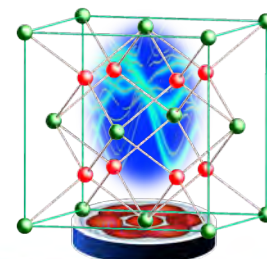
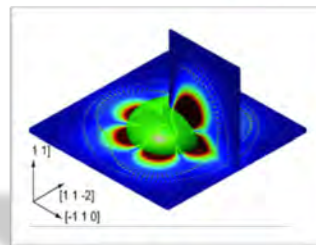
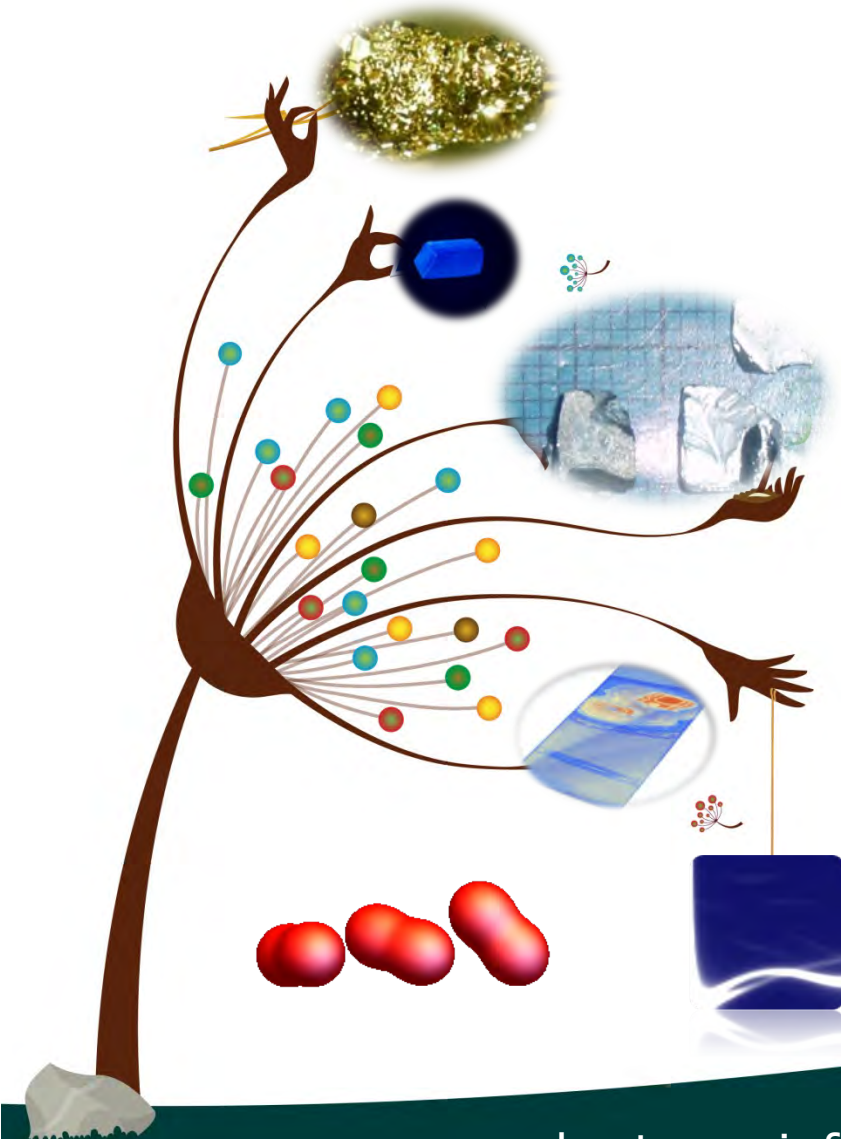


**Multipolar order and
collective excitations in
actinide dioxides**

Roberto G. M. Caciuffo



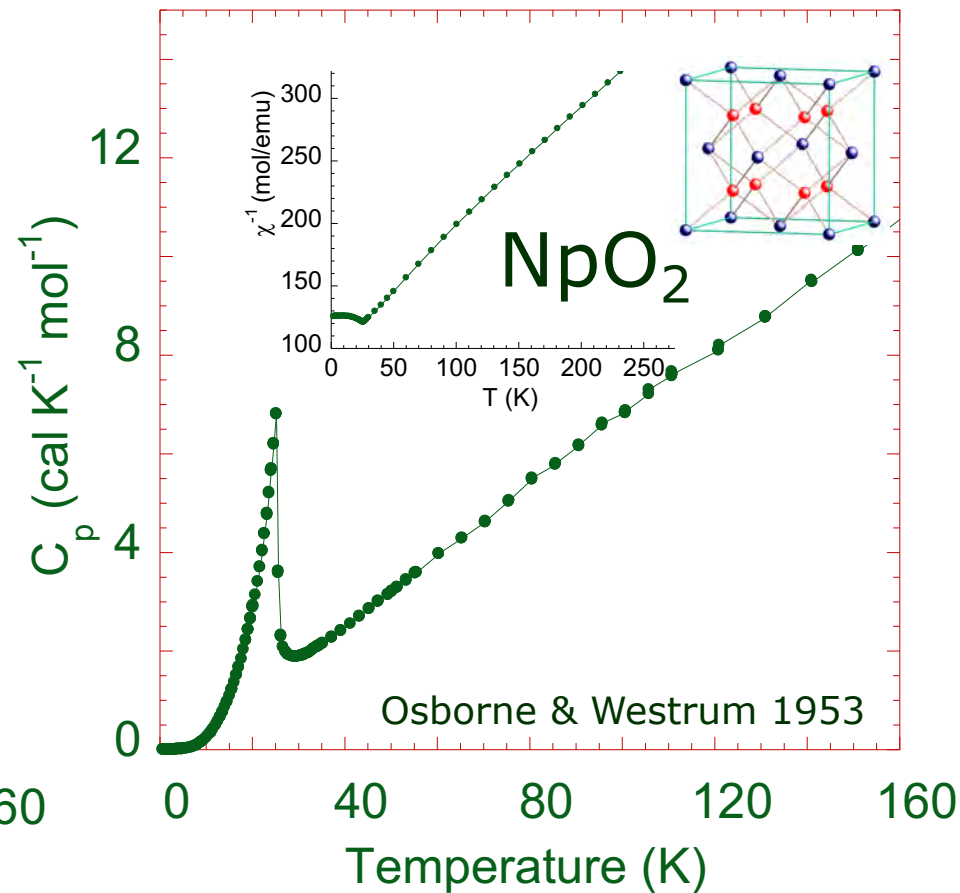
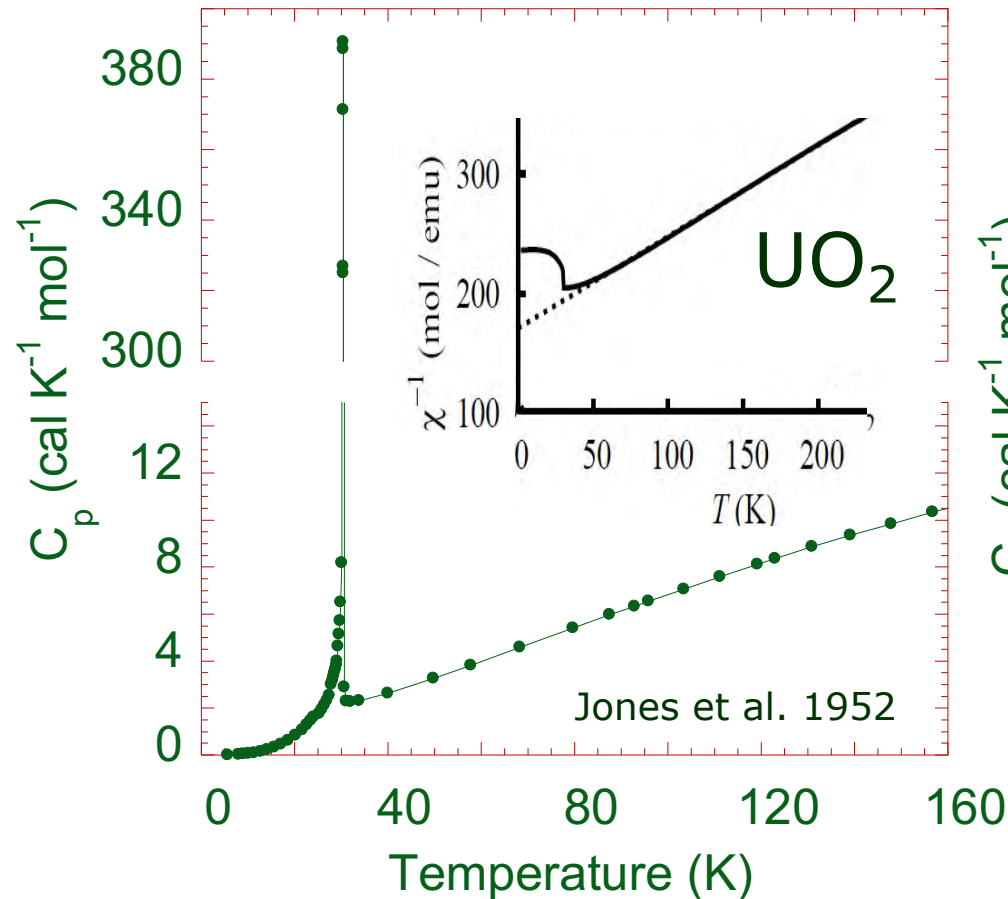
Outline

ROBERTO.CACIUFFO@EC.EUROPA.EU

- Ground state and long-range multipolar order in UO_2 and NpO_2
- Lattice dynamics and spin waves
- INS and IXS experiments
- Two-ions quadrupolar interactions
- Observation of dispersive quadrupole waves
- Avoided crossings and mixed modes

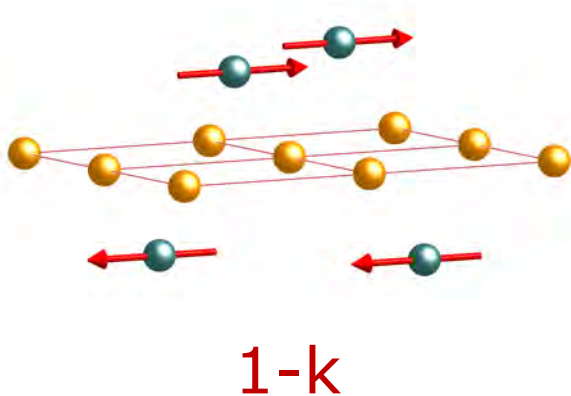
AnO₂ 1940's-1950's: structure and long-range order

- **Fluorite-type structure** (Rundle et al., Zachariasen, 1940's)
- **Long-range order** (Jones et al. 1952, Osborne & Westrum 1953)
- **Large gap semiconductors** (Willardson et al. 1956)

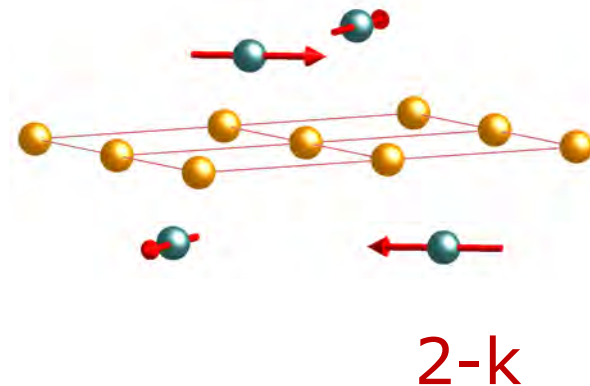


Magnetic Structure of UO_2

- Neutron diffraction confirms 1st order transition to an AF state in UO_2 . (Henshaw & Brockhouse 1957)
- 1-k AF structure is proposed. (Willis & Taylor 1965; Frazer et al. 1965)



2-k AF structure and internal distortion of the oxygen cage
(Faber & Lander 1976)



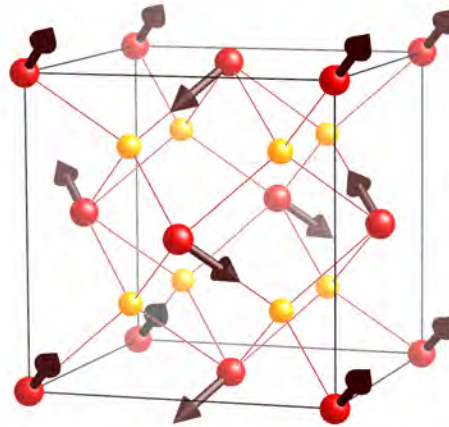
Magnetic Structure of UO_2

Correct magnetic structure proposed by Burlet et al. in 1986:

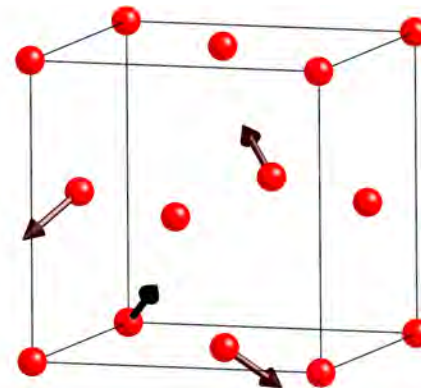
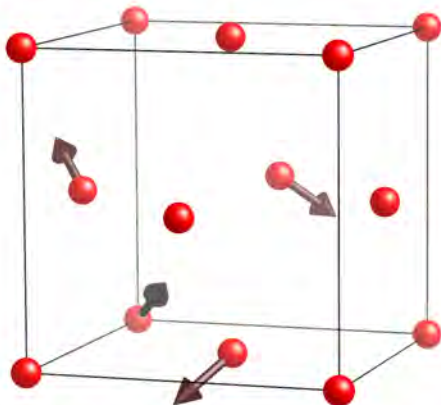
Type I, 3-k transverse structure
 $\langle 001 \rangle$ propagation vector,
 $\mu_0 = 1.74 \mu_B$

$$\vec{\mu}_n \propto \sum_{j=1}^3 \vec{m}_{k_j} \exp(i \vec{k}_j \cdot \vec{R}_n)$$

$$\vec{k}_1 = (1, 0, 0) \text{ etc.}$$



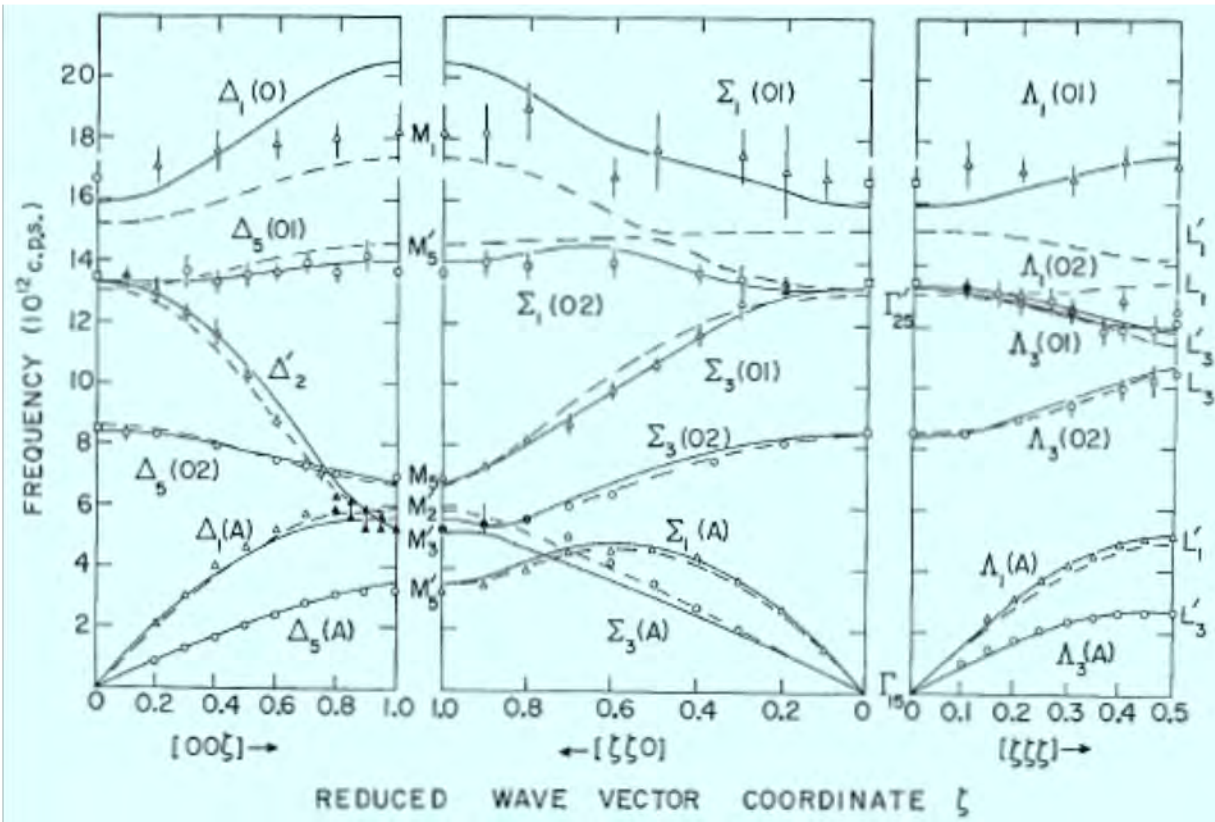
A: $\vec{m}_{100} = (0, 1, 0)$ etc. B: $\vec{m}_{100} = (0, 0, 1)$ etc.



