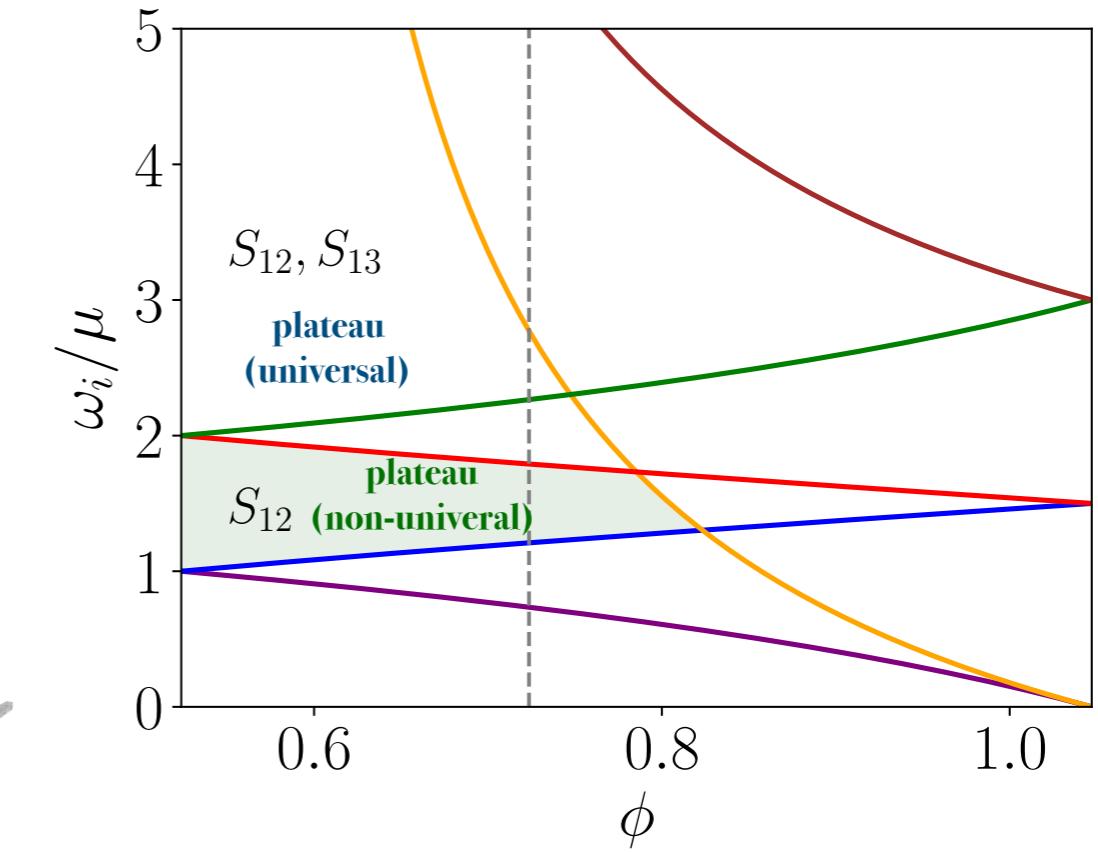
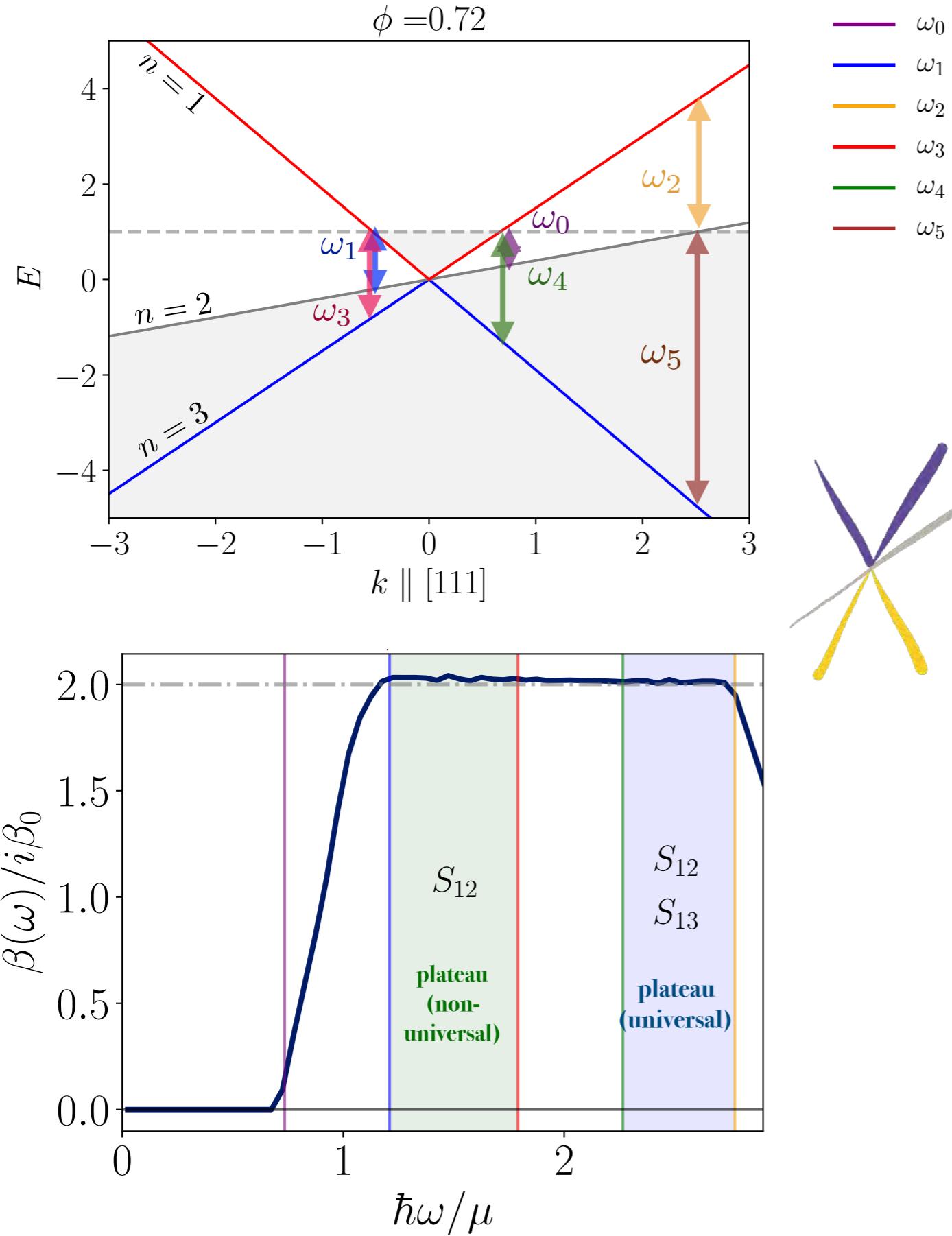
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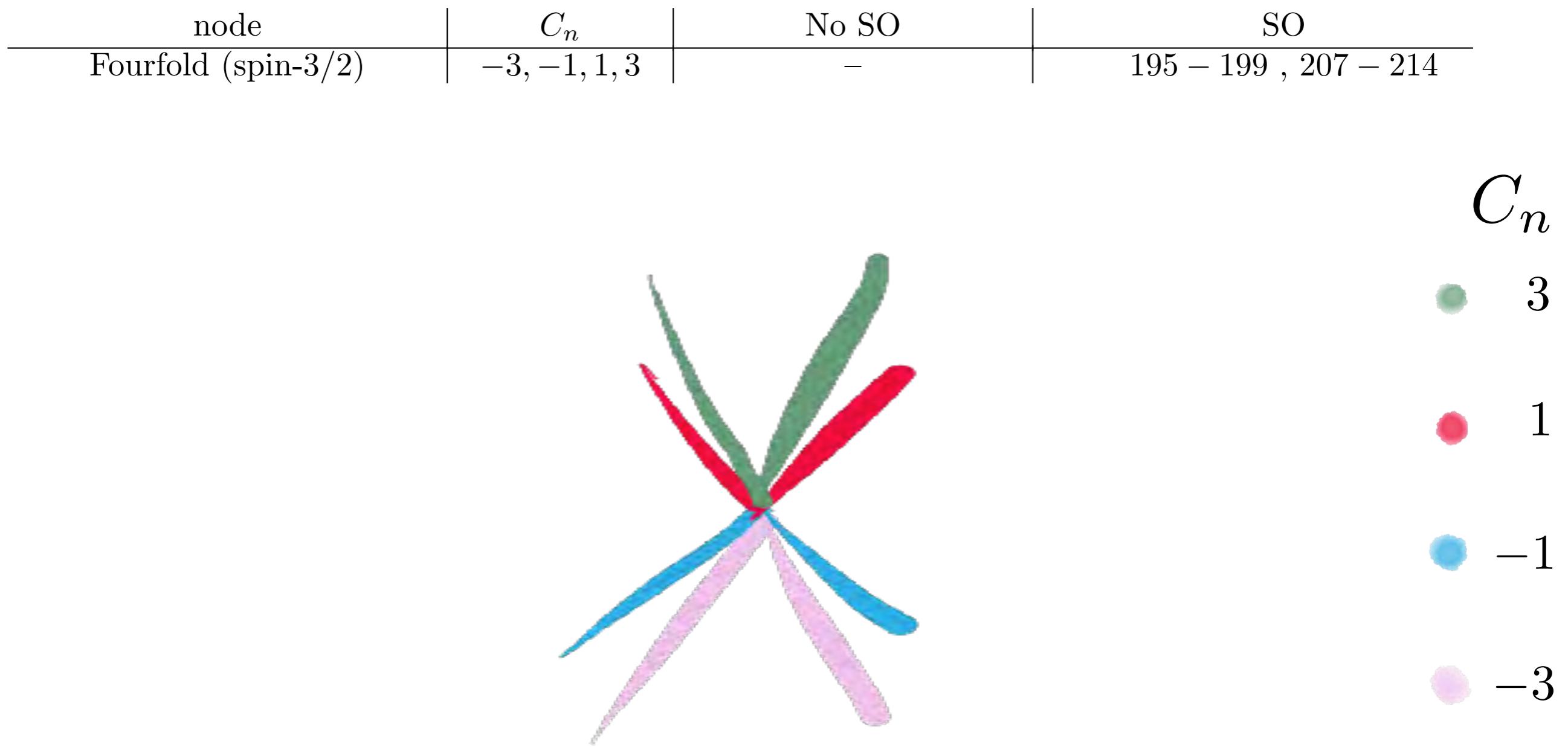
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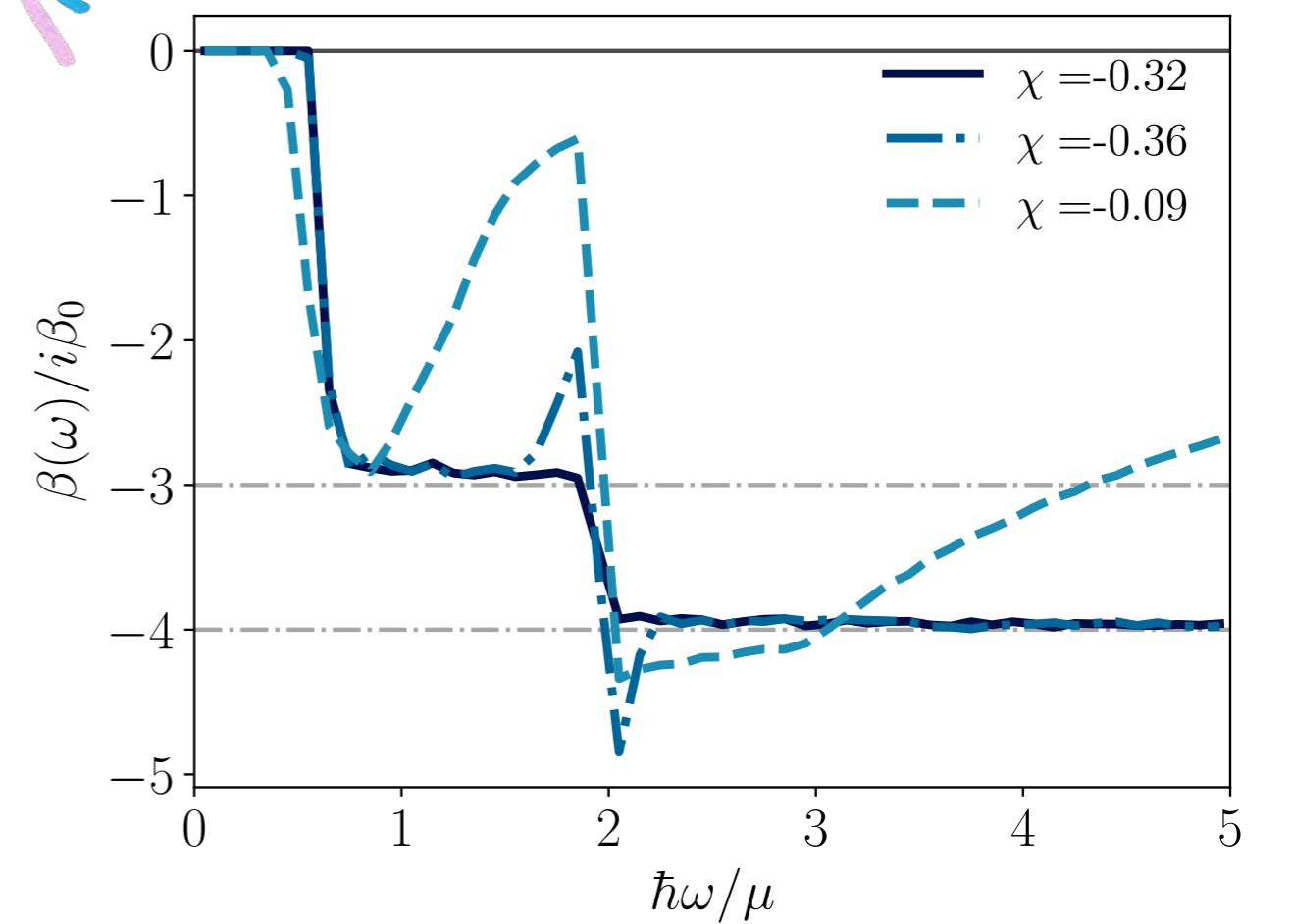
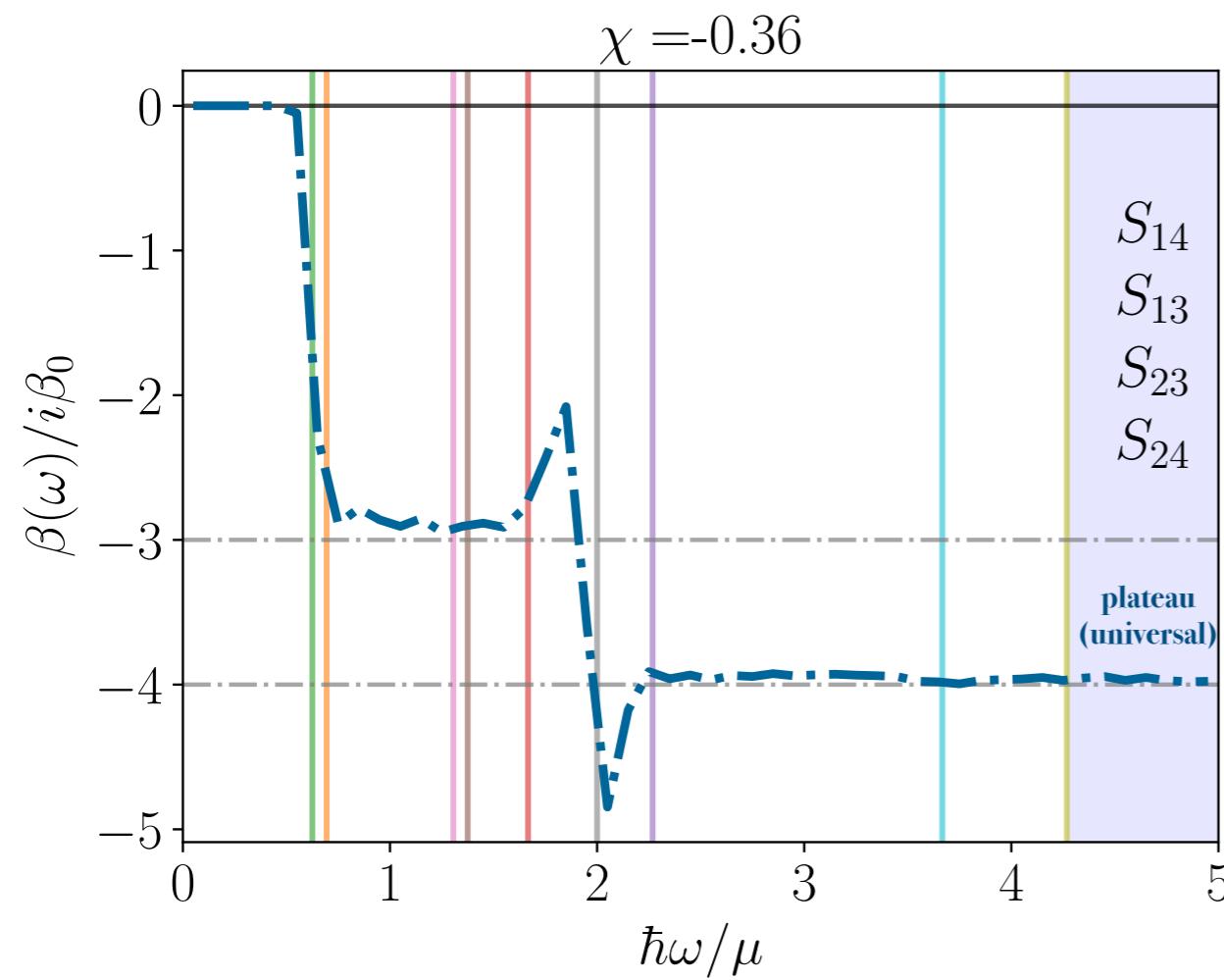
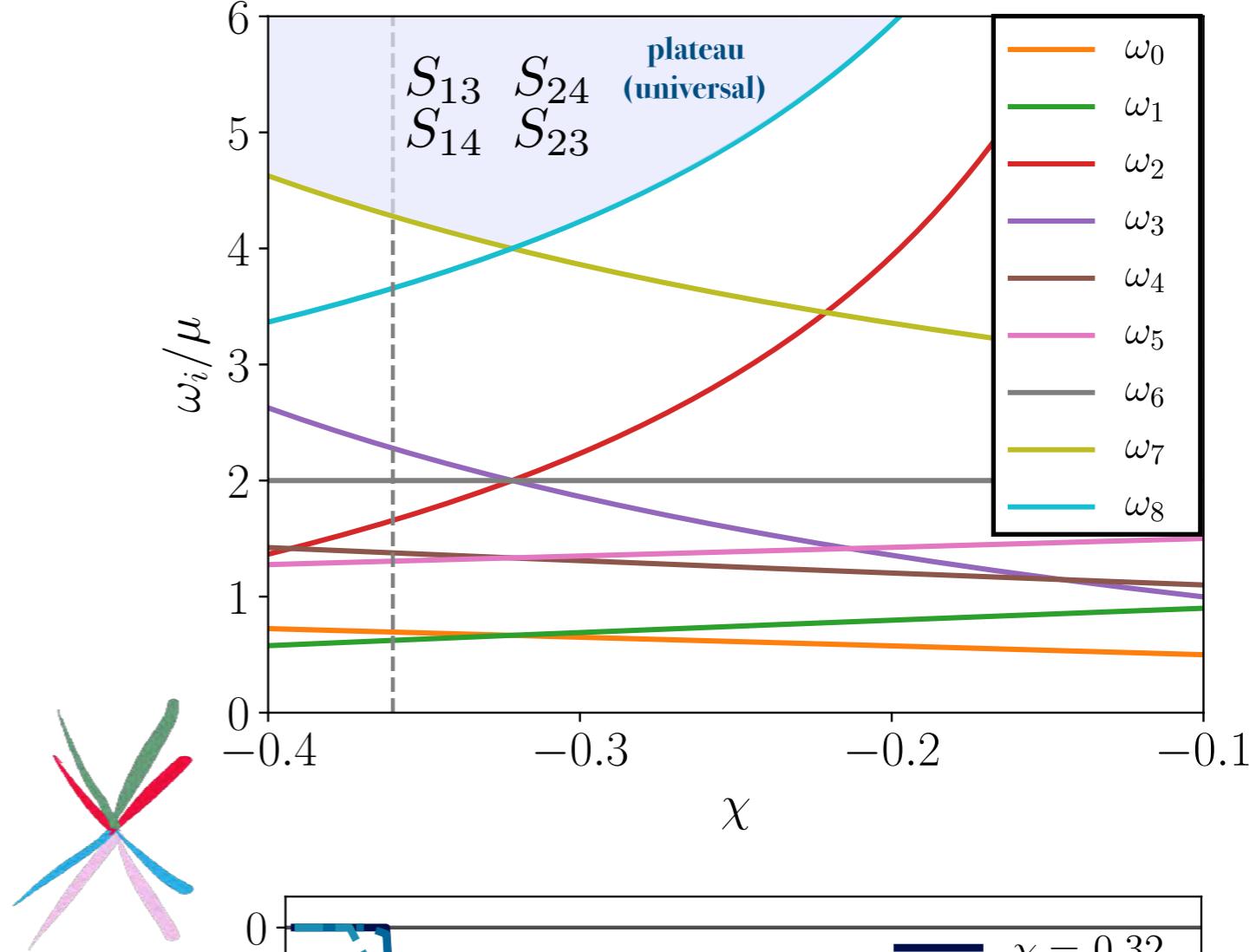
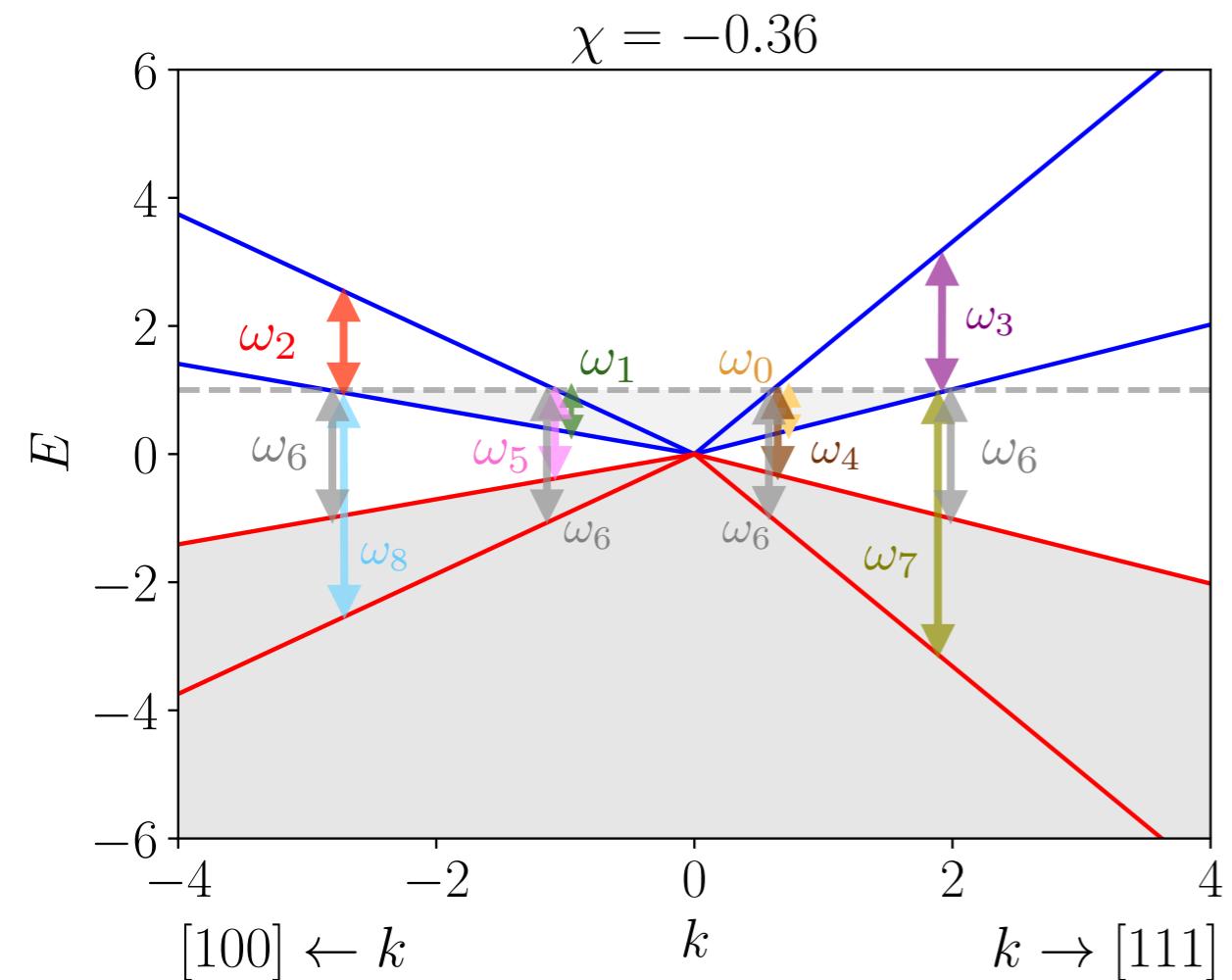
# Three-fold fermion



