

Exploring higher-dimensional topological physics with ultracold atoms (and photons)

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THE ROYAL
SOCIETY



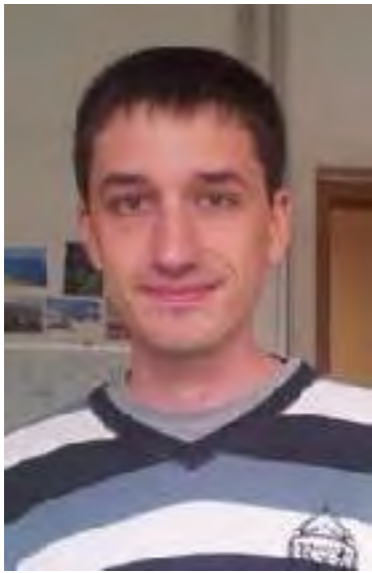
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(Trento)



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(Zurich)



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(Brussels)

Outline

1. Introduction to 2D Quantum Hall Systems

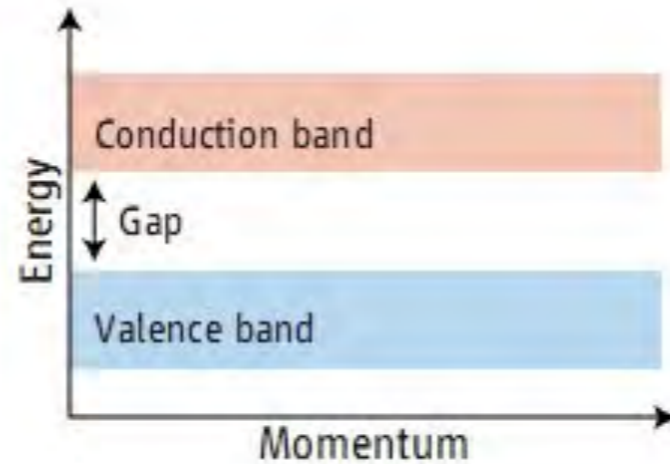
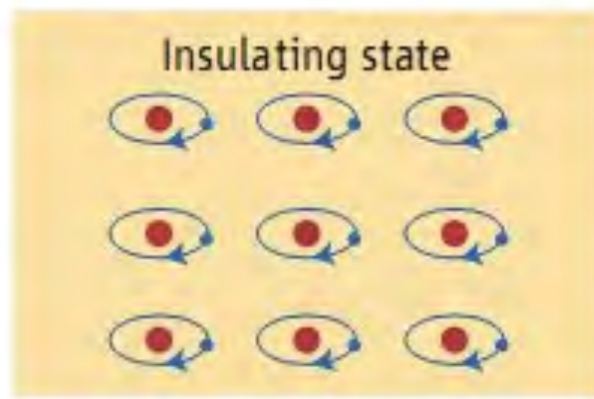
2. Topological Physics in Four Dimensions

3. Exploring Higher Dimensions with Cold Atoms (or Photons):

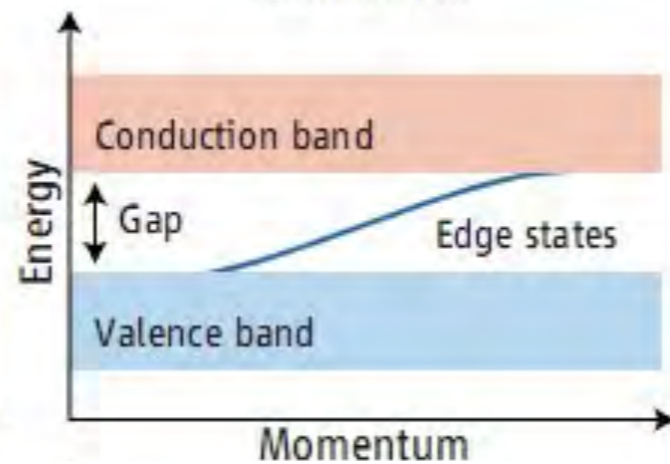
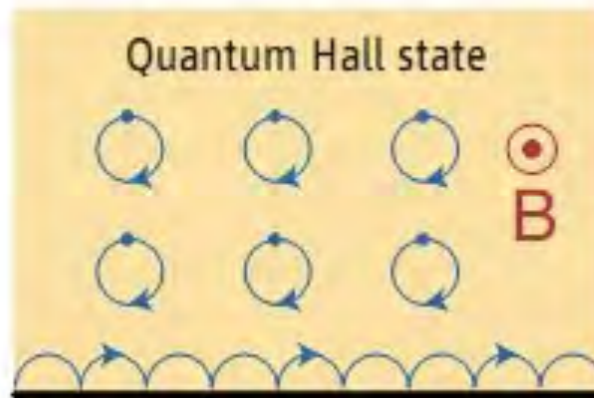
- Synthetic Dimensions
- Topological Pumping

2D Quantum Hall Systems

Figure from
C. L. Kane & E. J. Mele,
Science 314, 5806,
1692 (2006)



Bands are topologically-trivial



Bands have non-zero topological first Chern numbers

$$\sigma_{xy} = -\frac{e^2}{h} \sum_{n \in \text{occ.}} \nu_1^n$$

- Very robust as topological invariants can only change if gap closes
- Bulk-boundary correspondence links topological invariants to no/ edge states

Topology from geometry

$$\psi_{n,\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}} u_{n,\mathbf{k}}(\mathbf{r})$$

$$\hat{H}_{\mathbf{k}} u_{n,\mathbf{k}} = \mathcal{E}_n(\mathbf{k}) u_{n,\mathbf{k}}$$

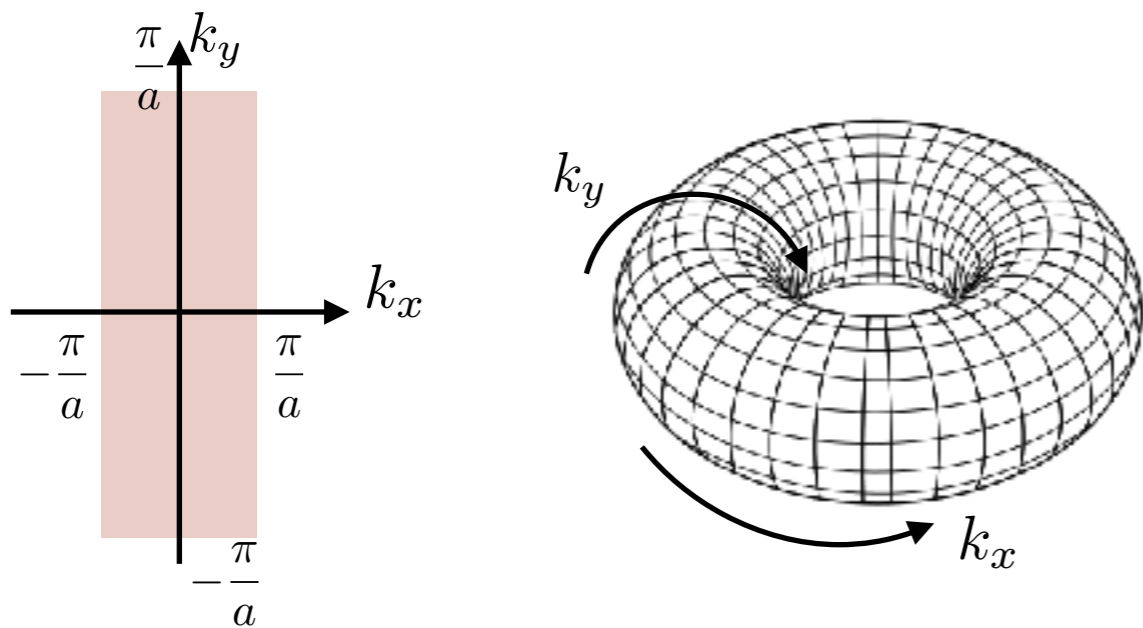
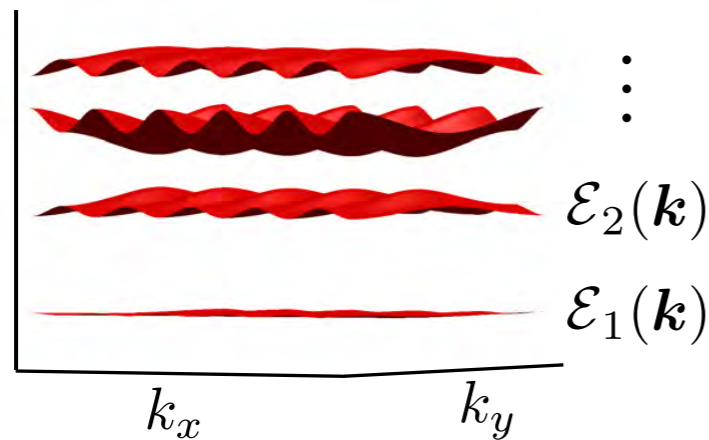
Geometrical properties:

Berry connection $\mathcal{A}_n(\mathbf{k}) = i \langle u_{n,\mathbf{k}} | \frac{\partial}{\partial \mathbf{k}} | u_{n,\mathbf{k}} \rangle$

Berry curvature $\Omega_n(\mathbf{k}) = \nabla \times \mathcal{A}_n(\mathbf{k})$

Topological properties:

First Chern number $\nu_1^n = \frac{1}{2\pi} \int_{BZ} d^2\mathbf{k} \cdot \Omega_n(\mathbf{k})$



(Analogy with Gauss-Bonnet theorem for closed surfaces:)

$$\int_{S_{\text{tot}}} \kappa dS = 4\pi(1 - g)$$



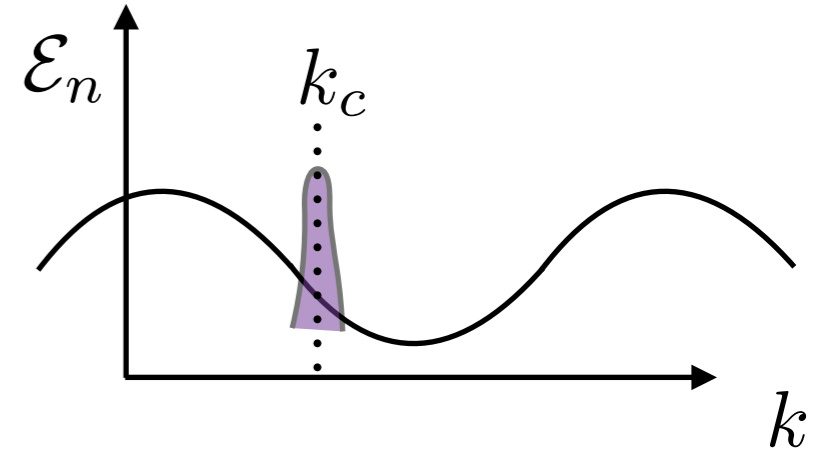
2D Quantum Hall Effect

Semiclassical dynamics of a wavepacket in a lattice

$$\dot{\mathbf{r}}_c = \frac{1}{\hbar} \frac{\partial \mathcal{E}_n(\mathbf{k}_c)}{\partial \mathbf{k}_c} - \dot{\mathbf{k}}_c \times \Omega_n(\mathbf{k}_c)$$

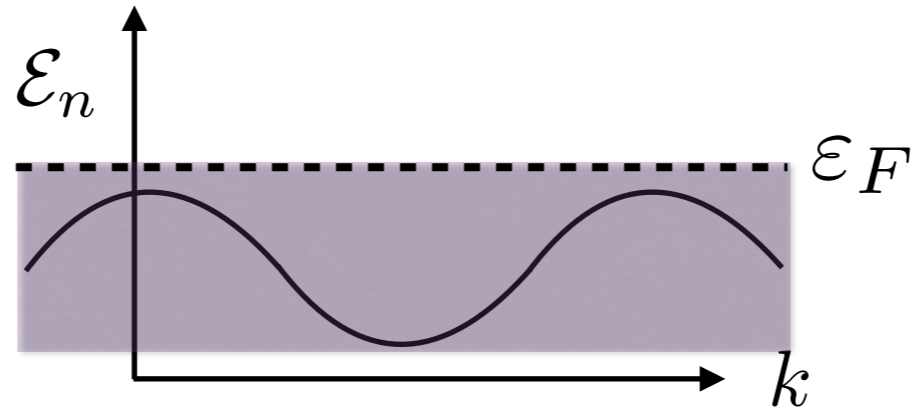
“Anomalous velocity”:
analogous to Lorentz force

$$\hbar \dot{\mathbf{k}}_c = -e\mathbf{E}$$



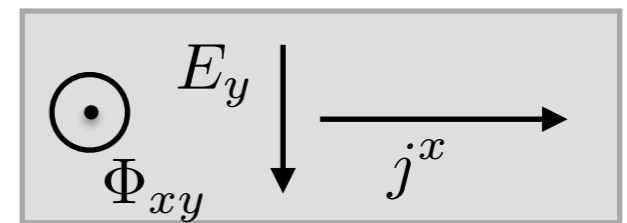
Karplus & Luttinger Phys. Rev. 95, 1154 (1954)...
Chang & Niu, PRL, 75, 1348 (1995)...
Review: Xiao et al, RMP, 82, 1959 (2010)

For a band insulator



$$\mathbf{j} = -\frac{e}{(2\pi)^2} \sum_{n \in \text{occupied}} \int_{BZ} d^2\mathbf{k} \cdot \left[\frac{1}{\hbar} \frac{\partial \mathcal{E}_n(\mathbf{k})}{\partial \mathbf{k}} + \frac{e}{\hbar} \mathbf{E} \times \Omega_n(\mathbf{k}) \right]$$

$$\longrightarrow j_x = -\frac{e^2}{h} \frac{E_y}{(2\pi)} \sum_{n \in \text{occupied}} \int_{BZ} d^2\mathbf{k} \cdot \Omega_n(\mathbf{k})$$

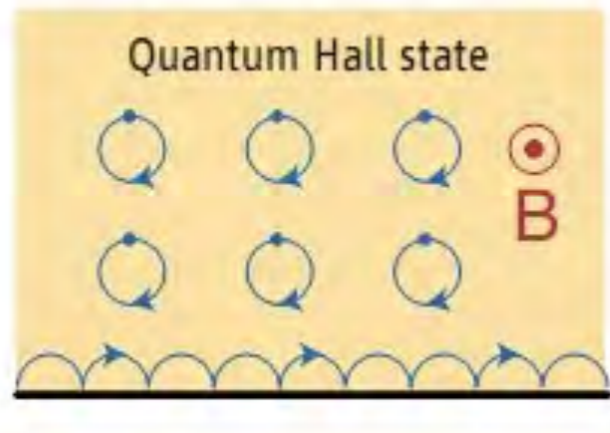


[Also derivation from Kubo formula]
Thouless et al., Phys. Rev. Lett. 49, 405, 1982

Quantized
conductance:

$$\sigma_{xy} = -\frac{e^2}{h} \sum_{n \in \text{occ.}} \nu_1^n$$

What do we need for a 2D QH system?

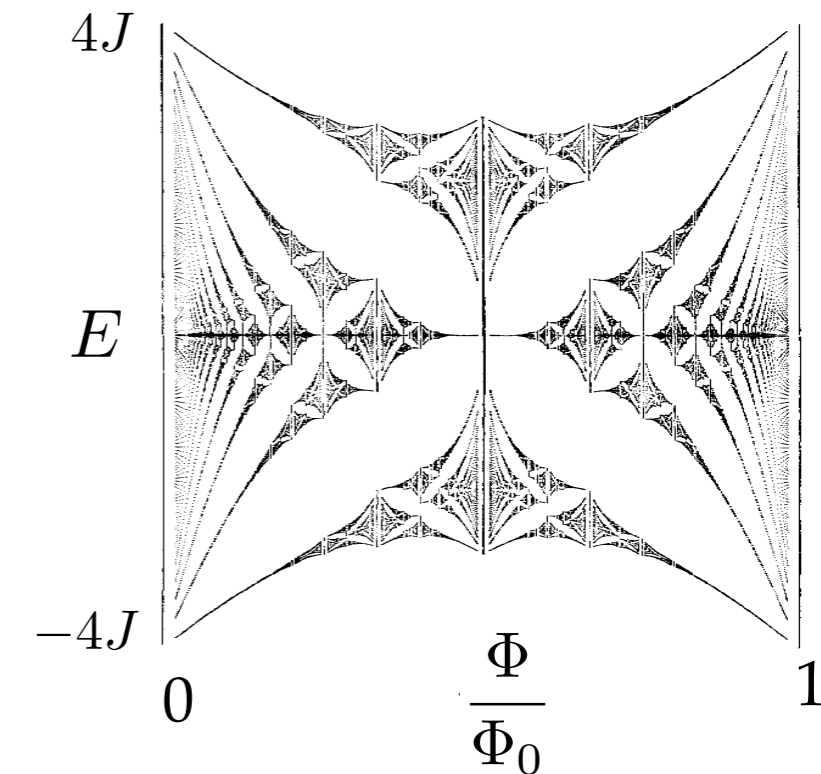
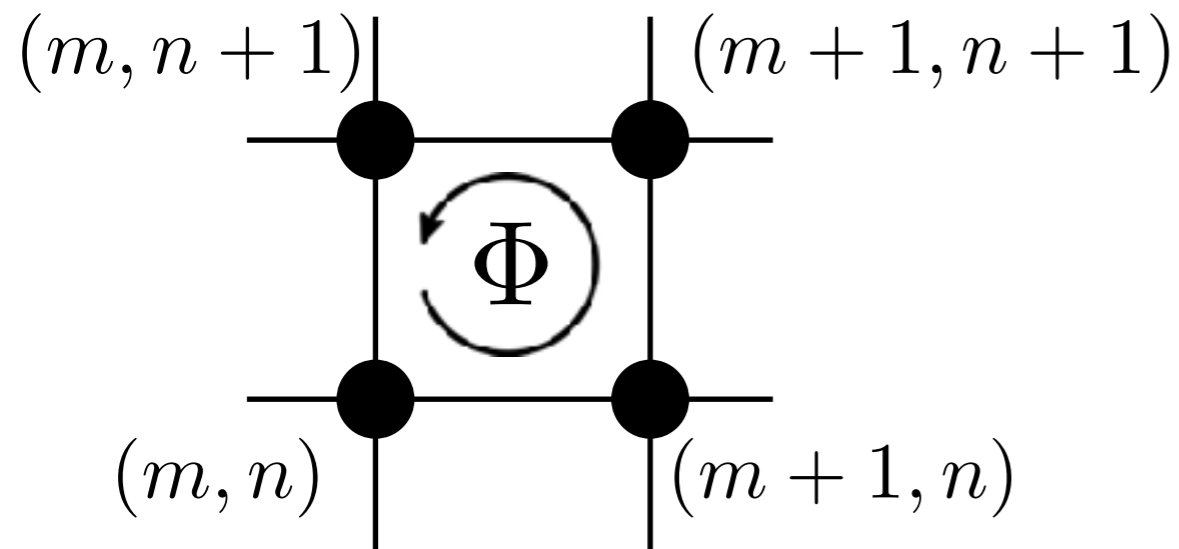


In the continuum:

$$\mathcal{H} = \frac{(\hat{\mathbf{p}} - q\mathbf{A}(\hat{\mathbf{r}}))^2}{2M}$$

In the tight-binding regime e.g. **Harper-Hofstadter model**:

[Hofstadter, PRB, 14, 2239, 1976](#)

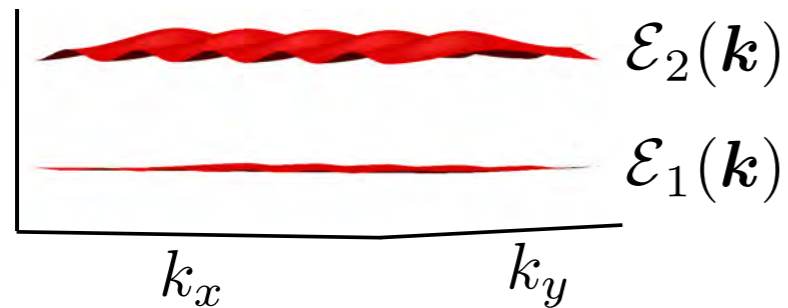


$$\mathcal{H} = J \sum_{m,n} (\hat{c}_{m+1,n}^\dagger \hat{c}_{m,n} + e^{i2\pi\Phi m} \hat{c}_{m,n+1}^\dagger \hat{c}_{m,n}) + \text{h.c.}$$

Cold atom experiments:

[Aidelsburger et al., PRL, 111, 185301 \(2013\)](#), [Miyake et al, PRL, 111, 185302 \(2013\)](#), [Aidelsburger et al., Nat. Phys, 11,162. \(2015\)](#)

What do we need for a 2D QH system?



