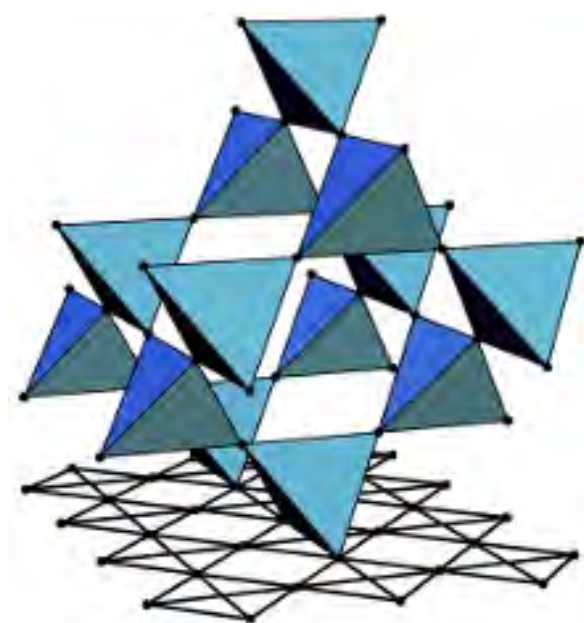


# 3D-2D equivalence of ordered states on harmonic honeycomb lattices

Lukas Janssen  
(TU Dresden)