# Four-fold fermion

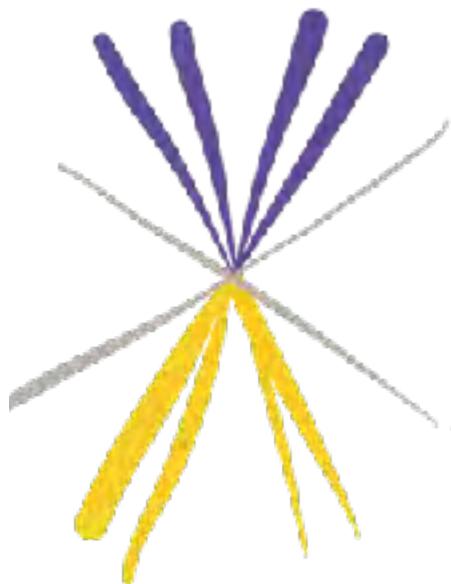


$$H = \mathbf{k} \cdot \mathbf{S}$$



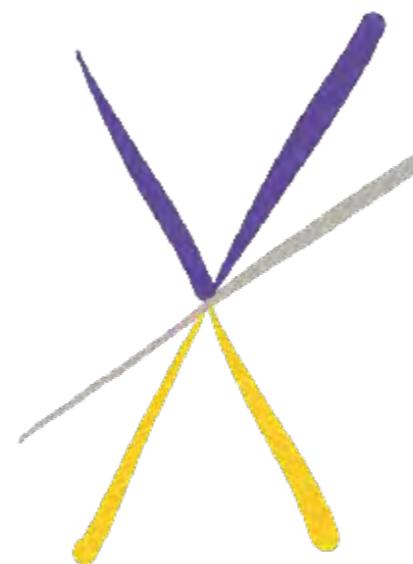
# The rest of chiral multifolds

Sixfold

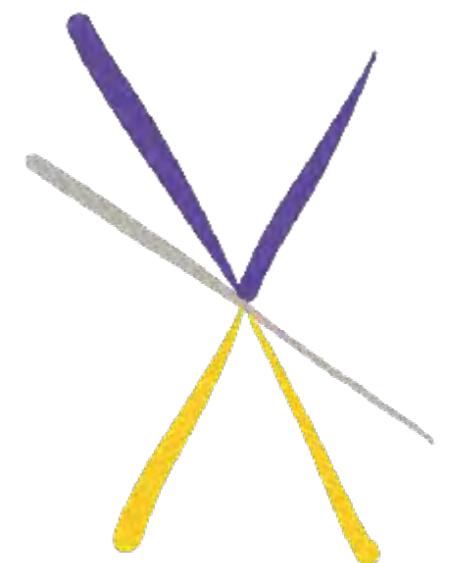


unitary  
transformation  
=

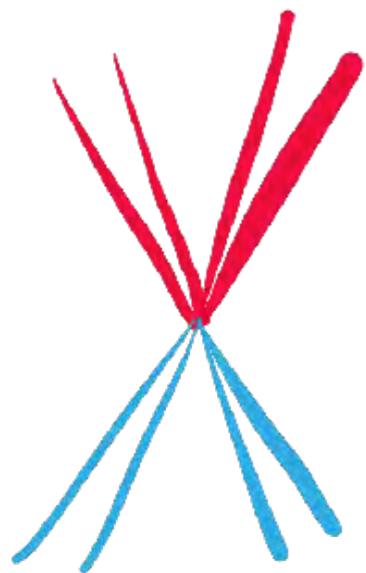
Threefold



Threefold

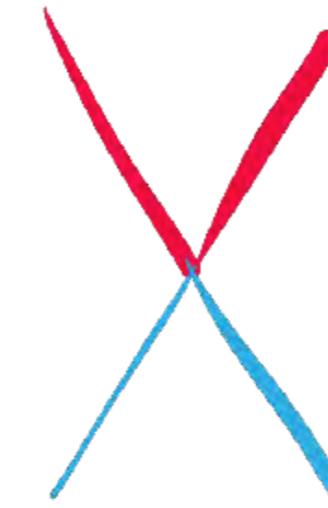


Fourfold

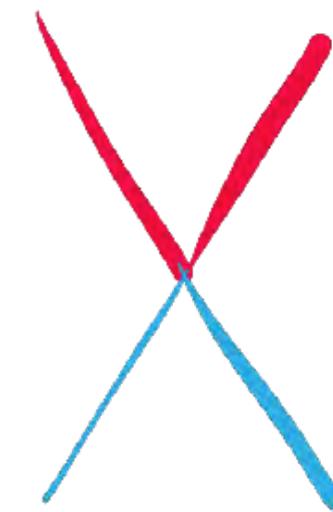


unitary  
transformation  
=

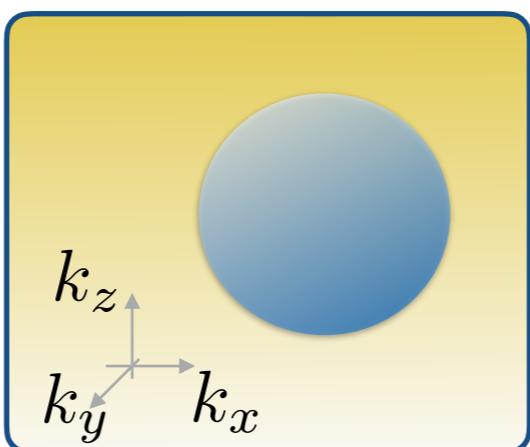
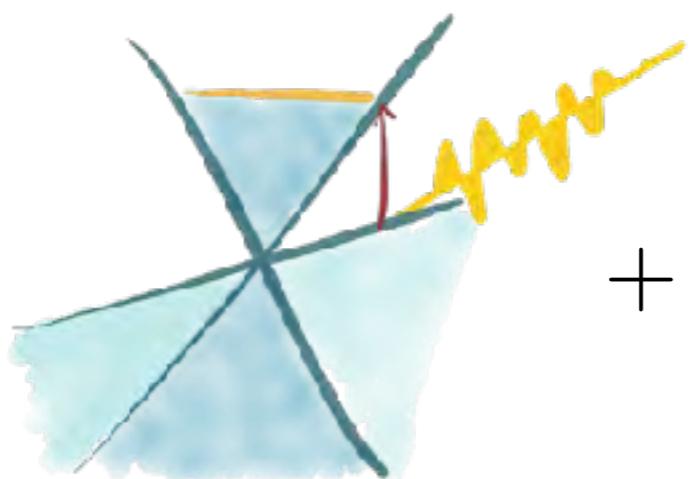
Twofold



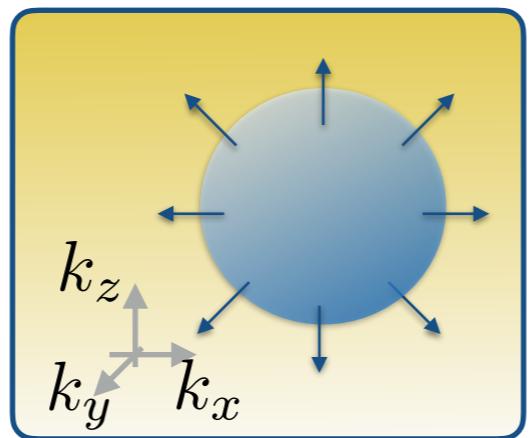
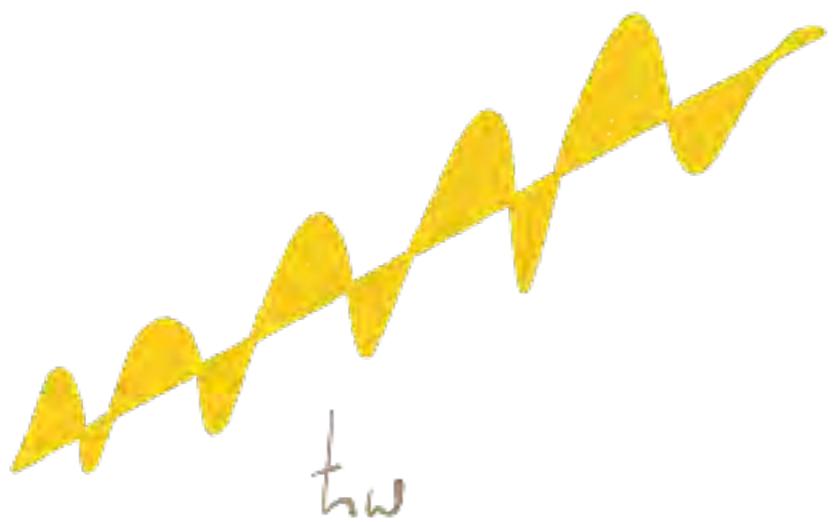
Twofold



# Conditions for plateaus quantization



plateau  
(non-universal)



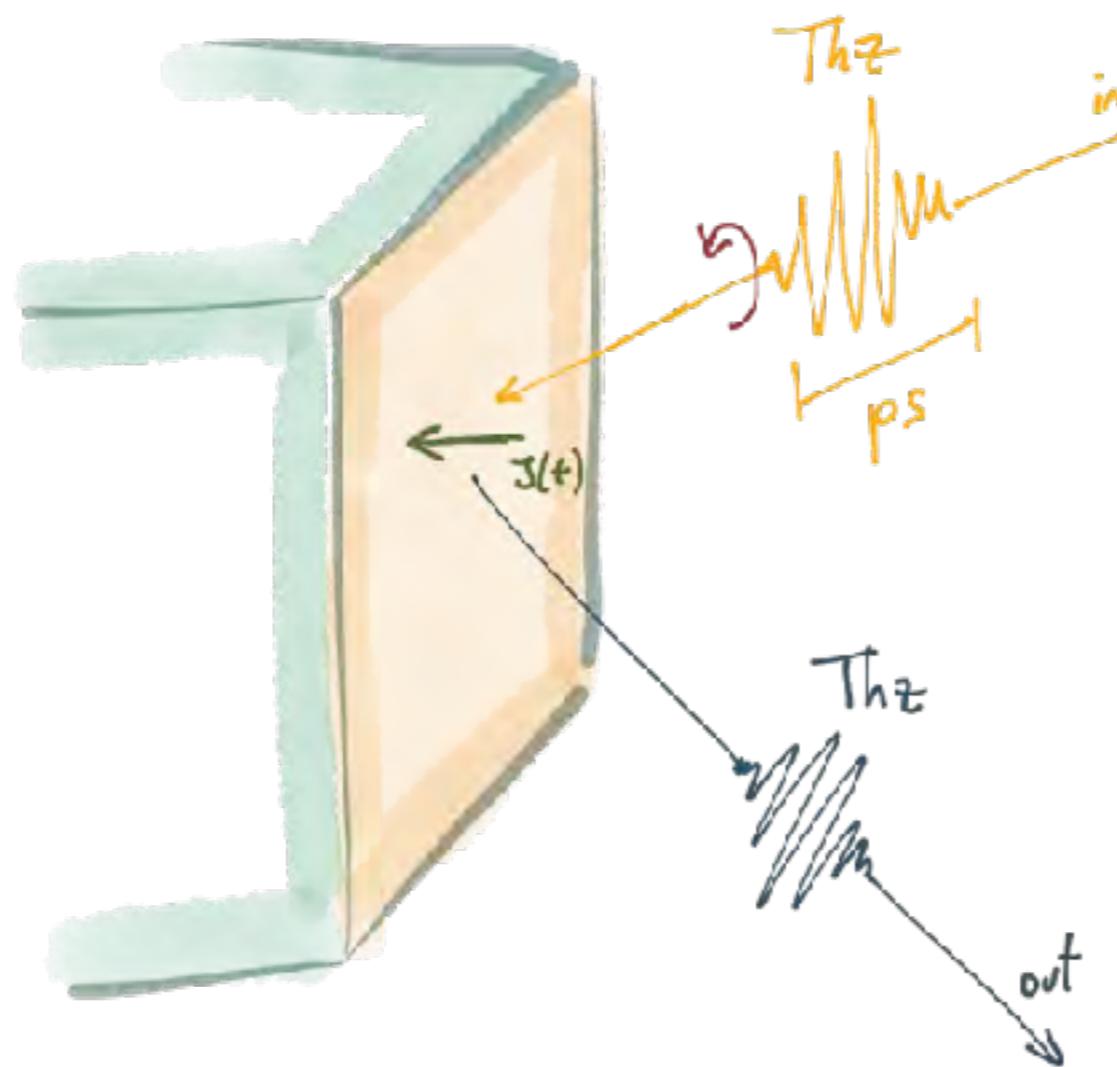
plateau (universal)