Minimal two-band model, e.g. spinless atoms on lattice with two-site unit cell:

$$H(\mathbf{k}) = \varepsilon(\mathbf{k})\hat{I} + \mathbf{d}(\mathbf{k}) \cdot \boldsymbol{\sigma}$$

$$E_{\pm} = \varepsilon(\mathbf{k}) \pm \sqrt{\mathbf{d}(\mathbf{k}) \cdot \mathbf{d}(\mathbf{k})}$$

$$\nu_1^- = \frac{1}{2\pi} \int_{\text{BZ}} d^2\mathbf{k} \cdot \Omega_- = \frac{1}{4\pi} \int_{\text{BZ}} d^2\mathbf{k} \epsilon^{abc} \hat{d}_a \partial_{k_x} \hat{d}_b \partial_{k_y} \hat{d}_c$$

How can we make these bands topological?

Need to close and then re-open a band-gap



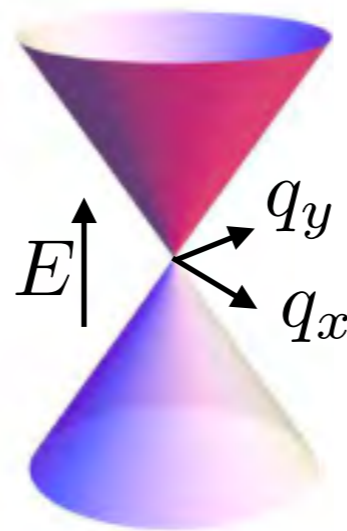
What do we need for a 2D QH system?

Expanded around Dirac point:

$$H(\mathbf{q}) \approx v_x q_x \sigma_x + v_y q_y \sigma_y + m \sigma_z$$



Dirac
cone



-ve

$m = 0$

+ve m

$$\Omega_- = \frac{1}{2} \epsilon^{abc} \hat{d}_a \partial_{q_x} \hat{d}_b \partial_{q_y} \hat{d}_c$$

$$\mathbf{d}(\mathbf{q}) \approx (v_x q_x, v_y q_y, m)$$

$$\hat{\mathbf{d}} = \mathbf{d}/|\mathbf{d}|$$

Berry curvature flips sign across transition as $d_3 = -m \rightarrow d_3 = m$

Type 1: d_1, d_2 same signs \rightarrow **increases** Ω_-

Type 2: d_1, d_2 opposite signs \rightarrow **decreases** Ω_-

see e.g. Bernevig & Hughes,
"Topological Insulators and
Topological Superconductors"

Time-reversal symmetry

Time-reversal symmetry for **spinless** particles

$$\mathcal{T} = \mathcal{K}$$

$$\mathcal{T}^2 = +1$$

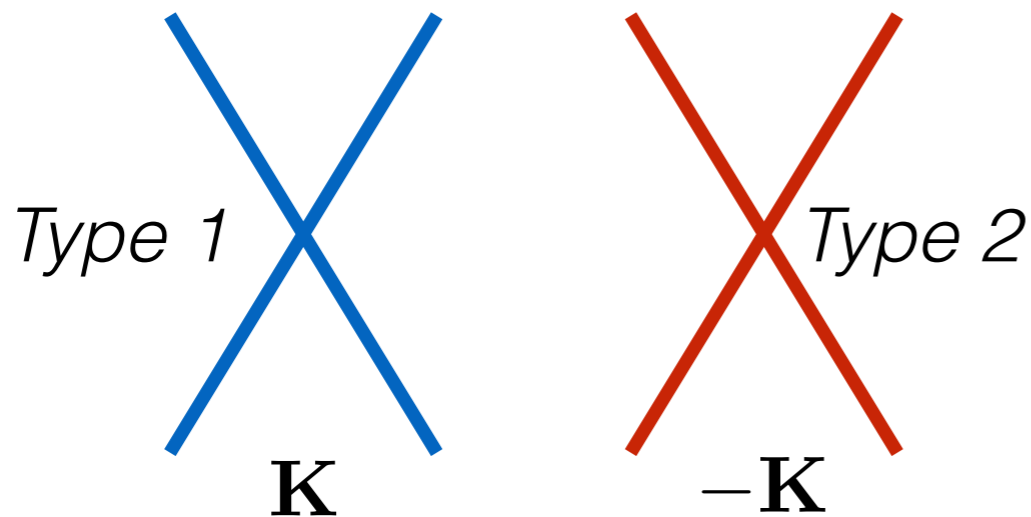
$$\mathcal{T}H(\mathbf{k})\mathcal{T}^{-1} = H(-\mathbf{k}) \quad \text{implies}$$

$$d_1(\mathbf{k}) = d_1(-\mathbf{k})$$

$$d_2(\mathbf{k}) = -d_2(-\mathbf{k})$$

$$d_3(\mathbf{k}) = d_3(-\mathbf{k})$$

So Dirac points always come in **TRS pairs** of **opposite type**



$$\nu_1^- = \frac{1}{2\pi} \int_{\text{BZ}} d^2\mathbf{k} \cdot \Omega_-$$

bands topologically trivial

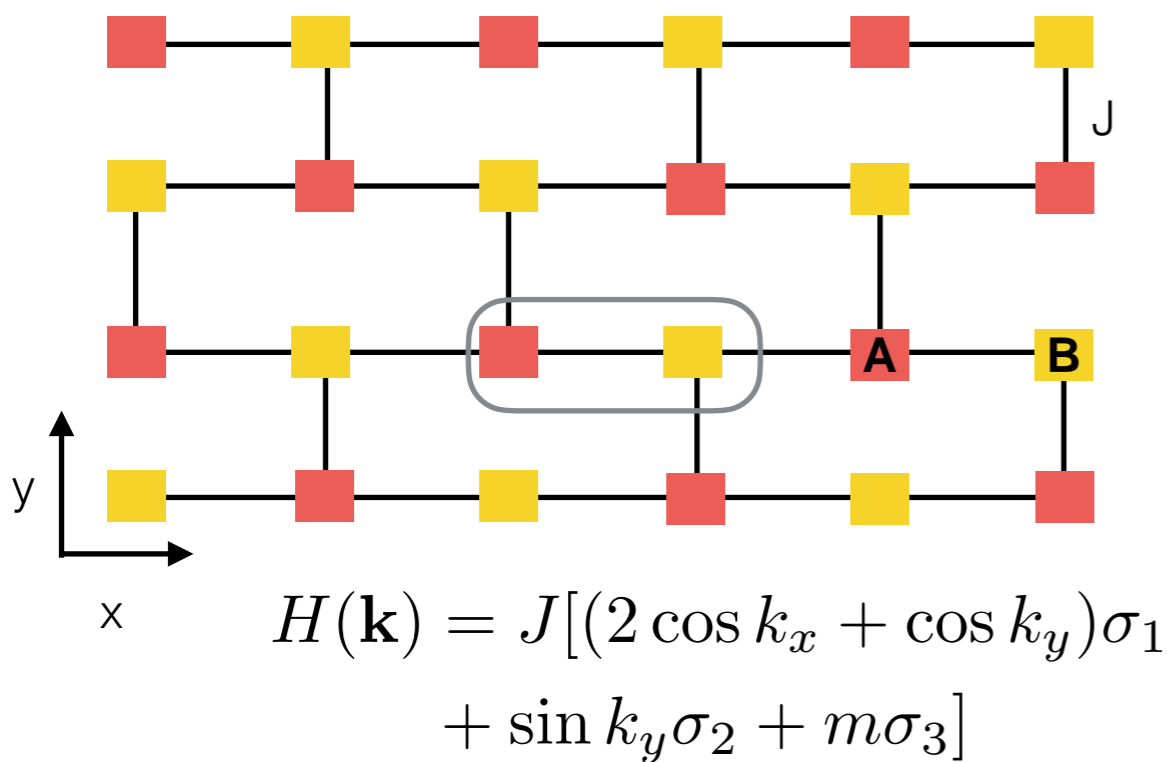
as TRS implies:

$$\Omega_-(\mathbf{k}) = -\Omega_-(\mathbf{k})$$

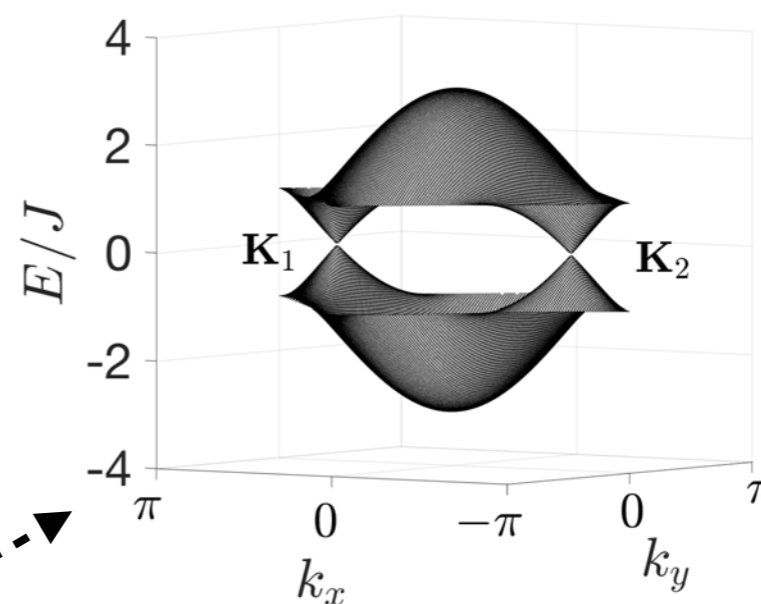
What do we need for a 2D QH system?

Break TRS

2D Brickwall Lattice



N.B. very similar to the honeycomb lattice...



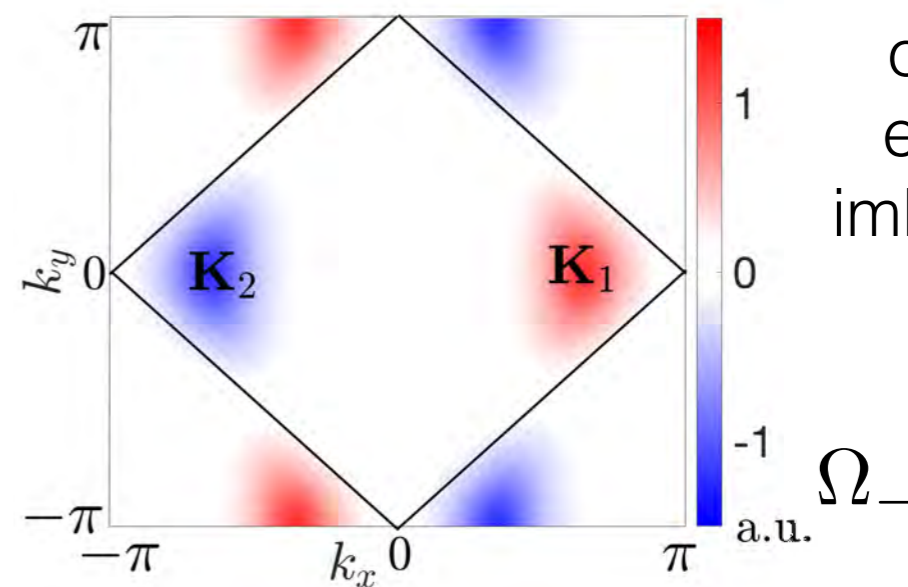
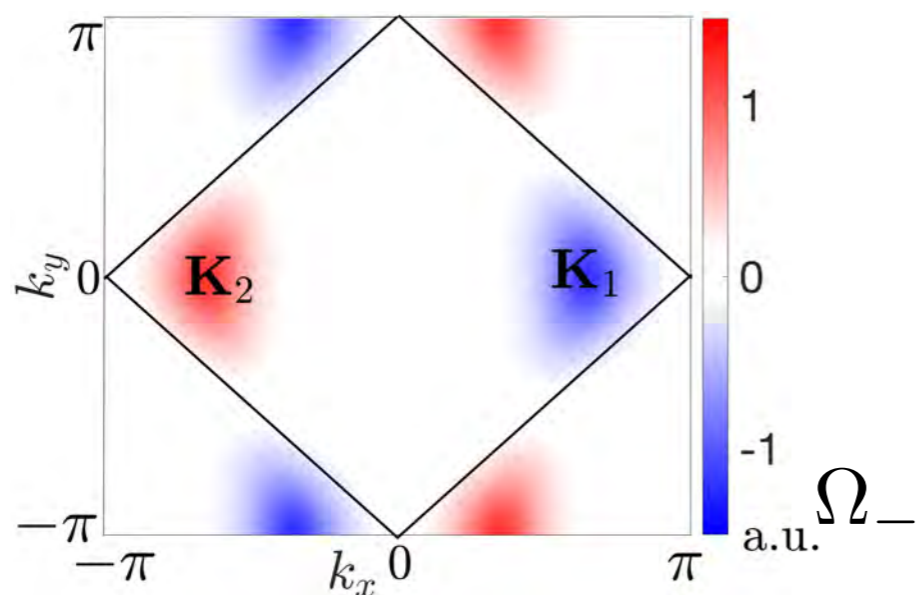
$m = 0$

Trivial

Trivial

m

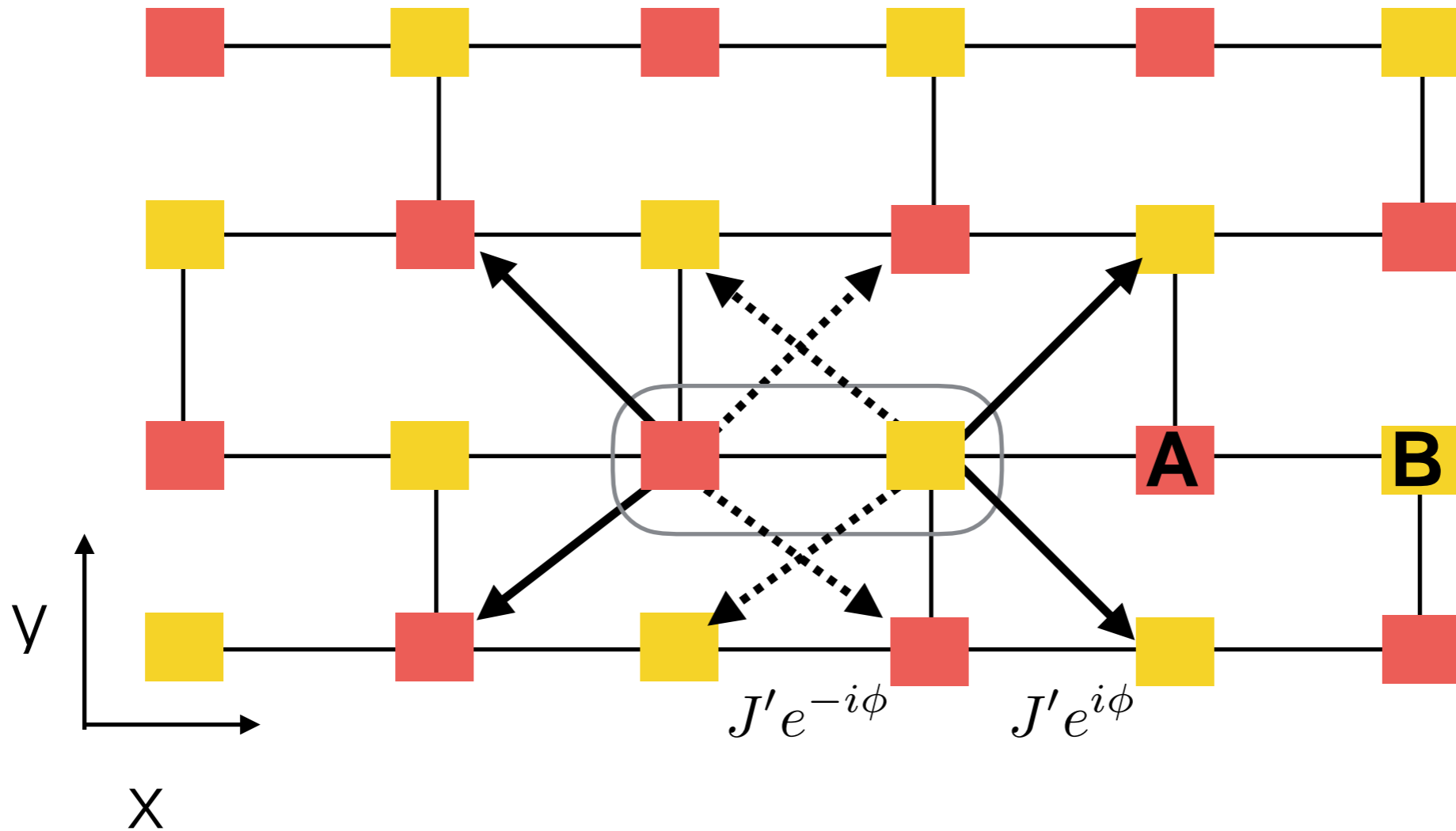
on-site energy imbalance



$m = J$

2D Haldane Model

Separate TRS pairs by adding complex long-range hoppings:



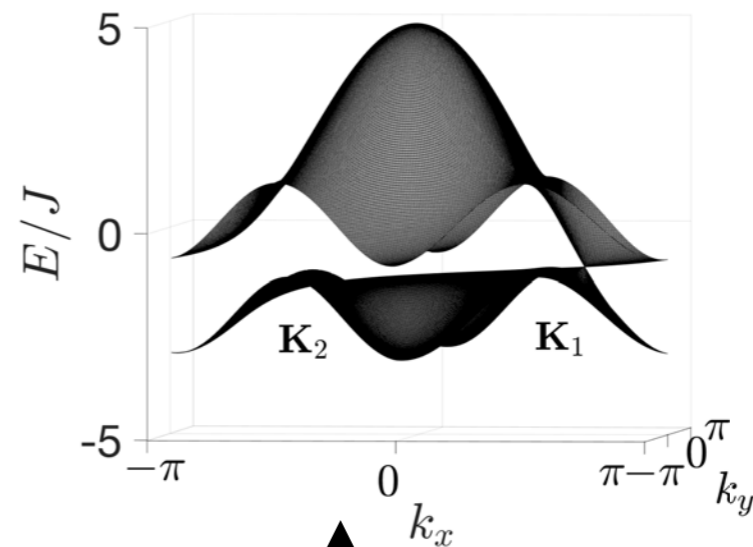
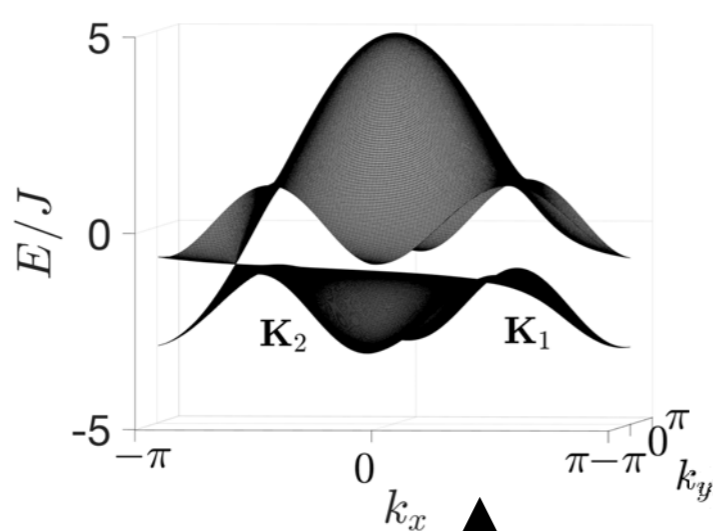
$$H' = \boxed{-2J' \sin \phi (\sin(k_x + k_y) + \sin(k_x - k_y)) \sigma_z} + 2J' \cos \phi (\cos(k_x + k_y) + \cos(k_x - k_y)) \hat{I}$$

breaks TRS, which

requires $d_3(\mathbf{k}) = d_3(-\mathbf{k})$

2D Haldane Model

Momentum-dependence of extra terms breaks apart the TRS pair



Figures for
 $J' = J/2$
 $\phi = \pi/10$

$$m = -2\sqrt{3}J' \sin(\phi)$$

$$m = 2\sqrt{3}J' \sin(\phi)$$

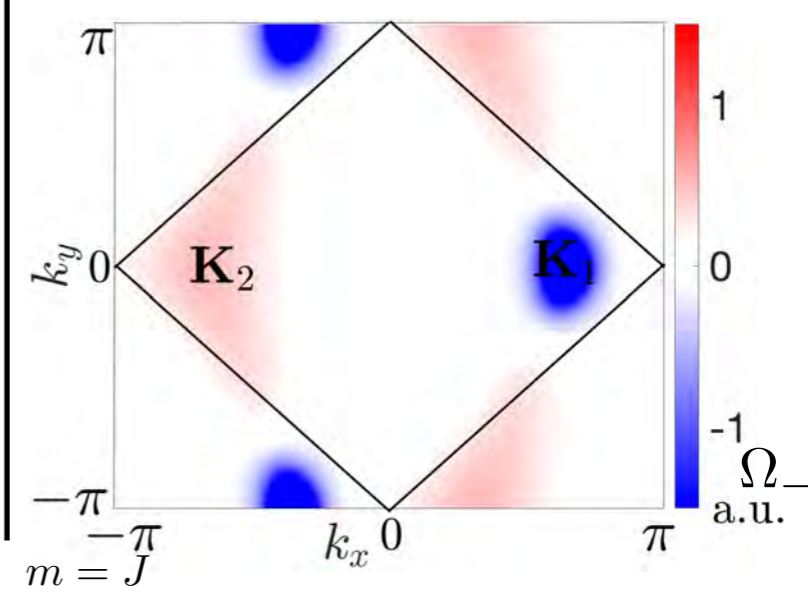
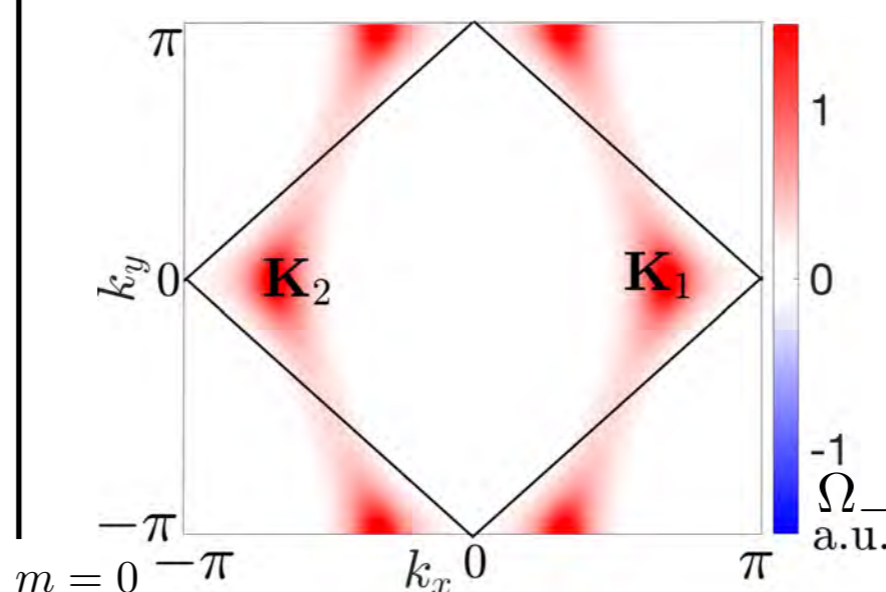
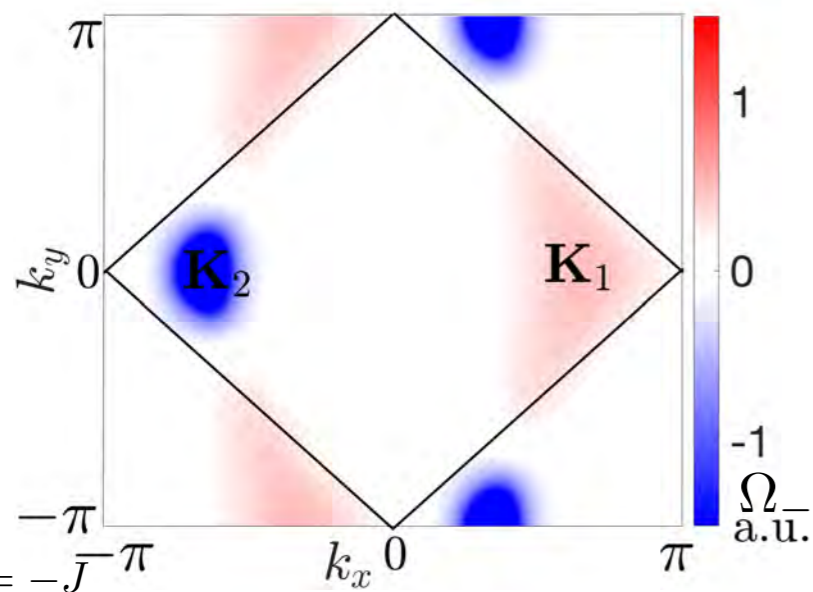
Trivial

Topological

Trivial

m

$$\nu_1^- = 1$$



Key points about 2D QH Systems

- Bands labelled by integer first Chern numbers

- Quantized linear response
$$j_x = -\frac{q^2}{h} E_y \sum_{n \in \text{occ.}} \nu_1^n$$

- Crucial ingredient is breaking of TRS, e.g.
 - Magnetic fields: Landau levels, Harper-Hofstadter model....
 - 2D Haldane Model

Outline

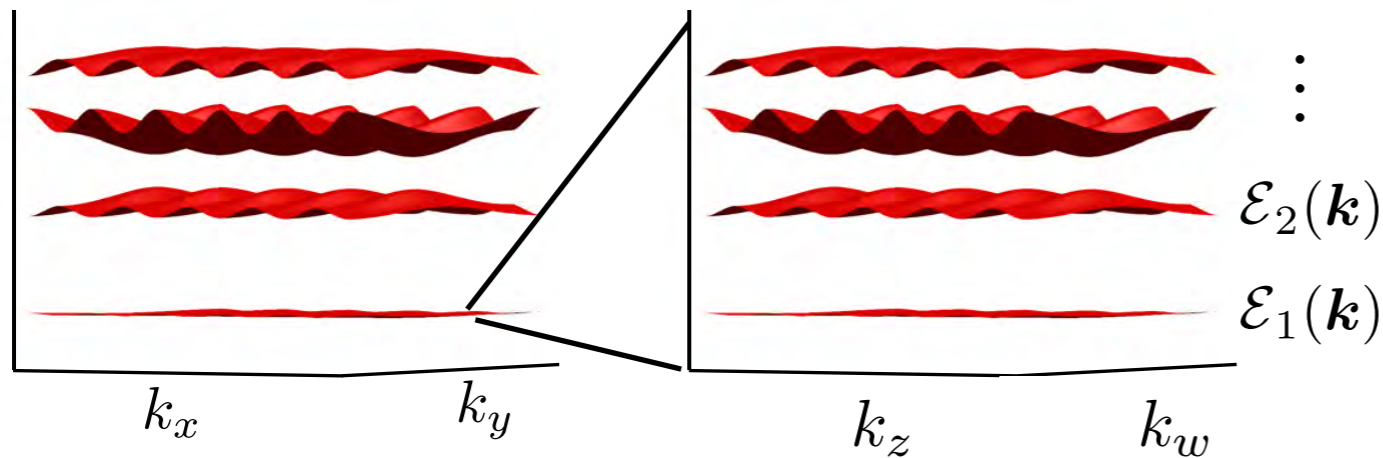
1. Introduction to Topology

2. Topological Physics in Four Dimensions

3. Exploring Higher Dimensions with Cold Atoms (or Photons):

- Synthetic Dimensions
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Second Chern Number



$$\Omega = \frac{1}{2} \Omega^{\mu\nu}(\mathbf{k}) d\mathbf{k}_\mu \wedge d\mathbf{k}_\nu$$

$$\Omega_n^{\mu\nu} = i \left[\left\langle \frac{\partial u_n}{\partial k_\mu} \middle| \frac{\partial u_n}{\partial k_\nu} \right\rangle - \left\langle \frac{\partial u_n}{\partial k_\nu} \middle| \frac{\partial u_n}{\partial k_\mu} \right\rangle \right]$$

First Chern number

$$\nu_1 = \frac{1}{2\pi} \int_{\mathbb{T}^2} \Omega$$

Second Chern number

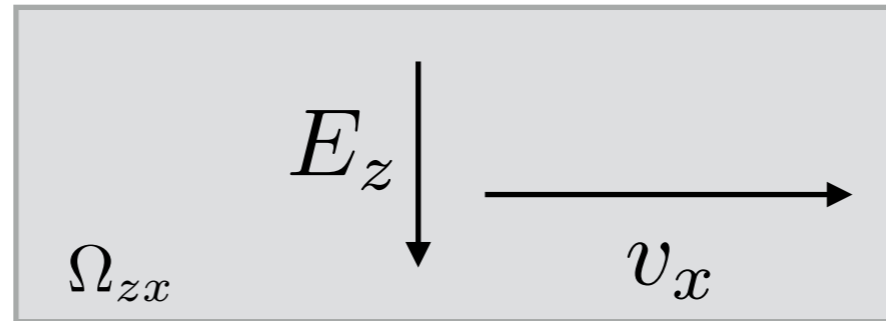
$$\begin{aligned} \nu_2 &= \frac{1}{8\pi^2} \int_{\mathbb{T}^4} \Omega \wedge \Omega \in \mathbb{Z}, \\ &= \frac{1}{4\pi^2} \int_{\mathbb{T}^4} [\Omega^{xy} \Omega^{zw} + \Omega^{wx} \Omega^{zy} + \Omega^{zx} \Omega^{yw}] d^4 k \end{aligned}$$

(and then the third Chern number in 6D...)

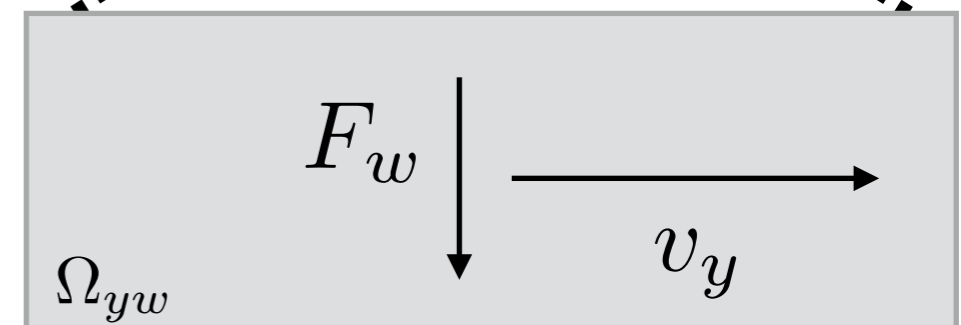
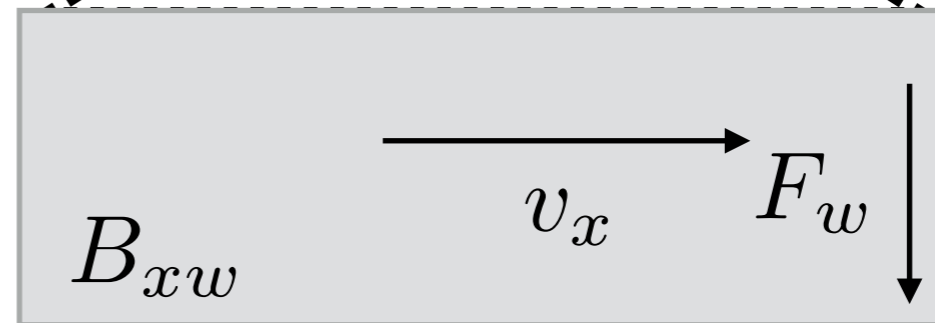
4D Quantum Hall Effect

$$\nu_2 = \frac{1}{8\pi^2} \int_{\mathbb{T}^4} \Omega \wedge \Omega \in \mathbb{Z},$$

$$= \frac{1}{4\pi^2} \int_{\mathbb{T}^4} [\Omega^{xy}\Omega^{zw} + \Omega^{wx}\Omega^{zy} + \Omega^{zx}\Omega^{yw}] d^4k$$



$$B_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$



Adding a perturbing electric and magnetic field

$$\dot{r}^\mu(\mathbf{k}) = \frac{\partial \mathcal{E}(\mathbf{k})}{\partial k_\mu} - \dot{k}_\nu \Omega^{\mu\nu}(\mathbf{k}), \quad -q = \hbar = 1$$

$$\dot{k}_\mu = -E_\mu - \dot{r}^\nu B_{\mu\nu},$$

$$\dot{r}^\mu = \frac{\partial \mathcal{E}}{\partial k_\mu} + E_\nu \Omega^{\mu\nu} + \dot{r}^\gamma B_{\nu\gamma} \Omega^{\mu\nu}$$

[Note, this is only the "Lorentz-type 4DQH", also "density-type 4DQH" ...]

$$\approx \frac{\partial \mathcal{E}}{\partial k_\mu} + E_\nu \Omega^{\mu\nu} + \left(\frac{\partial \mathcal{E}}{\partial k_\gamma} + E_\delta \Omega^{\gamma\delta} + \frac{\partial \mathcal{E}}{\partial k_\alpha} B_{\delta\alpha} \Omega^{\gamma\delta} \right) B_{\nu\gamma} \Omega^{\mu\nu}$$

$$j_y = -\frac{q^3}{h^2} E_z B_{xw} \sum_{n \in \text{occ.}} \nu_2^n$$

What do we need for a 4D QH system?

Kitaev, arXiv:0901.2686
 Ryu et al., NJP, 12, 2010,
 Chiu et al RMP, 88, 035005 (2016)...

Class	Symmetries			Dimensions							
	T	C	S	0	1	2	3	4	5	6	7
A	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	+	0	0	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	+	+	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
D	0	+	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
DIII	-	+	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
AII	-	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-	-	1	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	+	-	1	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

1. Preserved TRS for fermions: particles in spin-dependent gauge fields
 Zhang et al, Science 294, 823 (2001), Qi et al, Phys. Rev. B 78, 195424 (2008).....
2. Broken TRS: 4D Harper-Hofstadter model
 Kraus et al, Phys. Rev. Lett. 111, 226401 (2013), HMP et al. 115, 195303 (2015)...
3. Preserved TRS for spinless particles: just lattice connectivity!

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AI	+	0	0	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	+	+	1	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
D	0	+	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
DIII	-	+	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
AII	-	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-	-	1	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	+	-	1	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

1. Preserved TRS for fermions: particles in spin-dependent gauge fields
 Zhang et al, Science 294, 823 (2001), Qi et al, Phys. Rev. B 78, 195424 (2008).....

2. **Broken TRS: 4D Harper-Hofstadter model**

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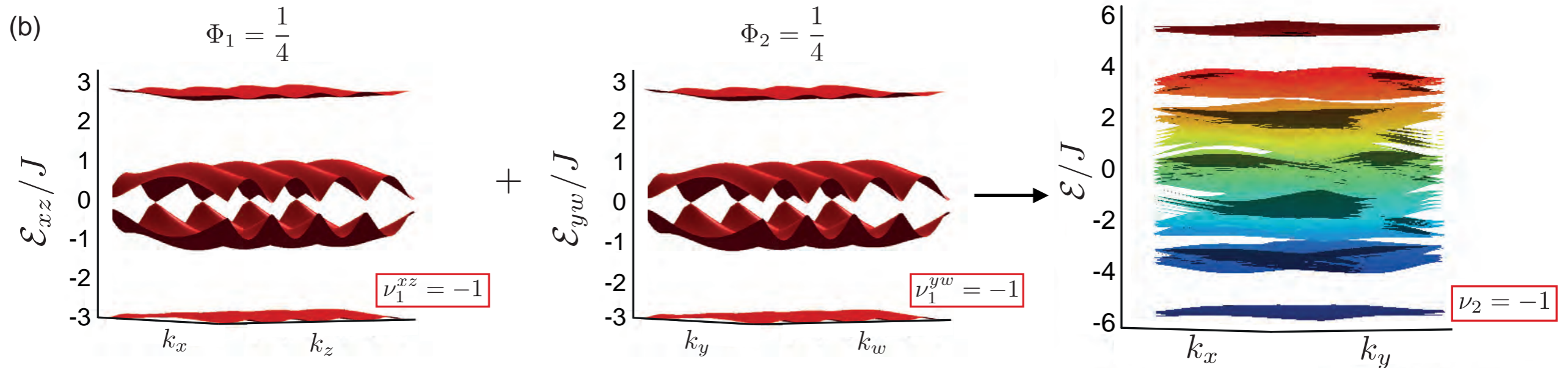
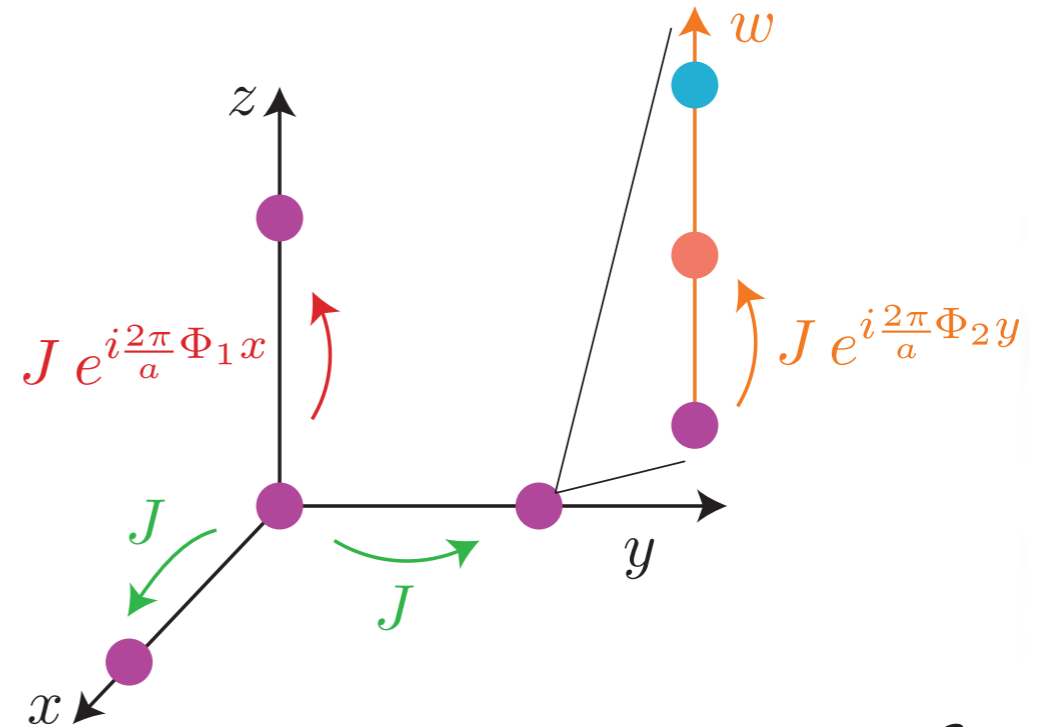
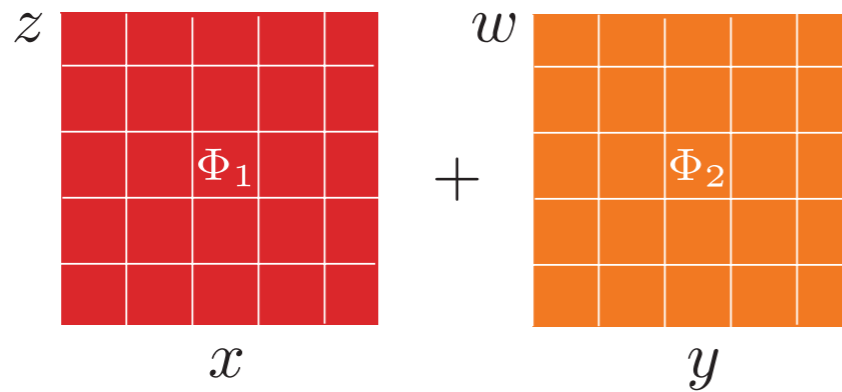
3. Preserved TRS for spinless particles: just lattice connectivity!