Matthias Vojta

Wilhelm G. F. Krüger



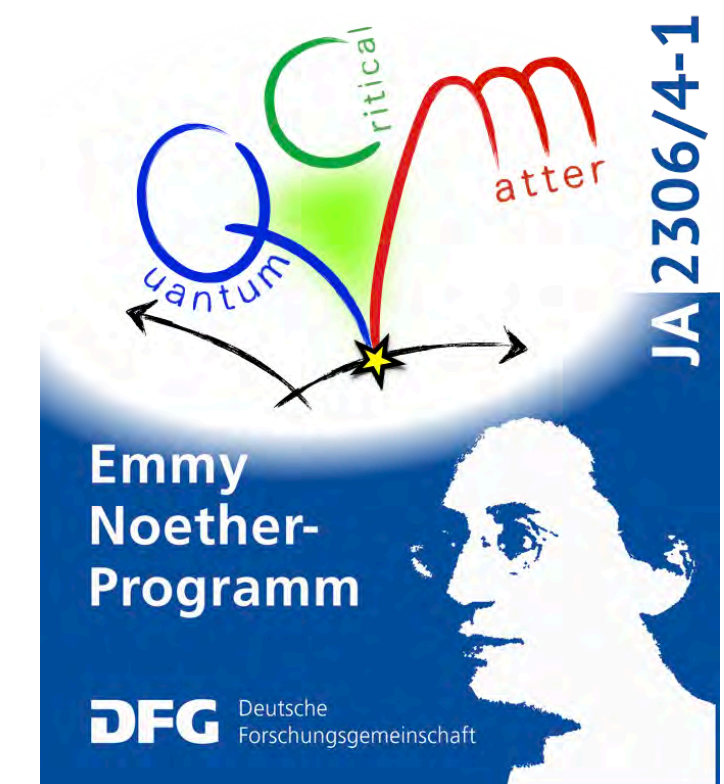
SFB 1143



**ct.qmat**

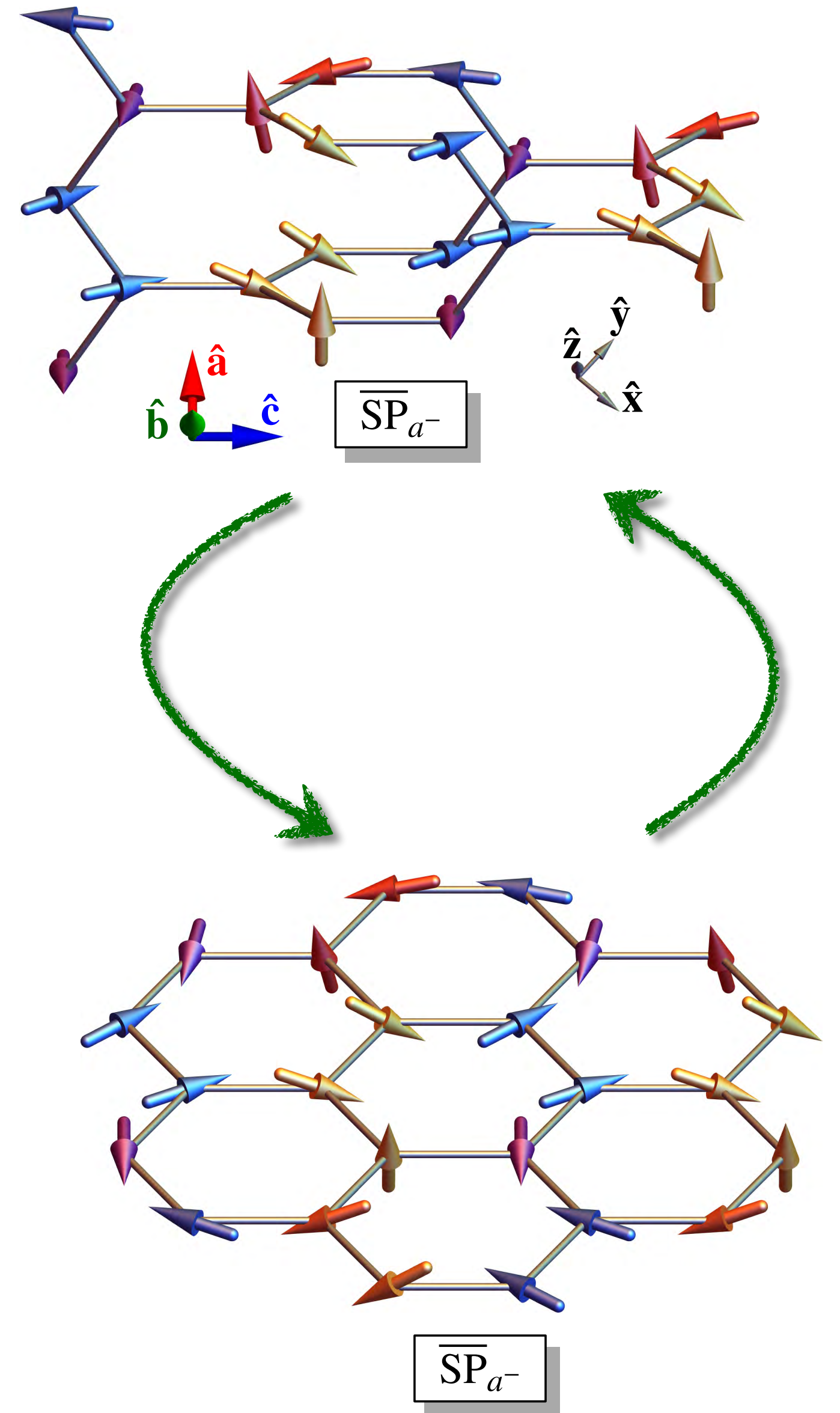
Complexity and Topology  
in Quantum Matter