$$\beta(\omega) = 4\pi^2 \beta_0 C_\Sigma$$



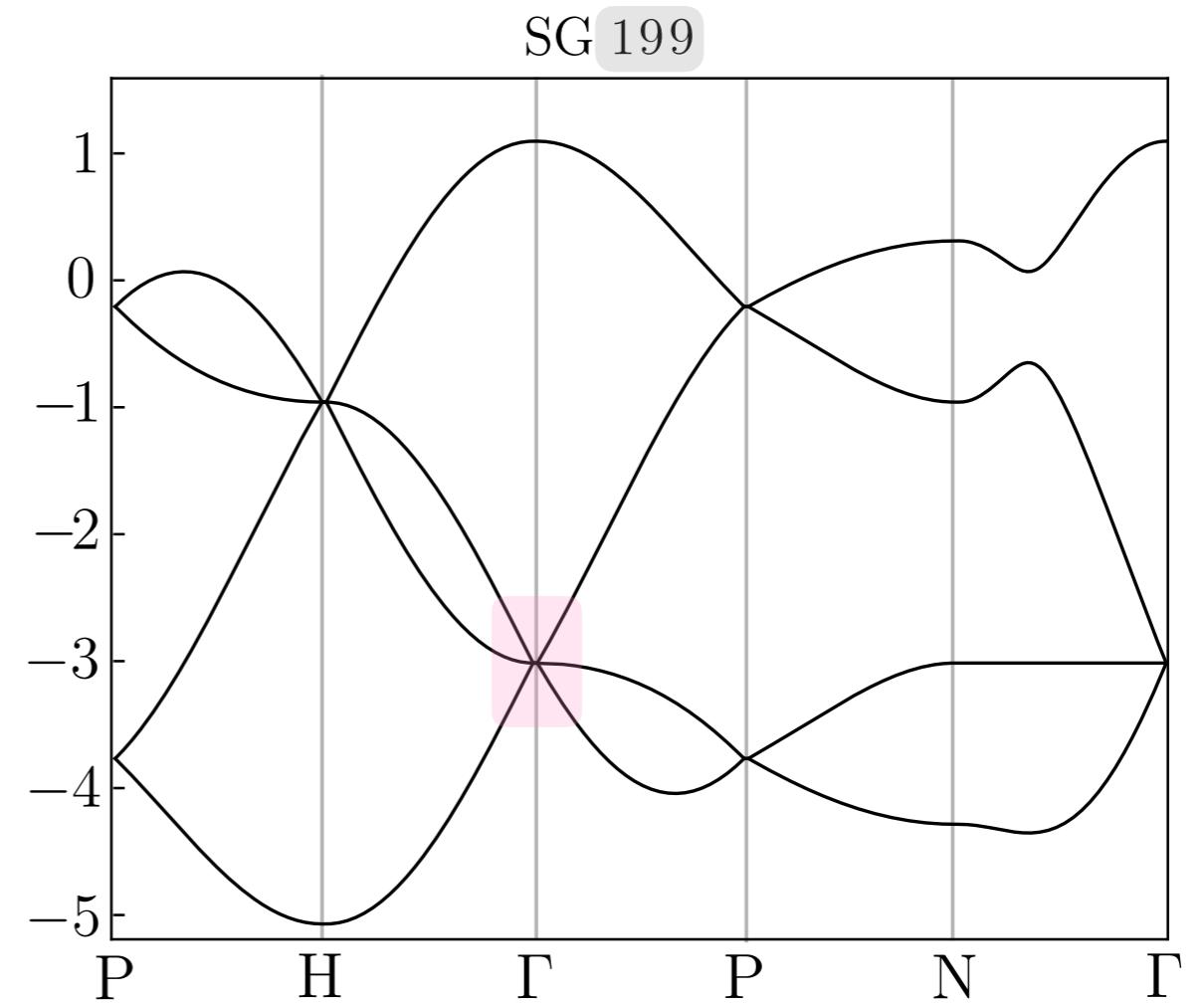
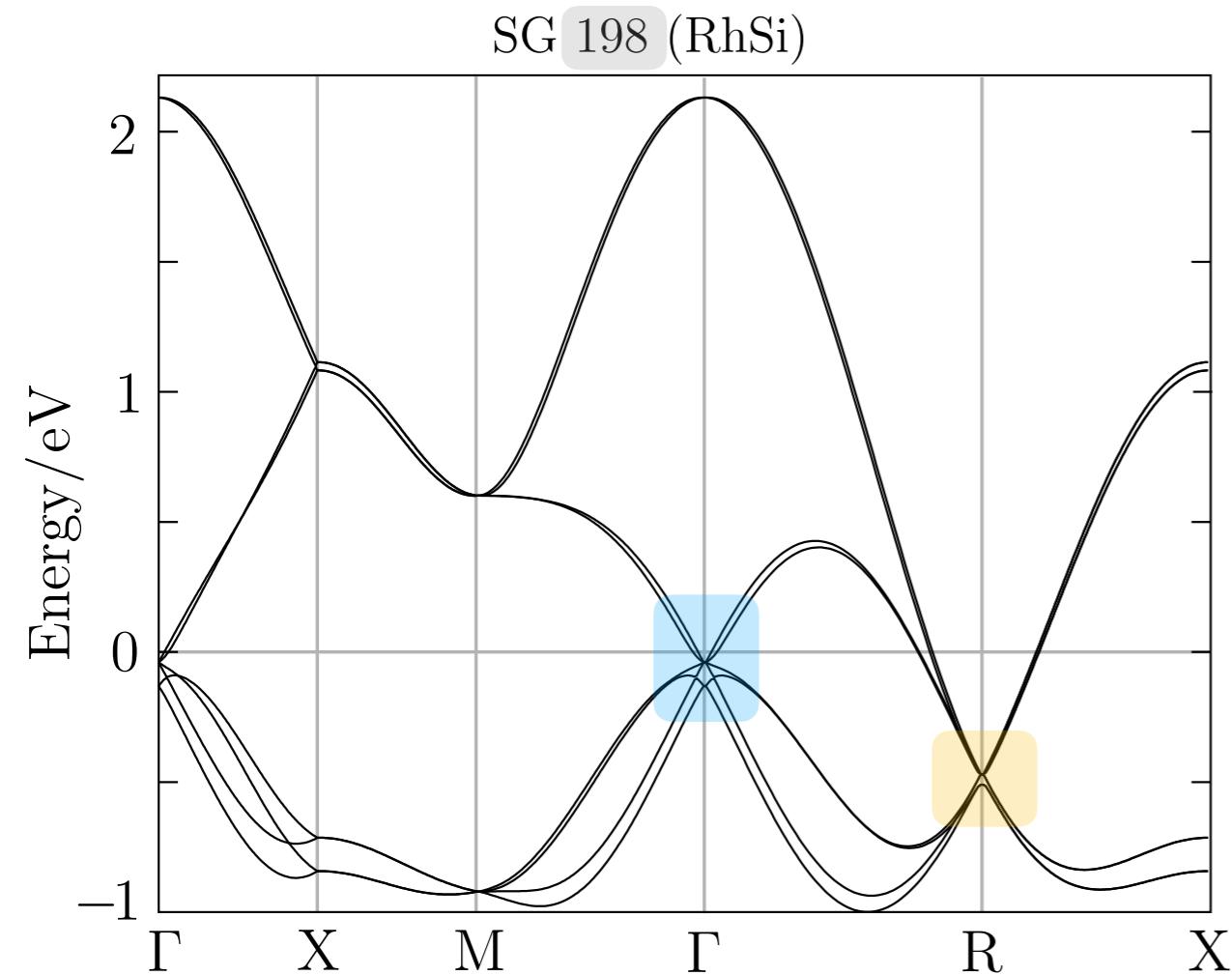
All chiral topological metals have quantized ( $\frac{e^3}{h^2}$ ) injection currents

## Real materials with quantized injection



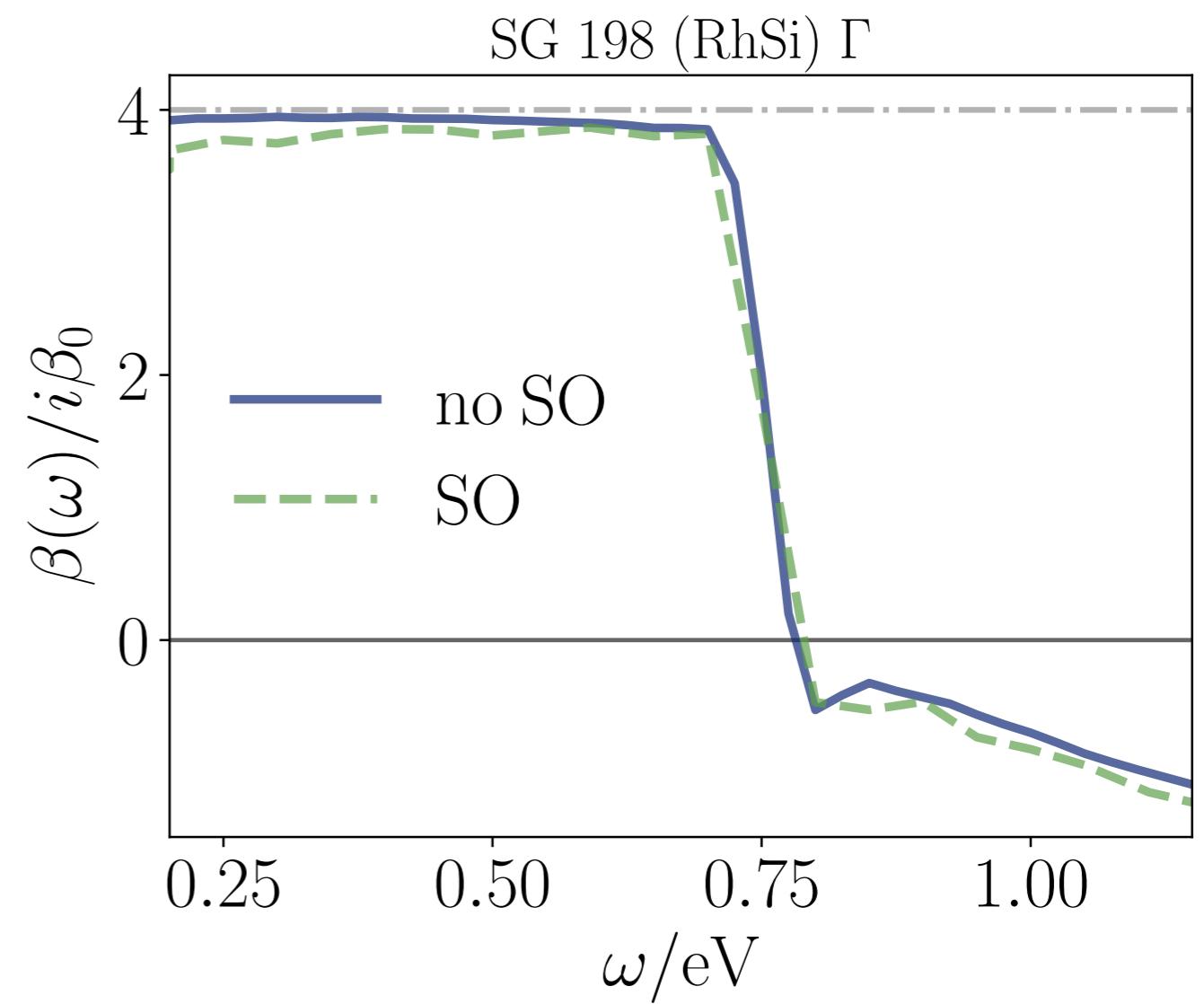
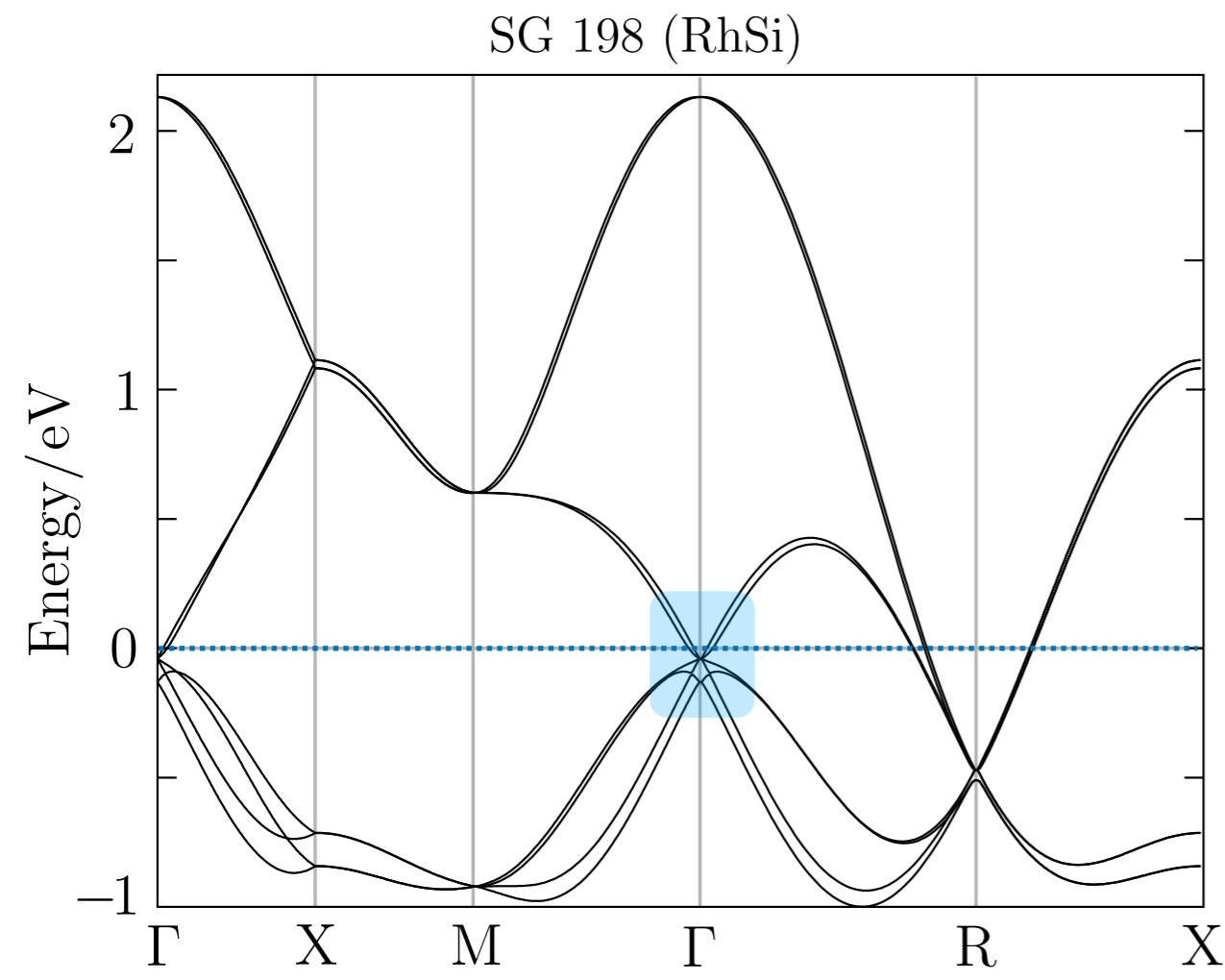
# Real materials with quantized injection