HMP, arXiv:1806.05263

4D Harper-Hofstadter Model

$$\nu_2 = \frac{1}{4\pi^2} \int_{\mathbb{T}^4} [\Omega^{xy}\Omega^{zw} + \Omega^{wx}\Omega^{zy} + \Omega^{zx}\Omega^{yw}] d^4k$$

Two copies of the **Hofstadter model**



What do we need for a 4D QH system?

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 Chiu et al RMP, 88, 035005 (2016)...

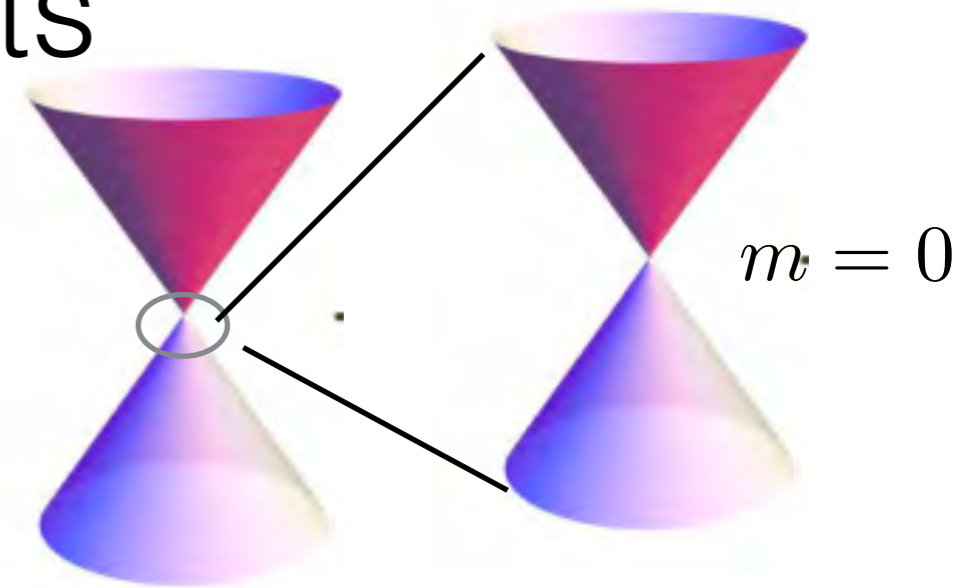
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AI	+	0	0	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
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D	0	+	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
DIII	-	+	1	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
AII	-	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	-	-	1	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	-	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	+	-	1	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

1. Preserved TRS for fermions: particles in spin-dependent gauge fields
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2. Broken TRS: 4D Harper-Hofstadter model
 Kraus et al, Phys. Rev. Lett. 111, 226401 (2013), HMP et al. 115, 195303 (2015)...
3. **Preserved TRS for spinless particles:** just lattice connectivity!

4D Dirac points

Minimal four-band model:

$$H(\mathbf{k}) = \varepsilon(\mathbf{k})\Gamma_0 + \mathbf{d}(\mathbf{k}) \cdot \boldsymbol{\Gamma}$$



$$\nu_2^- = \frac{1}{8\pi^2} \int_{\text{BZ}} \text{tr}(\Omega_- \wedge \Omega_-),$$

$$\mathbf{d}(\mathbf{q}) \approx (v_x q_x, v_y q_y, v_z q_z, v_w q_w, m)$$

Qi et al, Phys. Rev. B 78, 195424 (2008)

$$= \frac{3}{8\pi^2} \int_{\text{BZ}} d^4 \mathbf{k} \epsilon^{abcde} \hat{d}_a \partial_{k_x} \hat{d}_b \partial_{k_y} \hat{d}_c \partial_{k_z} \hat{d}_d \partial_{k_w} \hat{d}_e$$

Integrand flips sign across transition as $d_5 = -m \rightarrow d_5 = m$

Type 1: d_1, d_2, d_3, d_4 even no/ minus signs \rightarrow increases Ω_-

Type 2: d_1, d_2, d_3, d_4 odd no/ minus signs \rightarrow decreases Ω_-

$$\Gamma_1 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \Gamma_2 = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & -i \\ i & 0 & 0 & 0 \\ 0 & i & 0 & 0 \end{pmatrix}, \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \Gamma_4 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \end{pmatrix}, \Gamma_5 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

4D Dirac points

Now TRS for spinless particles

$$\mathcal{T} = \mathcal{K}$$

$$\mathcal{T}^2 = +1$$

$$\mathcal{T}H(\mathbf{k})\mathcal{T}^{-1} = H(-\mathbf{k}) \quad \text{implies}$$

$$d_1(\mathbf{k}) = d_1(-\mathbf{k})$$

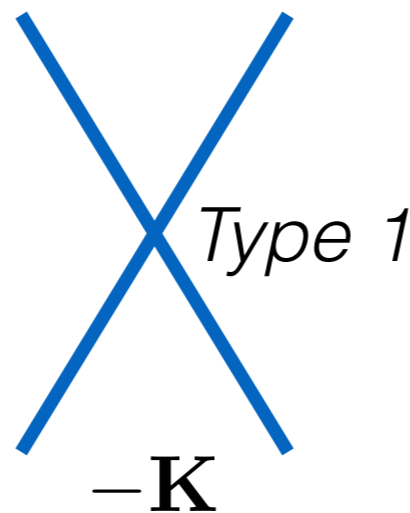
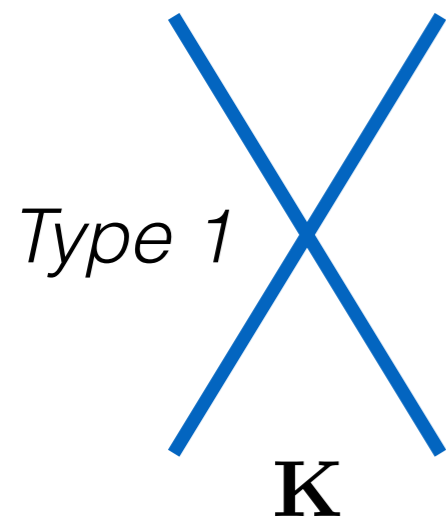
$$d_2(\mathbf{k}) = -d_2(-\mathbf{k})$$

$$d_3(\mathbf{k}) = d_3(-\mathbf{k})$$

$$d_4(\mathbf{k}) = -d_4(-\mathbf{k})$$

$$d_5(\mathbf{k}) = d_5(-\mathbf{k})$$

So Dirac points always come in TRS pairs of *the same* type



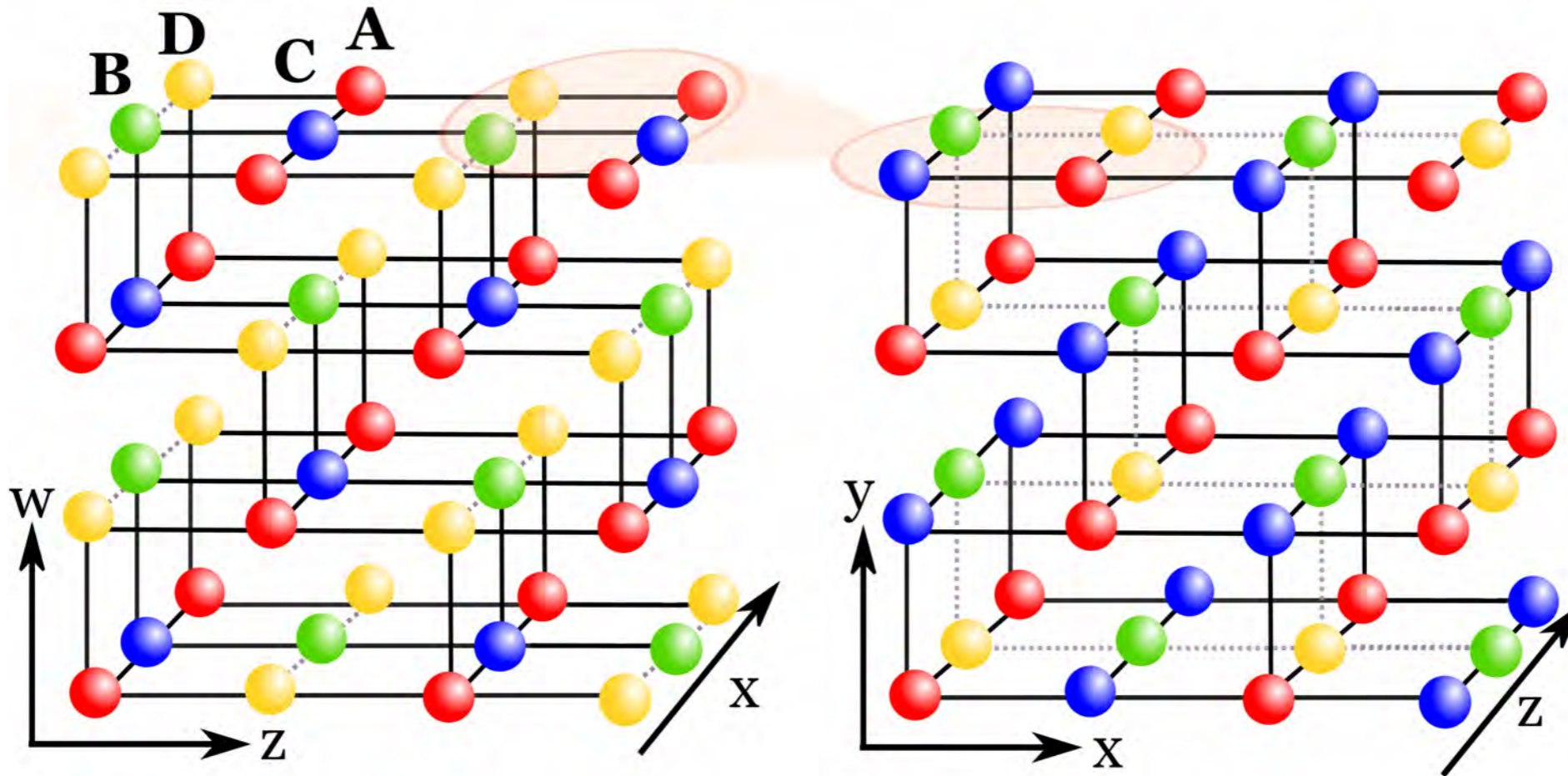
$$\nu_2^- = \frac{1}{8\pi^2} \int_{\text{BZ}} \text{tr}(\Omega_- \wedge \Omega_-) \in 2\mathbb{Z}$$

can be topological with TRS

$$\Gamma_1 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \Gamma_2 = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & -i \\ i & 0 & 0 & 0 \\ 0 & i & 0 & 0 \end{pmatrix}, \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \Gamma_4 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \end{pmatrix}, \Gamma_5 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

4D Brickwall Lattice

(Can follow equivalent arguments based on 4D honeycomb lattice)

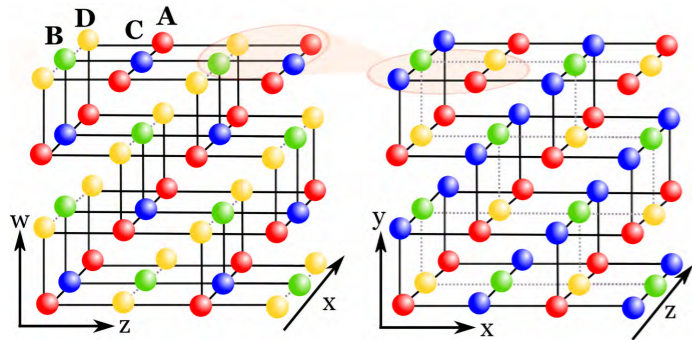


$$H(\mathbf{k}) = J [(2 \cos k_x + \cos k_y)\Gamma_1 + \sin k_y \Gamma_2 + (2 \cos k_z + \cos k_w)\Gamma_3 + \sin k_w \Gamma_4 + m\Gamma_5]$$

Hopping terms

Onsite energies

$$\Gamma_1 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \Gamma_2 = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & -i \\ i & 0 & 0 & 0 \\ 0 & i & 0 & 0 \end{pmatrix}, \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \Gamma_4 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \end{pmatrix}, \Gamma_5 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$



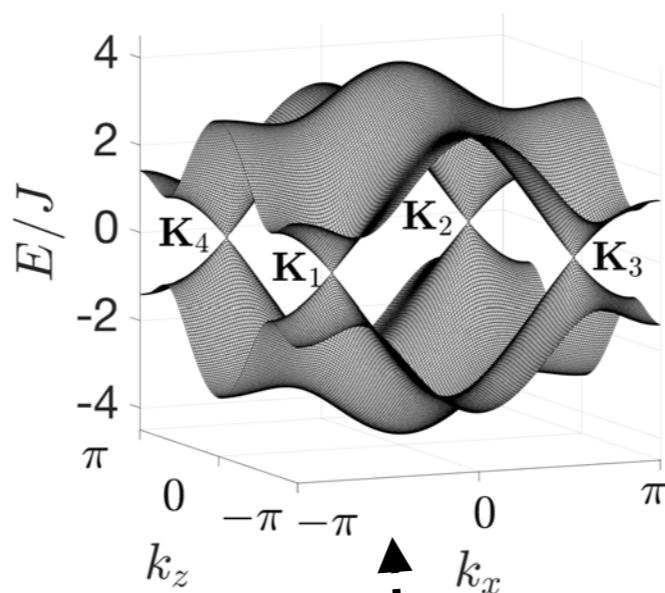
4D Brickwall Lattice

$$H(\mathbf{k}) = J [(2 \cos k_x + \cos k_y)\Gamma_1 + \sin k_y\Gamma_2 + (2 \cos k_z + \cos k_w)\Gamma_3 + \sin k_w\Gamma_4 + m\Gamma_5]$$

Model has two TRS pairs :

\mathbf{K}_1 & \mathbf{K}_2 and \mathbf{K}_3 & \mathbf{K}_4

when $m = 0$

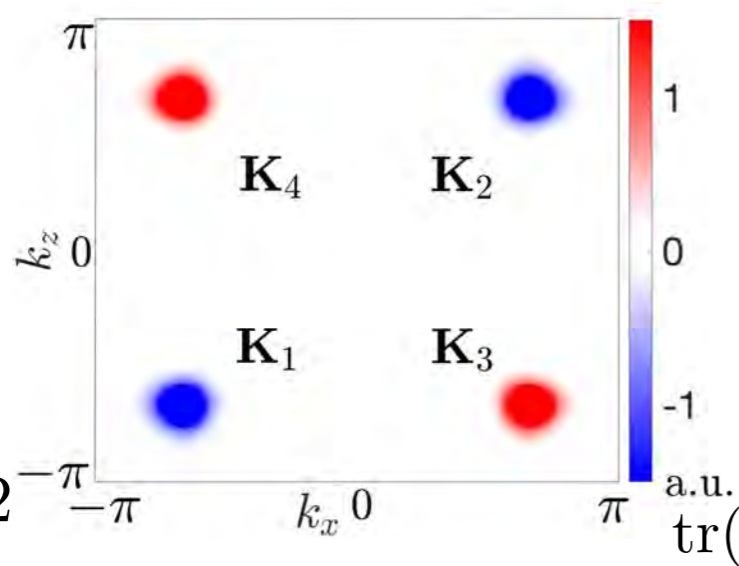


Figures for
 $k_y = k_w = 0$

$m = 0$

Trivial

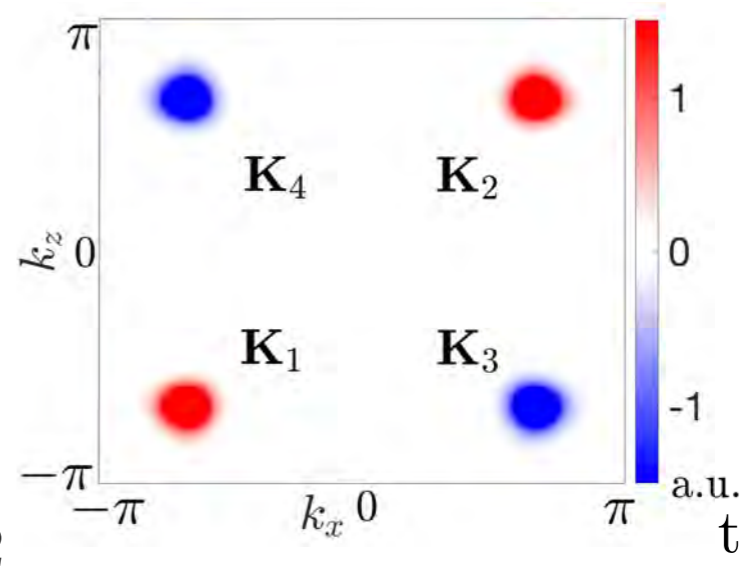
$$\nu_2^- = 0$$



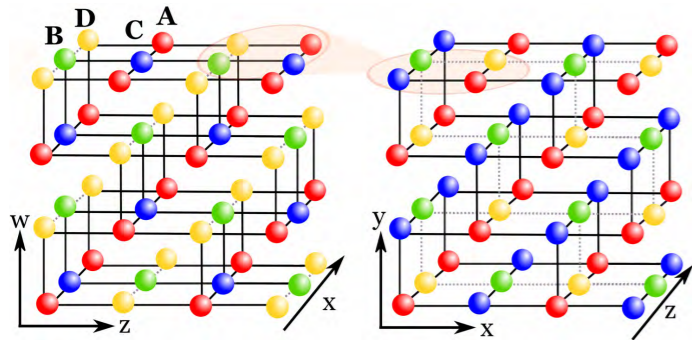
$$m = -J/2$$

Trivial

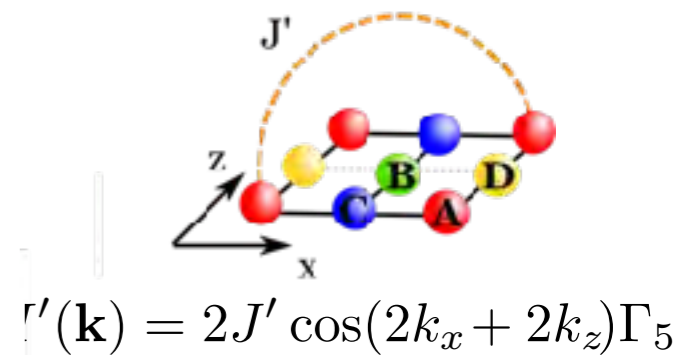
$$\nu_2^- = 0$$



$$m = J/2$$



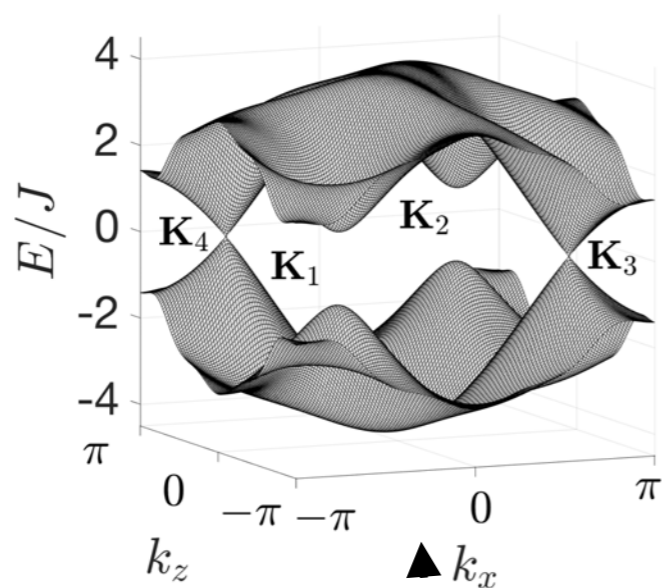
4D Class AI Model



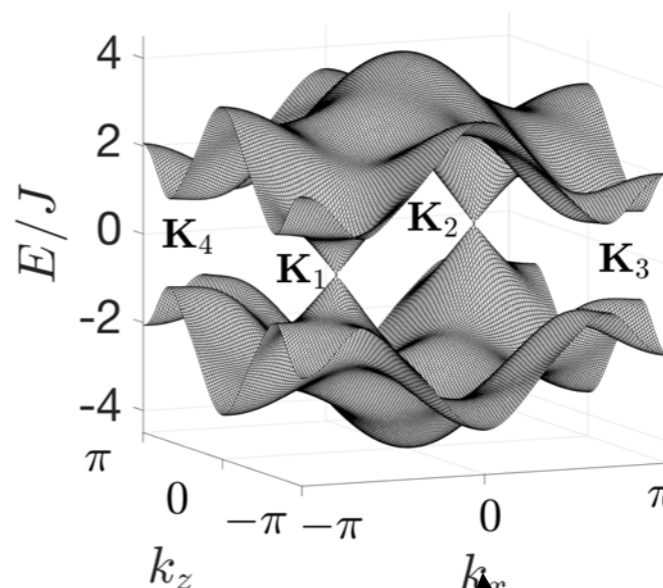
keeps TRS

Figures for
 $J' = J/2$
 $k_y = k_w = 0$

Separate two TRS pairs by adding real long-range hoppings, e.g.