Würzburg-Dresden Cluster of Excellence



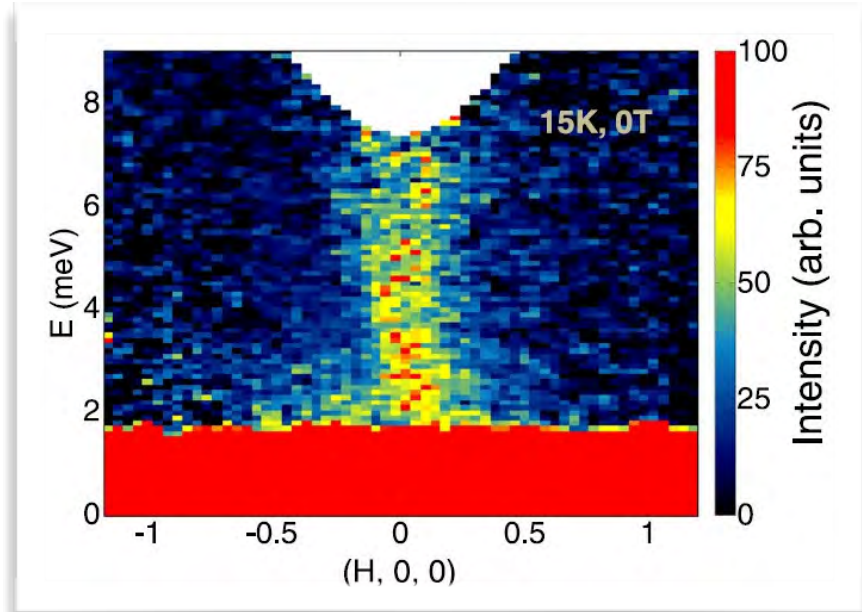
# Outline

1. Introduction
2. 3D-2D mapping
3. Heisenberg-Kitaev- $\Gamma$  models on the hyperhoneycomb lattice
4. Quantum effects
5. Conclusions