node	$C_n$	No SO	SO
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Sixfold (doubled spin-1)	$(-2, 0, 2) \times 2$	—	$198, 212, 213$
Fourfold (spin-3/2)	$-3, -1, 1, 3$	—	$195 - 199, 207 - 214$
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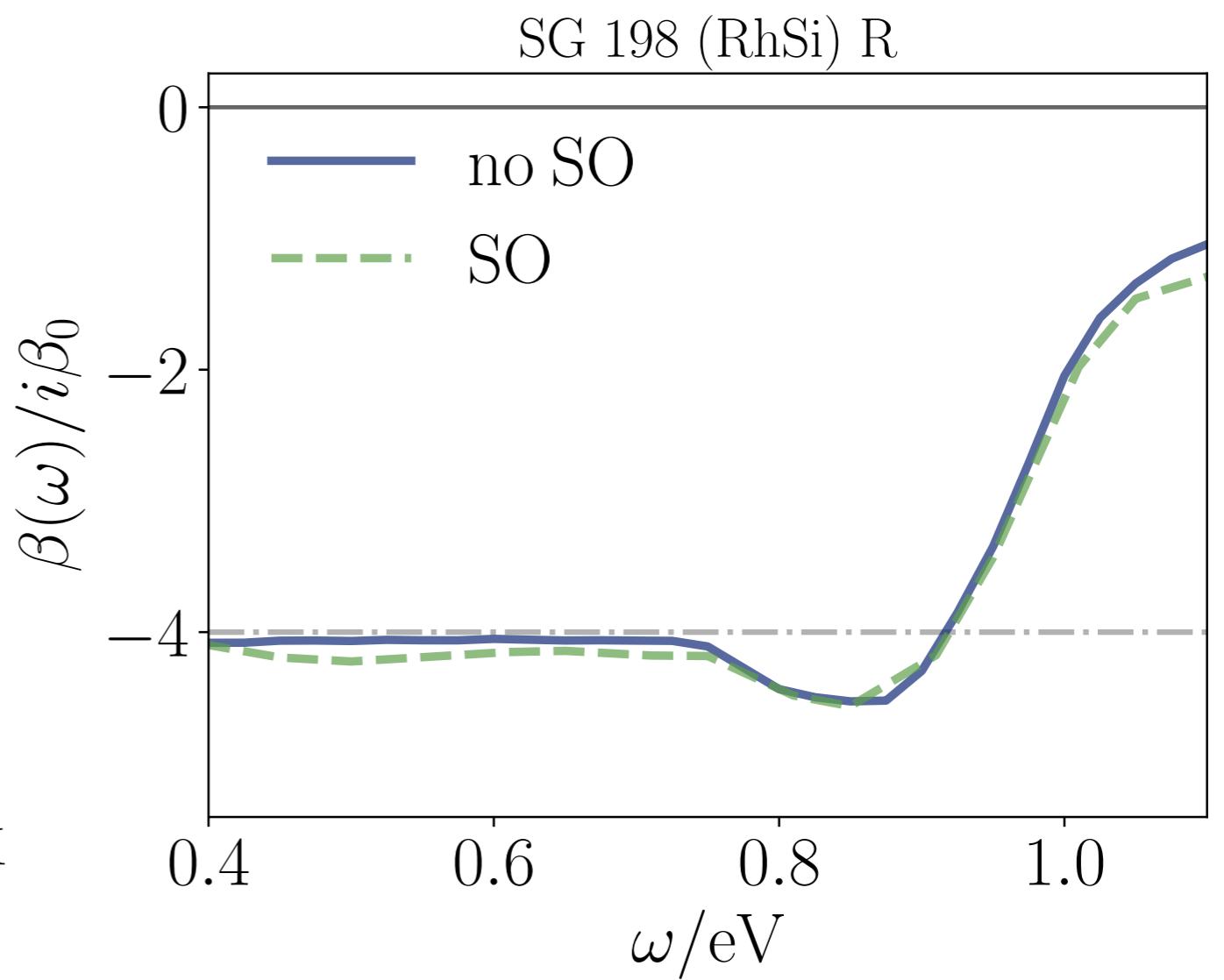
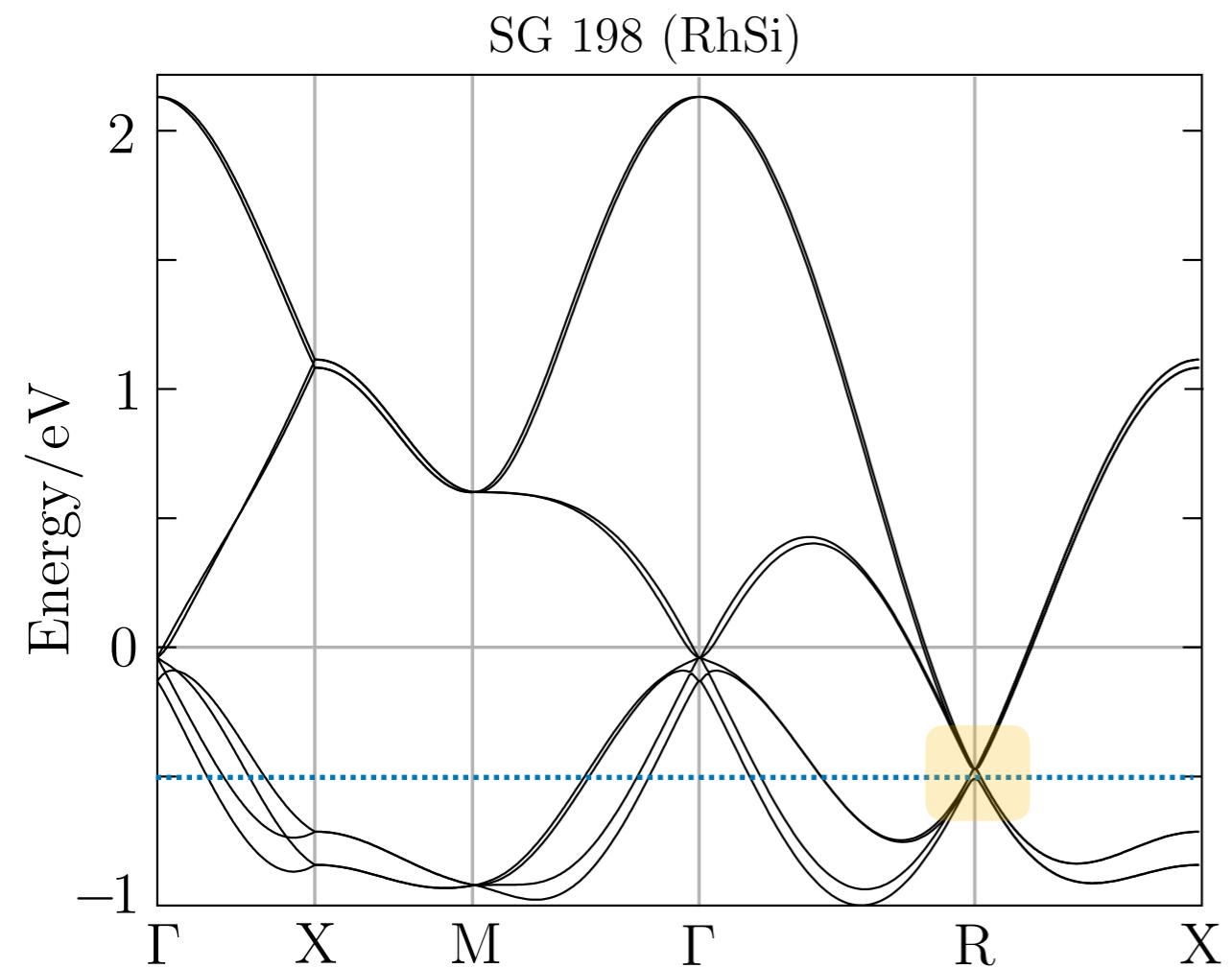
# Real materials with quantized injection

node	$C_n$	No SO	SO
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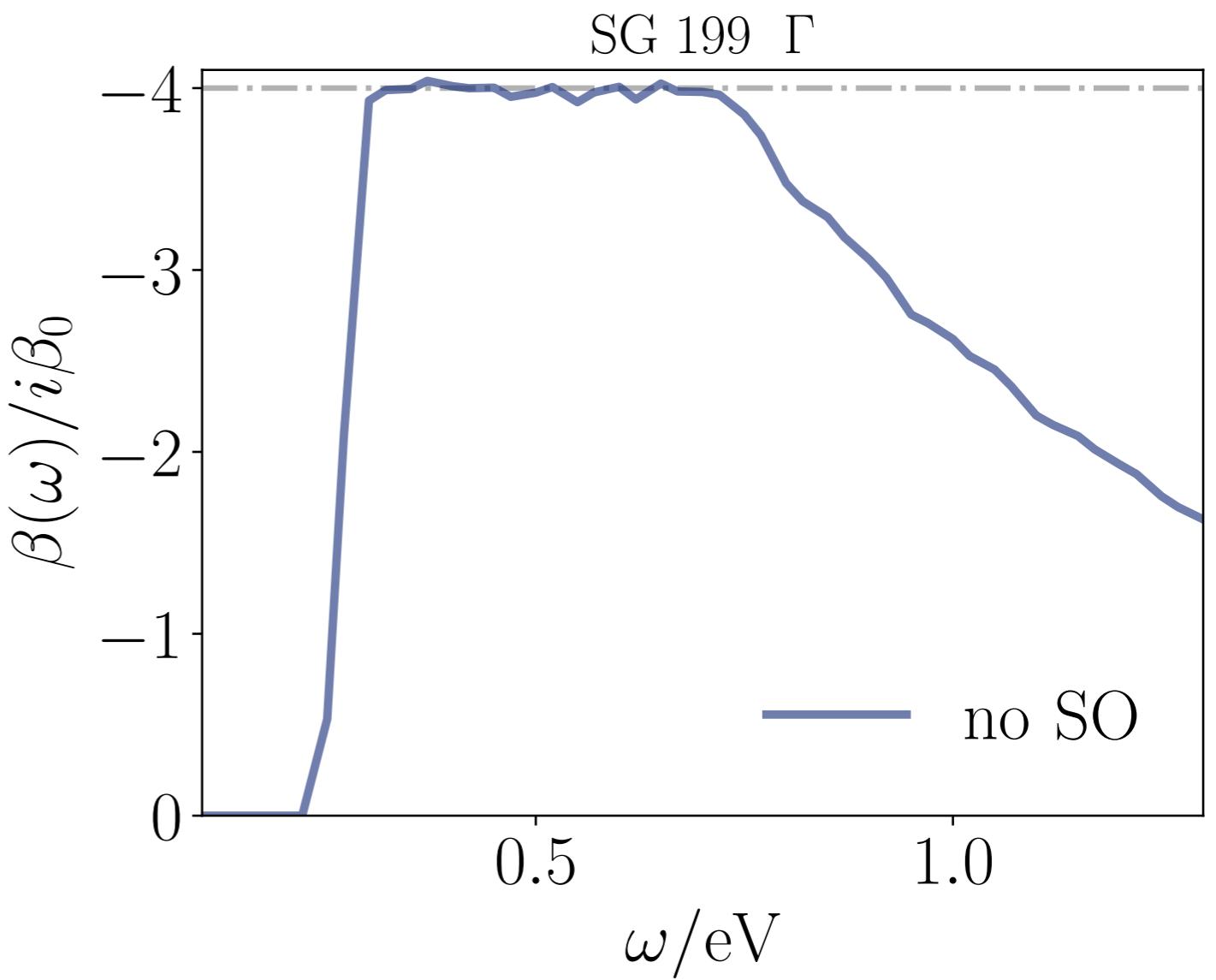
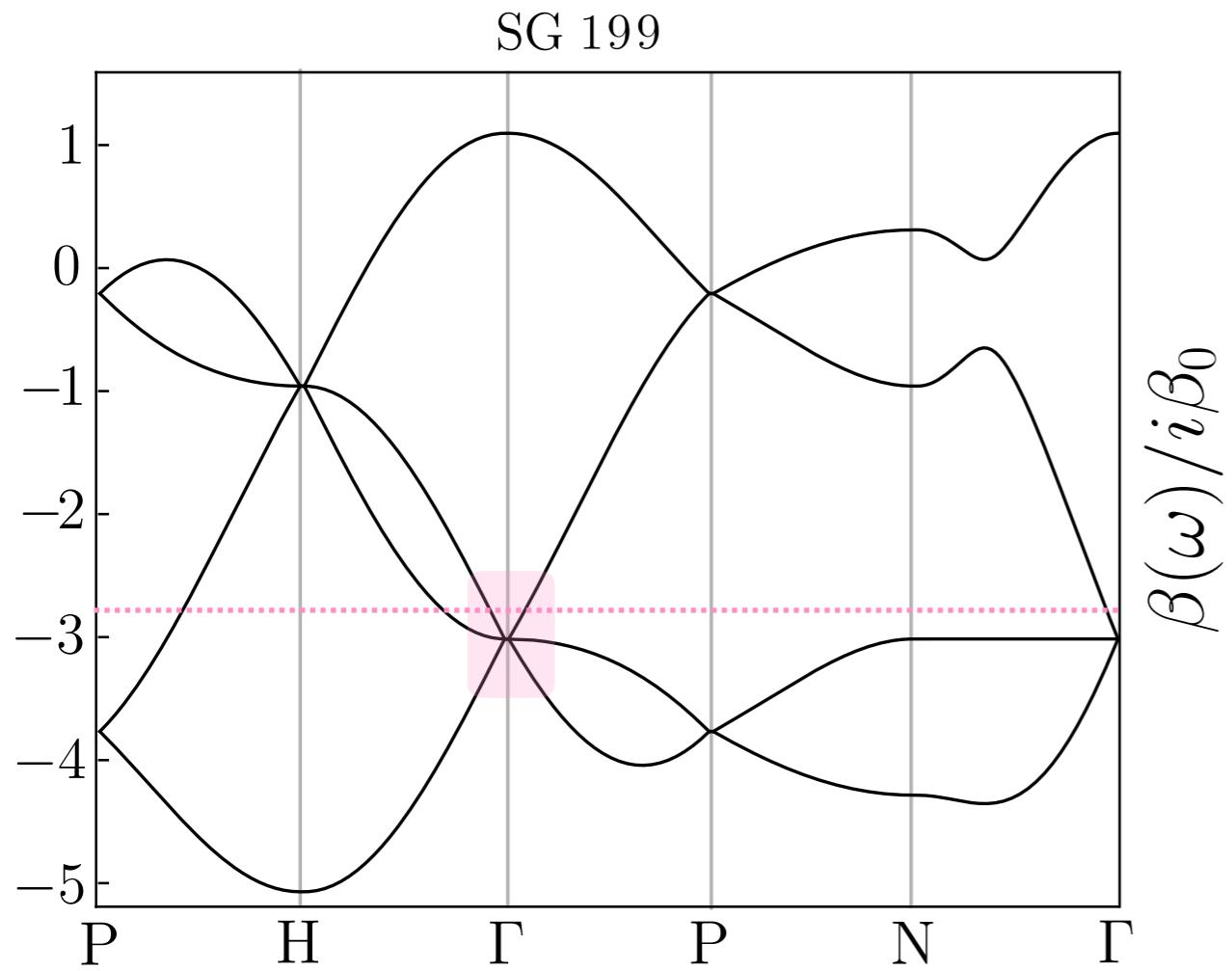
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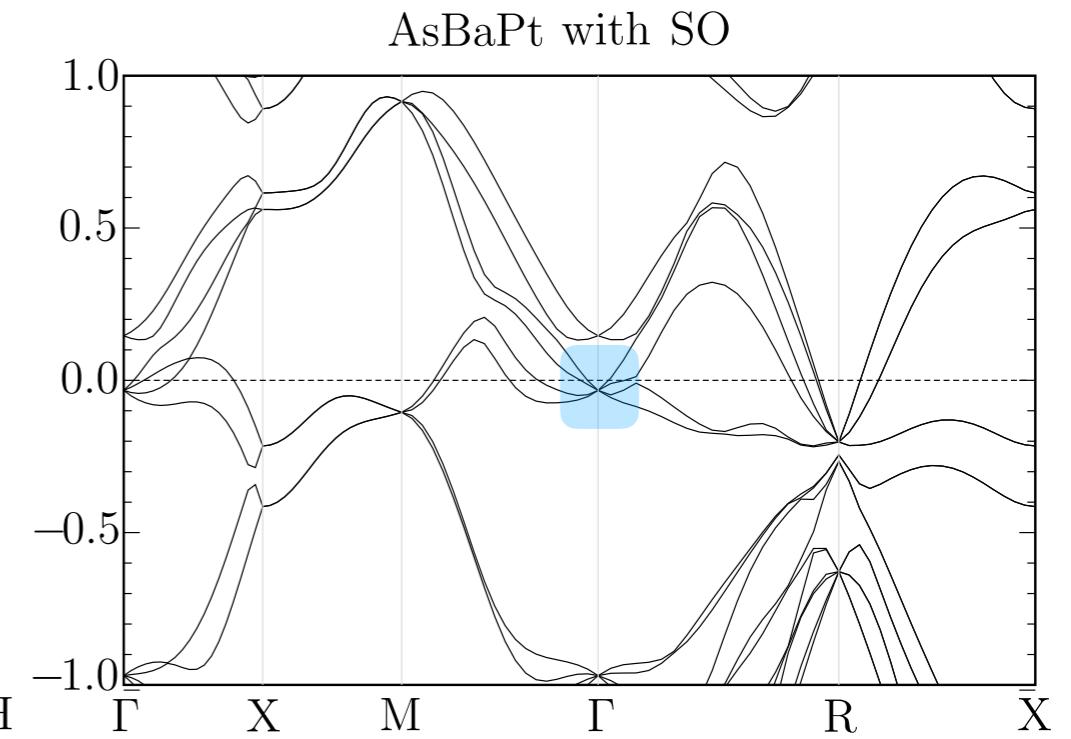
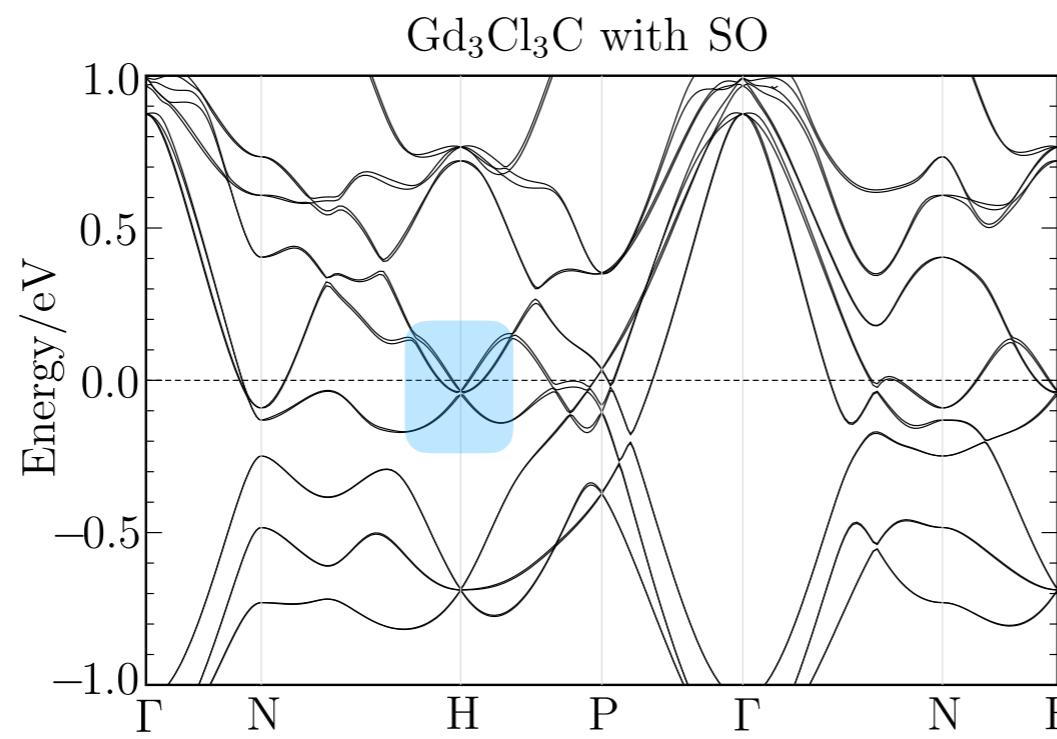
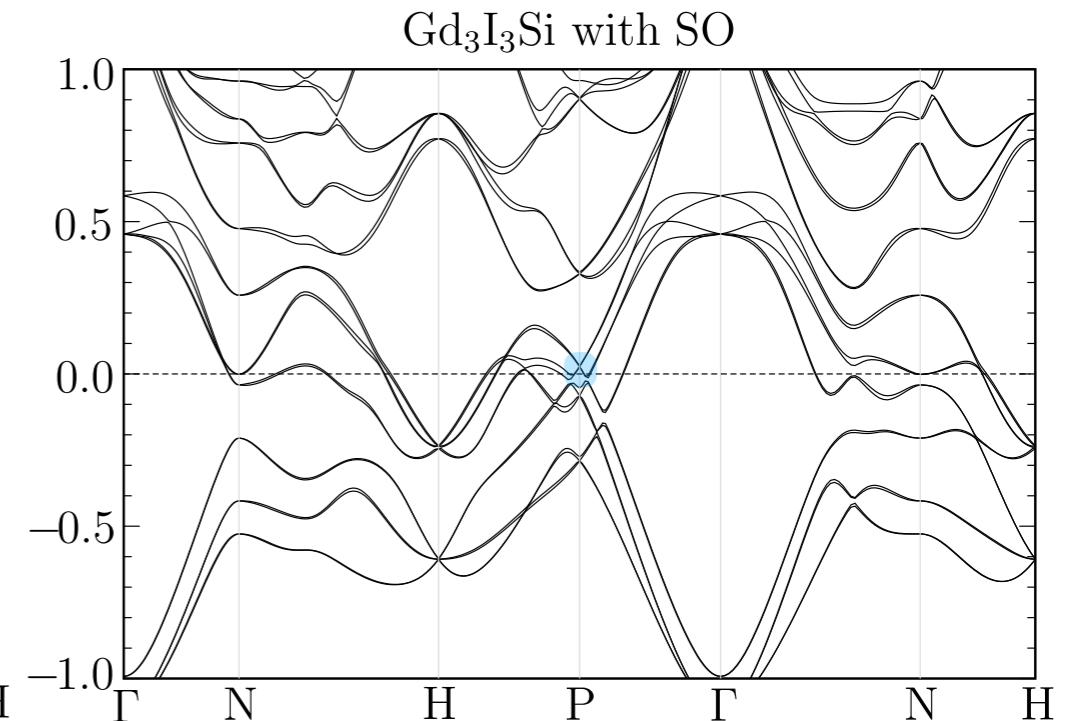
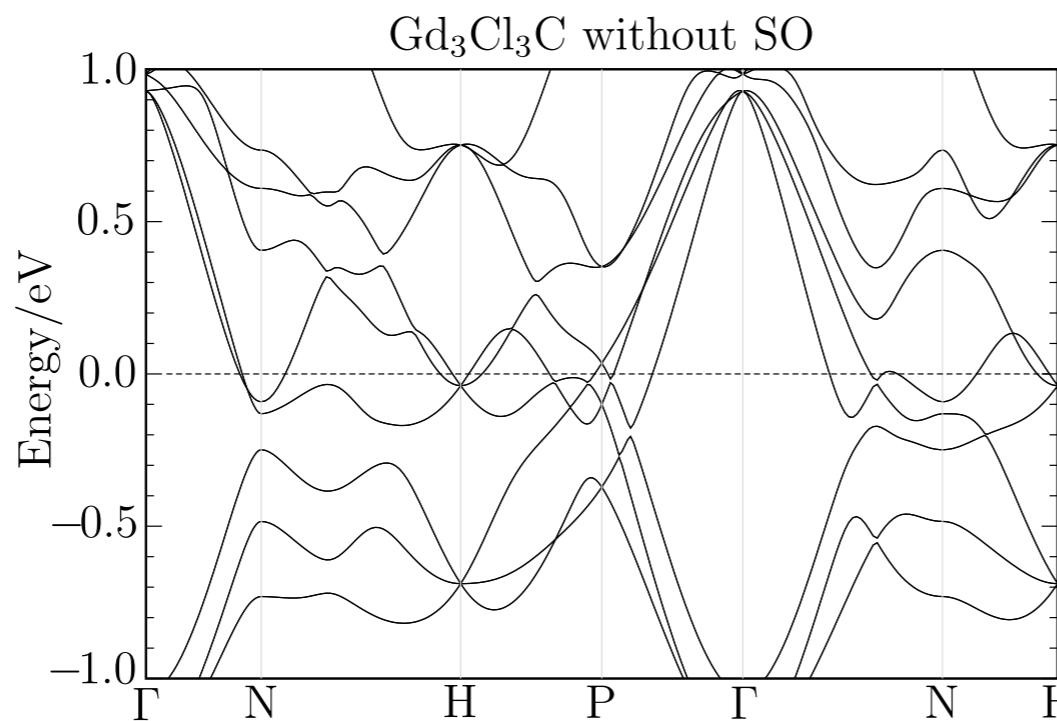
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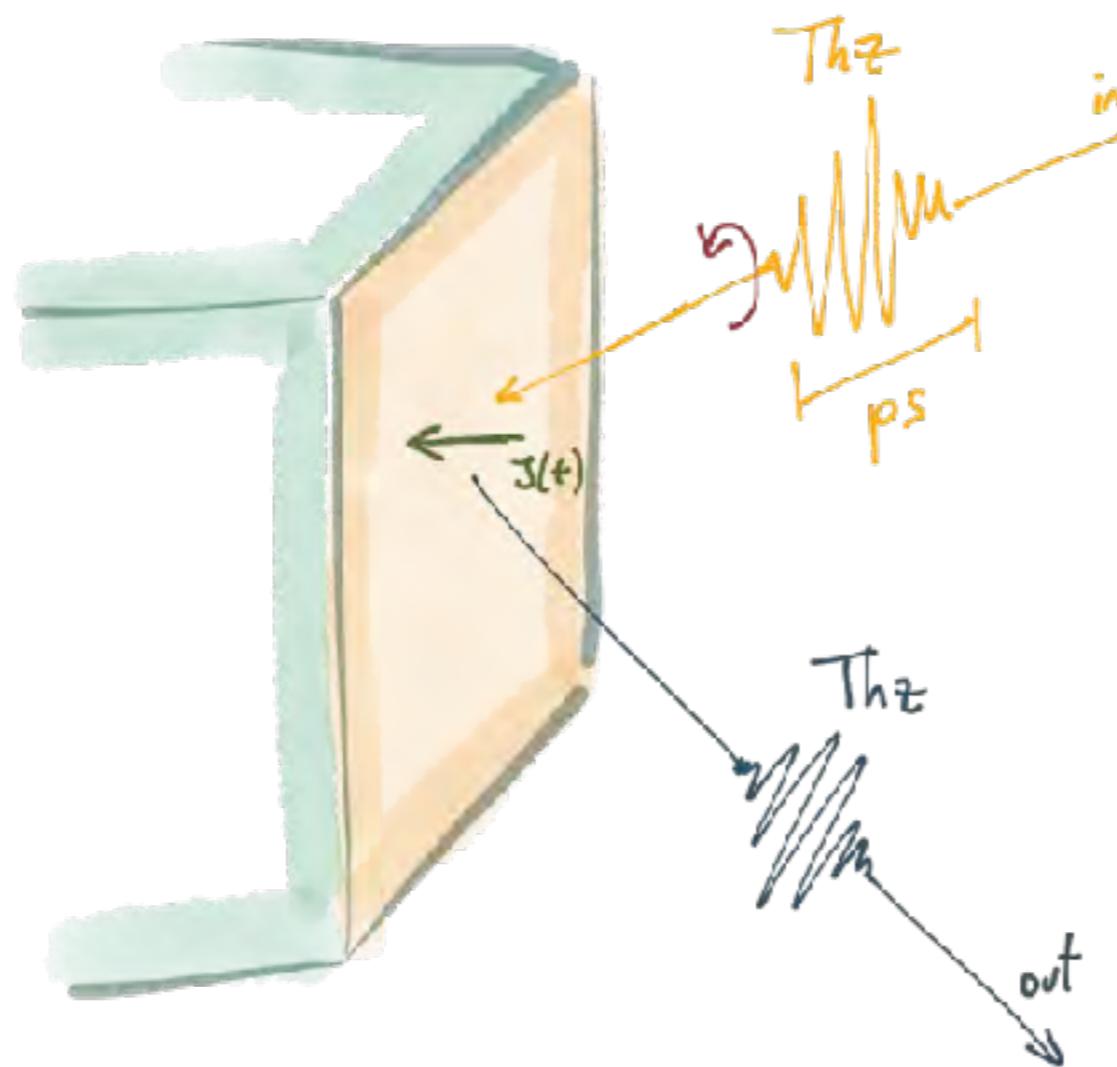
# Real materials with quantized injection