$m = -2J'$



$m = J'$

Trivial

Topological

Trivial

m

$\nu_2^- = 0$

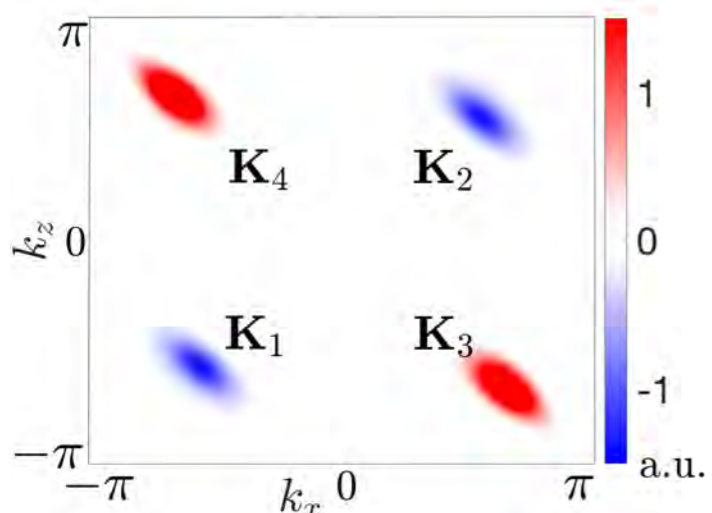
$\nu_2^- = -2$

$\nu_2^- = 0$

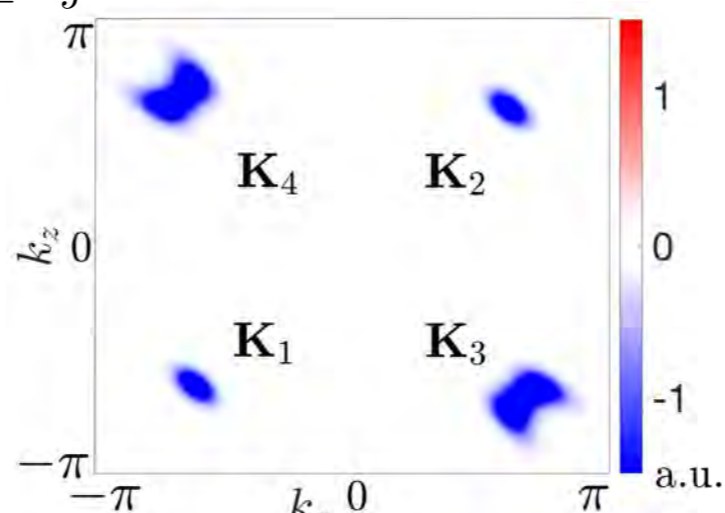
$m = -4J'$

$m = -J'$

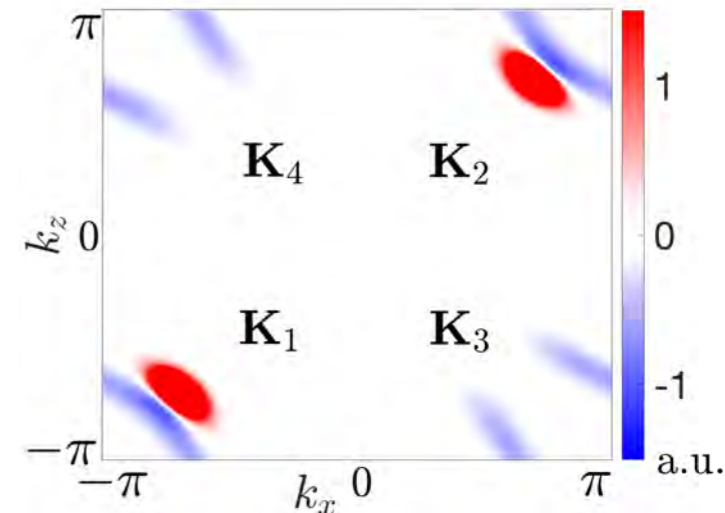
$m = 2J'$



$\text{tr}(\Omega_- \wedge \Omega_-)$



$\text{tr}(\Omega_- \wedge \Omega_-)$



$\text{tr}(\Omega_- \wedge \Omega_-)$

Key points about 4D QH Systems

- Bands labelled by integer **second** Chern numbers

- Quantized **non-linear** response
$$j_y = -\frac{q^3}{h^2} E_z B_{xw} \sum_{n \in occ.} \nu_2^n$$

- **Different classes** of 4D QH systems

1. Preserved TRS for fermions: spinful particles in non-Abelian gauge fields

[Zhang et al, Science 294, 823 \(2001\)](#), [Qi et al, Phys. Rev. B 78, 195424 \(2008\)](#).....

2. Broken TRS: 4D Harper-Hofstadter model....

[Kraus et al, Phys. Rev. Lett. 111, 226401 \(2013\)](#), [HMP et al. 115, 195303 \(2015\)](#)...

3. Preserved TRS for spinless particles: just lattice connectivity!

Outline

1. Introduction to Topology
2. Topological Physics in Four Dimensions
3. Exploring Higher Dimensions with Cold Atoms (or Photons):
 - **Synthetic Dimensions**
 - Topological Pumping

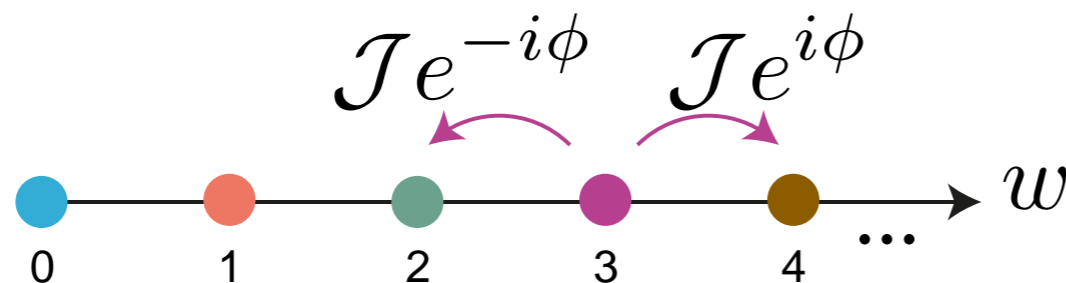
Synthetic Dimensions

General Concept:

1. Identify a set of states and reinterpret as sites in a synthetic dimension



2. Couple these modes to simulate a tight-binding “hopping”

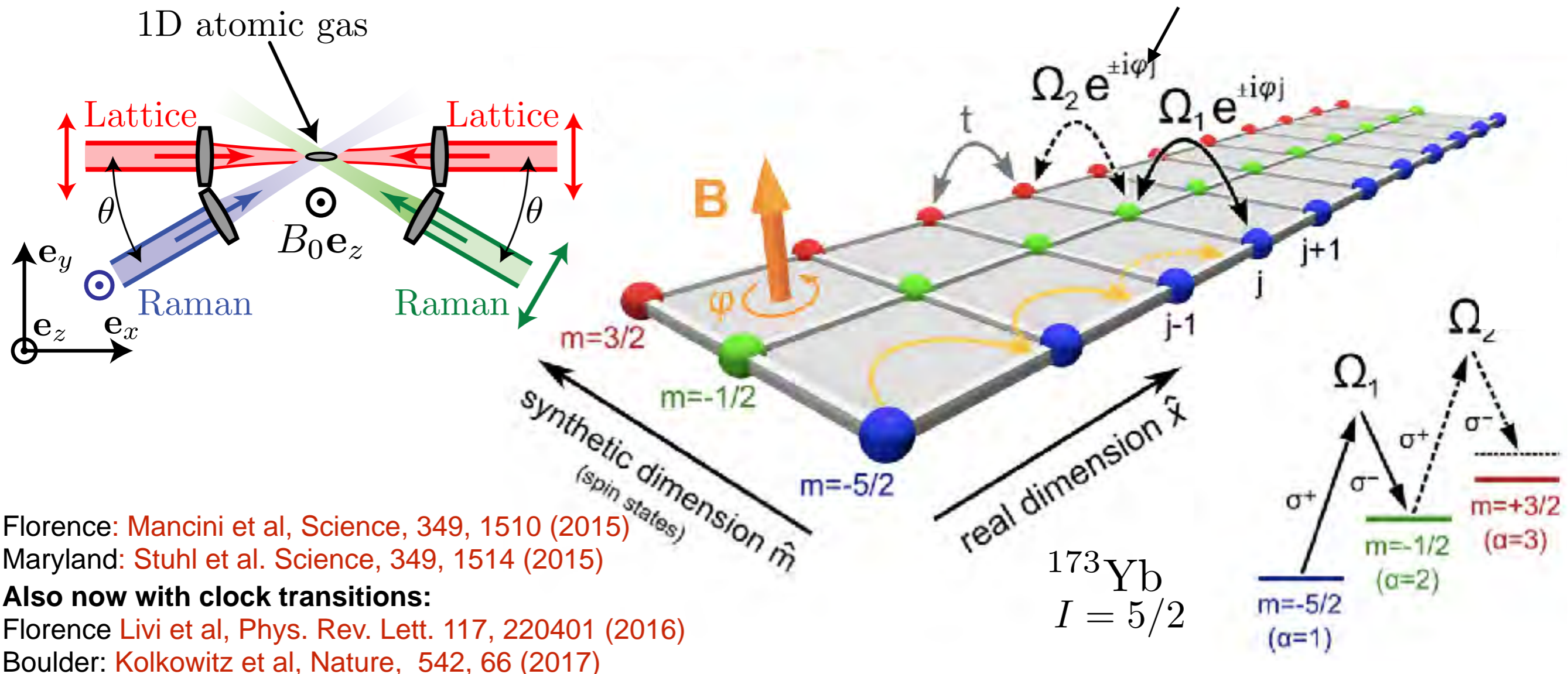


Synthetic dimension with internal atomic states

Ingredients:

1. Reinterpret states as sites in synthetic dimension -> **Internal atomic states**
2. Couple states to simulate a "hopping" term -> **Coupling lasers**

For atomic hyperfine states:



Florence: Mancini et al, Science, 349, 1510 (2015)

Maryland: Stuhl et al. Science, 349, 1514 (2015)

Also now with clock transitions:

Florence Livi et al, Phys. Rev. Lett. 117, 220401 (2016)

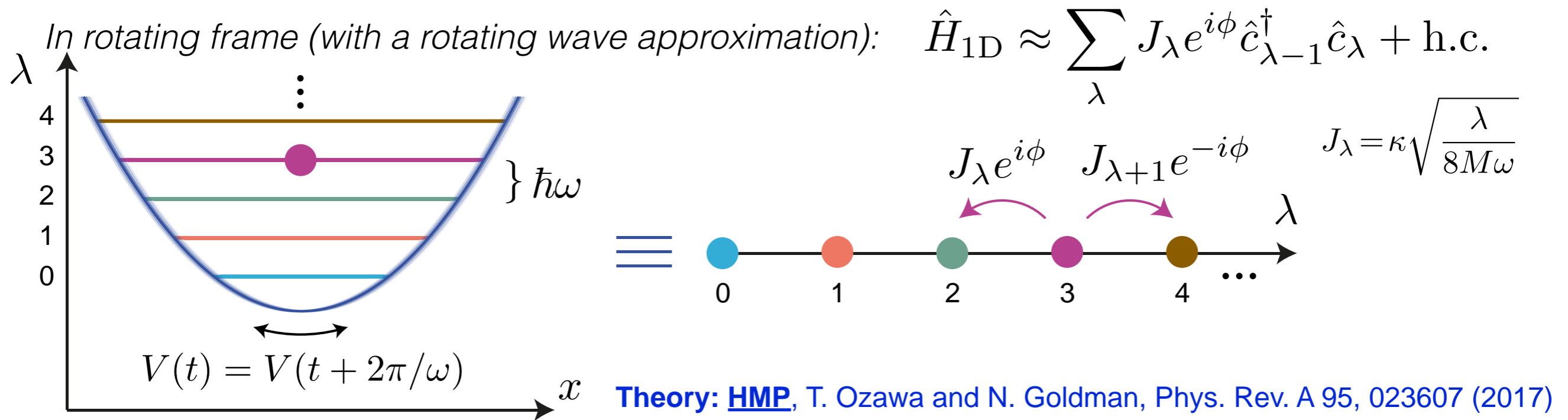
Boulder: Kolkowitz et al, Nature, 542, 66 (2017)

Synthetic dimension with harmonic trap states

Ingredients:

1. Reinterpret states as sites in synthetic dimension -> **Harmonic oscillator states**
2. Couple states to simulate a “hopping” term -> **Shaking of harmonic trap**

$$\hat{H}_0 = \frac{\hat{p}_x^2}{2M} + \frac{1}{2}M\omega^2\hat{x}^2 \quad \hat{V}(t) = \kappa \hat{x} \cos(\omega t + \phi)$$



Also: synthetic dimensions for photons:

Optomechanics: Schmidt et al, Optica 2, 7, 635 (2015)

Optical cavities: Luo et al, Nature Comm. 6, 7704, (2015)

Integrated photonics: Ozawa, [HMP](#), Goldman, Zilberberg, & Carusotto, Phys. Rev. A 93, 043827 (2016),

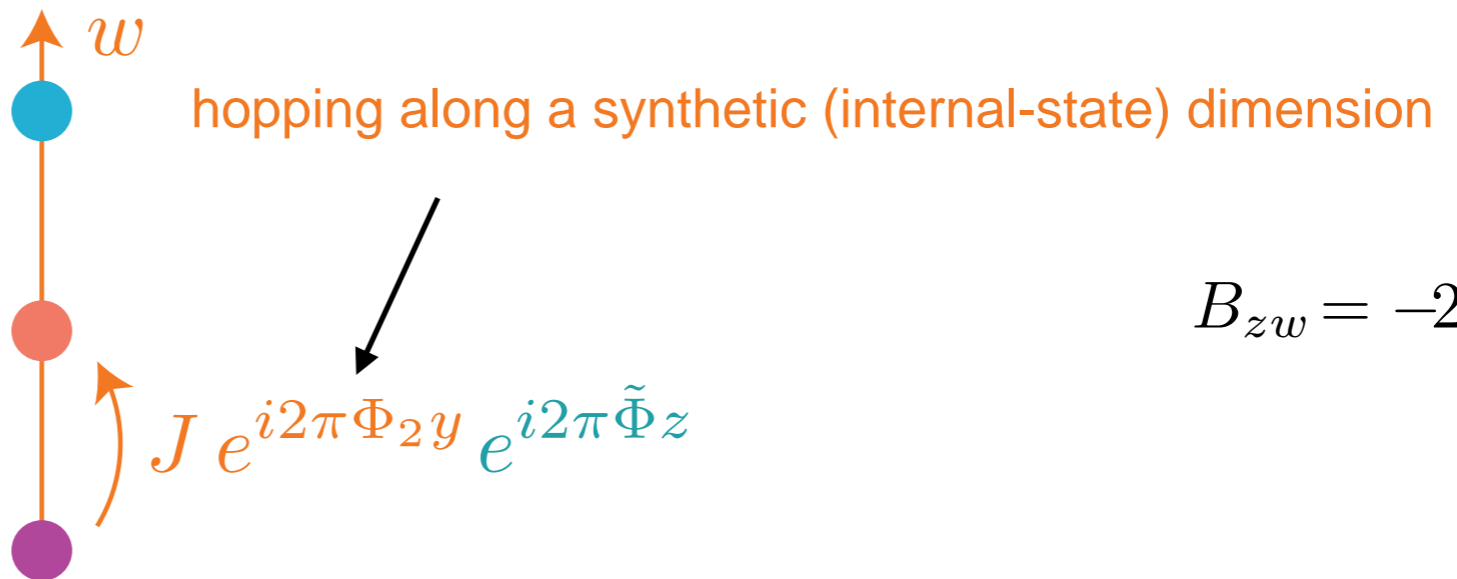
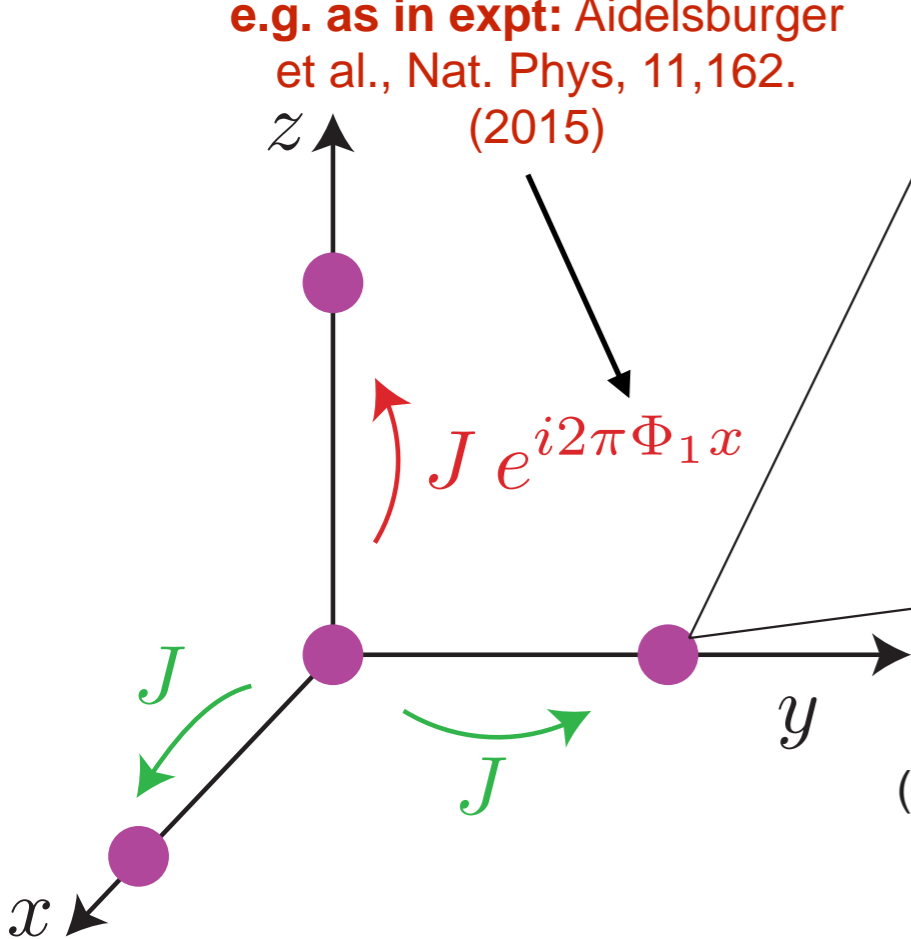
L. Yuan, Y. Shi & S. Fan, Optics Letters 41, 4, 741 (2016)

Ozawa & Carusotto, PRL, 118, 013601 (2017)