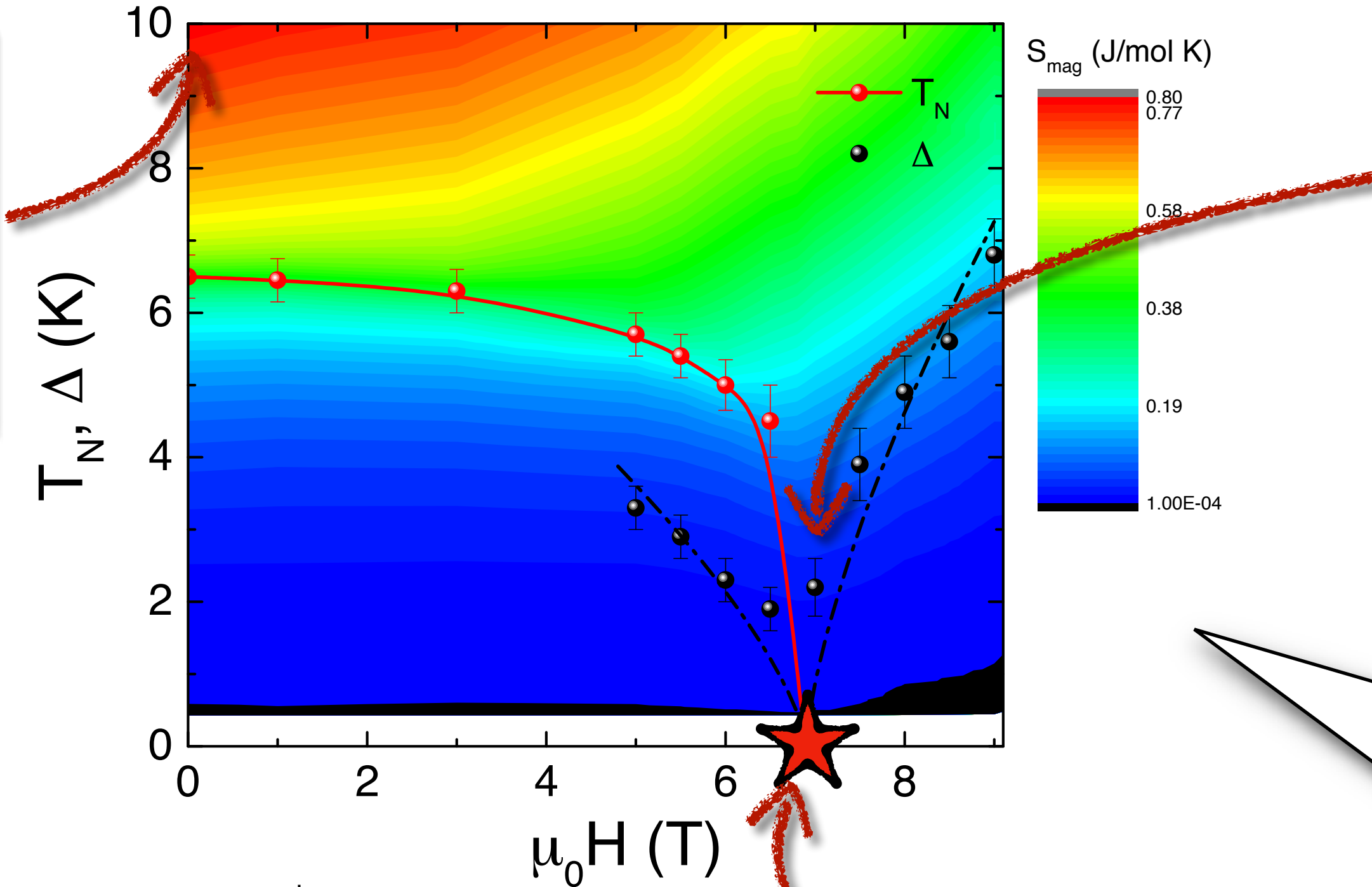




# Honeycomb Kitaev materials



[Banerjee *et al.* '16; '17]



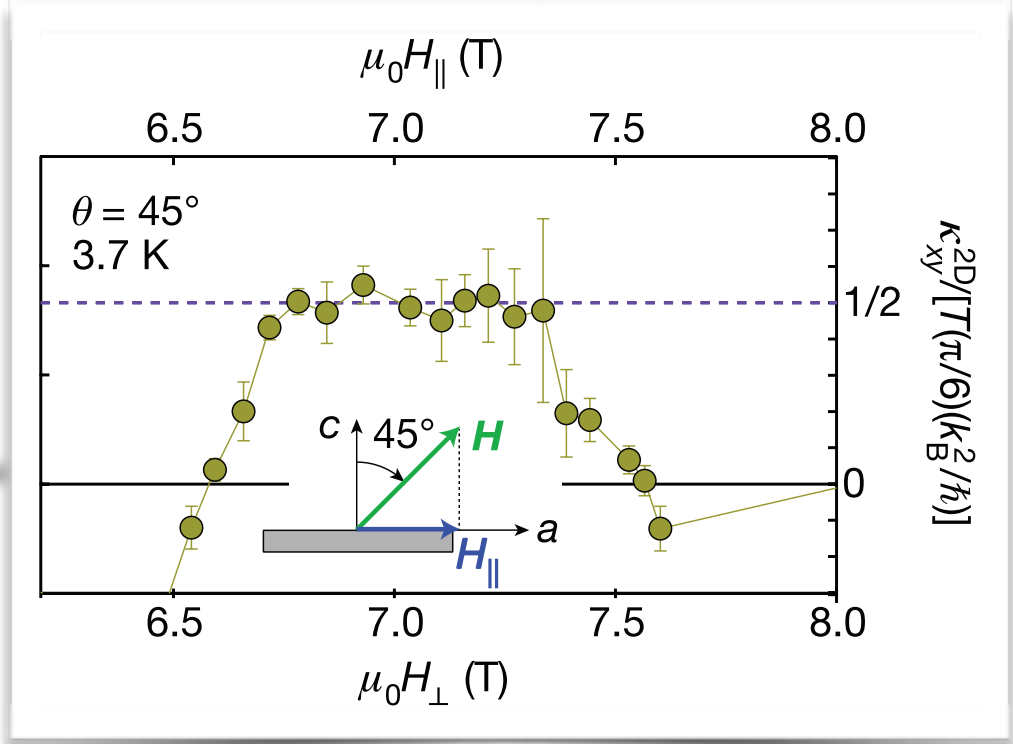
**QCP**

[Wolter, Corredor, LJ, *et al.* '17]