SG214

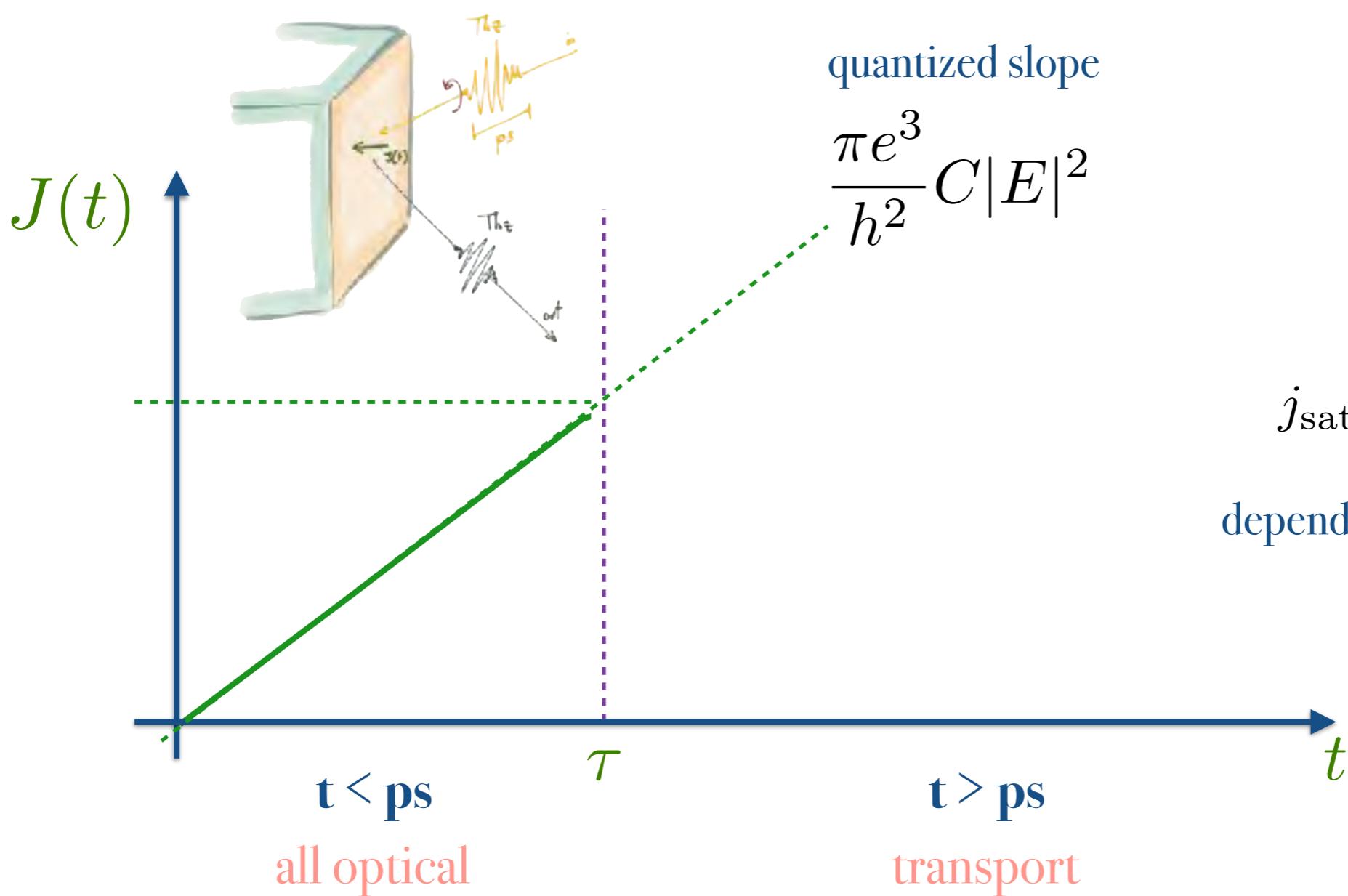


Maia Vergniory (DIPC)

# Measurement



# Time scales



# How large?

$$j^{\text{sat}} = 22 \frac{\text{A}}{\text{cm}^2} \frac{\tau}{\text{ps}} \frac{\text{I}}{\text{W/cm}^2}$$

$$\frac{10\text{nm} \times 1\mu\text{m}}{\tau \sim \text{ps}} \gg \frac{2\text{nA}}{\text{W/cm}^2}$$

Fermi surface  
contribution

**Very large!**

$$\frac{\text{pA}}{\text{W/cm}^2}$$

Moore Orenstein PRL 2005

Okada et al PRB 2016 (TI exp)

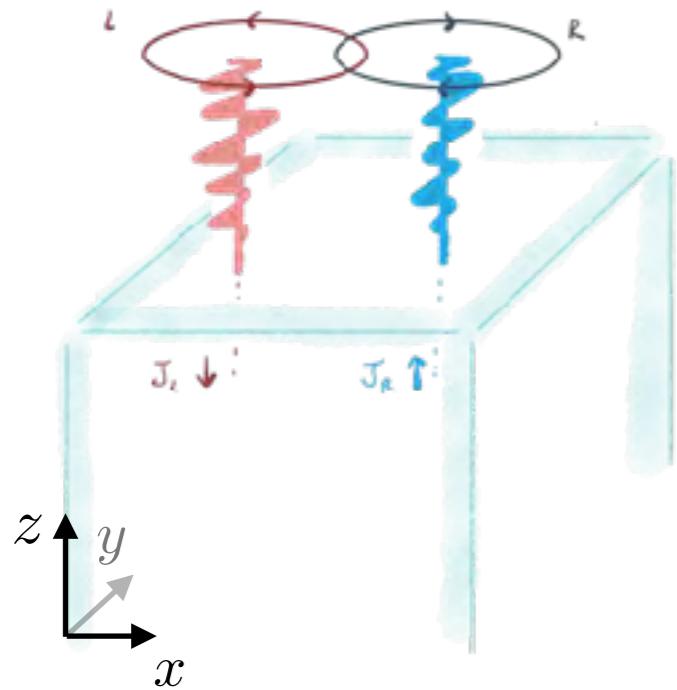
2nA

μA  
W/cm<sup>2</sup>

---

W/cm<sup>2</sup>

# Symmetries help

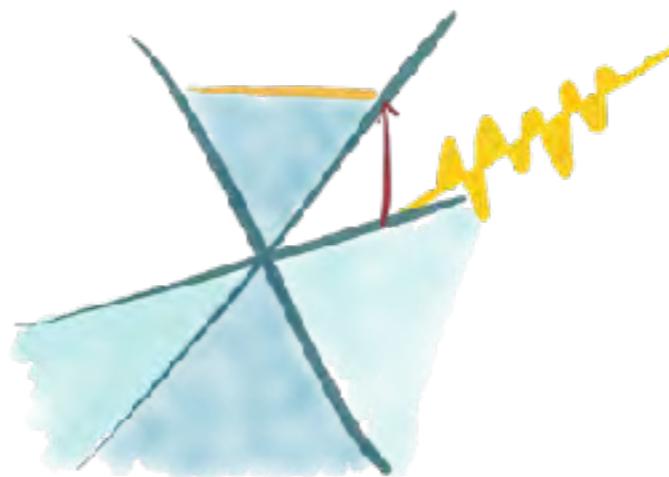
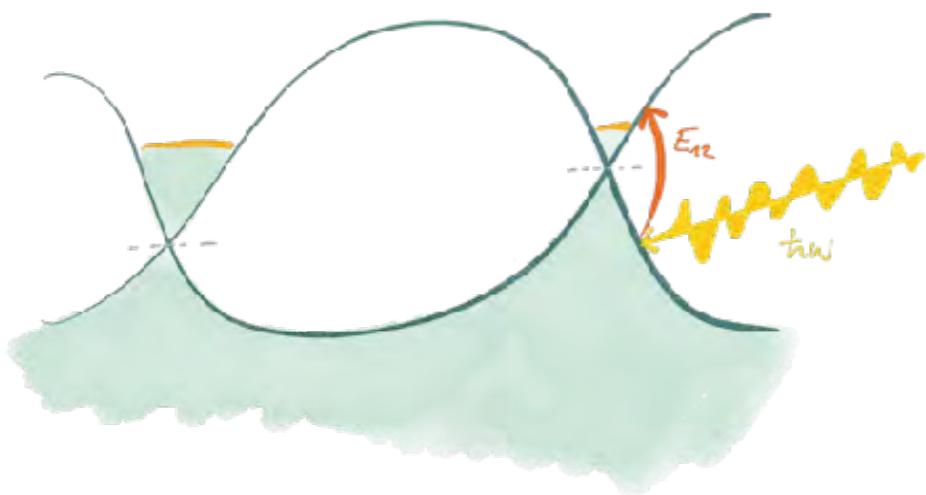


True for chiral Weyls

$$\frac{d\mathbf{j}_i}{dt} = \beta_{ij}(\omega)(\mathbf{E} \times \mathbf{E}^*)_j$$

Need to measure in all polarization planes

Multifold are realized only in cubic space-groups



$$\beta_{ij} = \beta(\omega)\delta_{ij}$$

So one component is enough!

# Gyrotropic magnetic effect



$$j_i(\omega) = \alpha_{ij}(\omega) B^j(\omega)$$



Felix Flicker, Fernando de Juan, Takahiro Morimoto



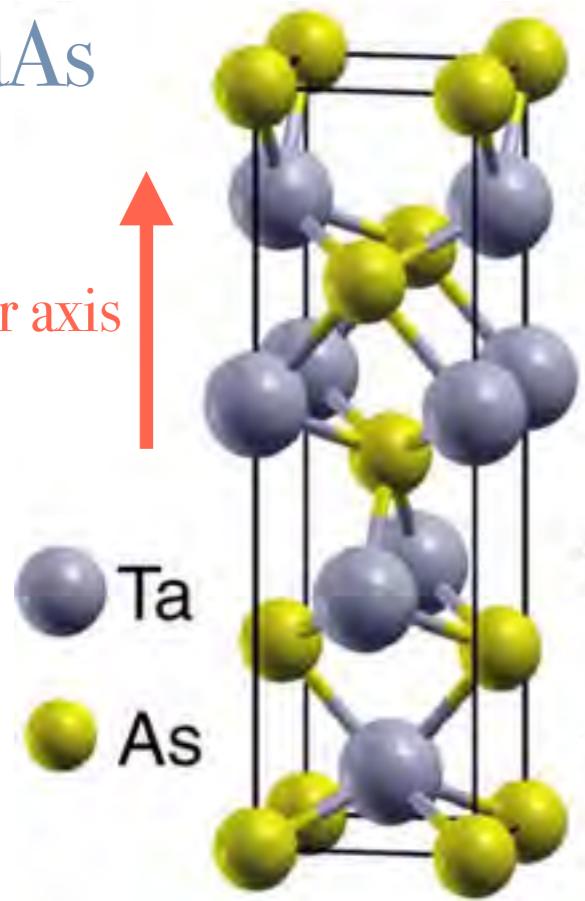
Maia Vergniory, Barry Bradlyn

Topological metals have **large and anisotropic** 2nd harmonic generation



TaAs

Polar axis



Weyl semimetal  
ferroelectric point group 4mm ( $P=0$ )