Waveguides: Lustig et al, arXiv:1807.01983

4D QH with Synthetic Dimensions

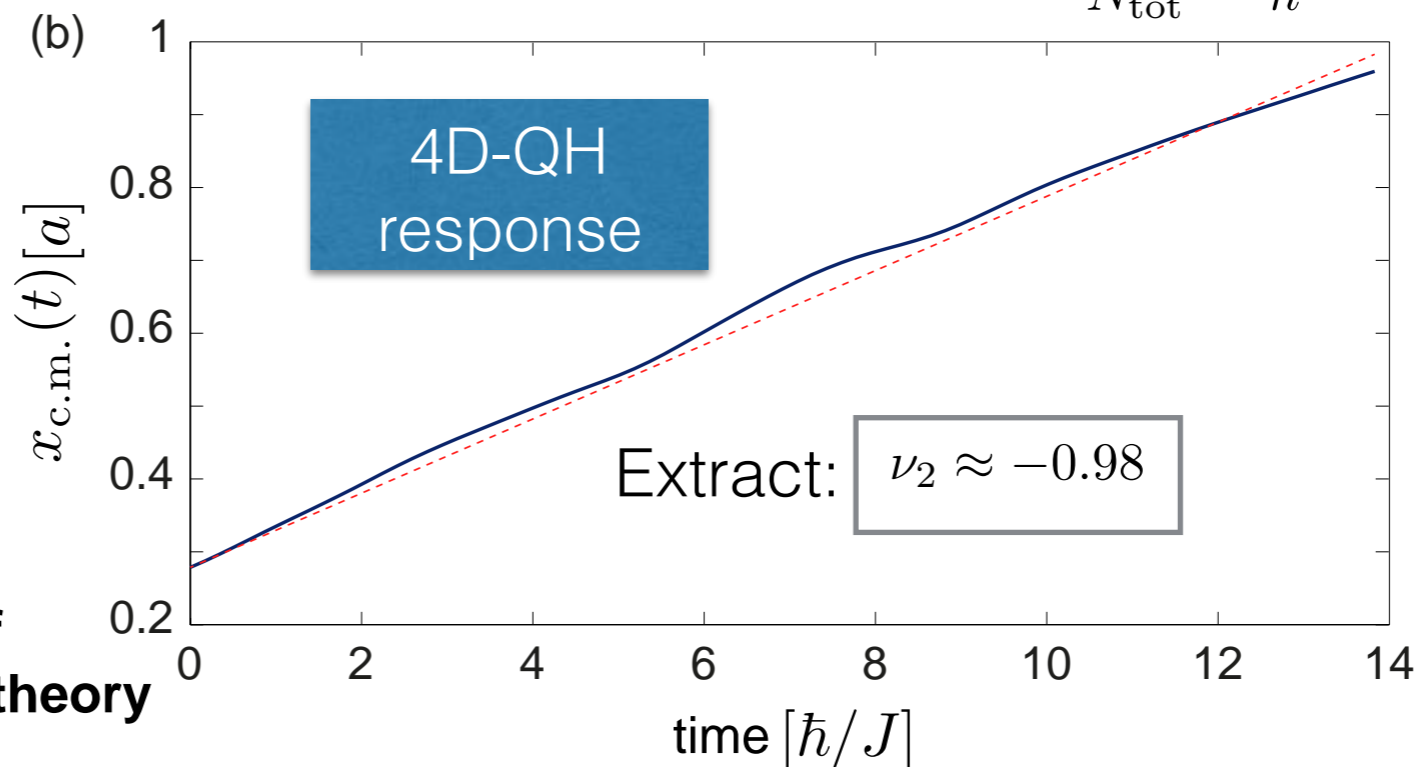
e.g. as in expt: Aidelsburger et al., Nat. Phys, 11,162. (2015)



$$B_{zw} = -2\pi\tilde{\Phi}/a^2$$

Center-of-mass velocity: $\mathbf{v}_{\text{c.m.}} = \frac{\mathbf{v}_{\text{tot}}}{N_{\text{tot}}} = \frac{\mathbf{j}}{n}$ $j^x = \frac{\nu_2}{4\pi^2} E_y B_{zw}$

Comparison of numerics and theory



Expect:
 $\nu_2 = -1$

Outline

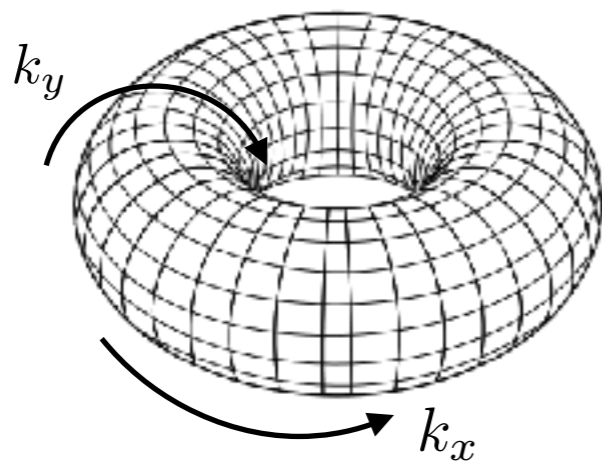
1. Introduction to Topology
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 - **Topological Pumping**

Topological Pumping

Topology of higher-dimensional system can be seen in special lower-dimensional time-dependent systems

D. J. Thouless, Phys. Rev. B 27, 6083 (1983)

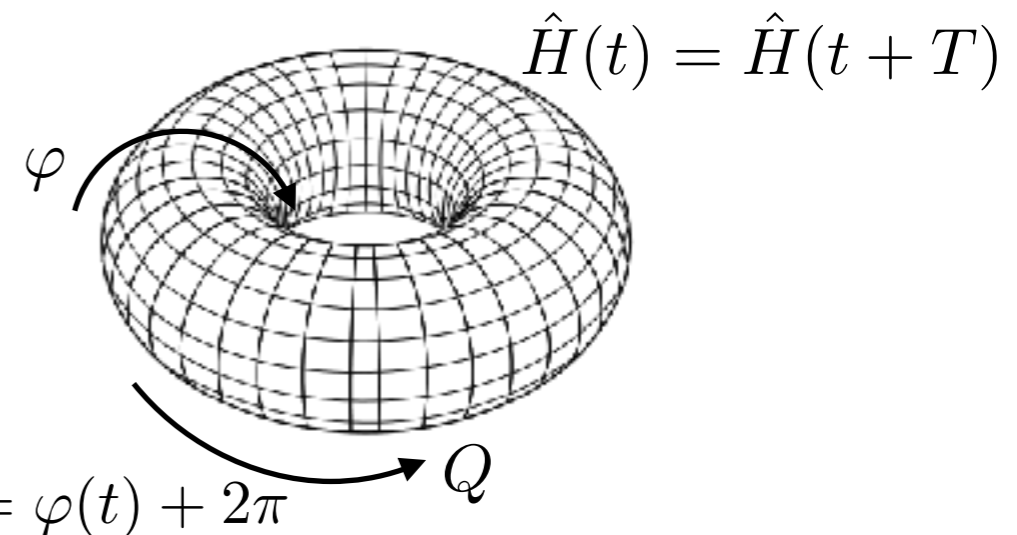
**Brillouin zone of
2D static lattice**



$$\Omega_j^{k_x, k_y} = i \left[\left\langle \frac{\partial u_j}{\partial k_x} \middle| \frac{\partial u_j}{\partial k_y} \right\rangle - \left\langle \frac{\partial u_j}{\partial k_y} \middle| \frac{\partial u_j}{\partial k_x} \right\rangle \right]$$

$$\nu_1 = \frac{1}{2\pi} \int_{2\text{BZ}} \Omega_j^{k_x, k_y} dk_x dk_y$$

**Parameter space for 1D
time-periodic lattice**



$$\varphi(t + T) = \varphi(t) + 2\pi$$

$$\Omega_j^{Q, \varphi} = i \left[\left\langle \frac{\partial u_j}{\partial Q} \middle| \frac{\partial u_j}{\partial \varphi} \right\rangle - \left\langle \frac{\partial u_j}{\partial \varphi} \middle| \frac{\partial u_j}{\partial Q} \right\rangle \right]$$

$$\nu_1 = \frac{1}{2\pi} \int_{1\text{BZ}} \int_0^{2\pi} \Omega_j^{Q, \varphi} dQ d\varphi$$

Semiclassical Dynamics

Motion of a wave-packet

$$\dot{x}_j = \frac{\partial \varepsilon_j(\varphi, Q)}{\partial Q} + \dot{\varphi} \Omega_j^{\varphi, Q}$$

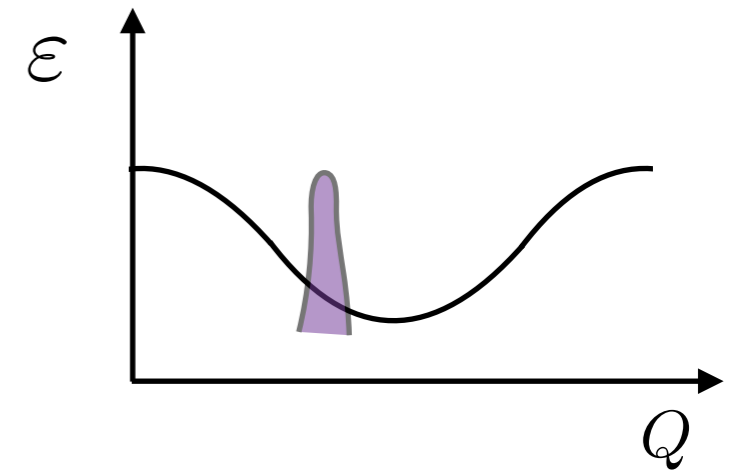
↙ **anomalous velocity**

Xiao et al., RMP, 82, 1959 (2010)

Unquantized
shift after a pump cycle

$$x_j = \int_0^T \frac{\partial \varepsilon_j(\varphi, Q)}{\partial Q} dt + \int_0^{2\pi} \Omega_j^{\varphi, Q} d\varphi$$

c.f. $\dot{\mathbf{r}} = \frac{\partial \varepsilon}{\partial \mathbf{k}} - \dot{\mathbf{k}} \times \boldsymbol{\Omega}$

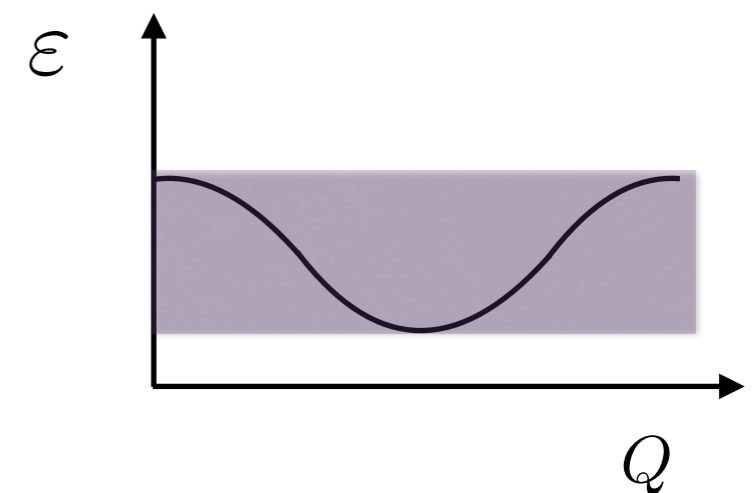


Geometrical Pump:
pumping of a wave-packet

Photonic expt: M. Wimmer, [HMP](#), I. Carusotto & U. Peschel, Nat. Phys. 13, 545–550 (2017)

Quantized
shift after a pump cycle

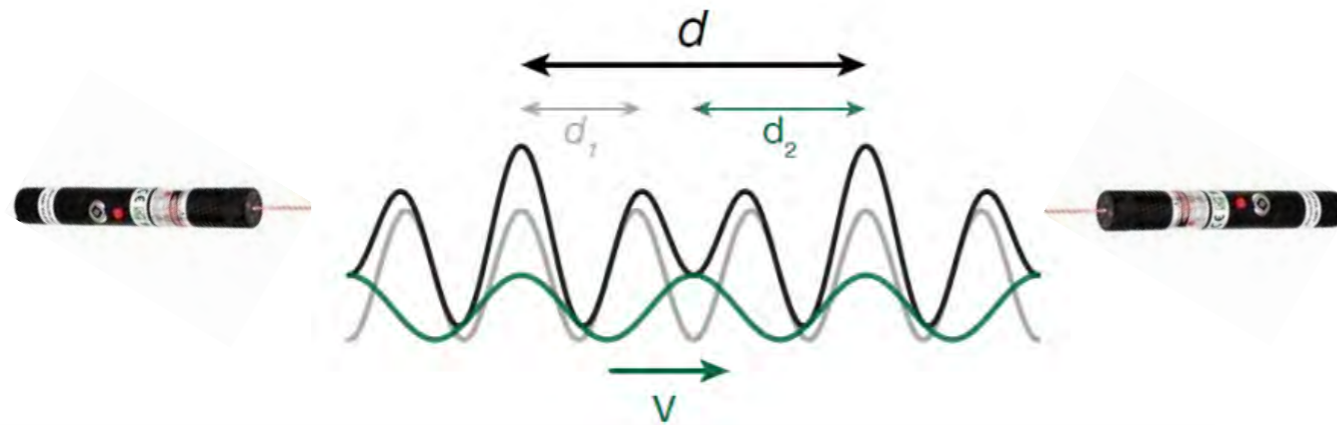
$$x_j = \frac{1}{2\pi} \int_{\text{BZ}} \int_0^{2\pi} \Omega_j^{\varphi, Q} dQ d\varphi = \nu_1$$



Topological Pump: pumping of a band insulator

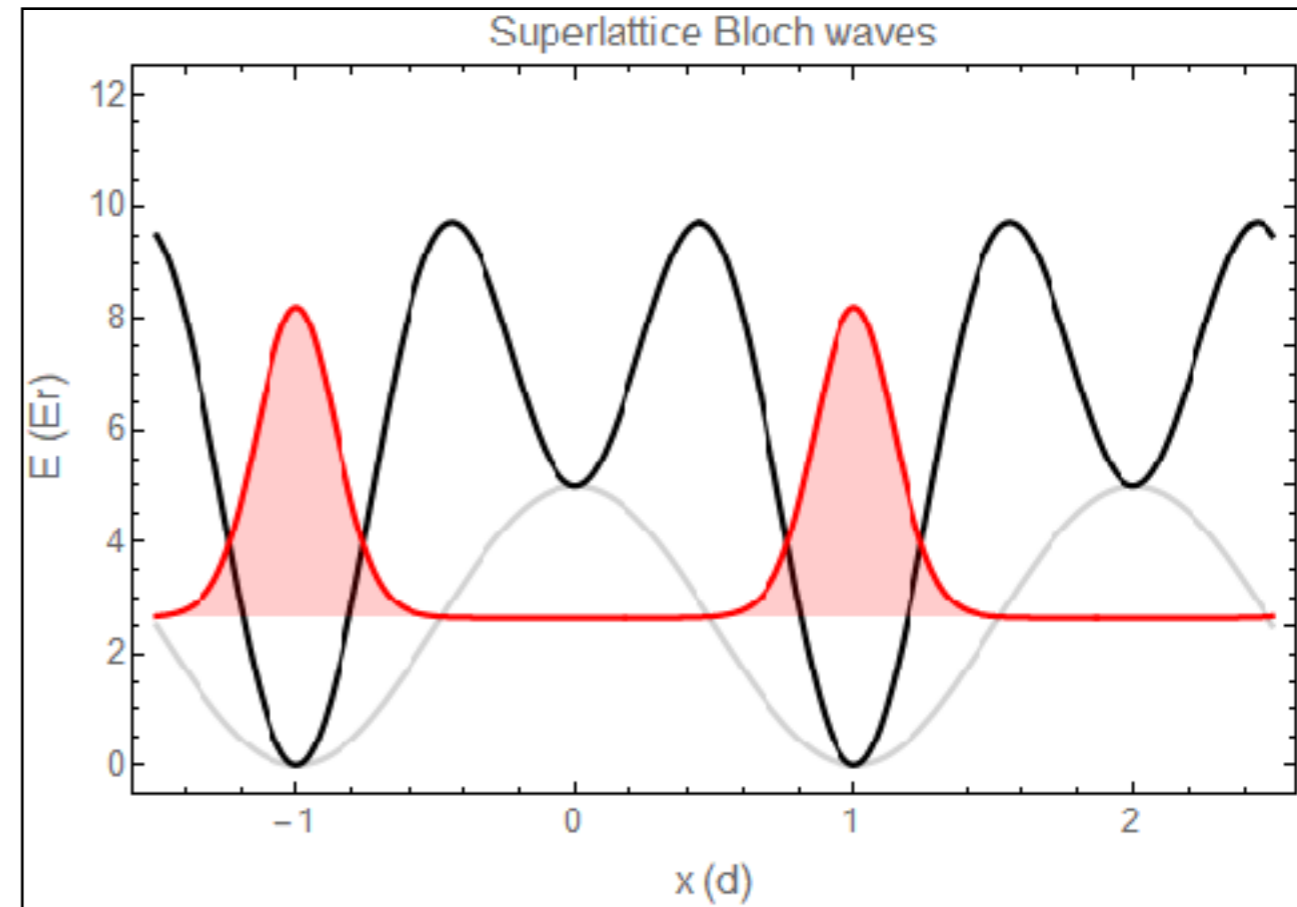
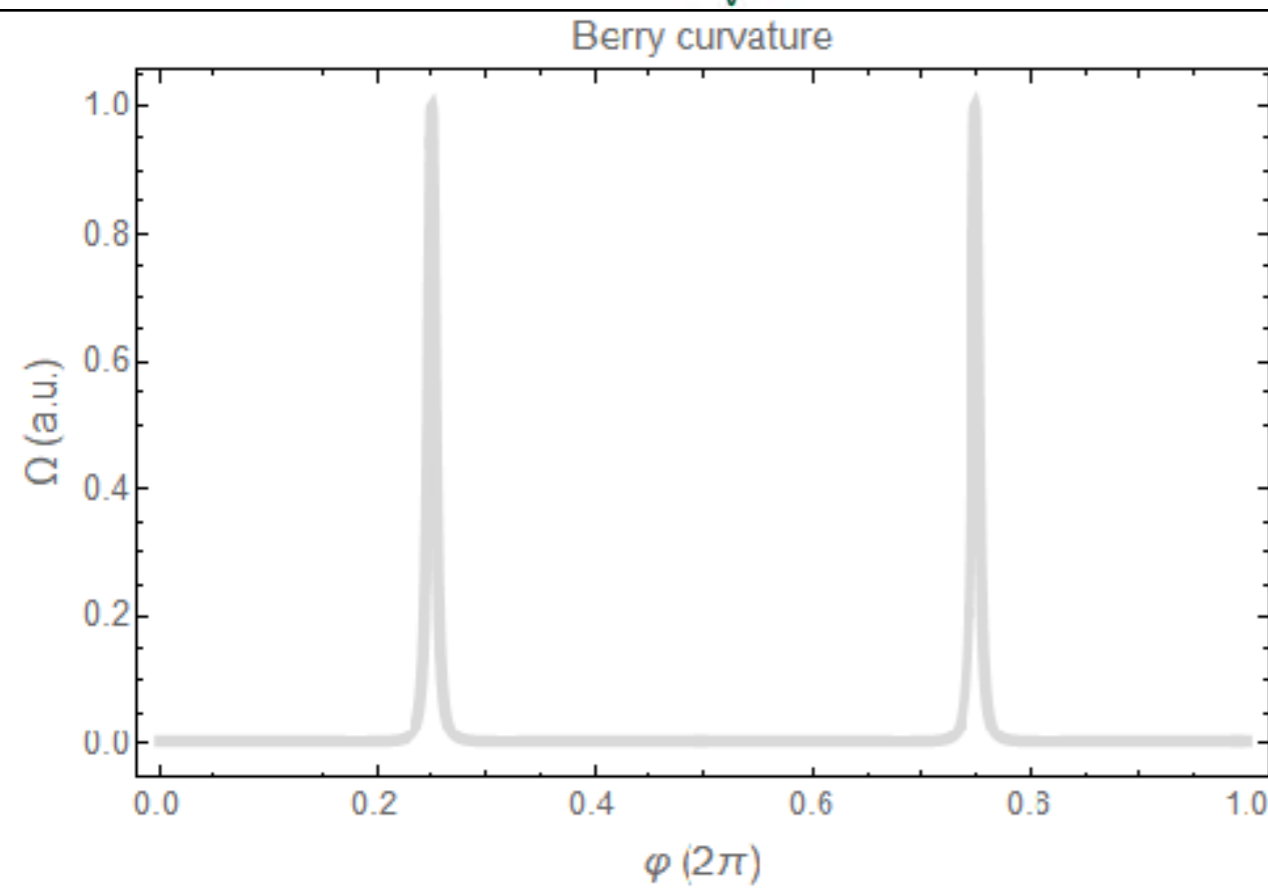
$$e = \hbar = 1$$

Example of 1D topological pumping



e.g. band insulator in a time-dependent superlattice

$$V_s \sin^2(\pi x/d_s) + V_l \sin^2(\pi x/d_l - \varphi/2)$$



$$x(T) \propto \nu_1$$

Topological pumping in photonics:

Kraus et al. PRL, 109, 106402 (2012)

Hu et al Phys. Rev. B 95, 184306 (2017)...