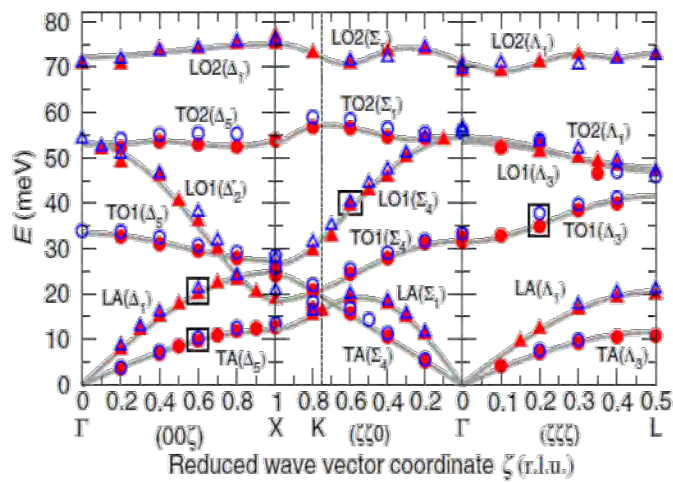
Lattice dynamics of UO_2 , inelastic neutron scattering

TA (2×)
 LA (1×)
 TO1 (2×)
 TO2 (2×)
 LO1 (1×)
 LO2 (1×)

$R_U = (0, 0, 0)$
 $R_O = \pm(a/4)(1, 1, 1)$

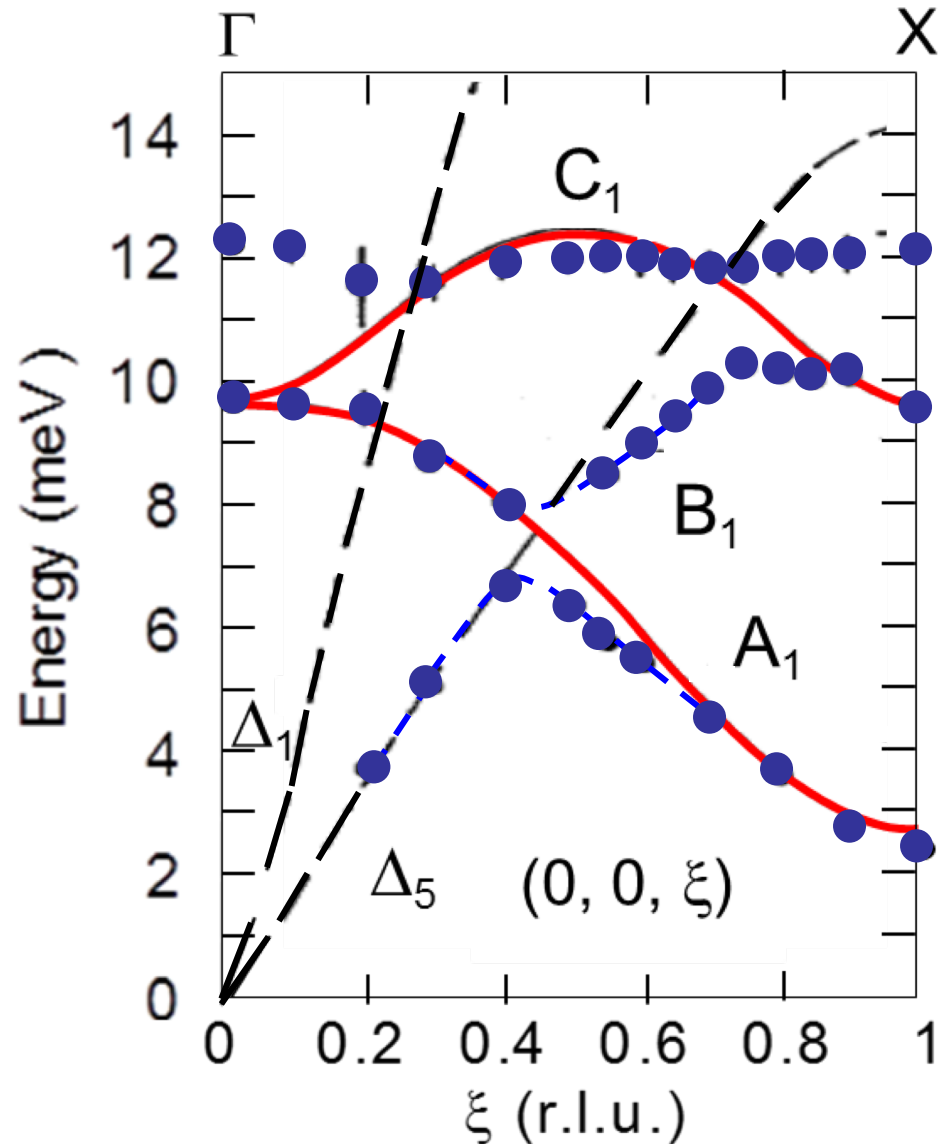


Dolling, Cowley & Wood, 1965; Cowley & Dolling, 1966 & 1968



J.W.L. Pang et al., PRL 110, 157401 (2013)

Spin waves in UO_2 , inelastic neutron scattering



Lattice dynamics of NpO_2

Similar INS measurements have not been done for NpO_2 because of the size of available samples



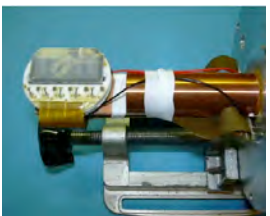
$\text{NpO}_2 \sim 1 \text{ mg}$
 $780 \times 560 \times 250 \text{ } \mu\text{m}^3$

$\text{UO}_2 \sim 99000 \text{ mg}$

Inelastic x-ray scattering at the ESRF



$\Delta E/E \approx 10^{-8}$



Backscattering monochromator

$BW \sim 10^{-8}$

Focussing Be lens

Multilayer mirror

detector

sample

Spherical analyzers

Collimating Be lens

Undul.

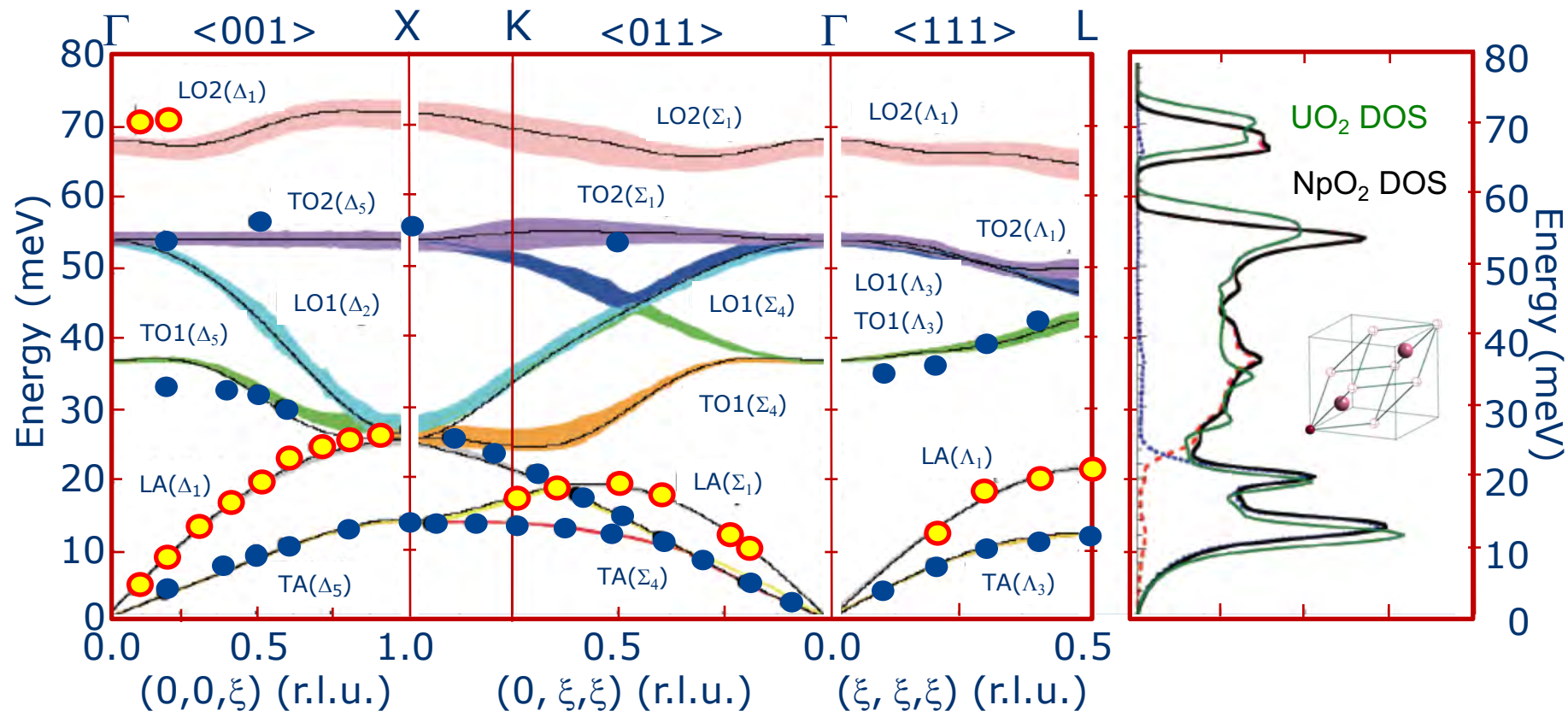
Mirror

$BW \sim 10^{-4}$

High Heat Load Pre-monochromator



Lattice dynamics in NpO_2 at 300K: inelastic x-ray scattering



P. Maldonado et al., PRB, 93, 144301 (2016)

Experimental data compared with phonon frequencies calculated by a "direct method", based on the quasi-harmonic approximation within a DFT framework for the electronic structure (GGA+U; $U = 4$ eV; $J = 0.6$ eV)

Linewidths: quasi-harmonic approximation + third-order anharmonic terms

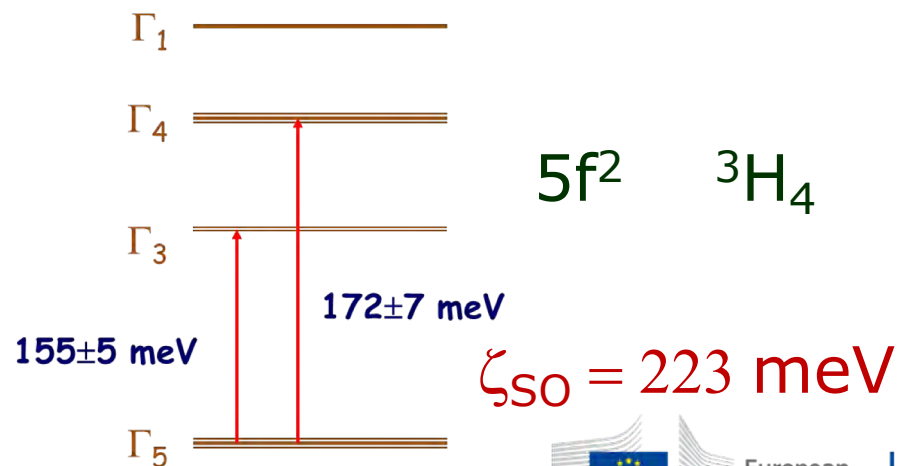
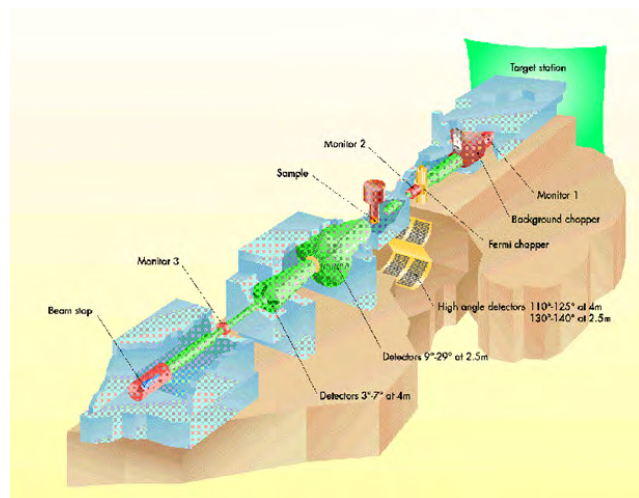
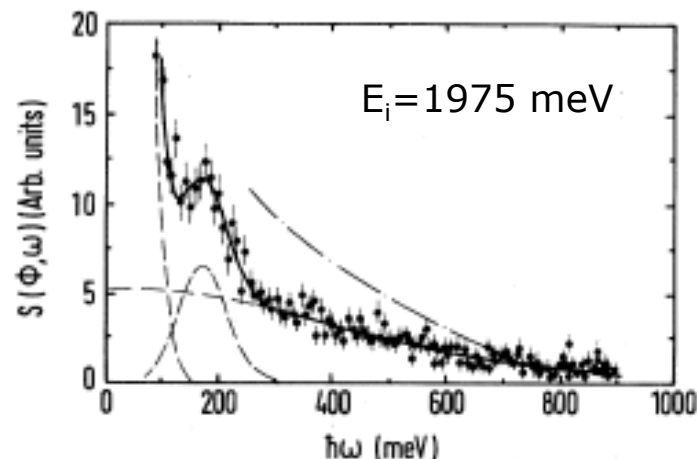
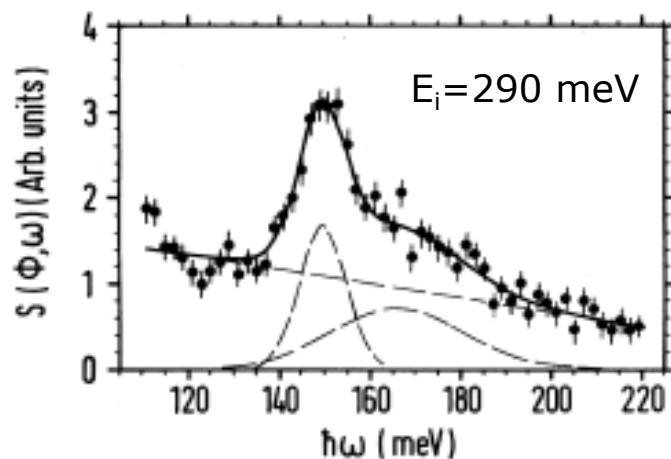
Crystal Field excitations in UO_2

PHYSICAL REVIEW B

VOLUME 40, NUMBER 3

15 JULY 1989-II

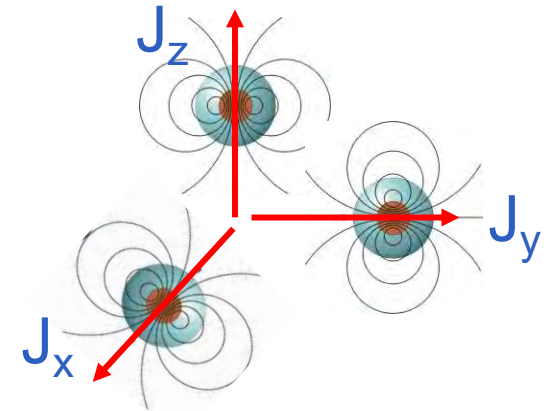
5f-electron states in uranium dioxide investigated using high-resolution neutron spectroscopy



Uranium ions ground state in UO_2

The U^{4+} ground state in the paramagnetic phase of UO_2 is a triplet of Γ_5 symmetry, supporting **8 active degrees of freedom**:

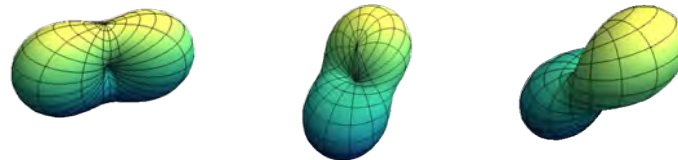
- 3 time-odd magnetic dipoles of Γ_4 symmetry