800 nm  
1.55 eV

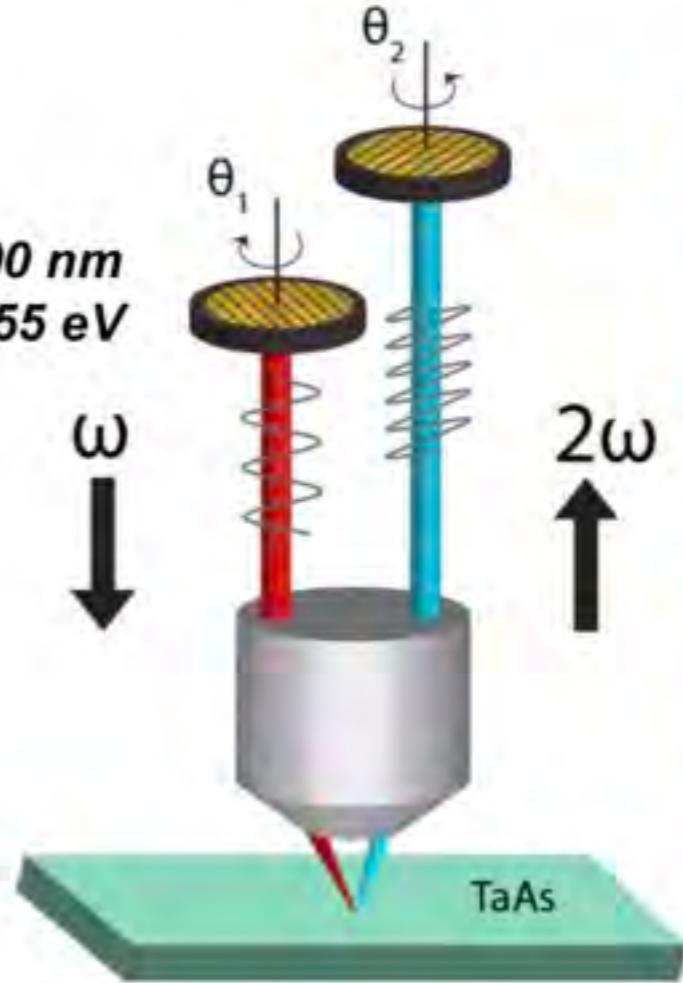
$\omega$

$2\omega$

TaAs

$\theta_2$

$\theta_1$



James Analytis



Nityan Nair



Shreyas Patankar



Liang Wu



Darius Torchinsky

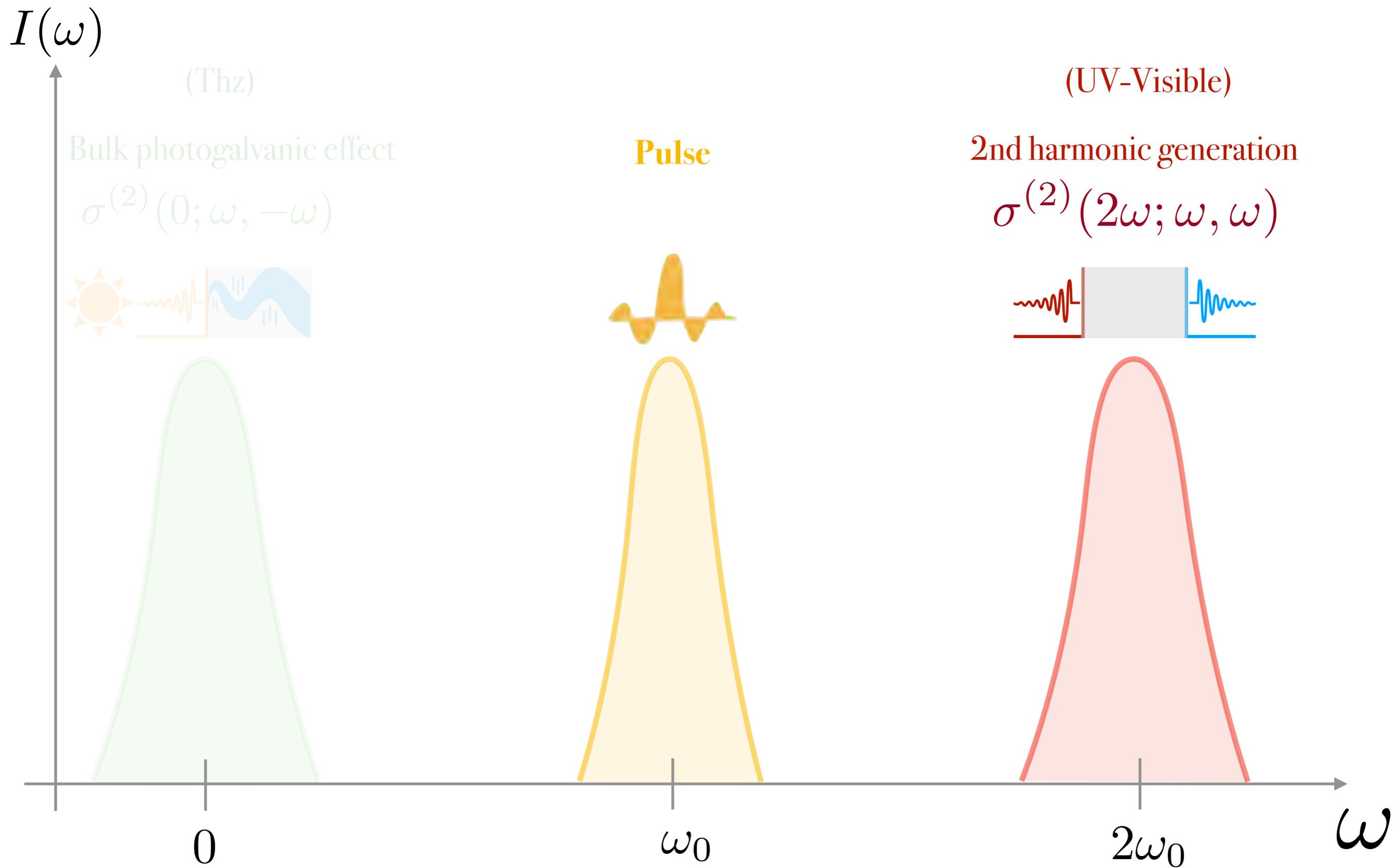


Joseph Orenstein



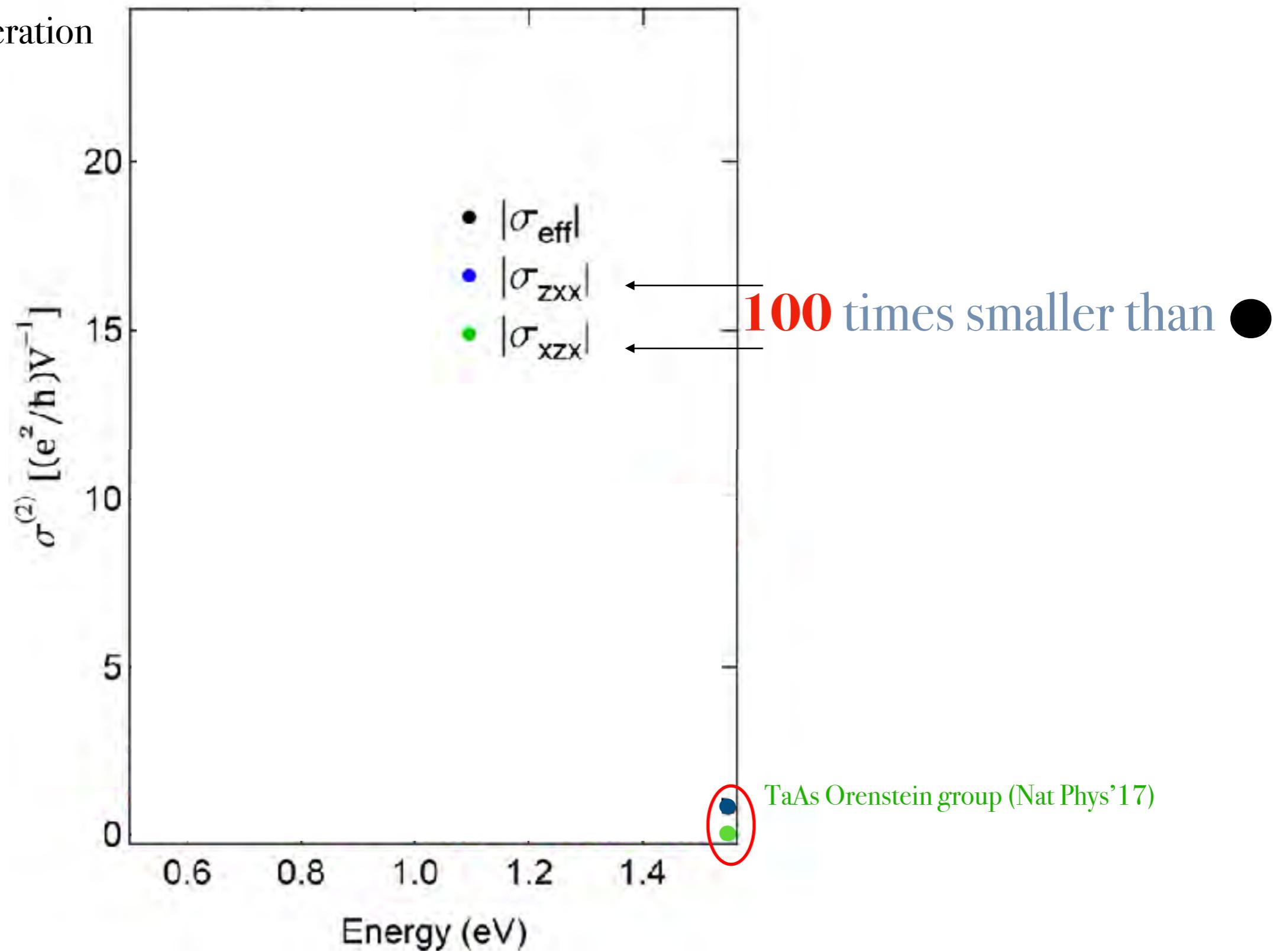
# Second order zoo

$$j_i \propto \sigma_{ijl} E_j E_l$$



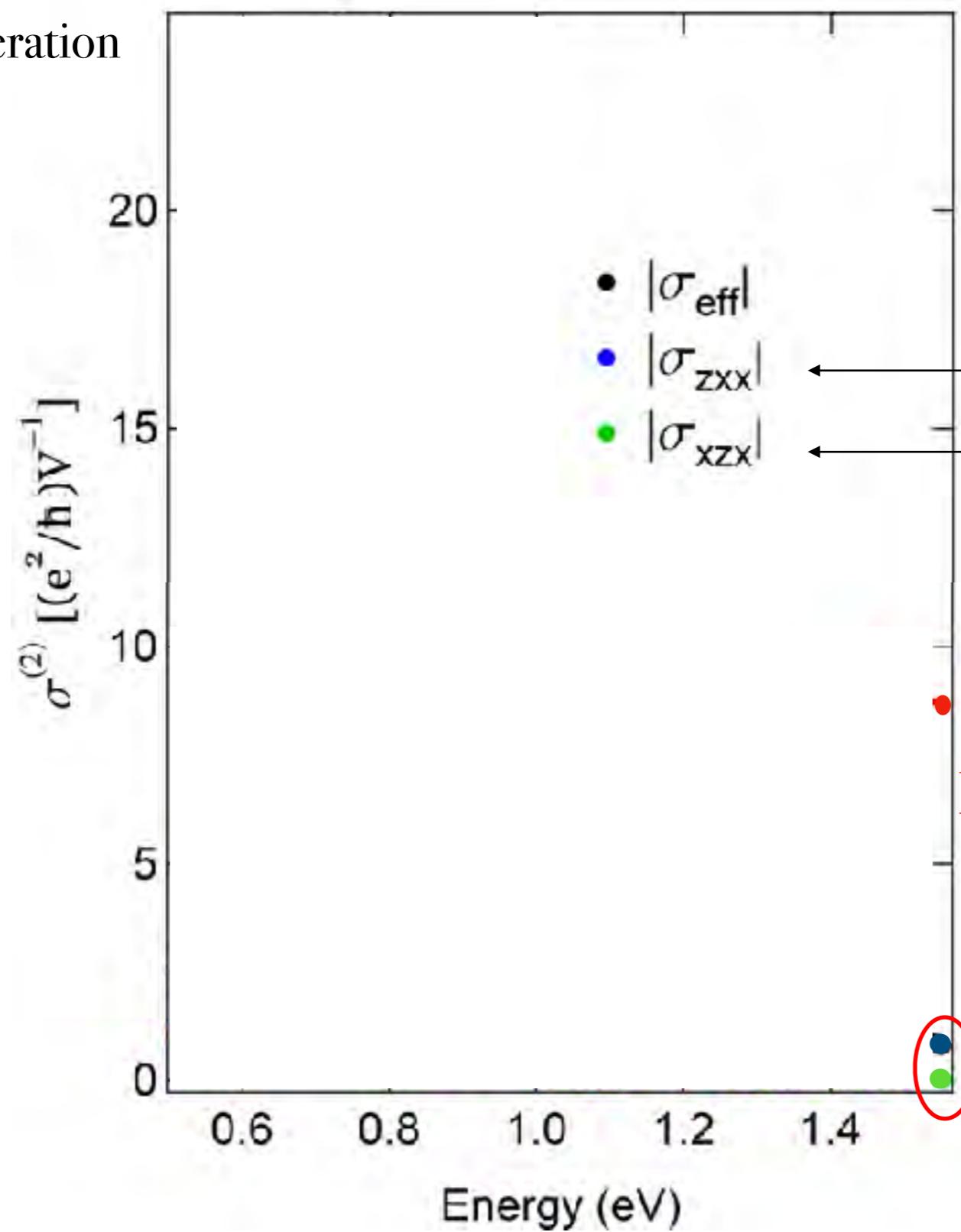
# Resonant enhancement of second harmonic generation

2nd harmonic generation  
amplitude



# Resonant enhancement of second harmonic generation

2nd harmonic generation  
amplitude



100 times smaller than ●

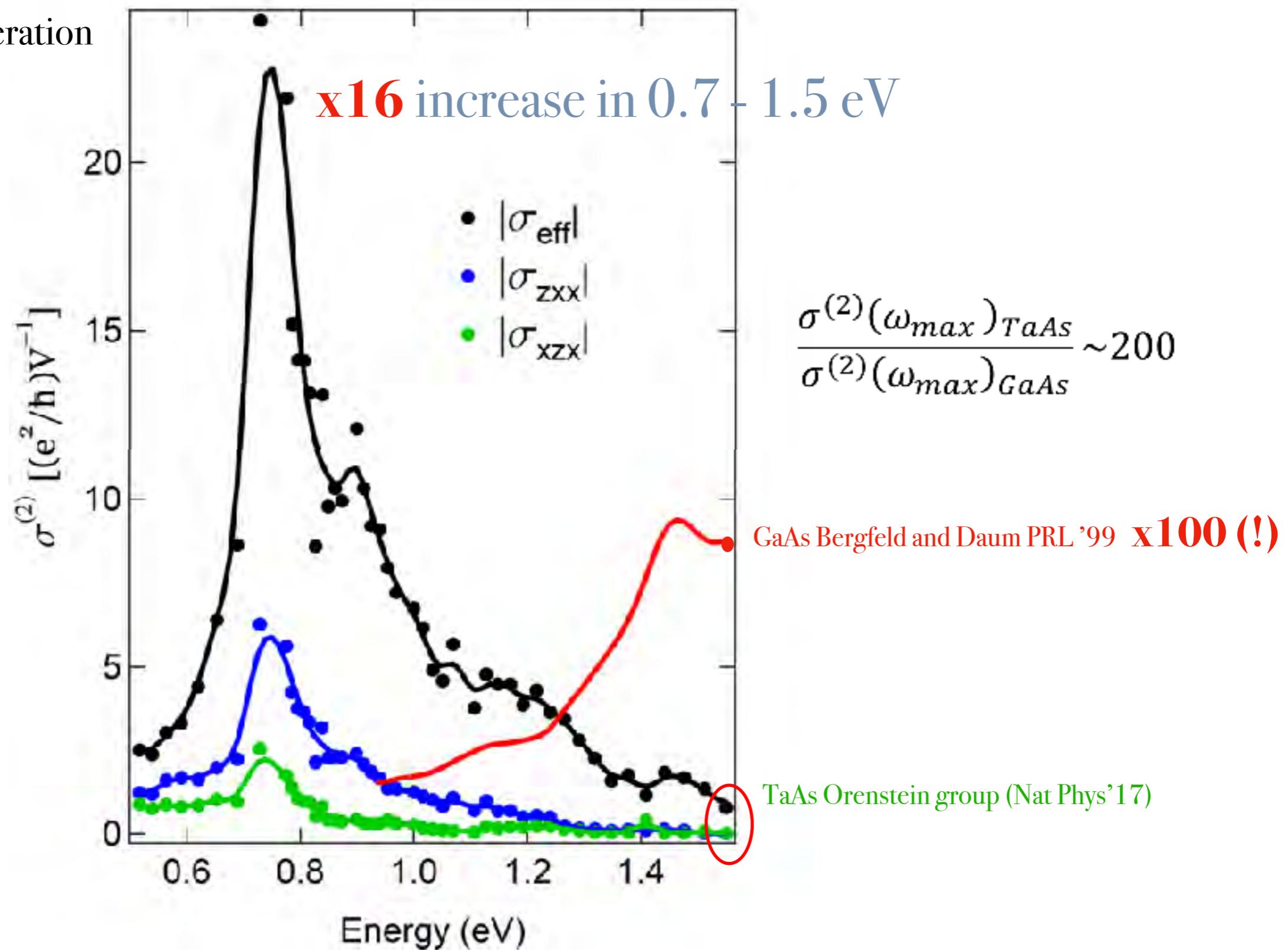
GaAs Bergfeld and Daum PRL '99 x100 (!)