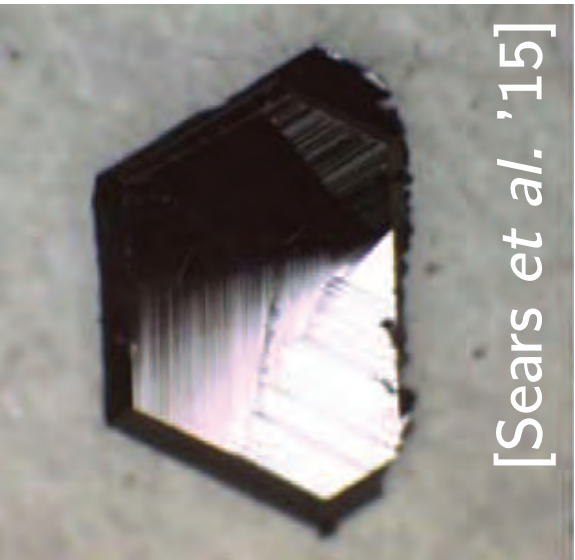
Topical Reviews: [LJ & Vojta, JPCM '19]

[Winter *et al.*, JPCM '17]

→ Talk by S. Winter (11:20 a.m.)

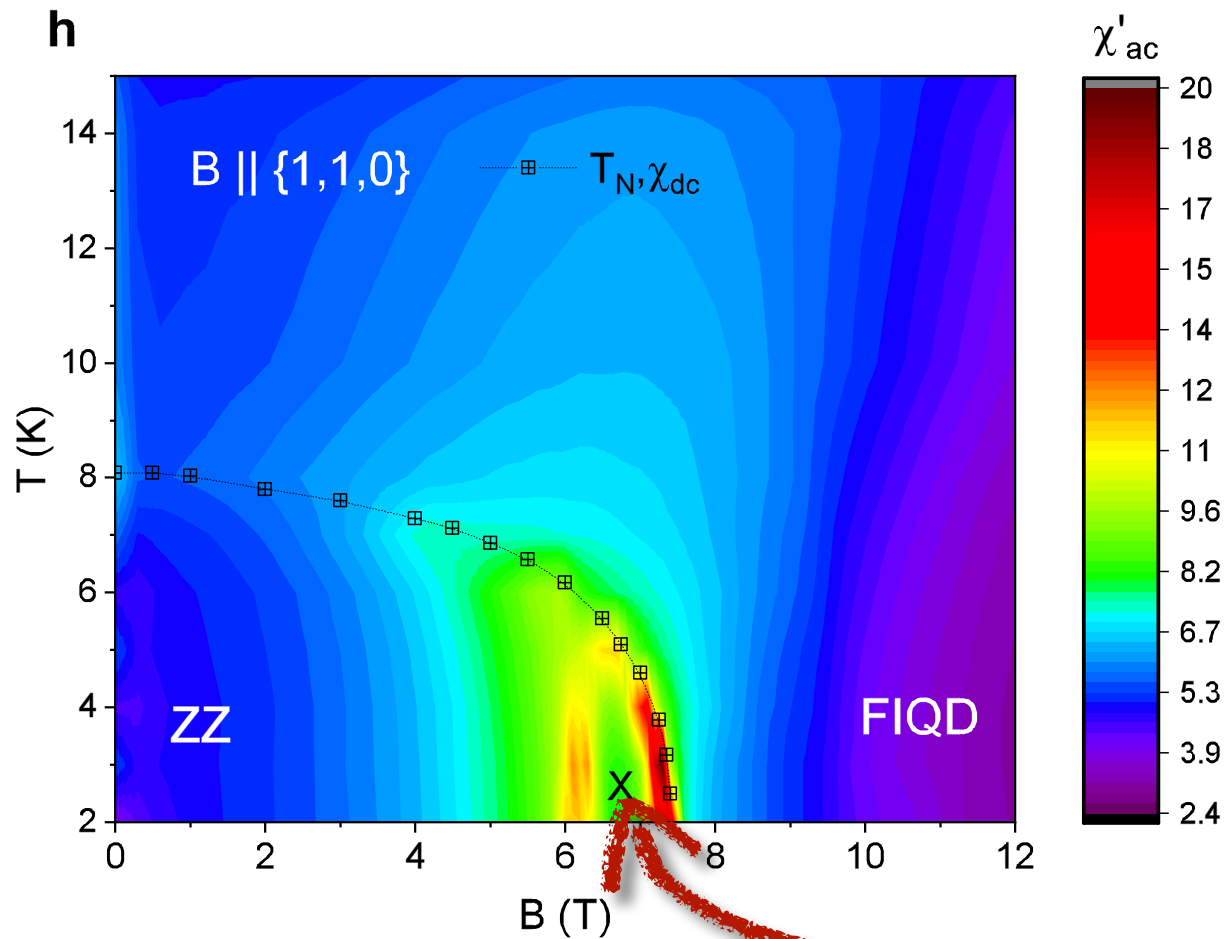