- 2 time-even electric quadrupoles of Γ_3 symmetry transforming as $3z^2-r^2$, x^2-y^2



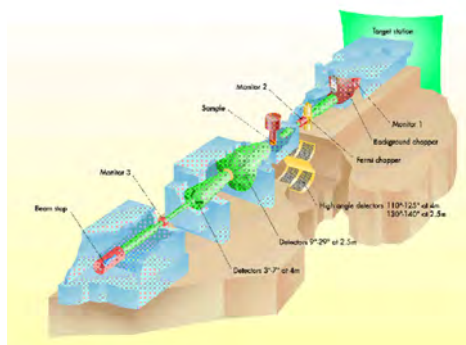
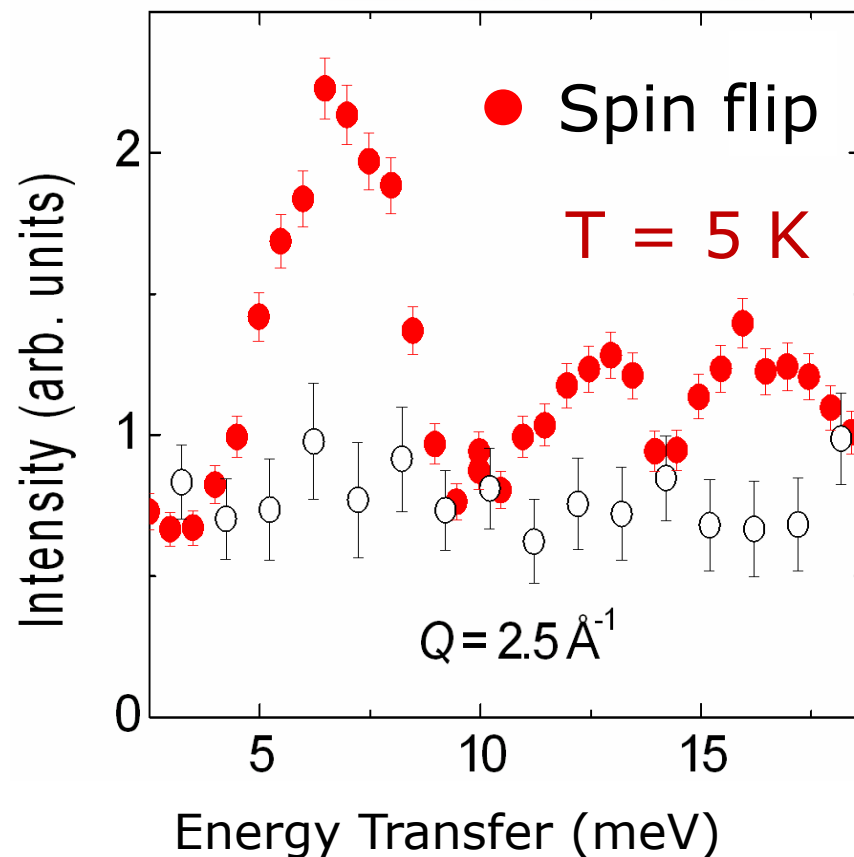
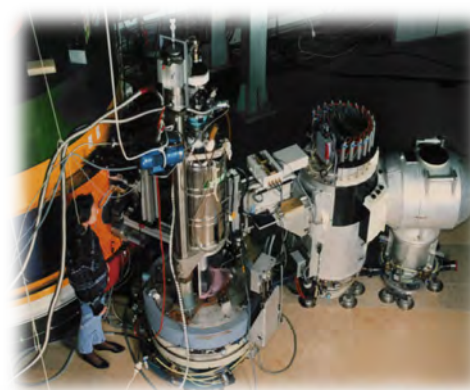
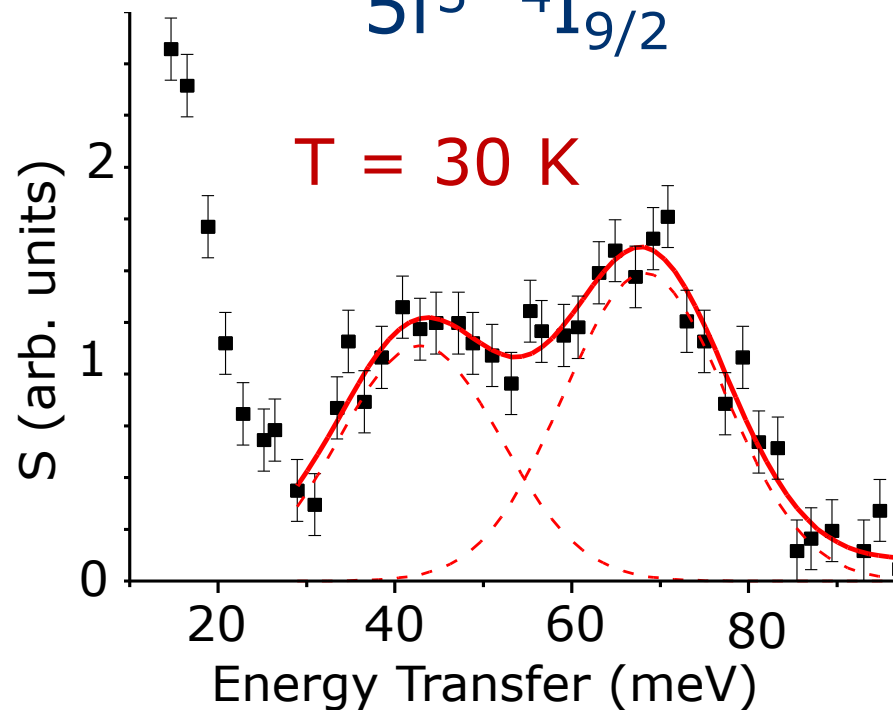
- 3 time-even electric quadrupoles of Γ_5 symmetry transforming as xy , xz , yz



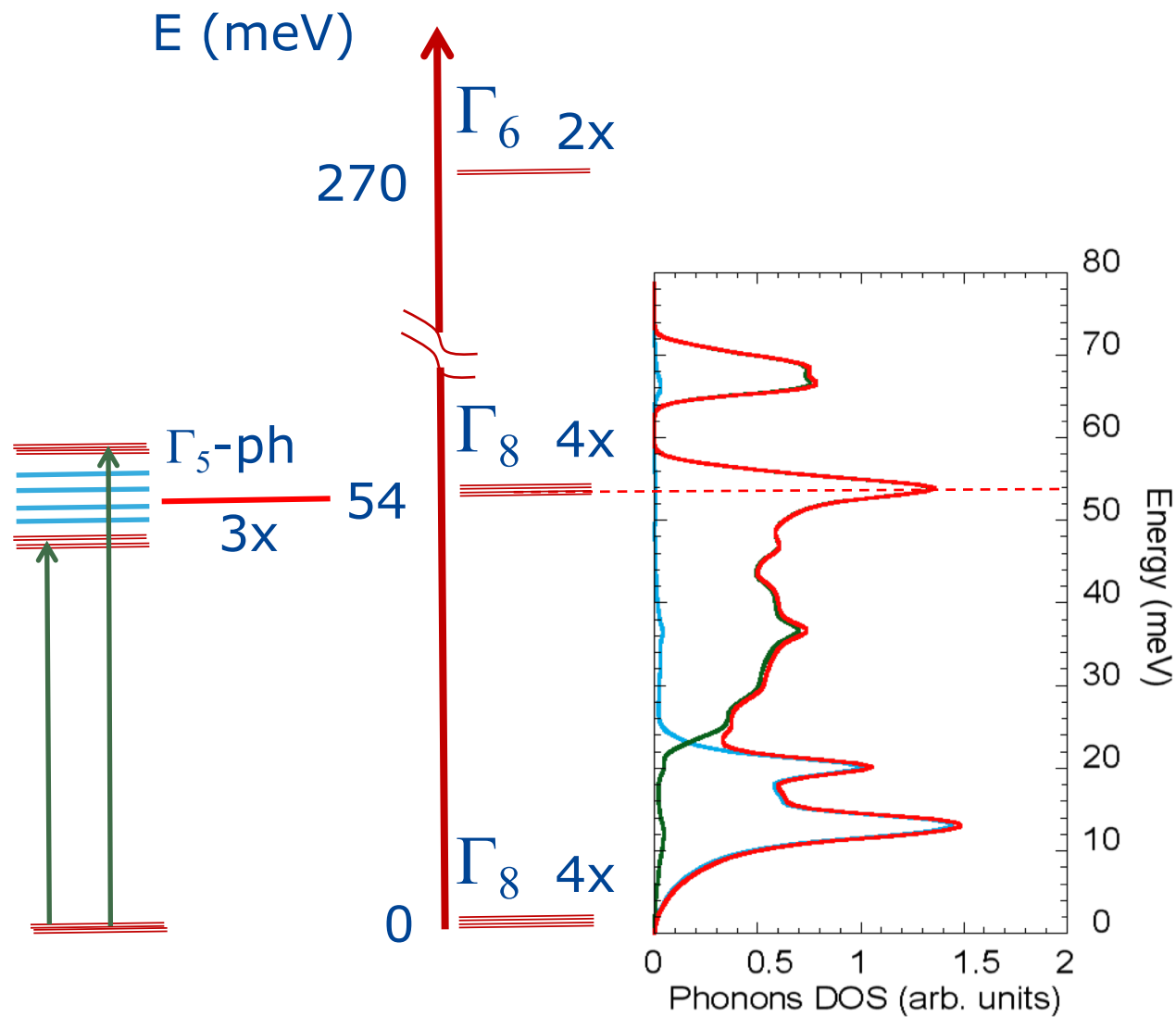
Crystal Field excitations in NpO_2

$5f^3 \ ^4I_{9/2}$

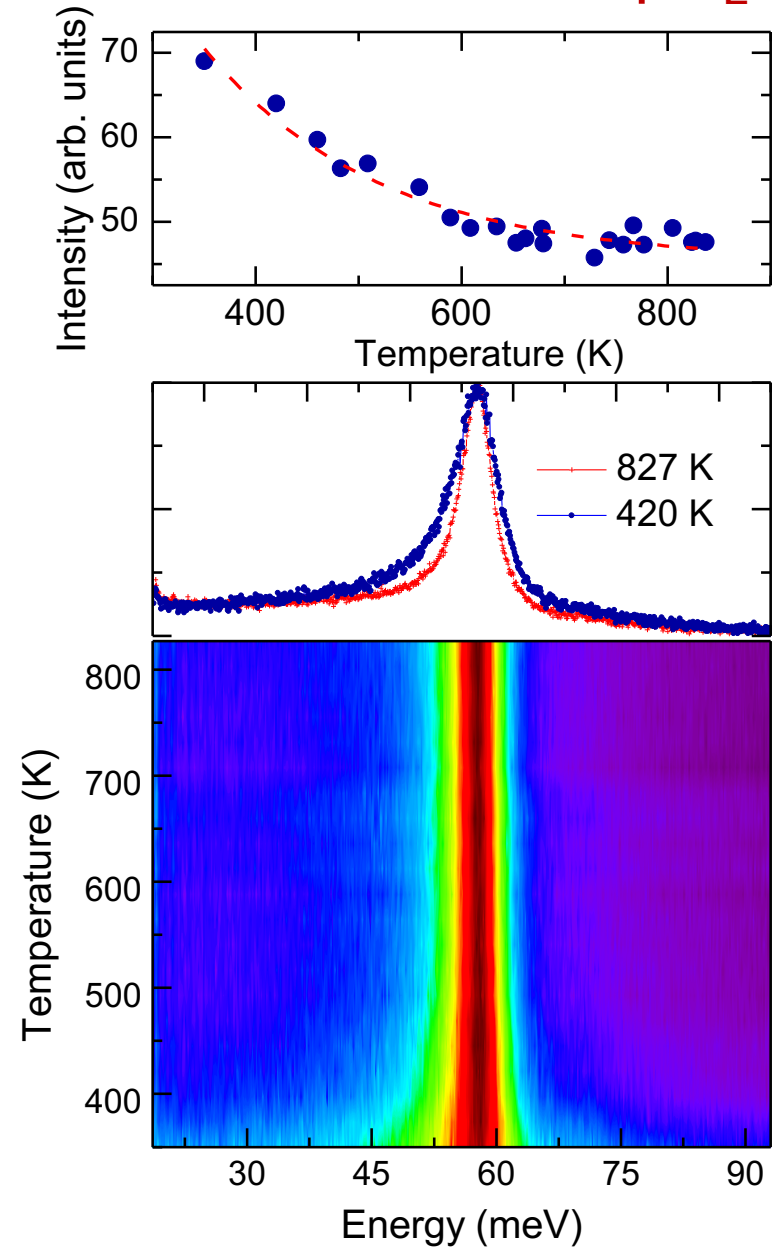
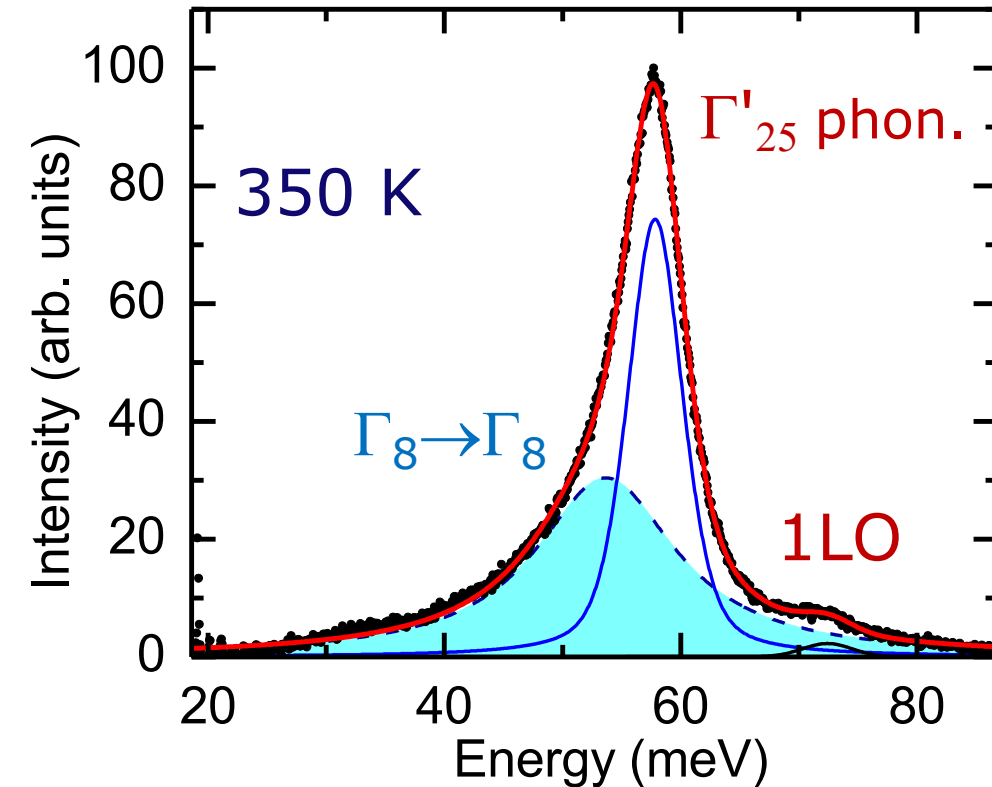
$T = 30 \text{ K}$



CF-phonons bound state

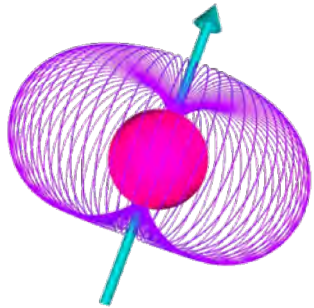


Raman Scattering at high T: bare CF excitation in NpO_2



Active degrees of freedom in the Γ_8 Ground State of NpO_2

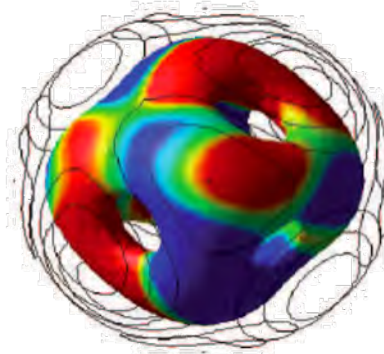
Time-reversal-even and time-reversal-odd active degrees of freedom up to rank-7