10 times larger than GaAs

TaAs Orenstein group (Nat Phys'17)

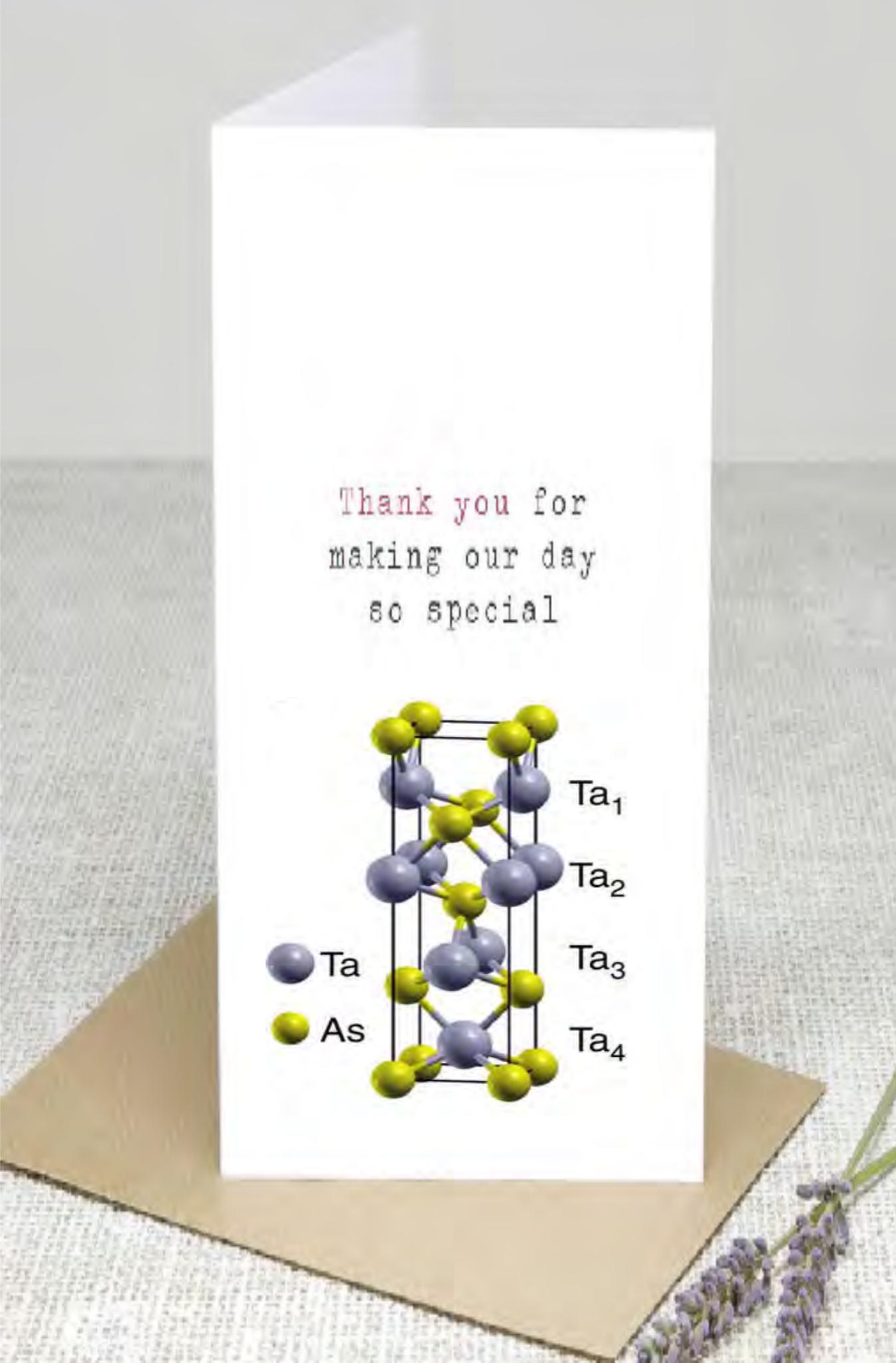
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2nd harmonic generation  
amplitude

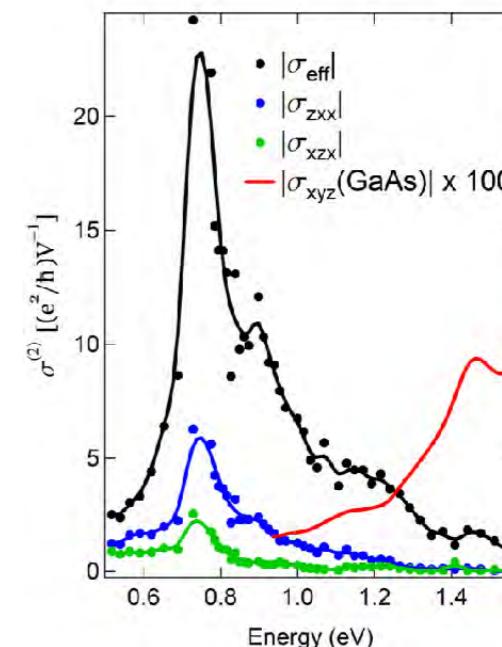


# Questions!

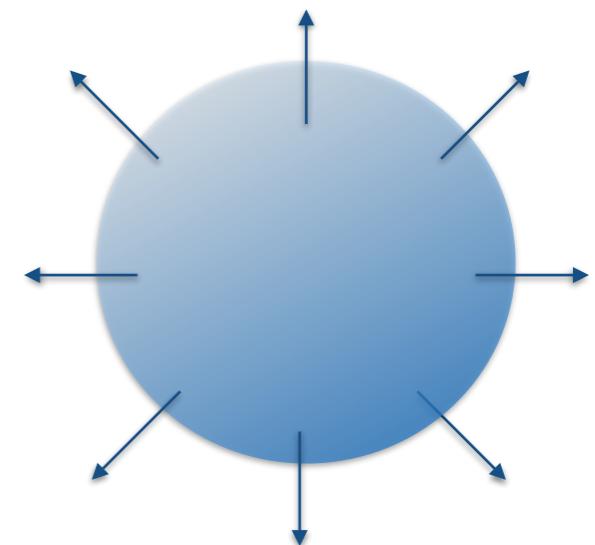
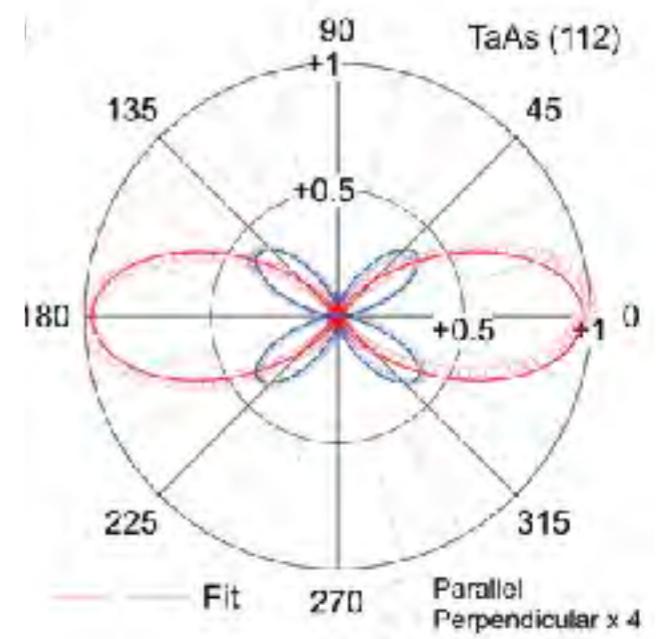
Bound?



Anisotropy?

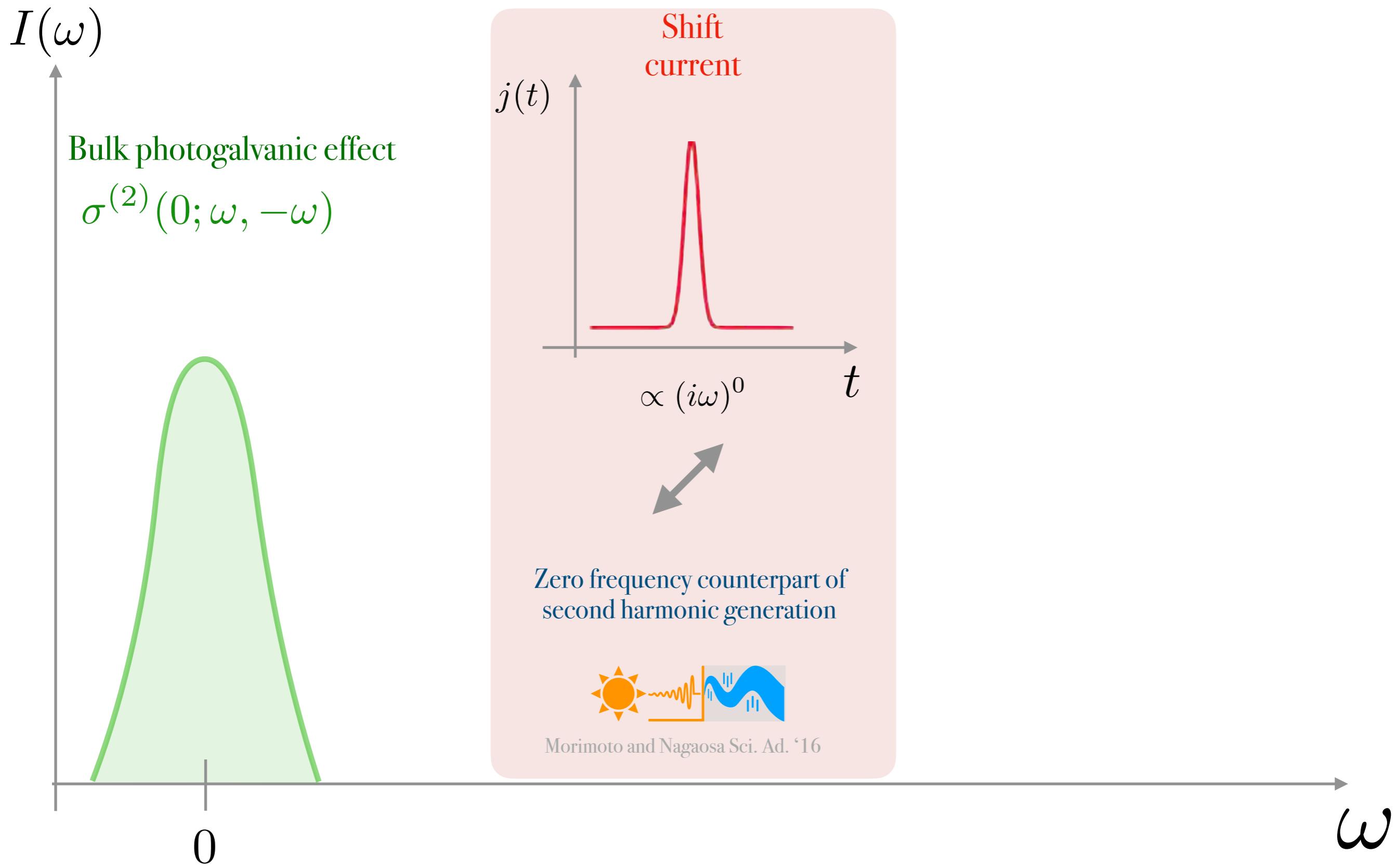


Topology?

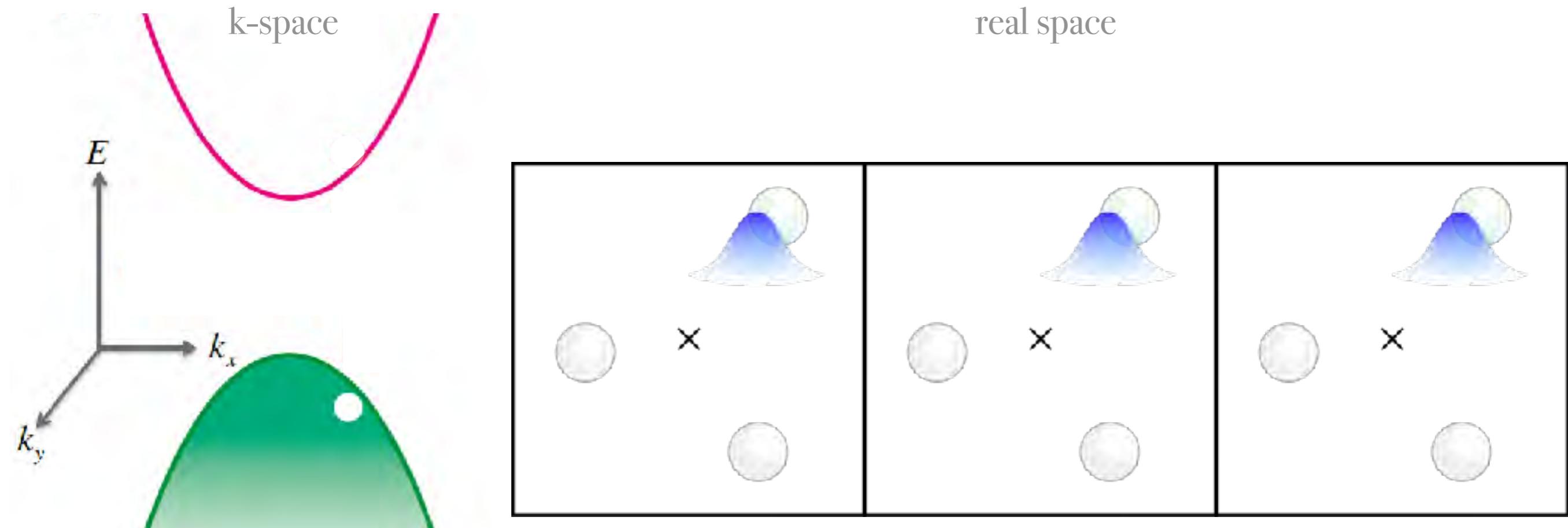


# Second order zoo

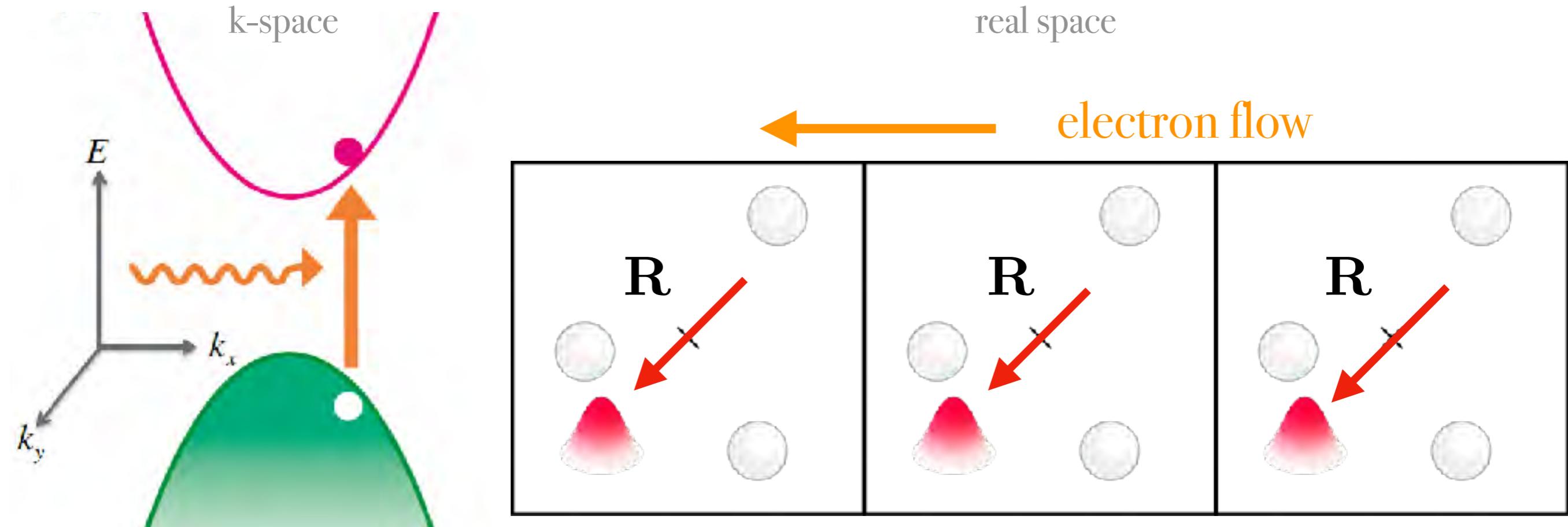
$$j_i \propto \sigma_{ijl} E_j E_l$$



# The shift vector



# The shift vector



$$\partial_{\mathbf{k}} \varphi_{12} + \mathbf{a}_1 - \mathbf{a}_2 = \mathbf{R} \sim \text{displacement of an e-h pair}$$

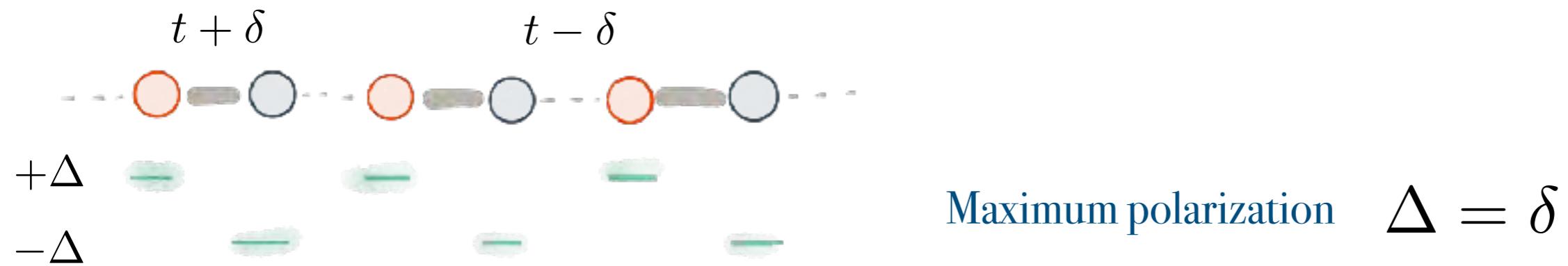
$$\sim \Delta \mathbf{P} \text{ polarization difference of e-h pair}$$

$$\text{Shift current} = \text{Absorption} \times \text{Rate} \sim \mathbf{R} \times |v|^2 \text{JDOS}$$

$$J_{\text{SHG}} = -\frac{1}{2} J_{\text{shft}}(\omega) + J_{\text{shft}}(2\omega)$$

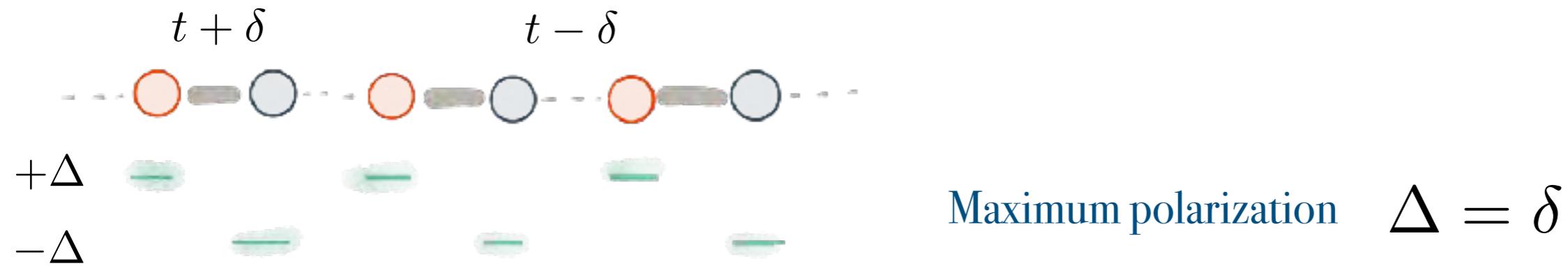
# 1D model with large polarization (ferroelectric)