Topological pumping in cold atoms:

Lohse, M et al. Nat. Phys. 12, 350–354 (2016).

Nakajima, S. et al. Nat. Phys. 12, 296–300 (2016).

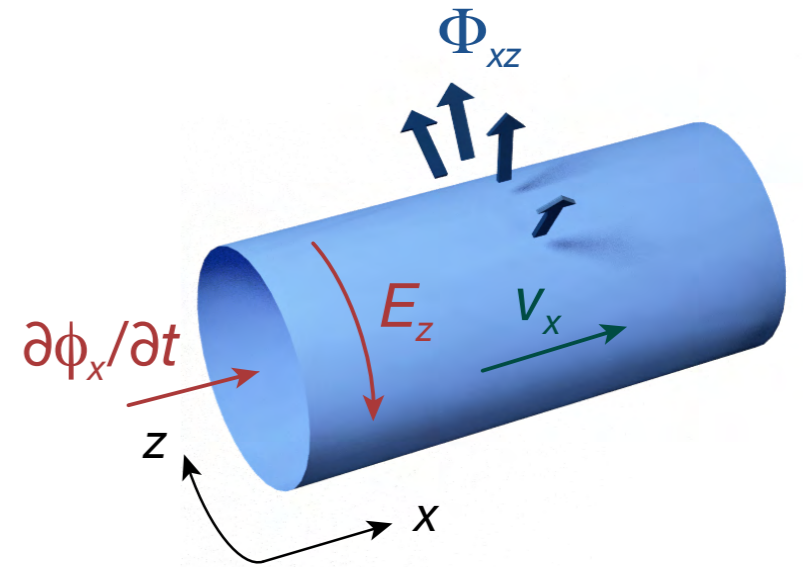
Going from 2D QH to 1D Pump

- 2D Harper-Hofstadter model

$$\hat{H} = - \sum_{m,n} \left(J_x \hat{a}_{m+1,n}^\dagger \hat{a}_{m,n} + J_z e^{-im\Phi_{xz}} \hat{a}_{m,n+1}^\dagger \hat{a}_{m,n} \right) + \text{h.c.}$$

P. G. Harper, Proc. Phys. Soc. A (1955)
 M. Y. Azbel, Sov. Phys. JETP (1964)
 D. Hofstadter, Phys. Rev. B (1976)

Ansatz: Bloch waves along z: $\Psi_{mn} = e^{ik_z d_s n} \cdot \psi_m$



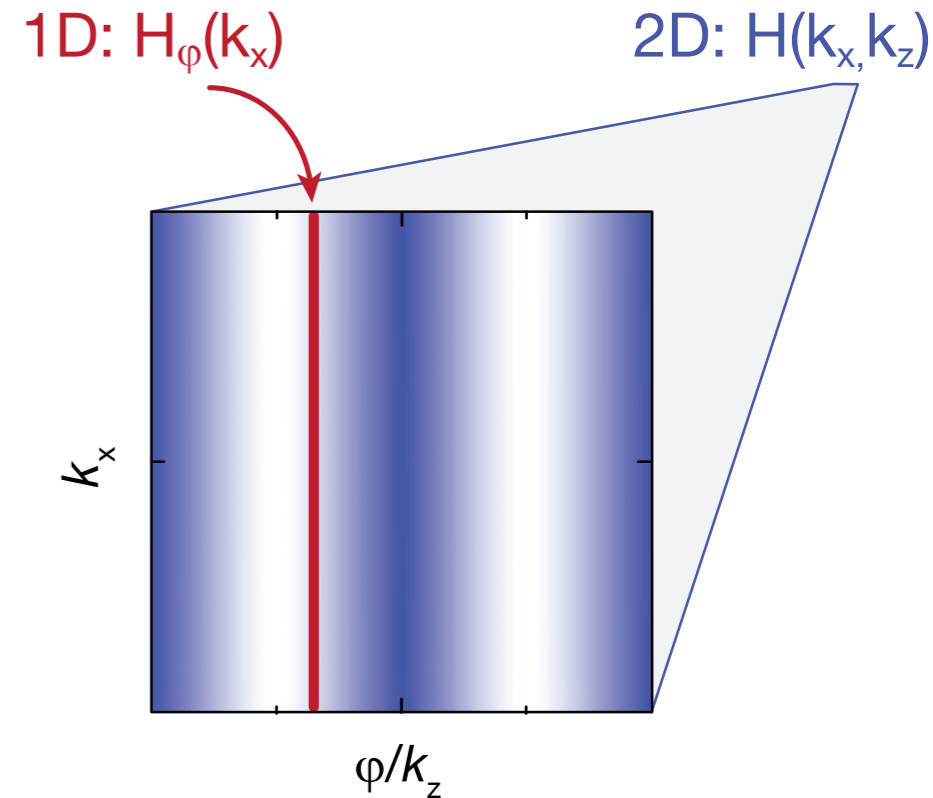
Going from 2D QH to 1D Pump

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P. G. Harper, Proc. Phys. Soc. A (1955)
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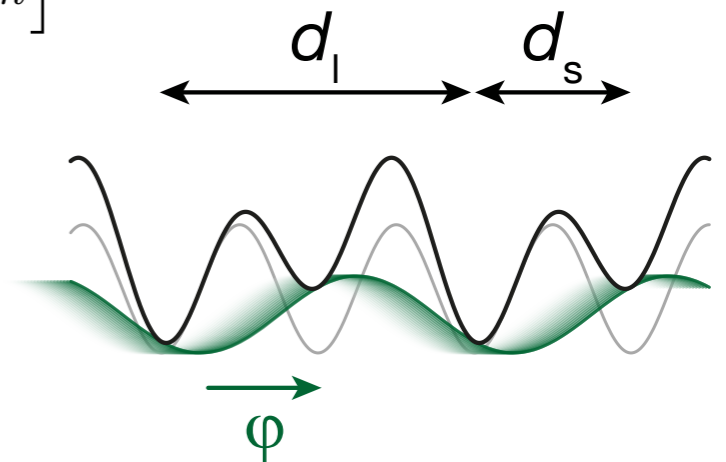
- 1D Harper model

$$\hat{H}_{1D} = \sum_m \left[-J_x (\hat{a}_{m+1}^\dagger \hat{a}_m + \text{h.c.}) - 2J_z \cos(\Phi_{xz} x / d_s - d_s k_z) \hat{a}_m^\dagger \hat{a}_m \right]$$

P. G. Harper, Proc. Phys. Soc. A (1955)
 S. Aubry/G. André, Ann. Isr. Phys. Soc. (1980)

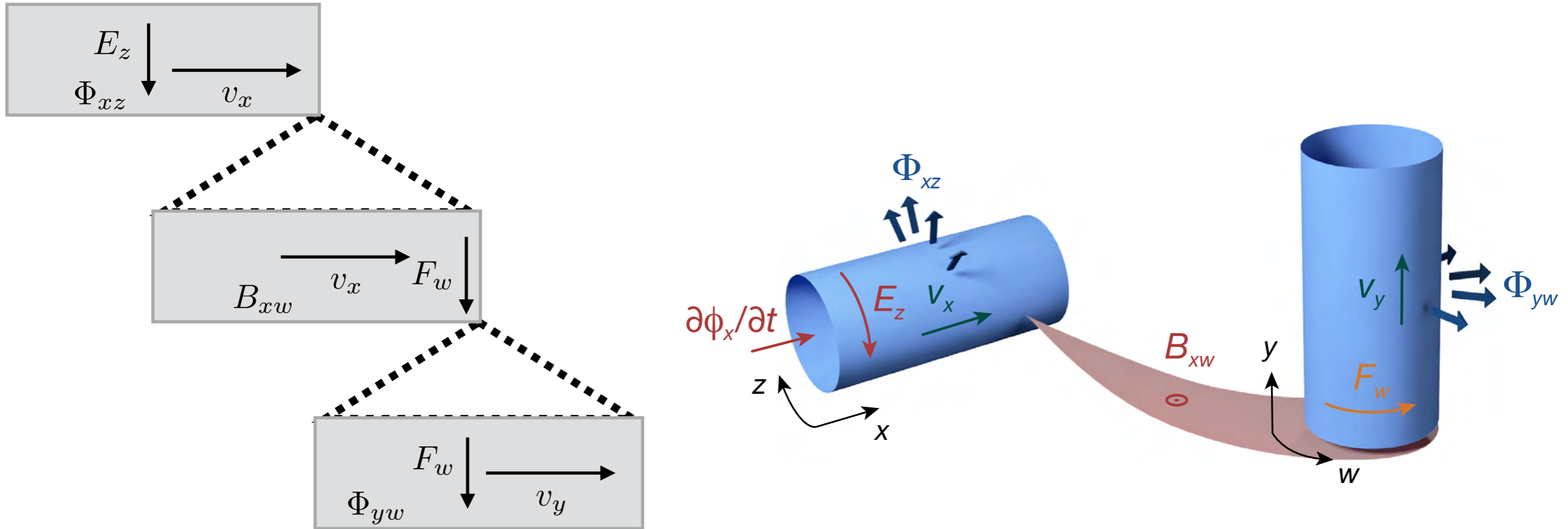
→ maps onto 1D superlattice with dynamical phase ϕ

$$-J_x \left(\hat{a}_{m+1}^\dagger \hat{a}_m + \text{h.c.} \right) - V_1 \cos(2\pi x / d_l - \phi) \hat{a}_m^\dagger \hat{a}_m$$



$$\Phi_{xz} = 2\pi d_s / d_l$$

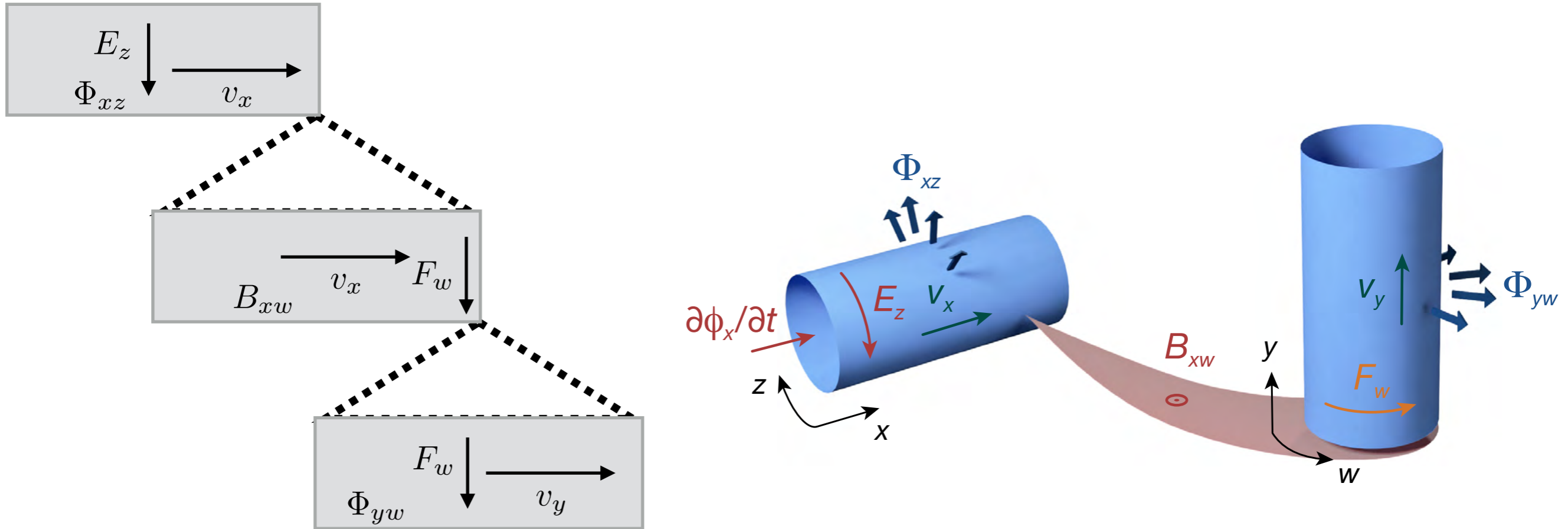
4D QH with a 2D topological pump



4D Harper-Hofstadter model

$$\hat{H} = - \sum_{m,m',n,n'} \left(J_x \hat{a}_{m+1,m',n,n'}^\dagger a_{m,m',n,n'} + J_z e^{-im\Phi_{xz}} \hat{a}_{m,m'+1,n,n'}^\dagger a_{m,m',n,n'} \right. \\ \left. + J_y \hat{a}_{m,m',n+1,n'}^\dagger a_{m,m',n,n'} + J_w e^{-in\Phi_{yw} - im\tilde{\Phi}_{xw}} \hat{a}_{m,m',n,n'+1}^\dagger a_{m,m',n,n'} \right) + \text{h.c.}$$

4D QH with a 2D topological pump



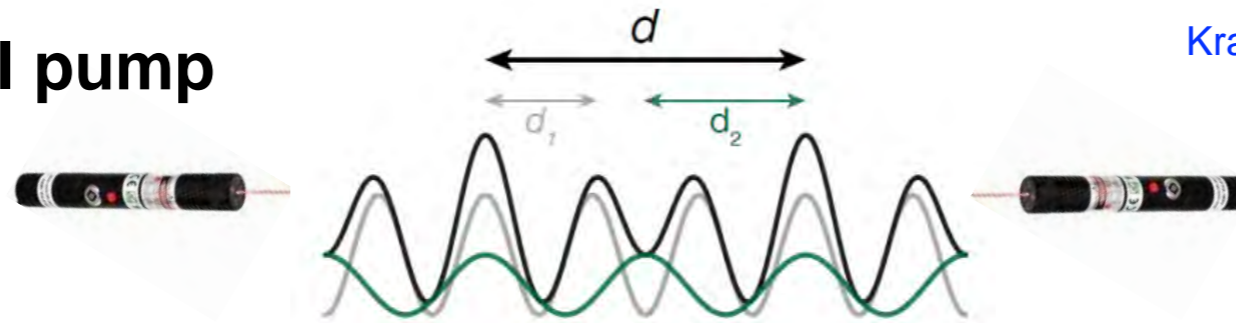
Ansatz: Bloch waves along z and w

$$\Psi_{m,m',n,n'} = e^{ik_z d_s m'} e^{ik_w d_s n'} \psi_{mn}$$

$$\hat{H}_{2D} = \sum_{m,n} \left[-J_x (\hat{a}_{m+1,n}^\dagger \hat{a}_{m,n} + \text{h.c.}) - J_y (\hat{a}_{m+1,n}^\dagger \hat{a}_{m,n} + \text{h.c.}) \right. \\ \left. + \left(-2J_z \cos(\Phi_{xz} x/d_s - d_s k_z) - 2J_w \cos(\Phi_{yw} y/d_s + \tilde{\Phi}_{xw} x/d_s - d_s k_w) \right) \hat{a}_{m,n}^\dagger \hat{a}_{m,n} \right]$$

2D Topological Pump

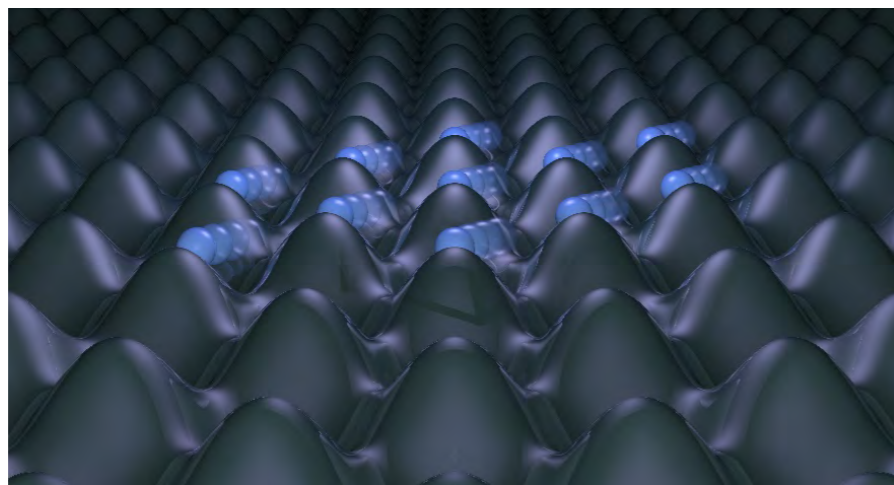
c.f. **1D topological pump**



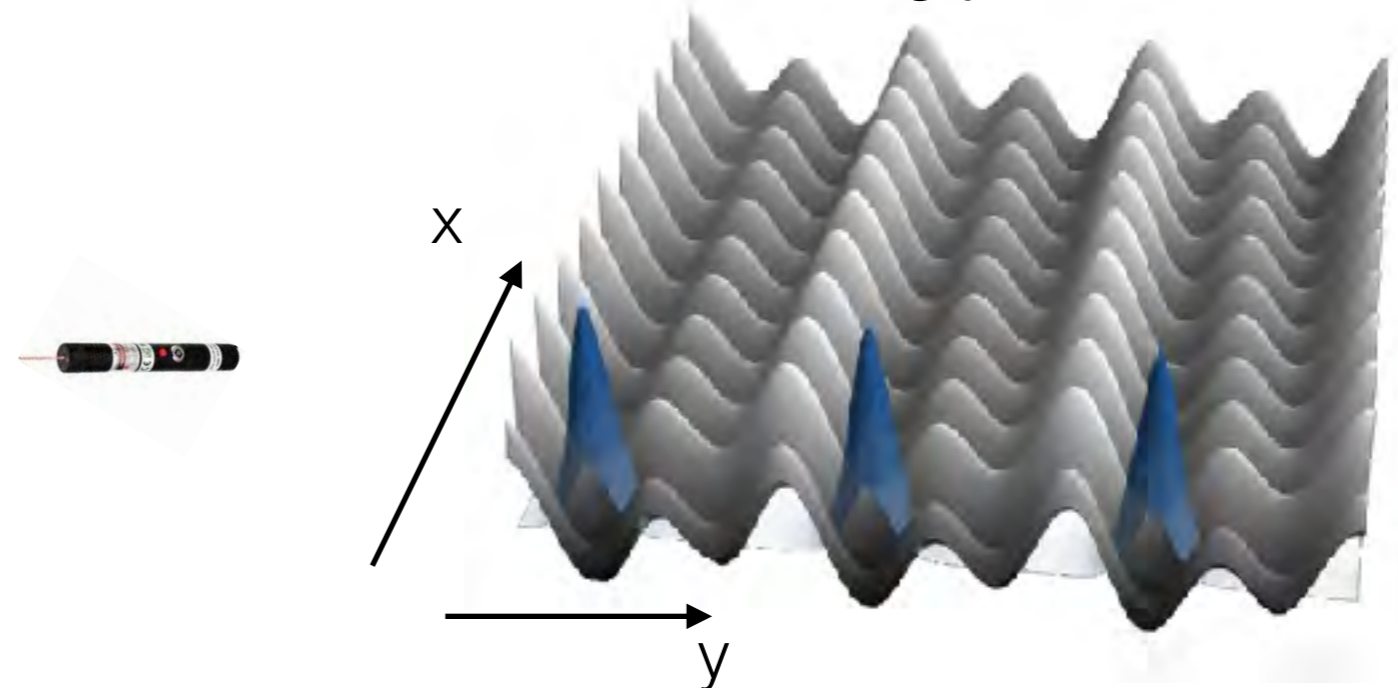
Kraus et al, Phys. Rev. Lett. 111, 226401 (2013)