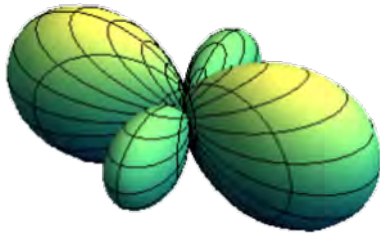
K = 1



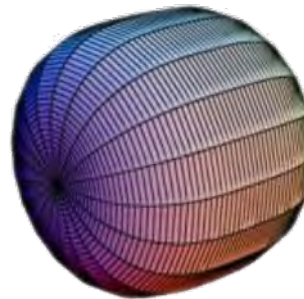
K = 3



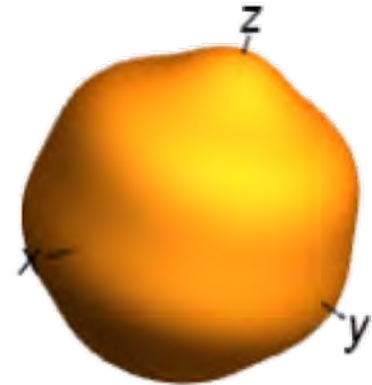
K = 5



K = 2



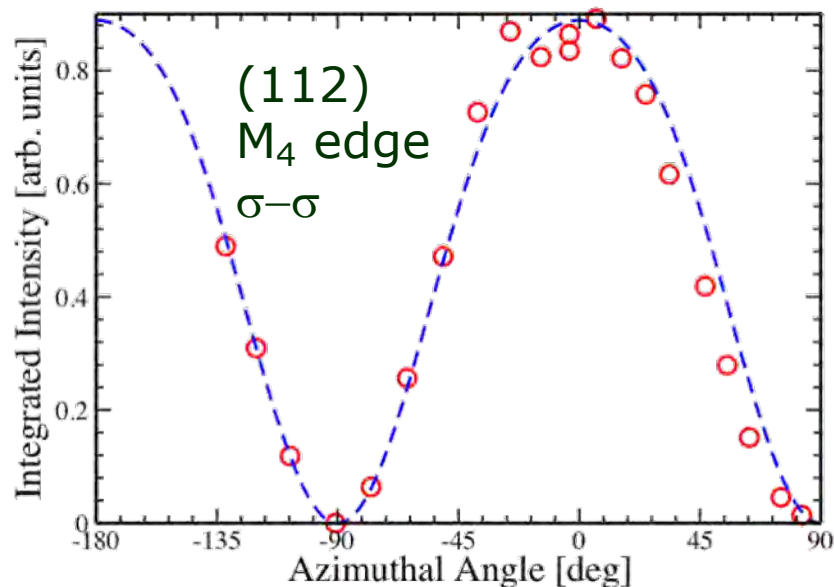
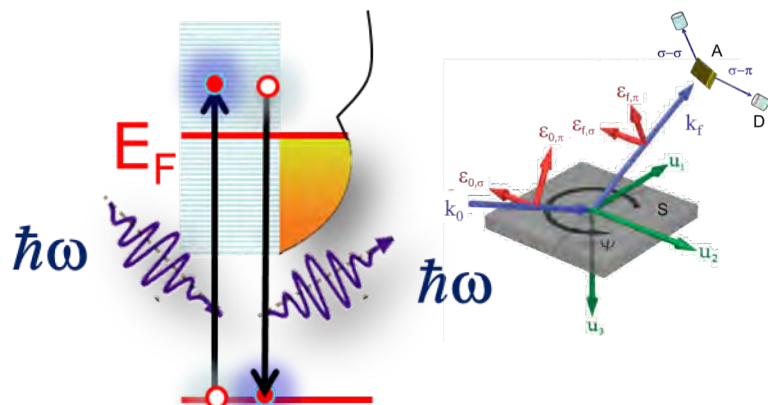
K = 4

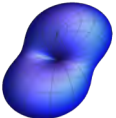


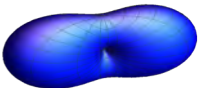
K = 7

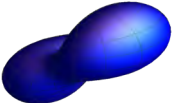
Long-range order of electric quadrupoles in UO_2

RXS experiments provide direct evidence for the ordering of electric quadrupole moments in UO_2 below T_N




$$Q_{yz} =$$


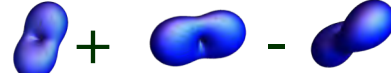
$$Q_{zx} =$$


$$Q_{xy} =$$


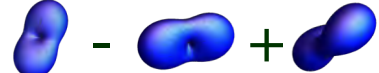
$$(0, 0, 0)$$

$$\langle Q \rangle = +$$



$$(0, 1/2, 1/2)$$

$$\langle Q \rangle = -$$


$$(1/2, 0, 1/2)$$

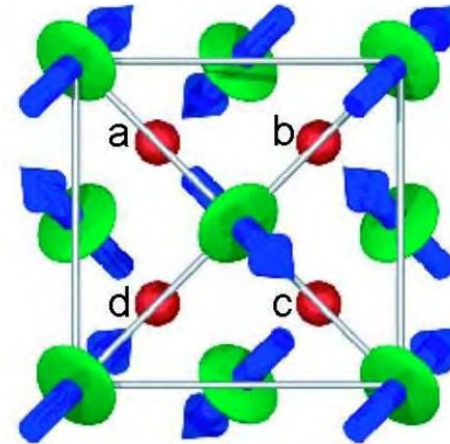
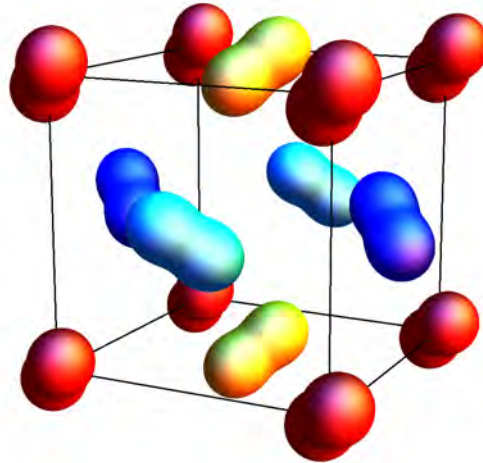
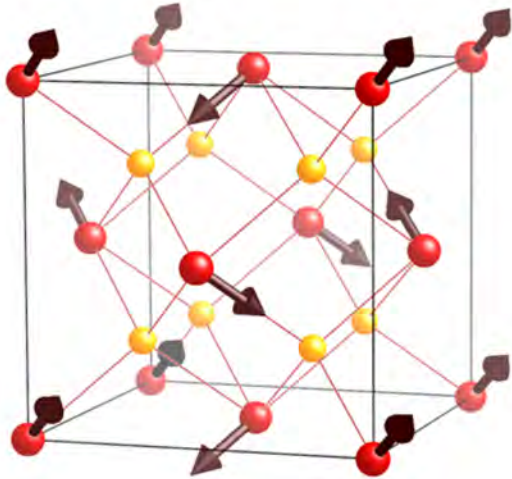
$$\langle Q \rangle = -$$


$$(1/2, 1/2, 0)$$

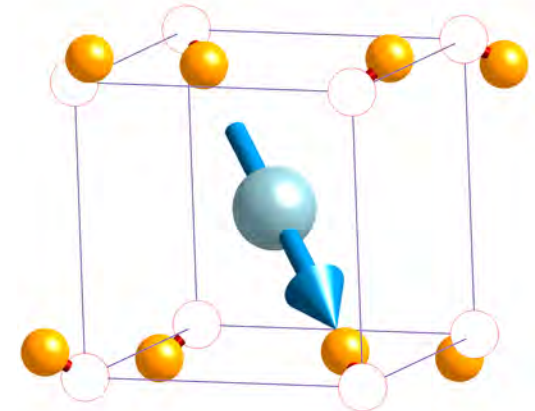
$$\langle Q \rangle = +$$


Ordered ground state in UO_2

Transverse 3-k AF order of magnetic dipoles and Γ_5 e-quadrupoles



The U sublattice is simple cubic with
4 atoms in the base

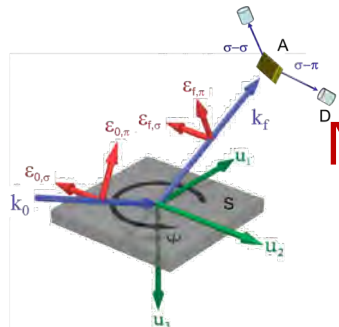


Static 3-k J-T distortion of the O sublattice

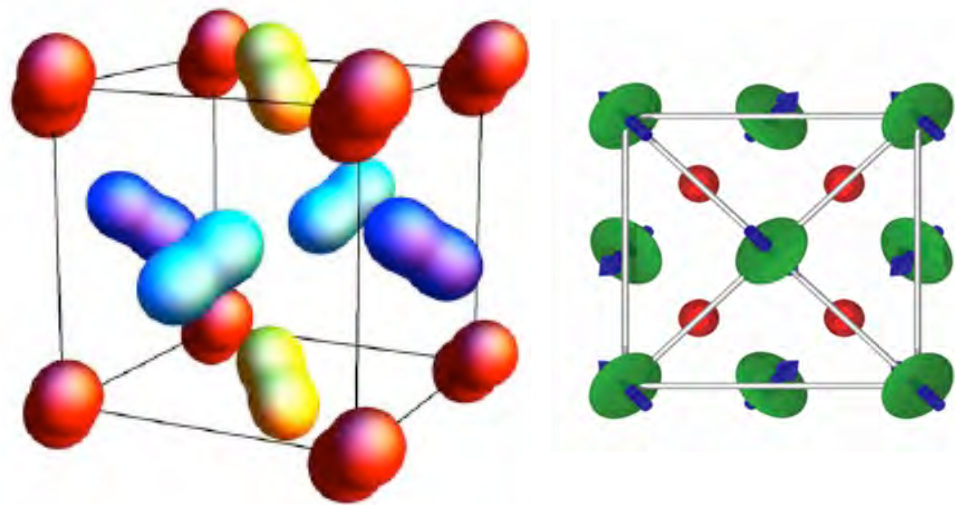
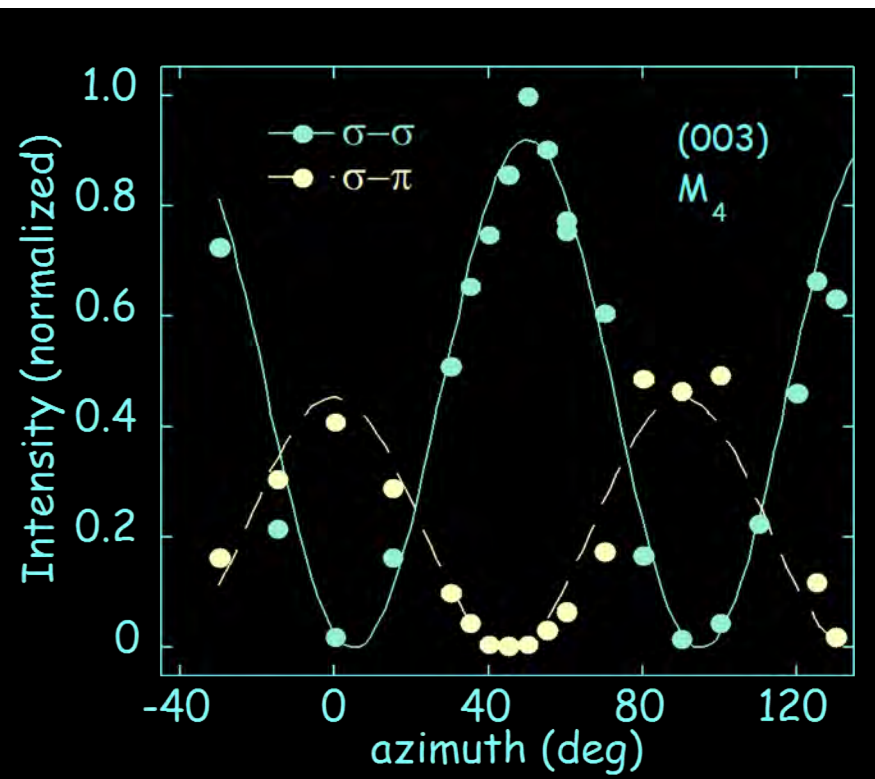
NpO_2 : the first example of hidden order

No ordered magnetism and no distortions detected

Longitudinal 3-k structure



Resonant X-Ray scattering



Ordered electric quadrupoles

Zero magnetic dipole moment

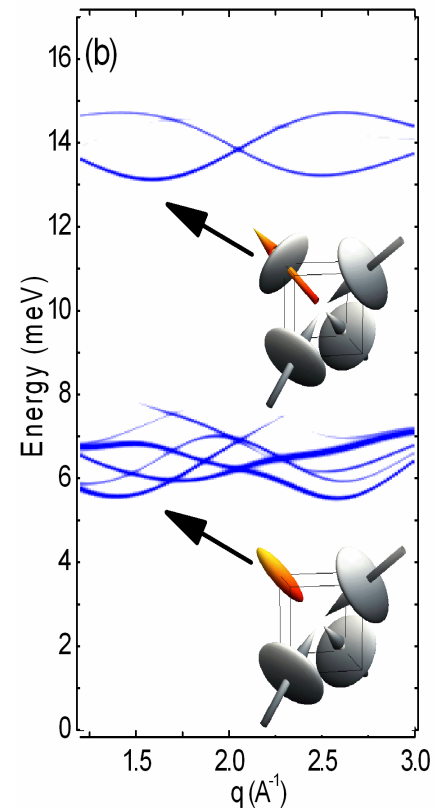
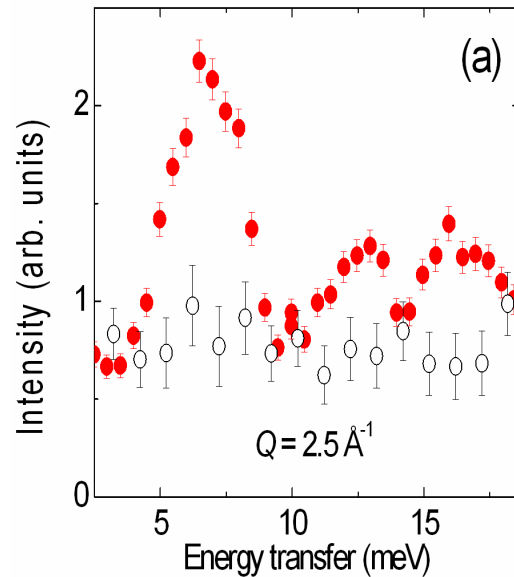
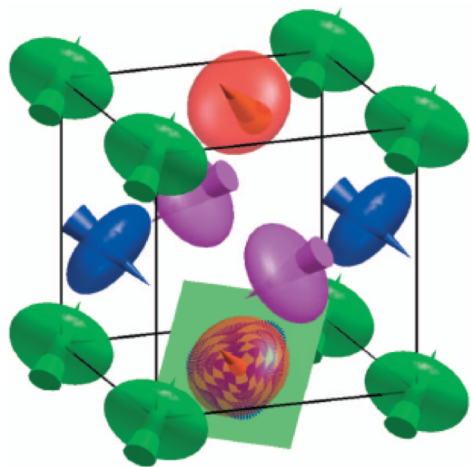
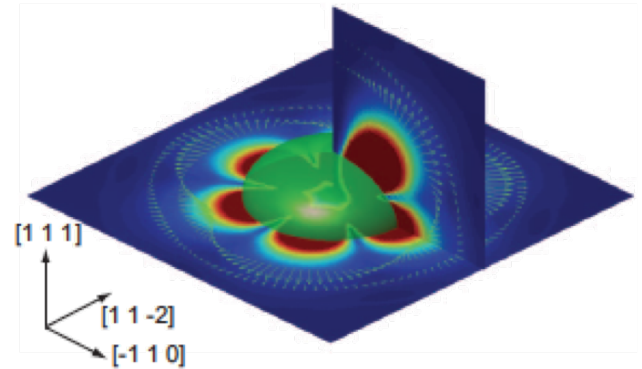
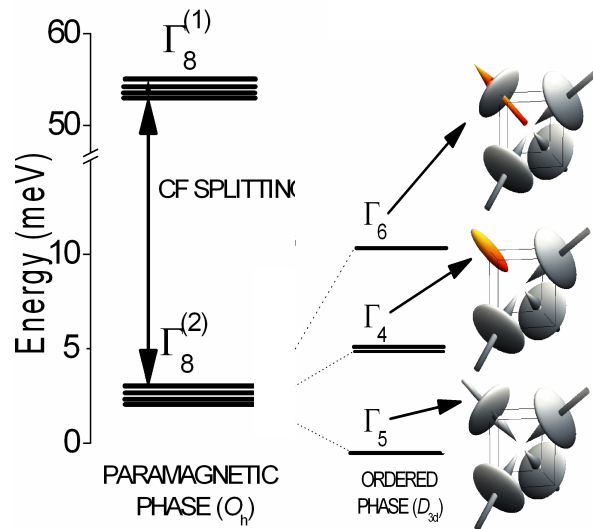
No crystal distortions:

Same atomic positions as in the CaF_2 structure, but different

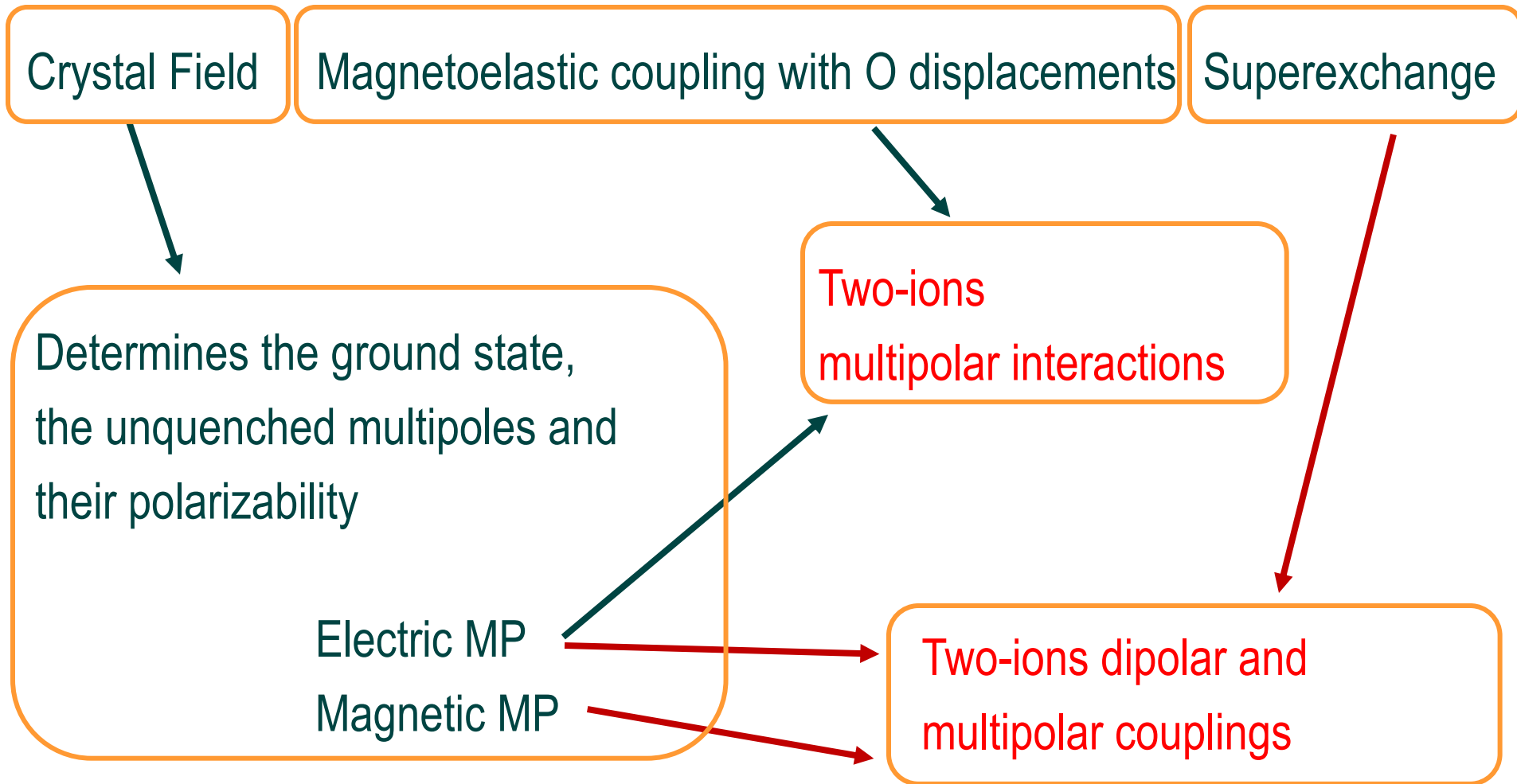
Point Symmetry

Rank-5 magnetic primary order parameter in NpO_2

E-quadrupole would split the Γ_8 quartet into two doublets: **one low-E peak in INS spectrum**



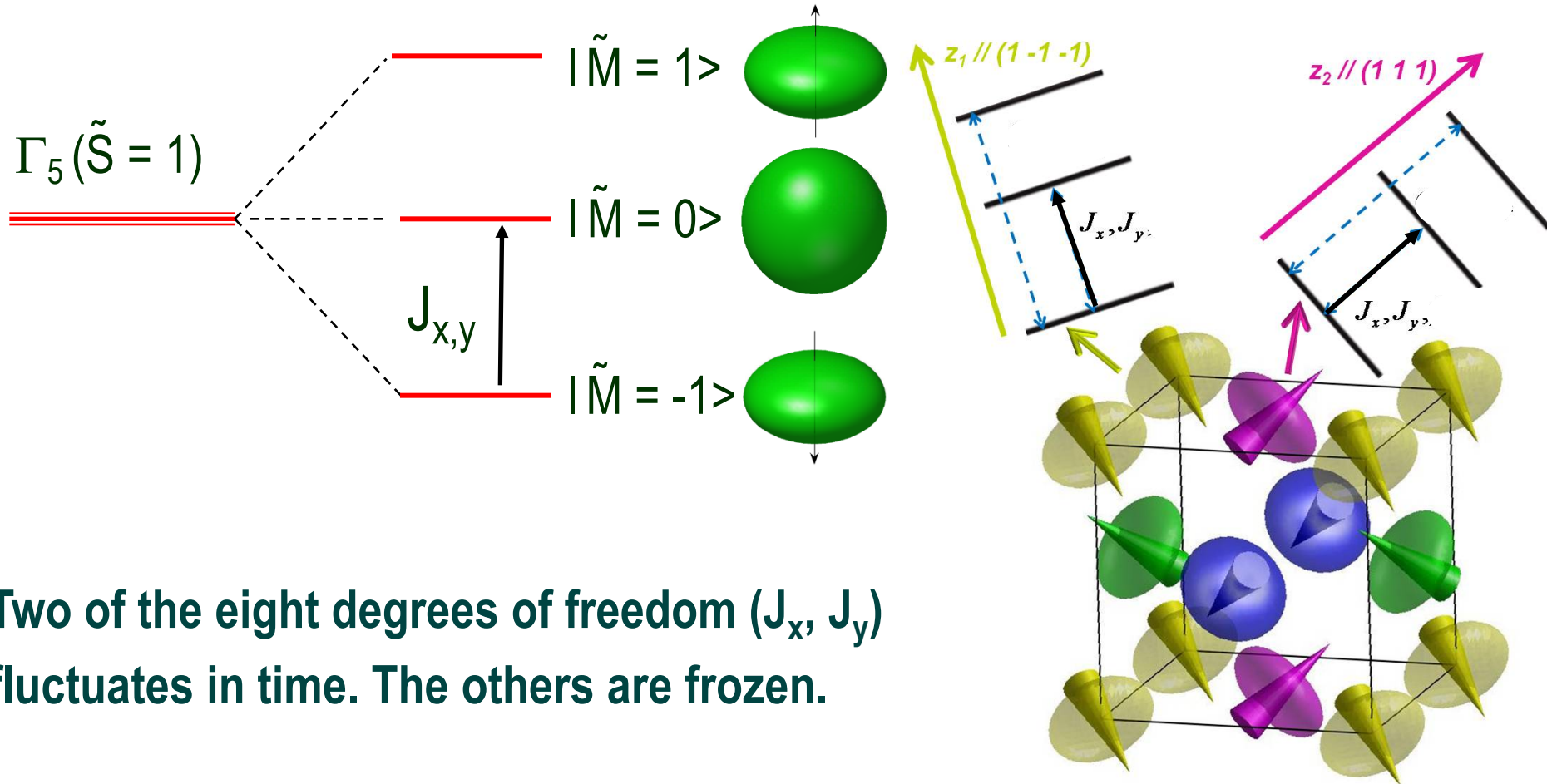
Collective excitations in AnO_2



Collective excitations in UO_2

In the ordered phase, the degeneracy of the ground state is removed.

Only one dipolar single-ion excitation is allowed



Two of the eight degrees of freedom (J_x , J_y) fluctuates in time. The others are frozen.

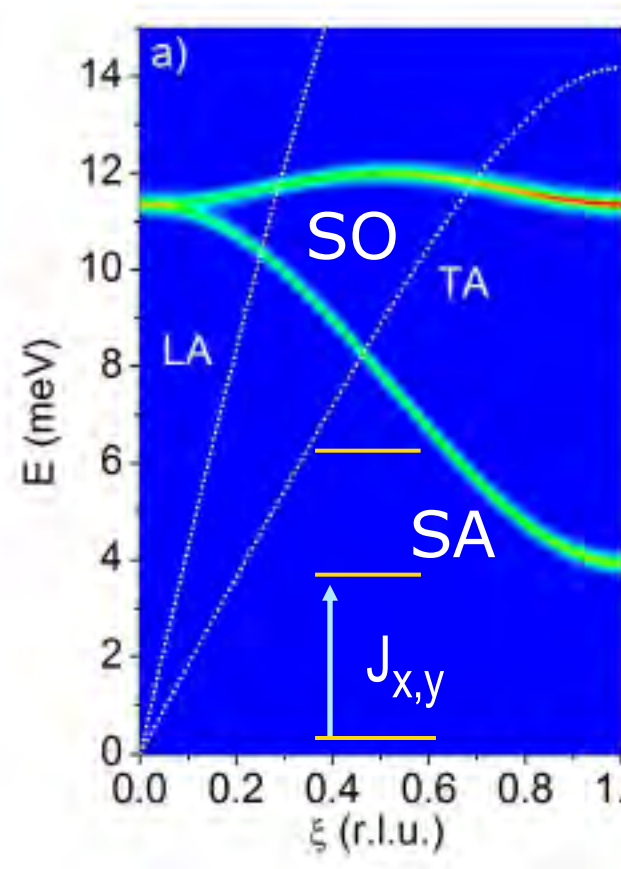
Collective excitations in UO_2

If only anisotropic magnetic exchange interactions were active, 4 propagating branches would be present (in addition to phonons):

4 U sites $\rightarrow 4 \times 1 = 4$ excitations branches.

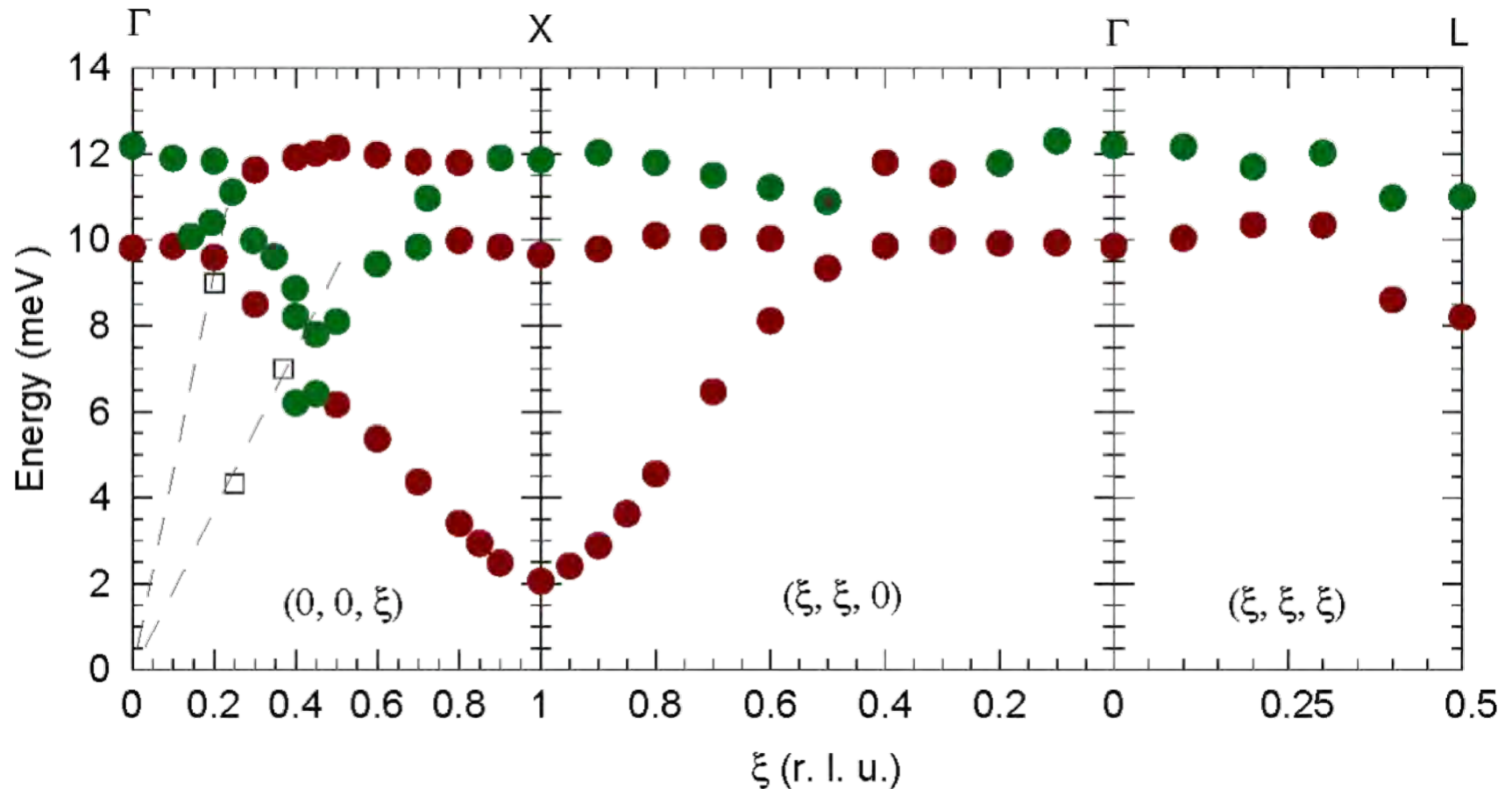
Along the $[001]$ direction

**2 degenerate acoustic and
2 degenerate optical spin branches.**



UO_2 collective excitations below T_N

Inelastic neutron scattering



RC et al.

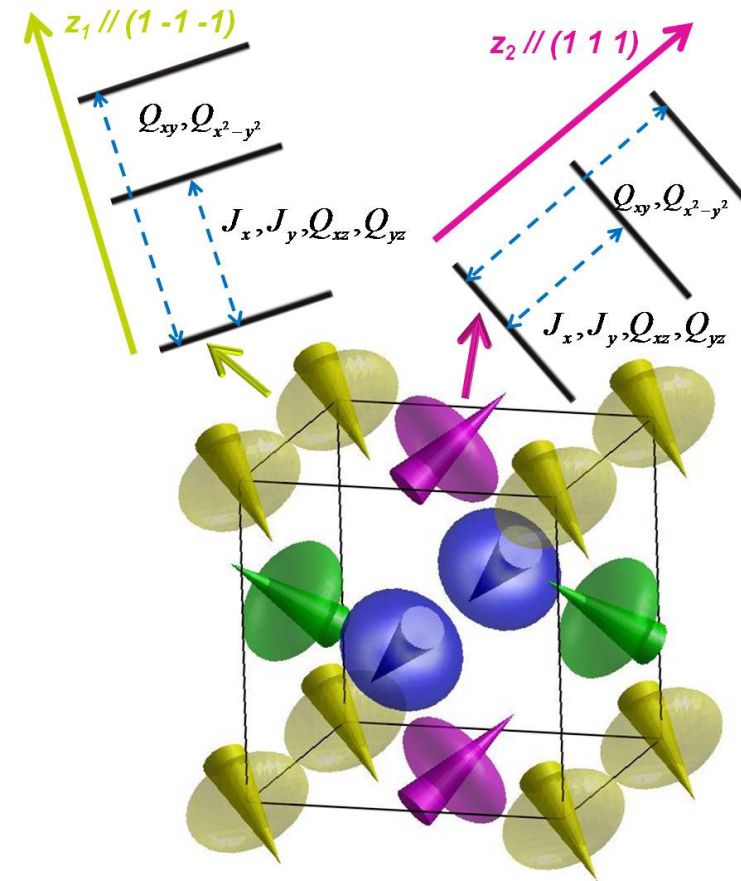
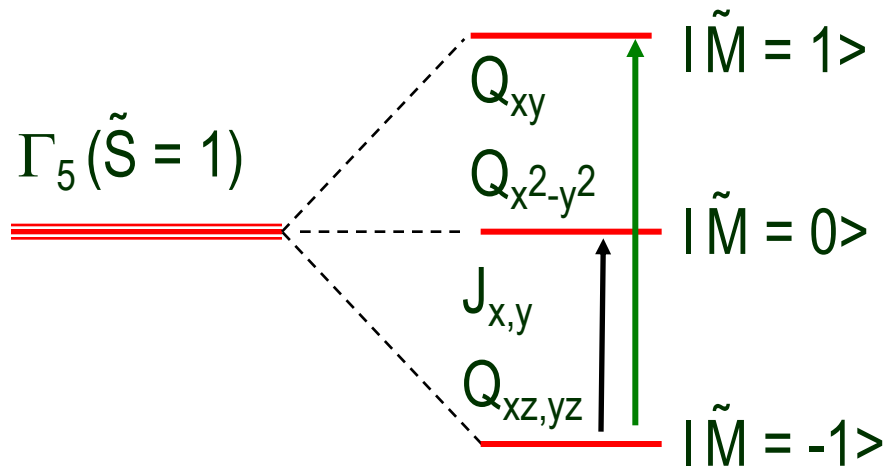
PRB 59, 13892 (1999)