## Rice-Mele model

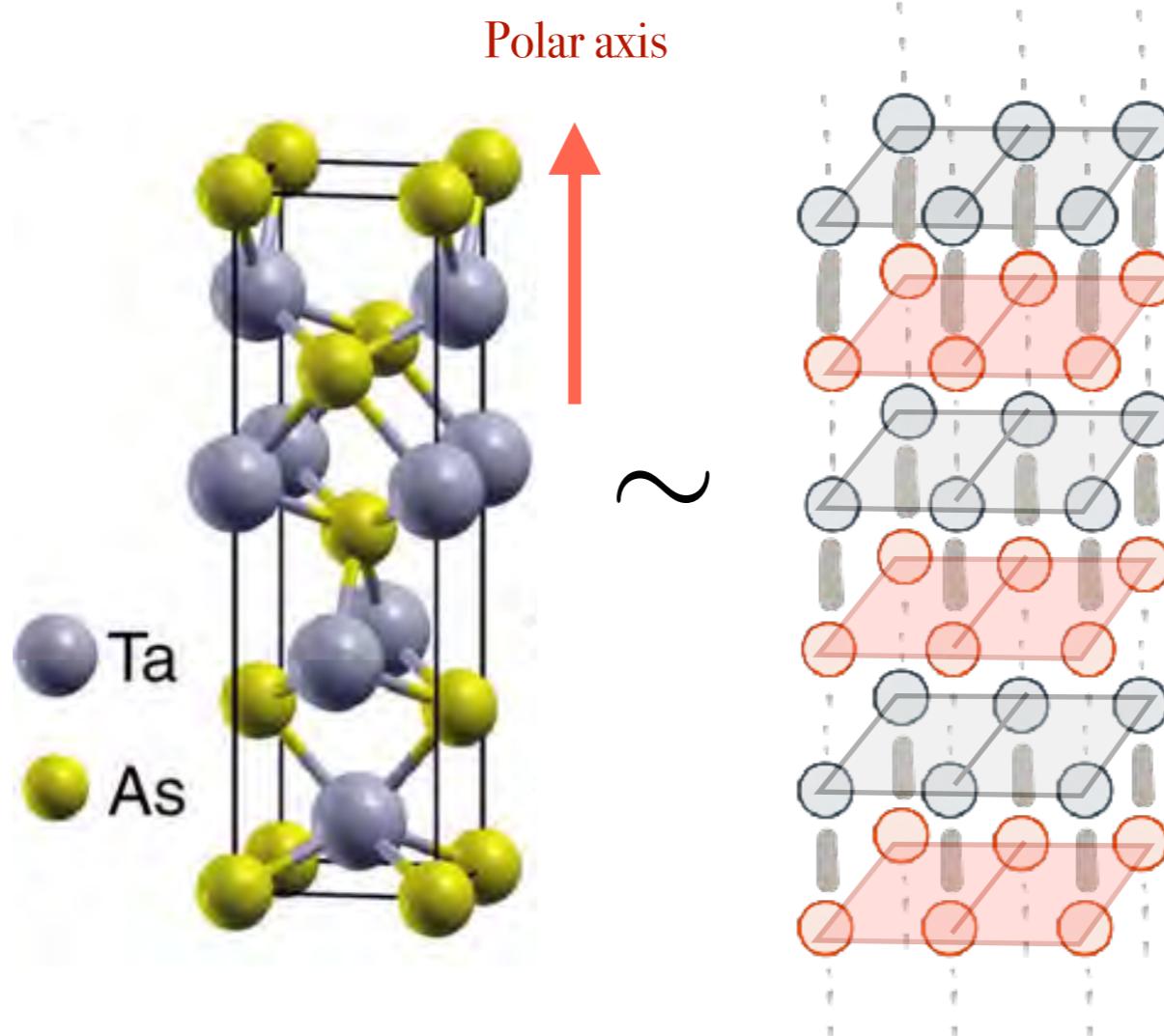


# 1D model with large polarization (ferroelectric)

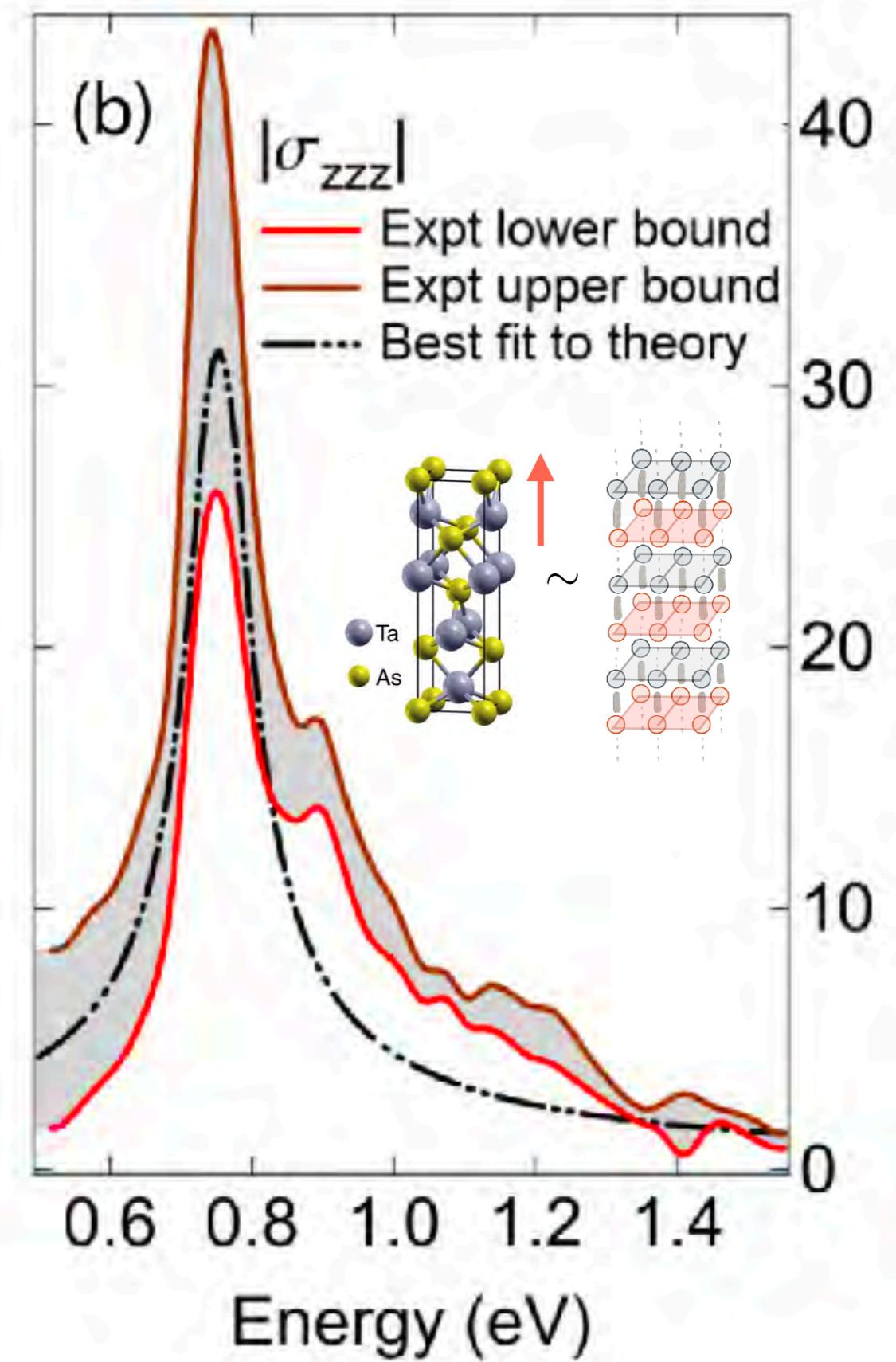
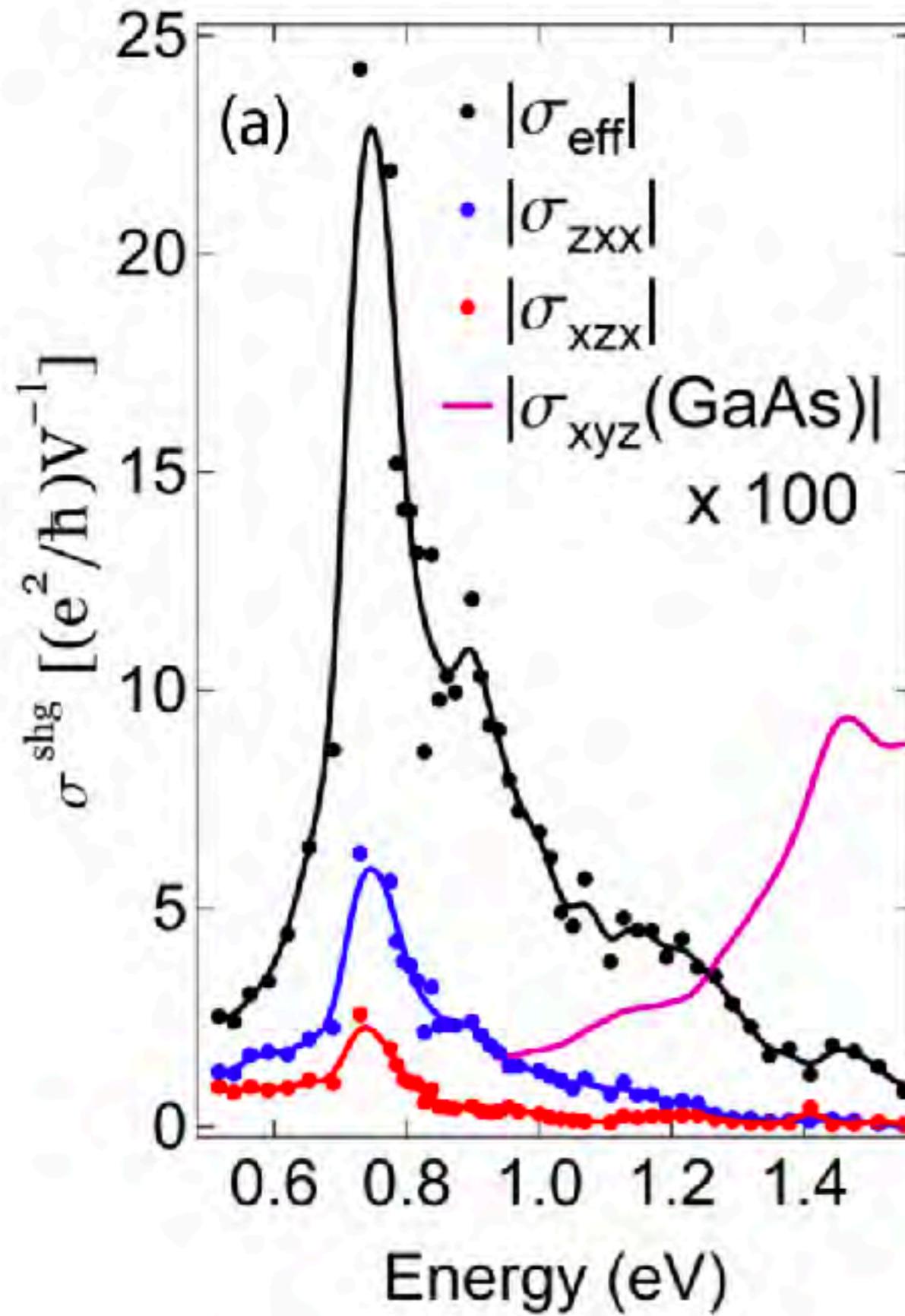
Rice-Mele model



Coupled chains



# Coupled Rice Mele model

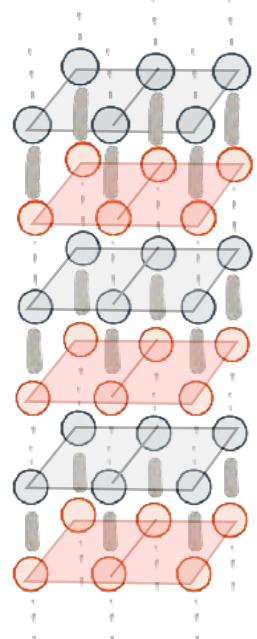


# How large can this get?

$$\Sigma \equiv \int d\omega \text{Re}\{\sigma^{(2)}(2\omega; \omega, \omega)\}$$

**Coupled RM bound**

$$\Sigma = \frac{\pi e^3}{64\hbar^2} \frac{a^2}{bc} F(t/\Delta, \delta/\Delta)$$



$$\begin{array}{c} \downarrow \\ \text{F max at 0.23} \\ \downarrow \\ \text{TaAs} \\ \downarrow \\ \frac{a^2}{bc} \sim 20 \end{array}$$

**2 band models**

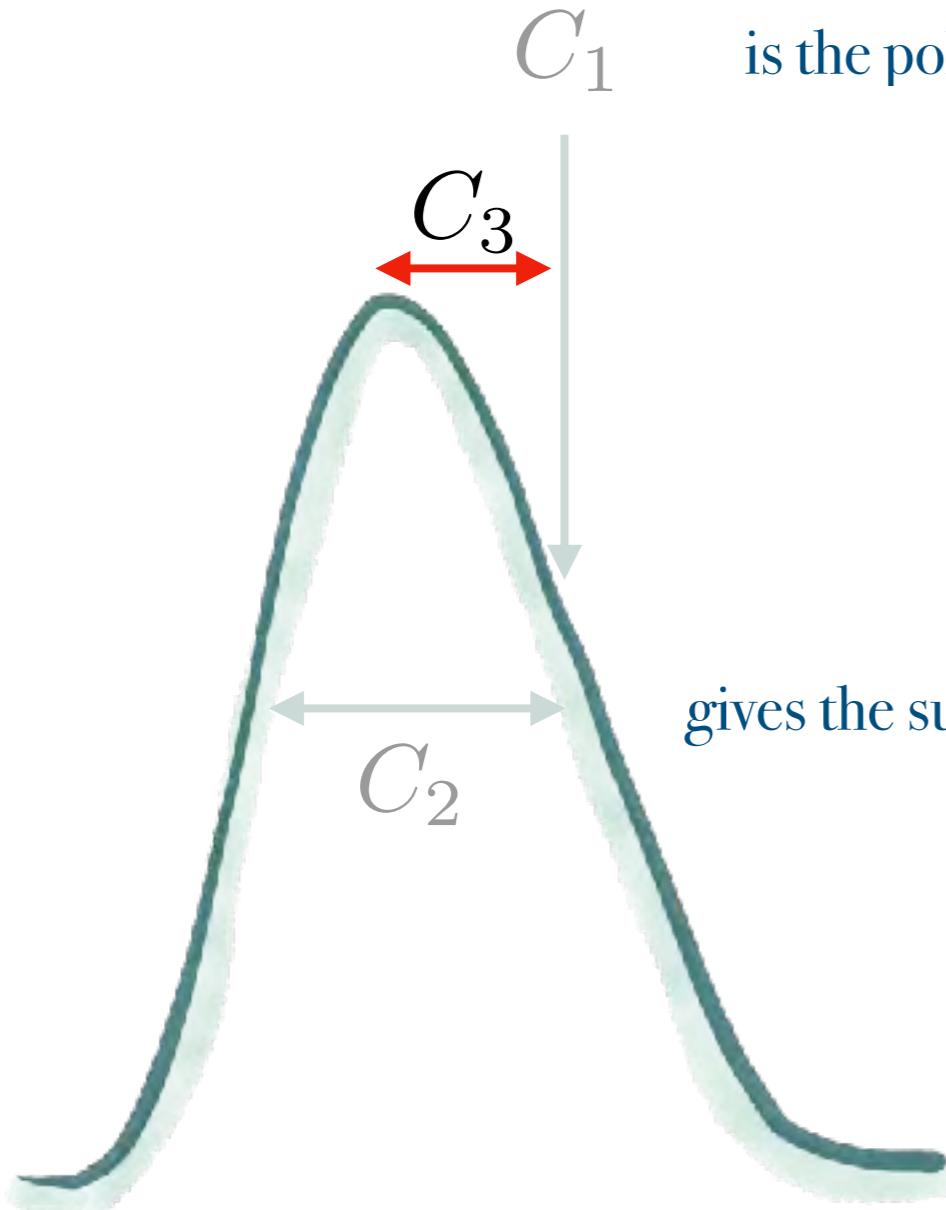
$$\Sigma = \frac{\pi e^3}{2\hbar^2} C_3$$

“Skewness” of the polarization distribution

see also: Tan and Rappe, arXiv (2017)

TaAs saturates RM bound

# A new sum-rule



$C_1$  is the polarization

$$P = C_1$$

Vanderbilt, King-Smith, Resta

gives the sum rule on linear response

$$\int d\omega \text{Re}[\sigma^{(1)}(\omega)] = \frac{\pi e^2}{\hbar} C_2$$

Souza, Wilkens and Martin

New result:

$C_3$  gives sum rule for shift current and SHG

$$\int d\omega \text{Re}\{\sigma^{(2)}(2\omega; \omega, \omega)\} = \frac{\pi e^3}{2\hbar^2} C_3$$

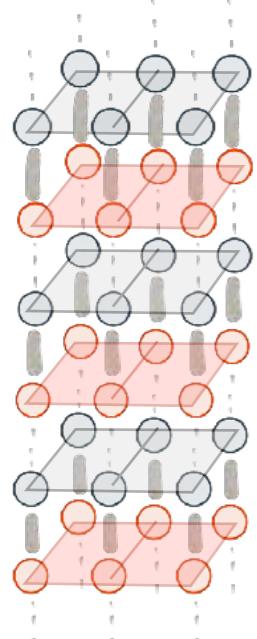
(enhanced with longer range hoppings)

# How large can this get?

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**2 band models:**

$$\Sigma = \frac{\pi e^3}{2 \hbar^2} C_3$$

**General bound?**

?

“Skewness” of the polarization distribution

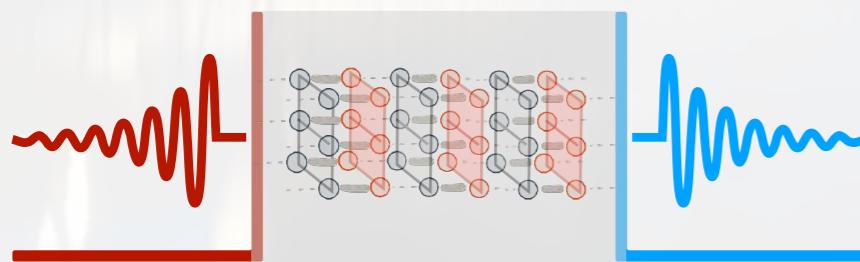
see also: Tan and Rappe, arXiv (2017)

All chiral metals have large and quantized injection currents

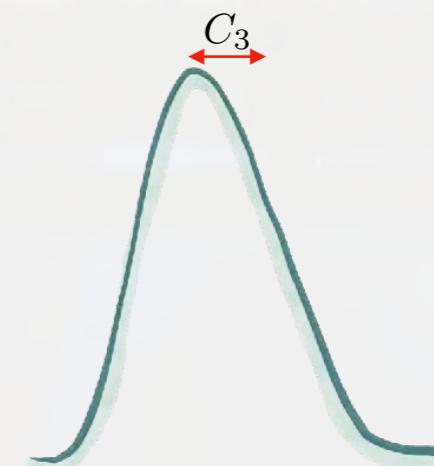


$$\frac{e^3}{h^2}$$

TaAs: largest and anisotropic SHG



Polarization skewness = upper bound for SHG







# The importance of real space embedding