2D topological pump

Use a *tilted* time-dependent 2D optical superlattice of atoms



Pump along x but atoms also move along y due to tilt



$\propto B$



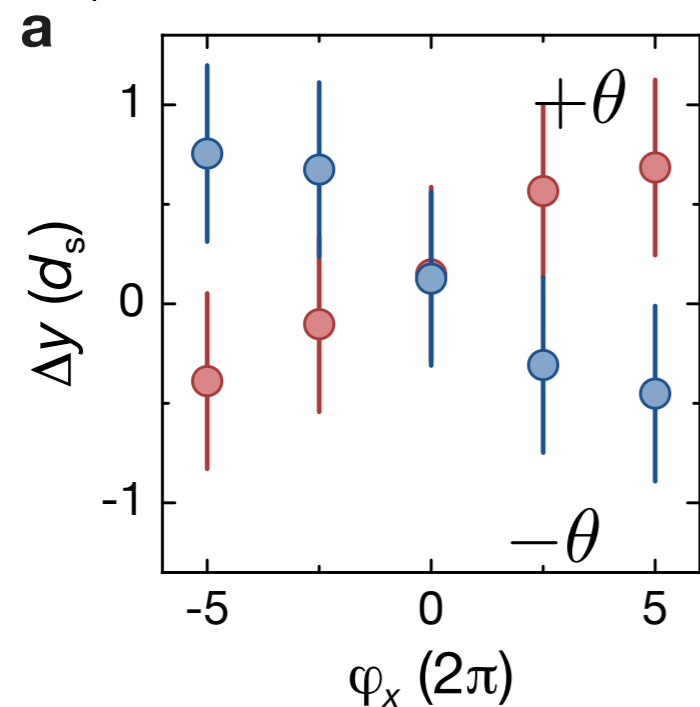
$$x(T) = \nu_1 d_l$$

$$y(T) = \nu_2 \bar{B}_{xw} d_l$$

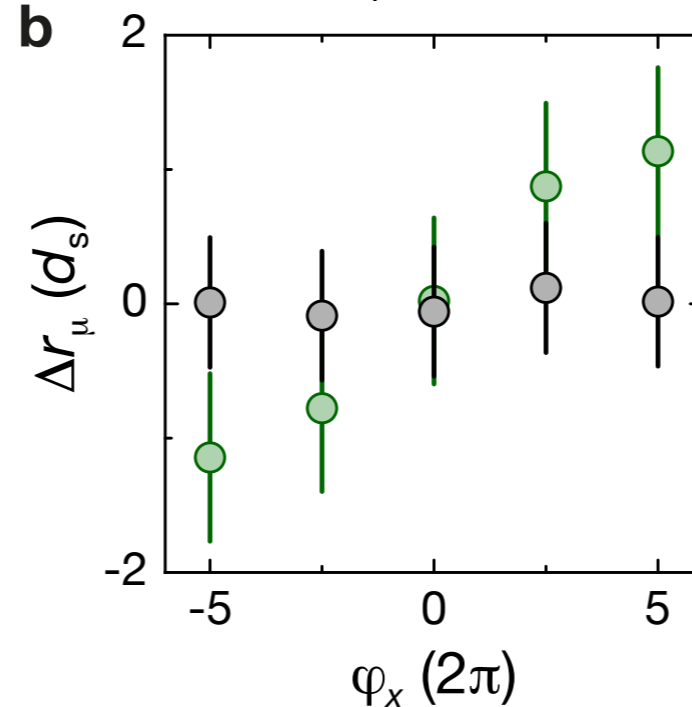
4D QH with a 2D topological pump

- **Qualitative** signatures from in-situ measurements

Displacement small atom cloud



Differential displacement for opposite tilts

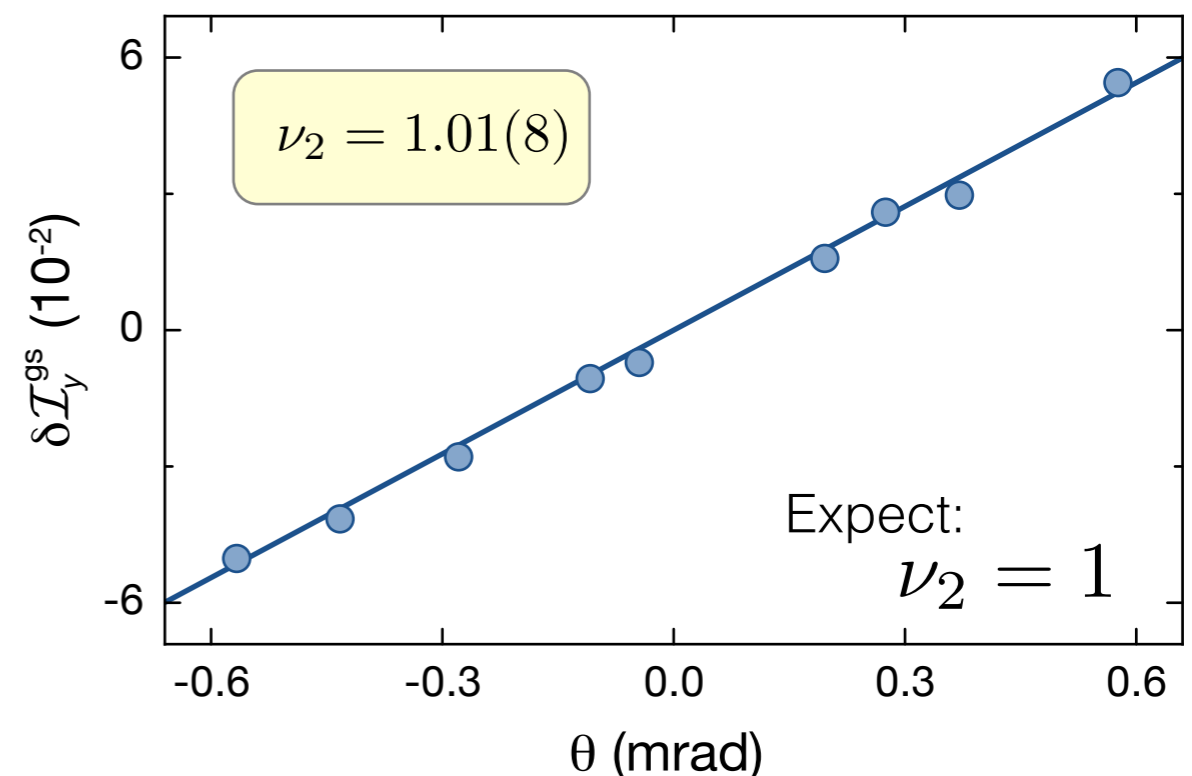


along y : 4D-type nonlinear response

along x : 2D-type linear response
(independent of angle)

- **Quantitative** band-mapping measurements with small atom cloud as local probe

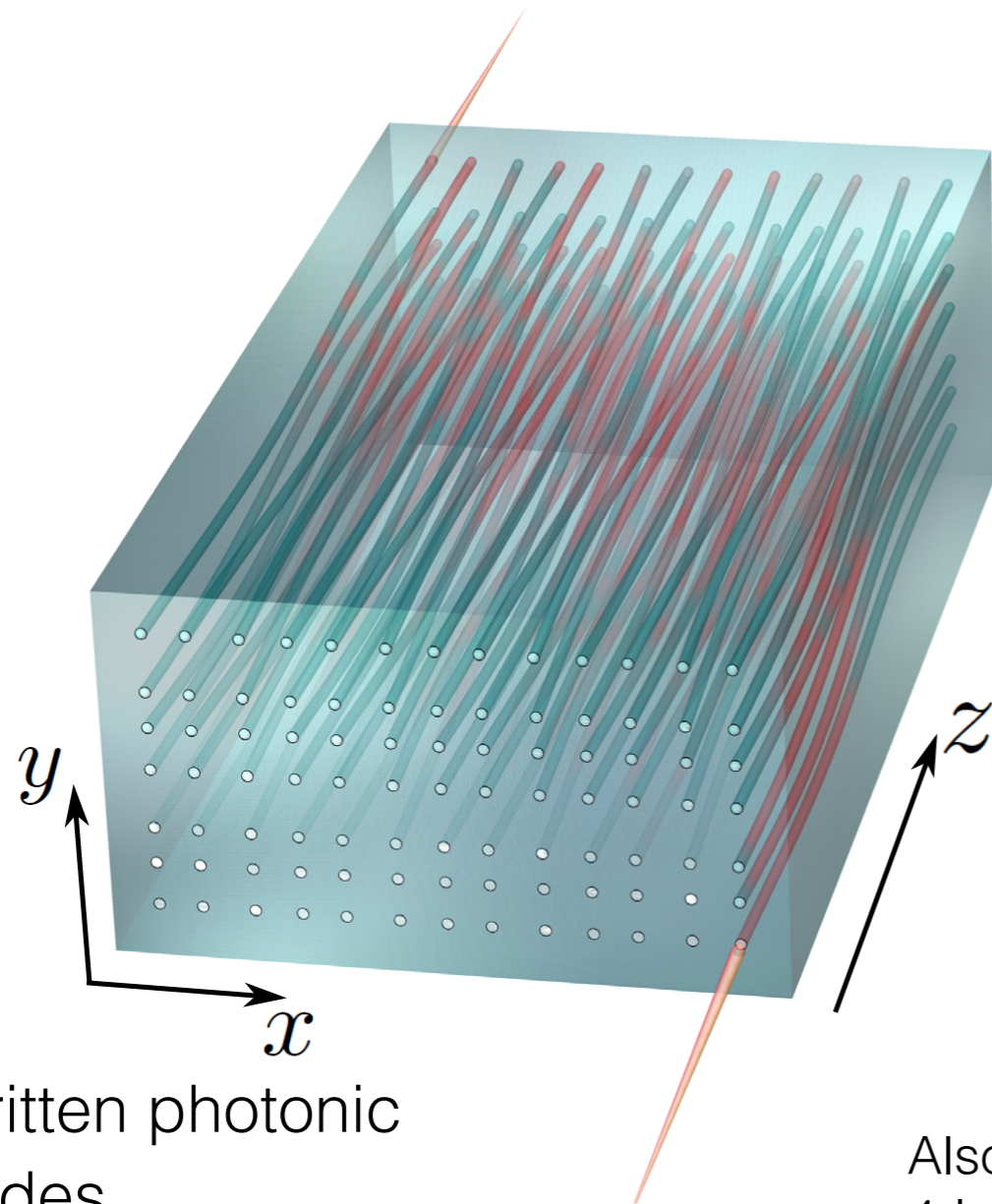
Reflects
 $y = \nu_2 \theta d_l$



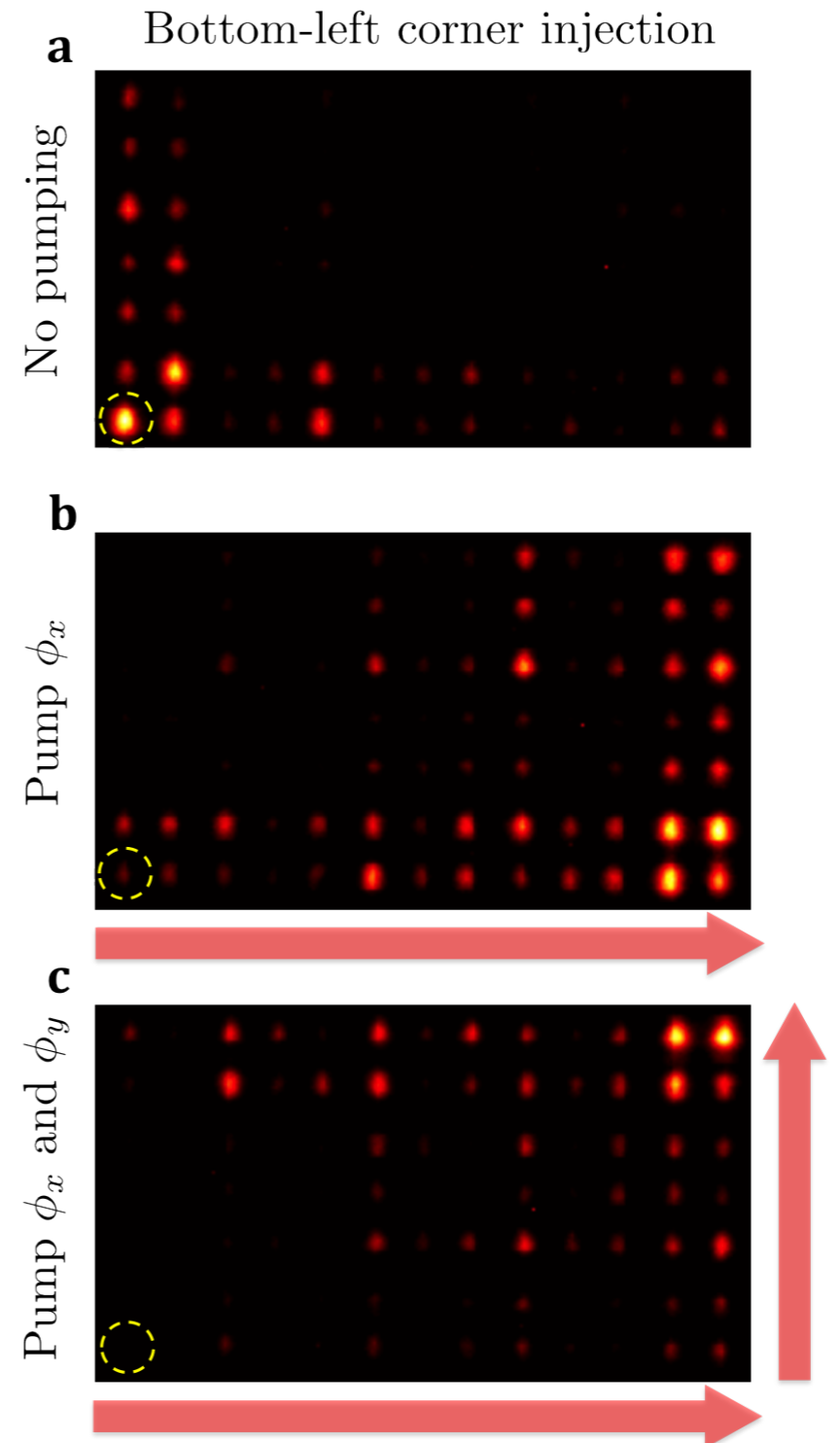
Edge states of 2D pump

- Complementary experiment: edge states of 2D photonics pump (bulk-boundary correspondence)

O. Zilberberg et al., Nature 553, 59 (2018)



Laser-written photonic waveguides

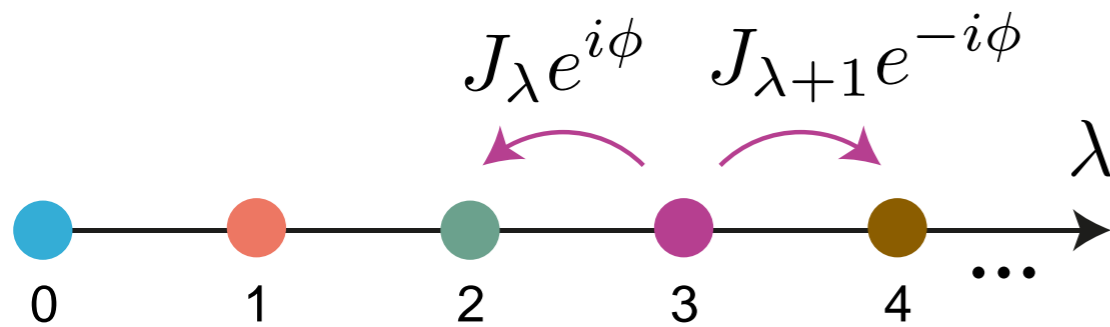
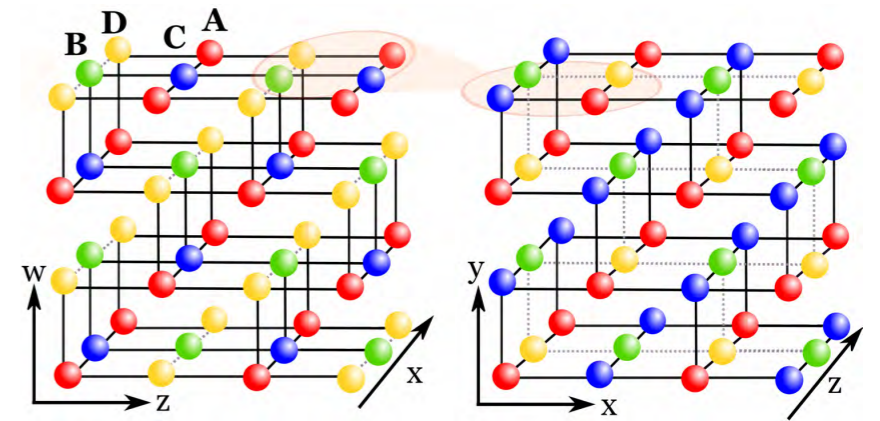


Also 2nd Chern number measurement in parameter-space of 4-level system: [Sugawa et al., arXiv:1610.06228](#)

PhD Positions Available!!

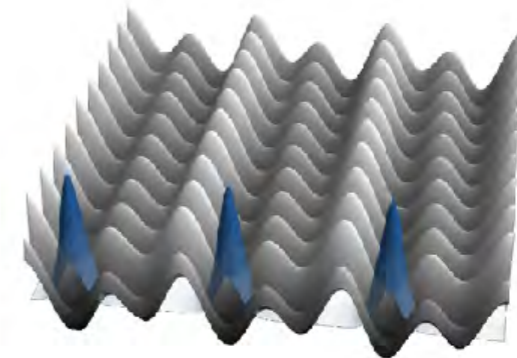
Summary

New topological classes in **four dimensions**



Synthetic dimensions for cold atoms or photons

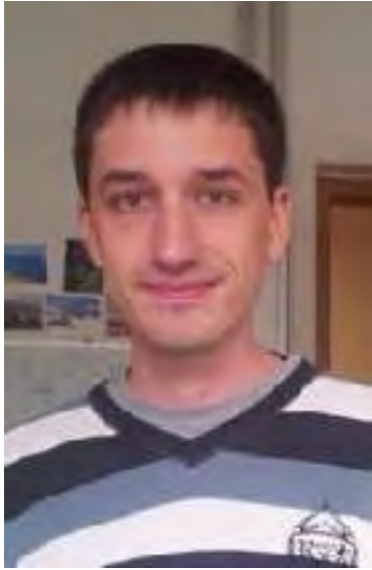
Explored a dynamical version of the **4D QH effect** in a 2D pump for atoms



Review: “*Topological Photonics*”

Tomoki Ozawa, Hannah M. Price, Alberto Amo, Nathan Goldman, Mohammad Hafezi, Ling Lu, Mikael Rechtsman, David Schuster, Jonathan Simon, Oded Zilberberg, Iacopo Carusotto
arXiv:1802.04173

and thanks again to



Martin Wimmer
(Erlangen/Jena)



Ulf Peschel
(Jena)



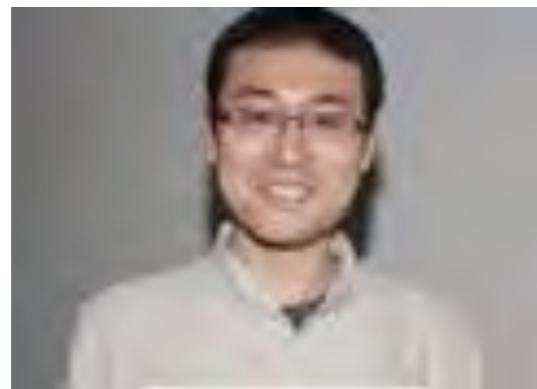
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Tomoki Ozawa
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Iacopo Carusotto
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