PRB 72, 18441 (2005)

PRB 84, 104409 (2011)

Collective excitations in UO_2

- Quadrupolar transitions (pseudospin flips by 2)



Magnetic dipole + electric quadrupole transitions:
4 U sites $\rightarrow 4 \times (1+1) = 8$ excitations branches

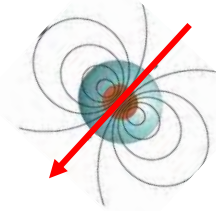
Only J_z and $Q_{3z^2-r^2}$ are frozen degrees of freedom

Coupled dynamics of spins, phonons and quadrupoles

Each low-T unit cell provides 60 dynamical variables

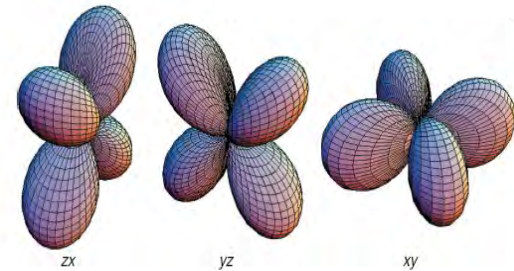
$$J_x, J_y, J_z$$

Dipoles (3x 4 sublattice = 12)



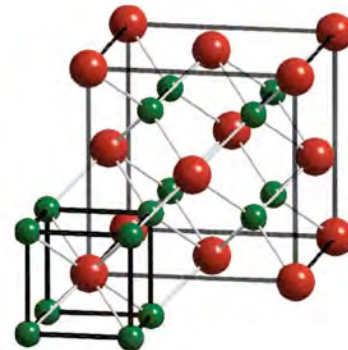
$$Q_{xy}, Q_{xz}, Q_{yz}$$

Γ_5 Quadrupoles (3x 4 subl. = 12)



$$\Delta R_i$$

Displacements (3x4x3 = 36)



Coupled dynamics of spins, phonons and quadrupoles

The microscopic Hamiltonian contains several terms:

$$H = H_{SS} + H_{QQ} + H_P + H_{ME}$$

*Spin-spin
superexc.*

*Quad-quad
superexch.*

*Bare
phonons*

*Quadr-phonon
ME coupling*

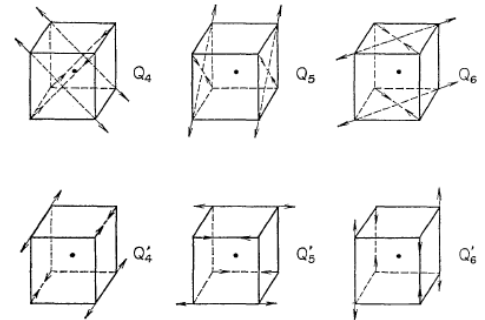
The simplest possible model allowing a satisfactory fit to experimental data:

$$H_{SS} = J \{ S_z(1) S_z(2) + d [S_x(1) S_x(2) + S_y(1) S_y(2)] \} \quad \begin{array}{l} (110) \text{ n.n. bond} \\ \text{CUBIC ref. frame} \end{array}$$

$$H_{QQ} = K^{SE} \{ Q_{xy}^S(1) Q_{xy}^S(2) + d [Q_{yz}^S(1) Q_{yz}^S(2) + Q_{xz}^S(1) Q_{xz}^S(2)] \}$$

$H_P \longrightarrow$ **Rigid-ion model**

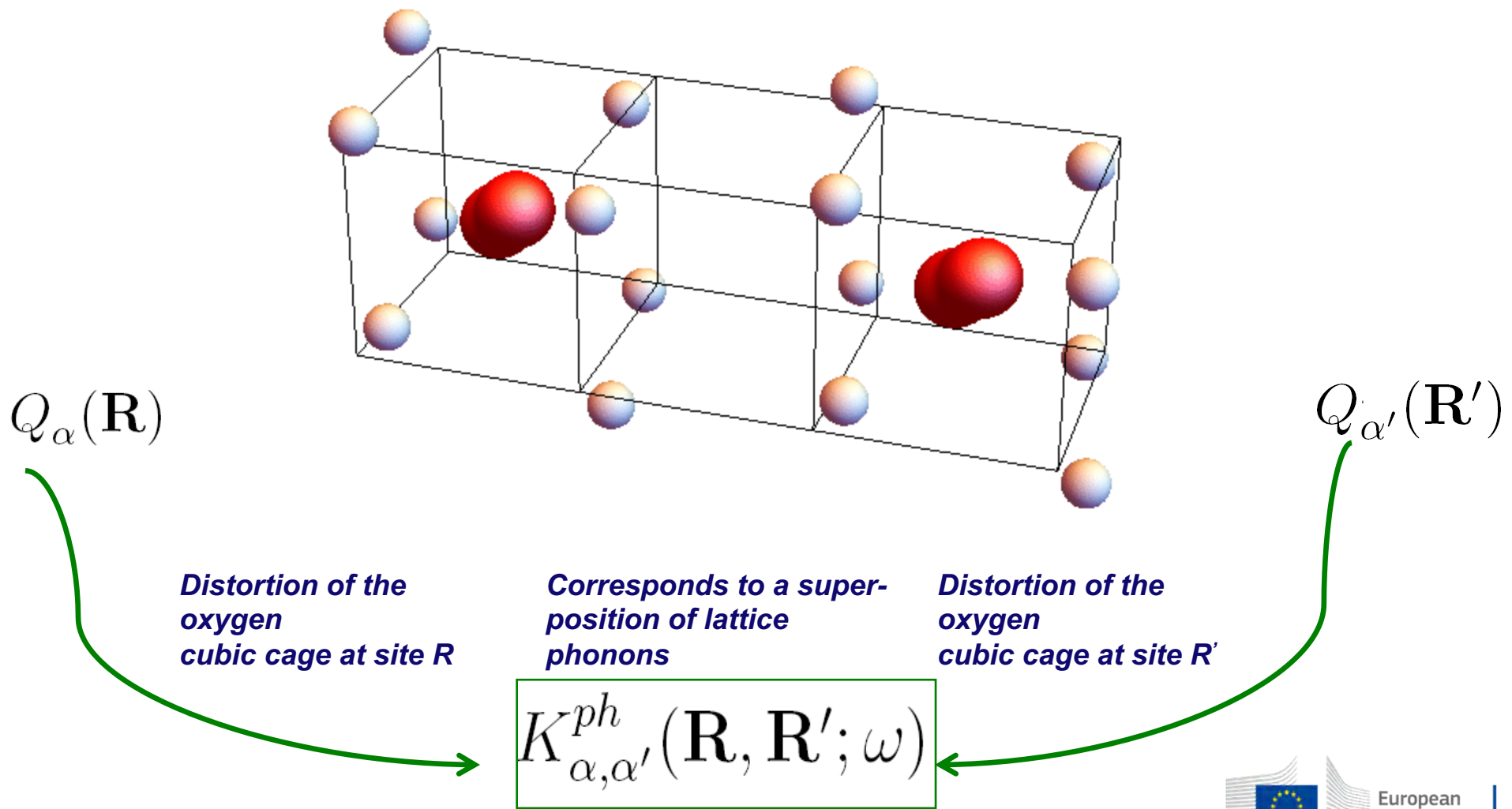
$$H_{ME} = \sum_{\vec{R}} \sum_{\alpha=xy,xz,yz} Q_{\alpha}^S(\vec{R}) [g_A \delta_{A,\alpha}(\vec{R}) + g_B \delta_{B,\alpha}(\vec{R})]$$



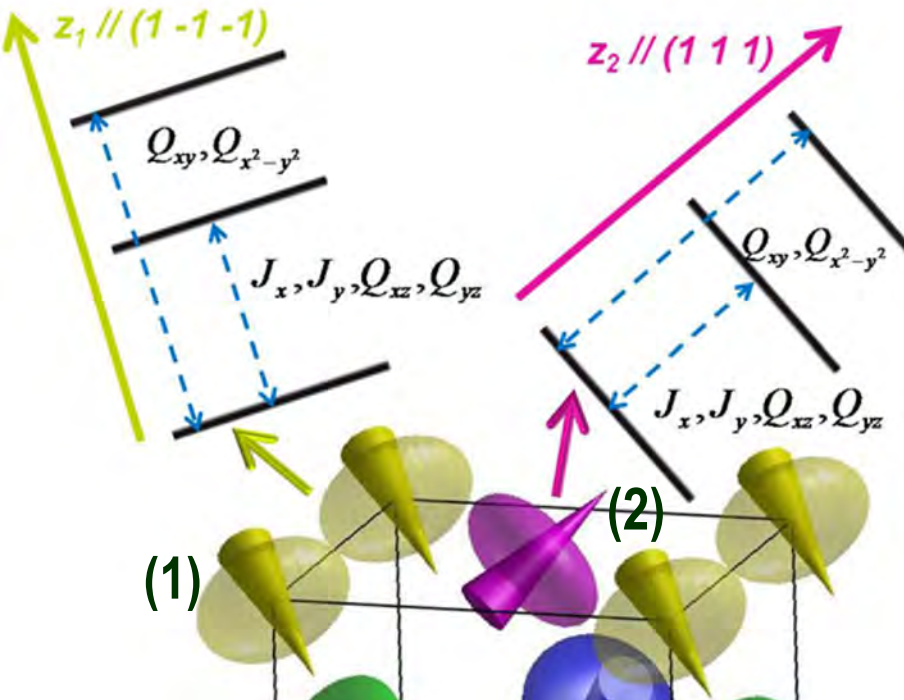
The two normal modes of the cubic oxygen cage having Γ_5 symmetry

Lattice dynamics in UO_2

Retarded (frequency dependent) effective phonon-mediated quadrupole-quadrupole interactions:



Mixing of quadrupole and spin waves branches



a) Q_{xy} and $Q_{x^2-y^2}$ fluctuate on site (1)

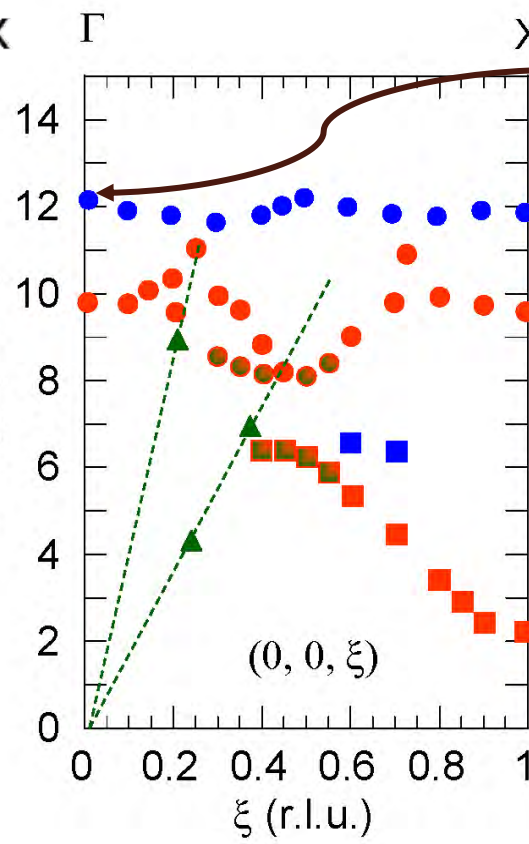
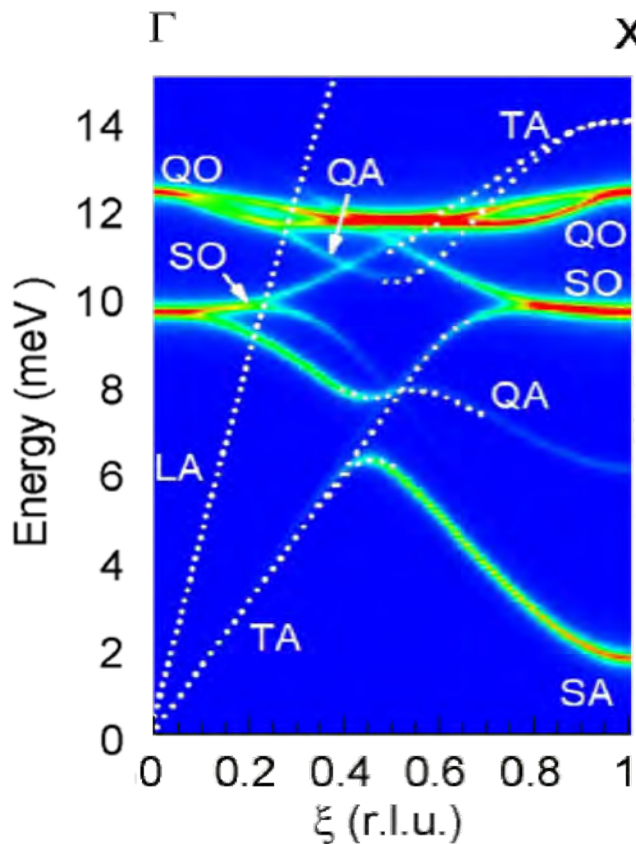
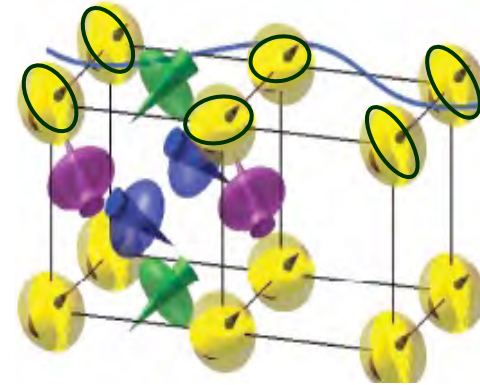
b) The ion in (2) feels a time-dependent quadrupolar field inducing off-resonant oscillations of Q_{xz} and Q_{yz}

c) As $Q_{xz,yz}$ are locked to $J_{x,y}$, a magnetic oscillation is induced in (2)

The $\Delta\tilde{M} = 2$ branch gets a magnetic character

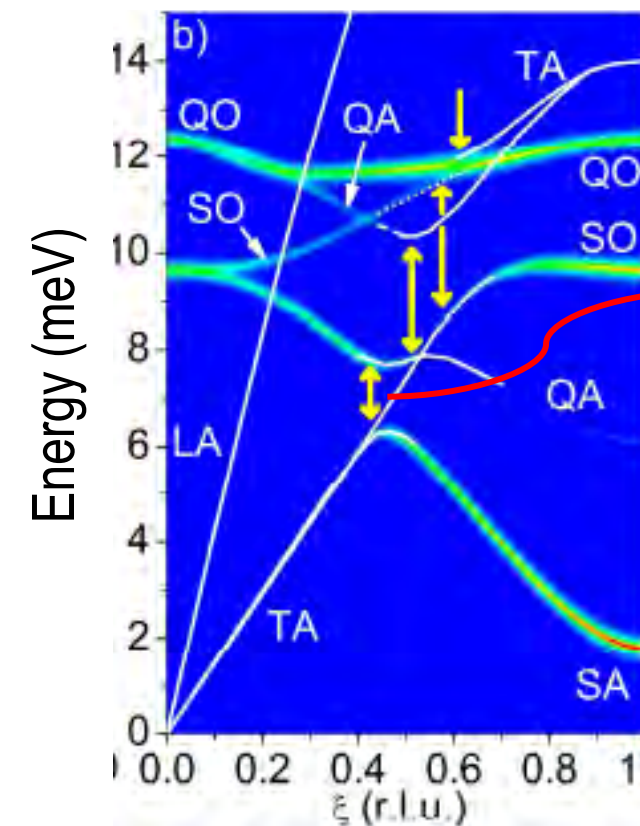
Propagating electric quadrupole waves in UO_2

A whole excitation branch in UO_2 is associated with propagating quadrupolar fluctuations driven jointly by magneto-elastic and superexchange multipolar interactions.



wave-like oscillations of the two locally-orthorhombic quadrupoles (Q_{xy} , $Q_{x^2-y^2}$) associated with the precession of a small spin component due to mixing with the spin-wave branch.

Avoided Crossings from spin- phonon- quadrupolar-modes interactions (yellow arrows)



$M = 1$ the $Q_{xz,yz}$ quadrupolar operators
 $M = 0$ couple phonons to the
 $M = -1$ ($M = -1 \rightarrow M = 0$) excitation and give
 origin of the AC around 7 meV
 near $\xi = 0.45$

Mixed spin-phonon character is maximal at avoided crossing positions arising

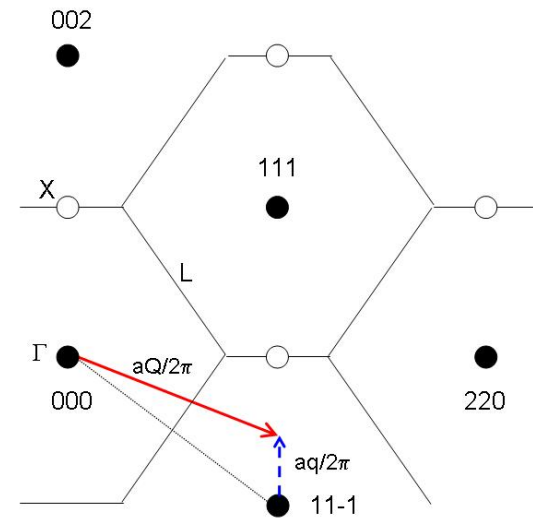
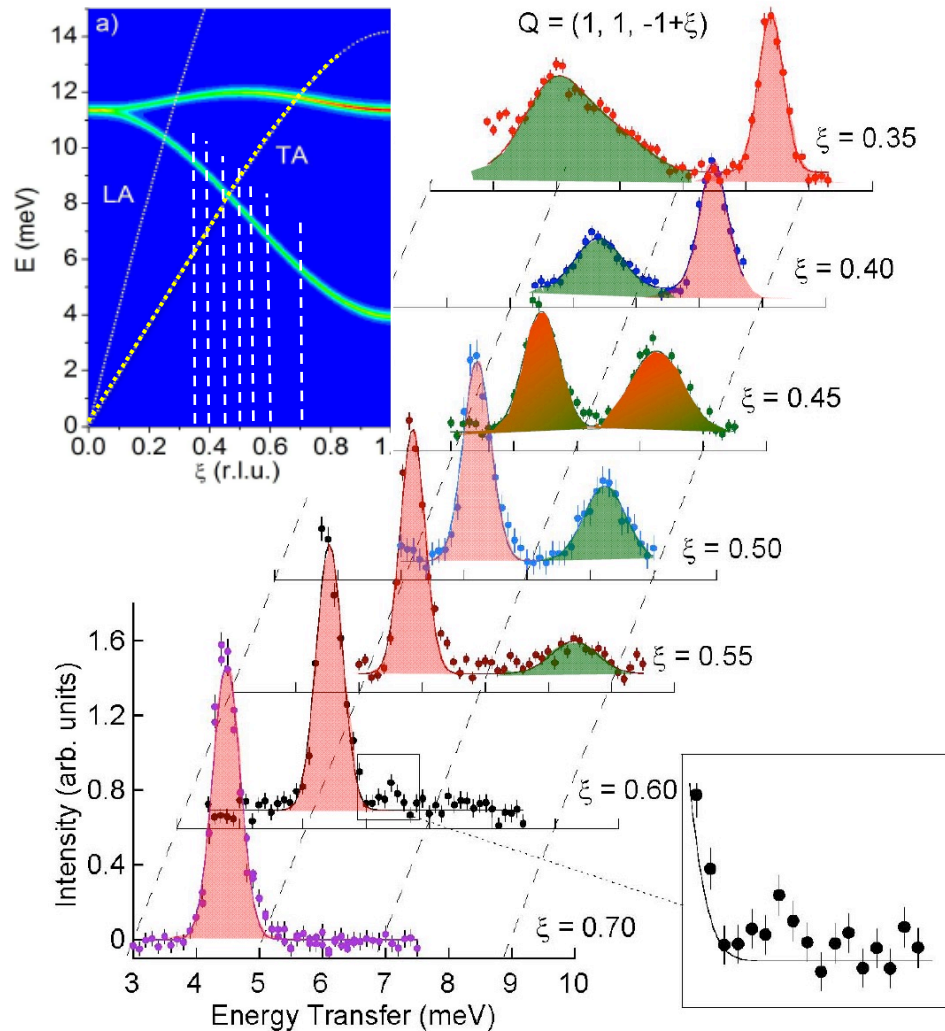
$$| \Psi_1 \rangle \propto \frac{1}{\sqrt{2}} (SW + TA_1) \quad | \Psi_2 \rangle \propto \frac{1}{\sqrt{2}} (SW - TA_1)$$

Inelastic neutron scattering experiments

IN14@ILL

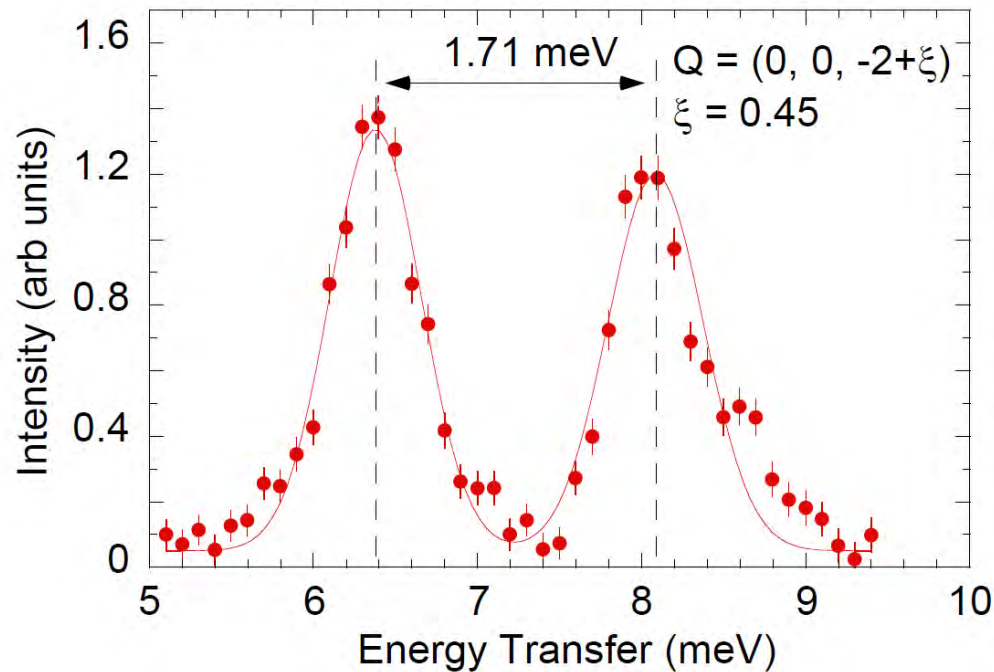
Transverse constant-Q scans

$$\mathbf{Q} = \mathbf{G} + \mathbf{q} = (1, 1, -1) + (0, 0, \xi)$$

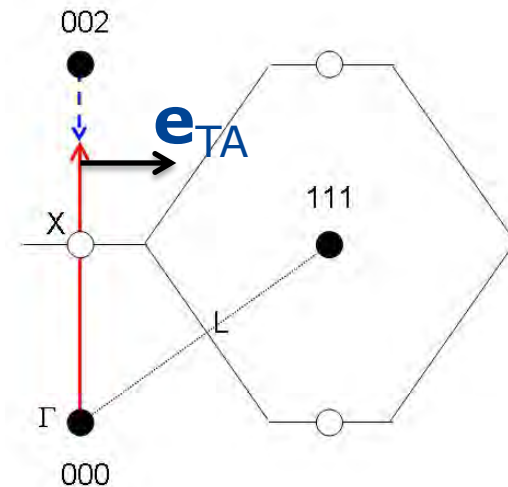


Magnon-phonon avoided crossing at $\mathbf{q} = (0, 0, 0.45)$

Longitudinal scan reveals a transverse
50% phonon- 50% magnon mixed mode



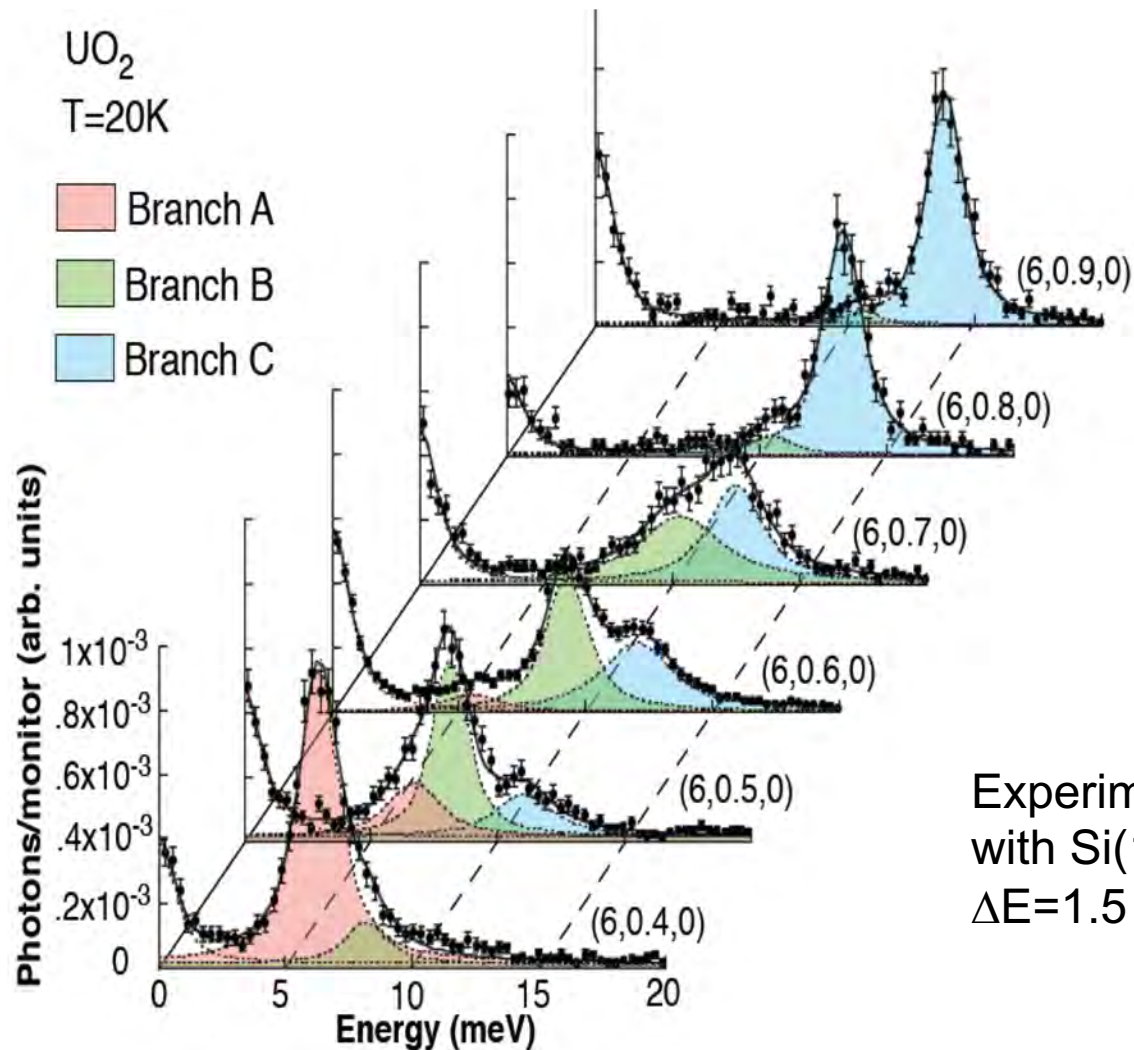
$$I_{\text{ph}} \propto (\mathbf{Q} \cdot \mathbf{e})^2 = 0$$



$$| \Psi_1 \rangle \propto \frac{1}{\sqrt{2}} (SW + TA_1)$$

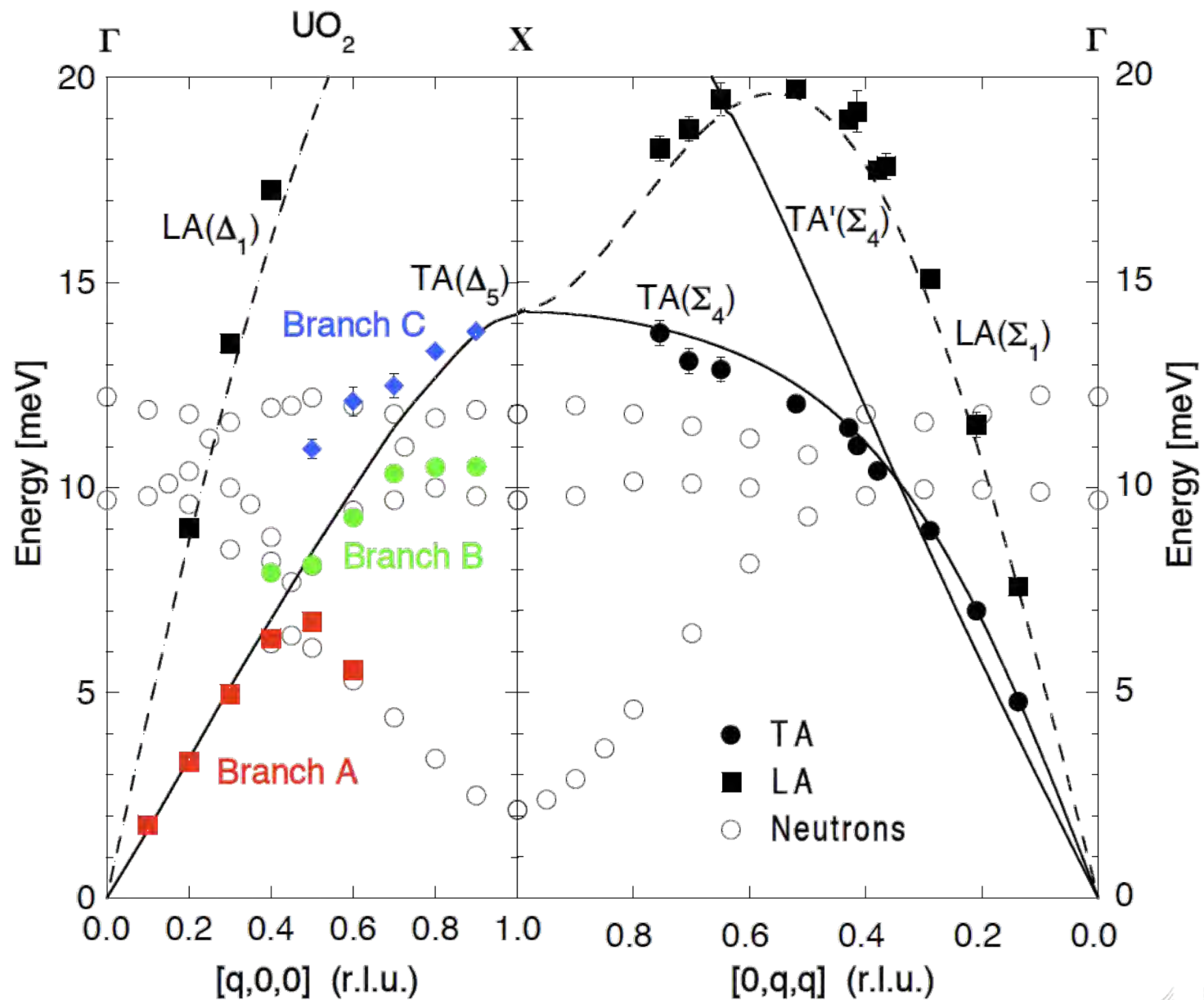
$$| \Psi_2 \rangle \propto \frac{1}{\sqrt{2}} (SW - TA_1)$$

UO₂ High-resolution IXS: only phonons are visible

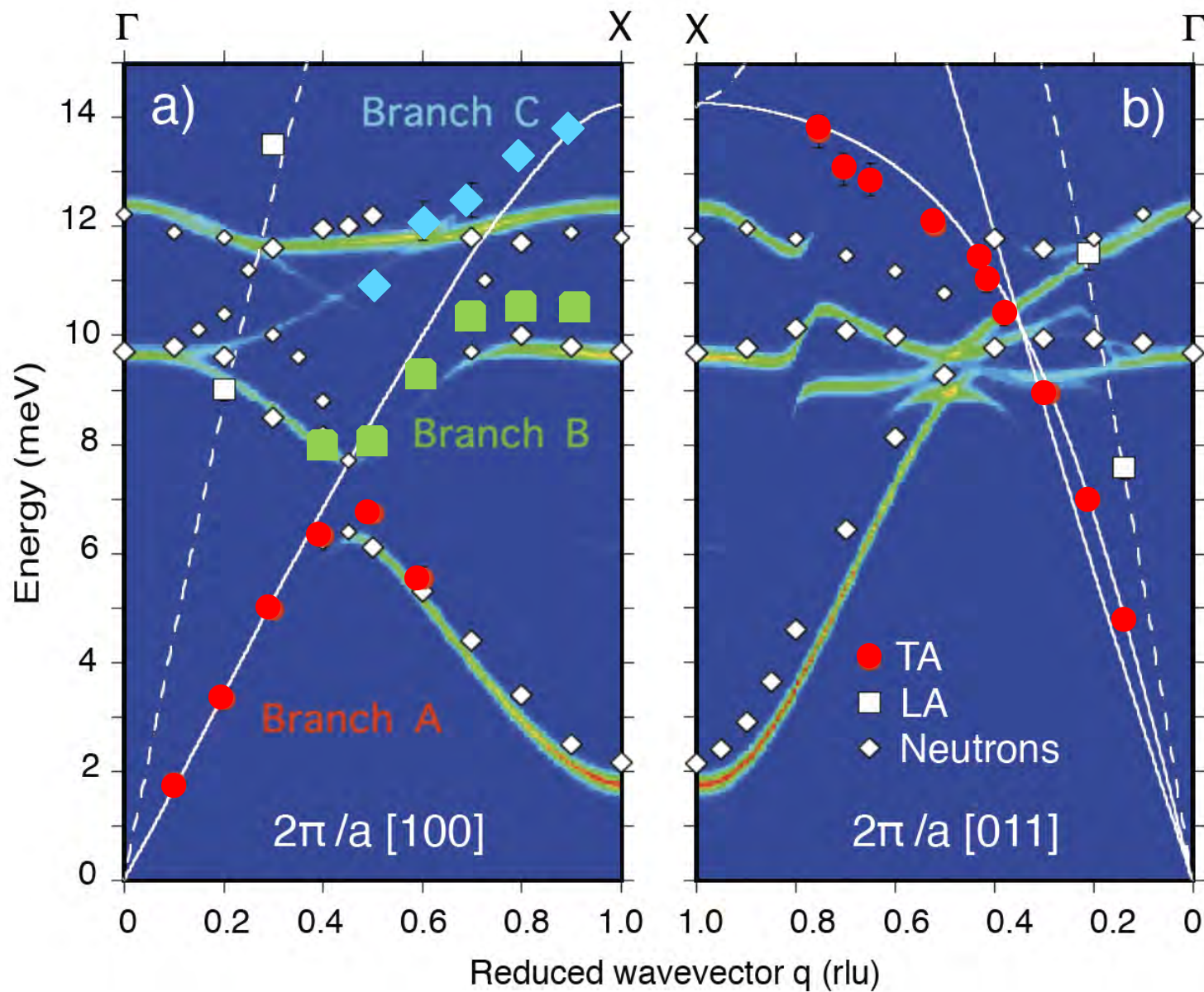


Experiments performed
with Si(12 12 12) with
 $\Delta E=1.5$ meV

IXS, UO_2 @ 10 K:



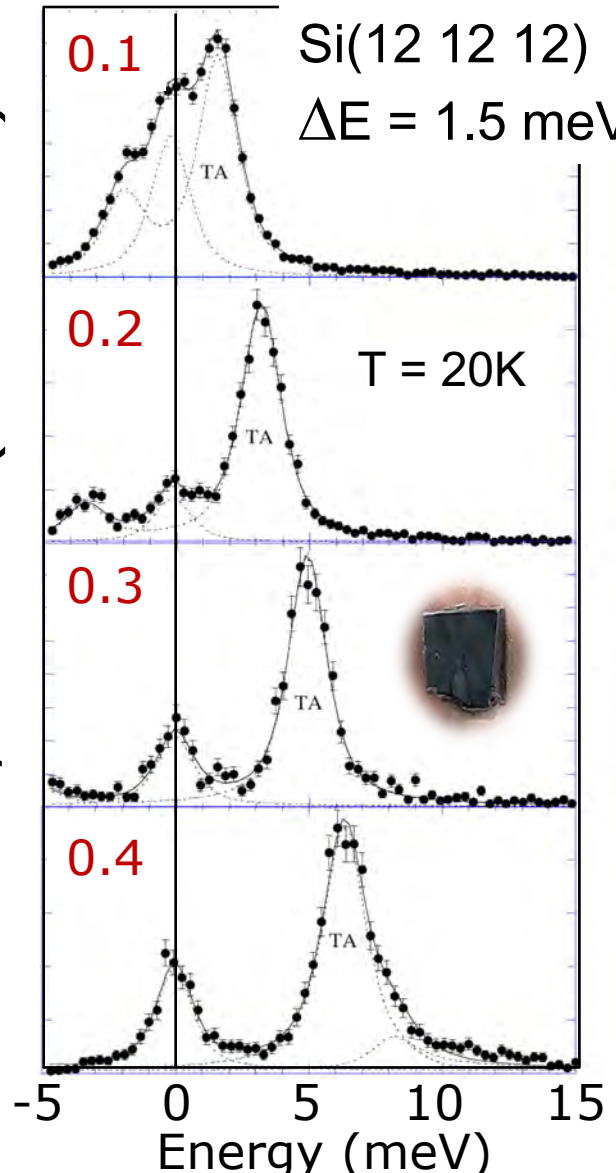
UO₂ IXS:



Comparison with experiments: low-q region

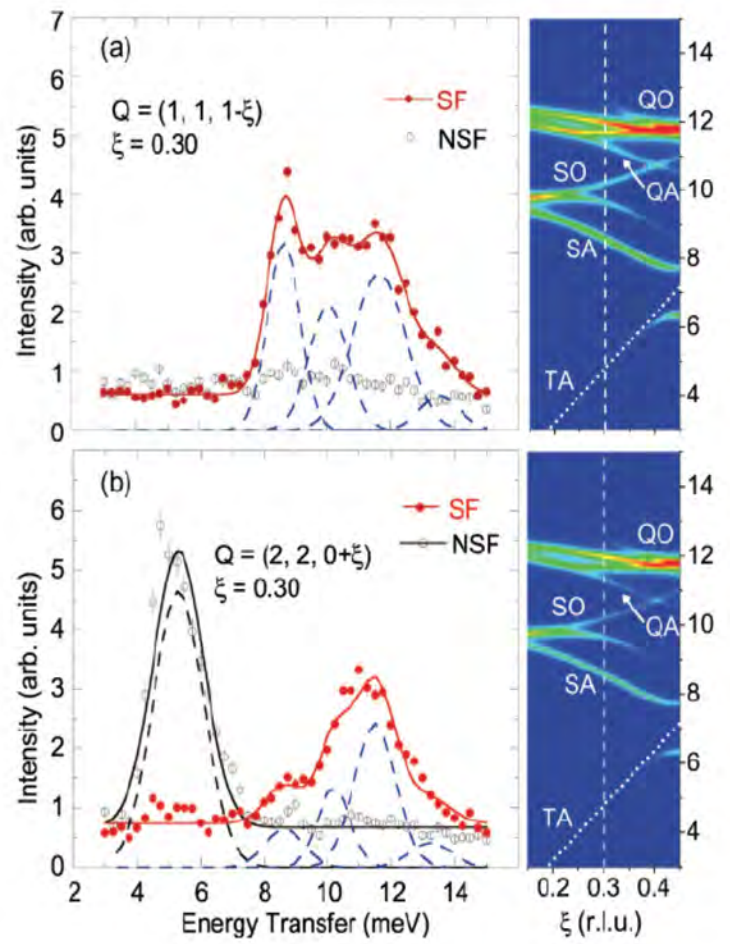
$(6, 0, 0) + (0, q, 0)$

Photons/Monitor (arb. Units)



Quadrupolar modes are visible in the INS spectra through the associated spin or vibrational fluctuations.

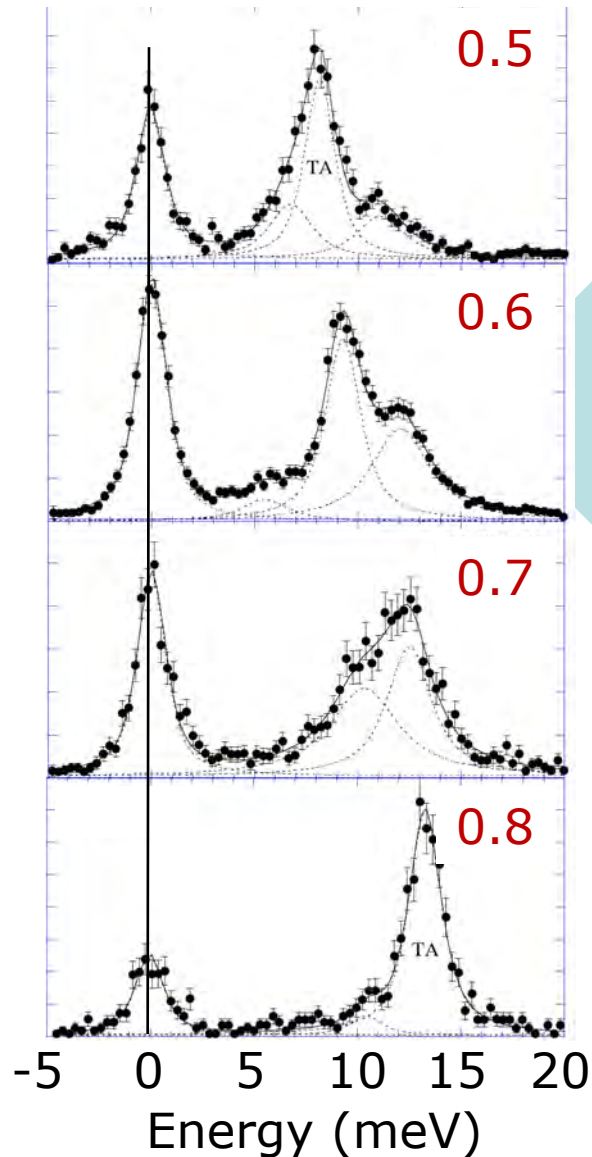
Polarized neutrons
 $q=0.3$



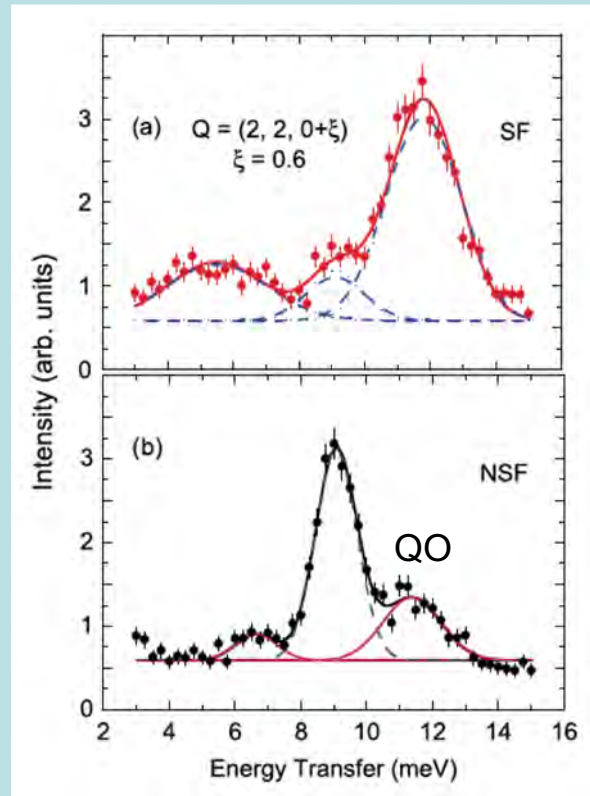
Comparison with experiments: high-q region

$(6, 0, 0) + (0, q, 0)$

Photons/Monitor (arb. Units)



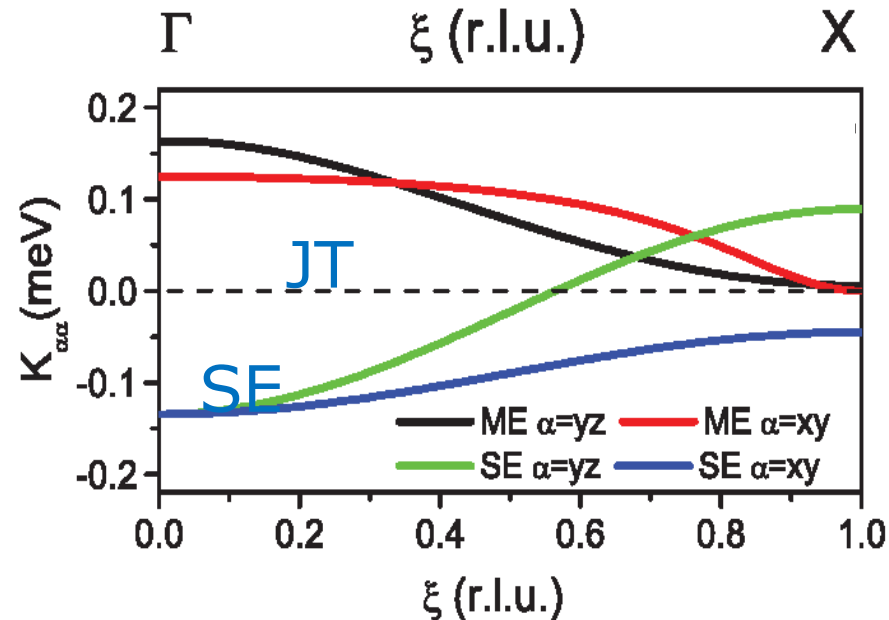
Polarized
neutrons
 $q=0.6$



Strong TA phonon mixing with QO and SO branches

Jahn-Teller vs. superexchange quadrupole interactions

Data on quadrupolar dynamics provide information on the nature of two-ion quadrupolar couplings (superexchange vs Jahn-Teller dilemma).

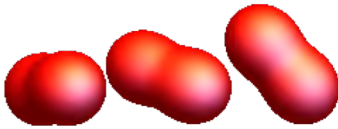
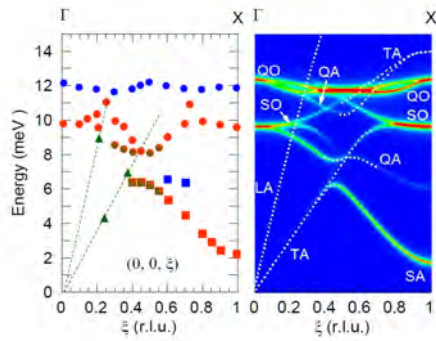


F-transform along Γ -X (q along z) of static Q-Q couplings arising from SE and JT interactions. “ $\alpha\alpha$ ” means an interaction involving a pair of yz quadrupoles etc..

At the X-point (wavevector for static Q-order) JT QQ coupling is vanishing:
static Q-order stabilized by SE quadrupolar interactions

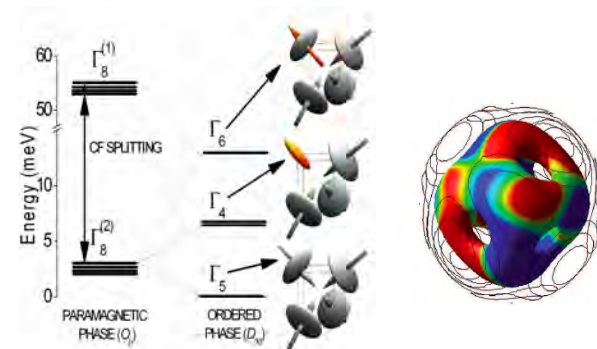
Conclusions

Mixed character of collective excitations in the ordered phase of UO_2 explained by phonon- and electron-mediated two-ions quadrupolar interactions.



Strong anti-crossing observed between TA and SO/QO modes over a wide q -range.

Quadrupolar waves can be detected by INS and IXS through the perturbation induced in the spin and vibrational dynamics.



Reduced phonon lifetimes along the (100) direction and anisotropy effects above T_N .

Phonons dispersion in NpO_2 measured by IXS.

Exotic triakontadipolar primary OP unveiled in NpO_2 by a combination of RXS and INS experiments.

Acknowledgements



G. Amoretti,



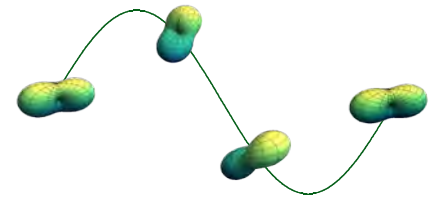
S. Carretta,



P. Santini



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P. M. Oppeneer



L.-P. Regnault



GRENOBLE



G. H. Lander