[Kasahara *et al.* '18]



[Sears *et al.* '15]

$\alpha$ -RuCl<sub>3</sub>

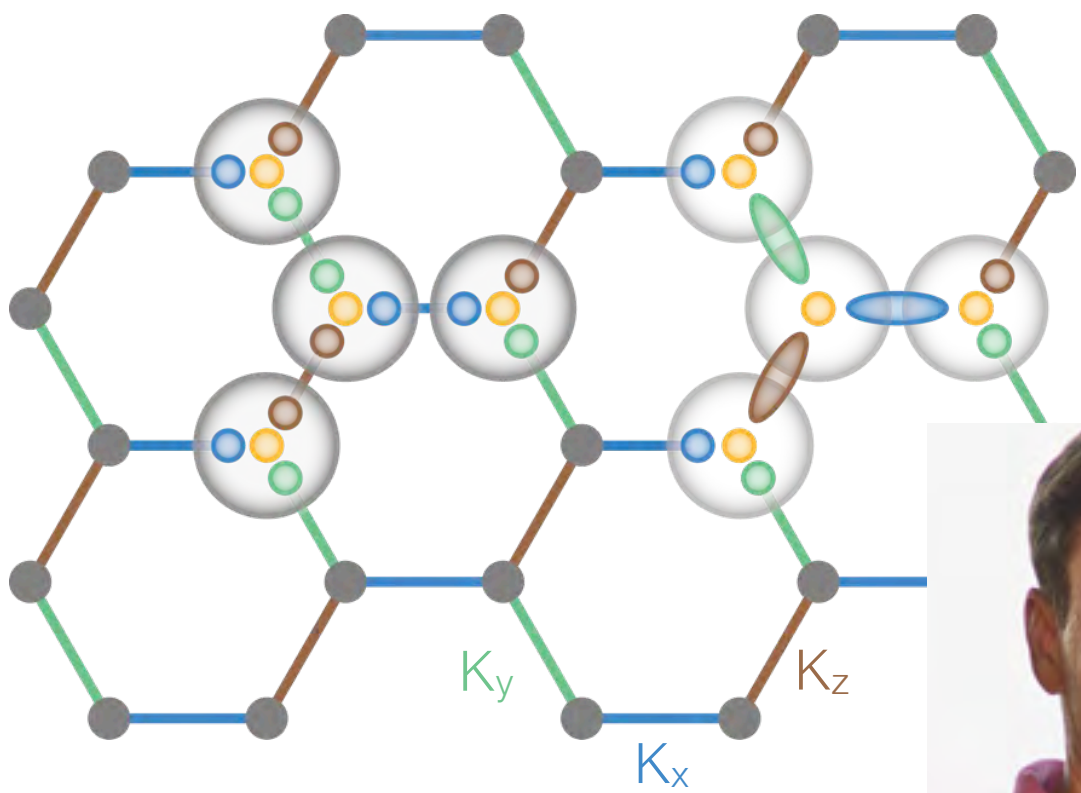


[Lampen-Kelley, LJ, *et al.* '18]

**intermediate  
ordered phase**

Kitaev honeycomb model?

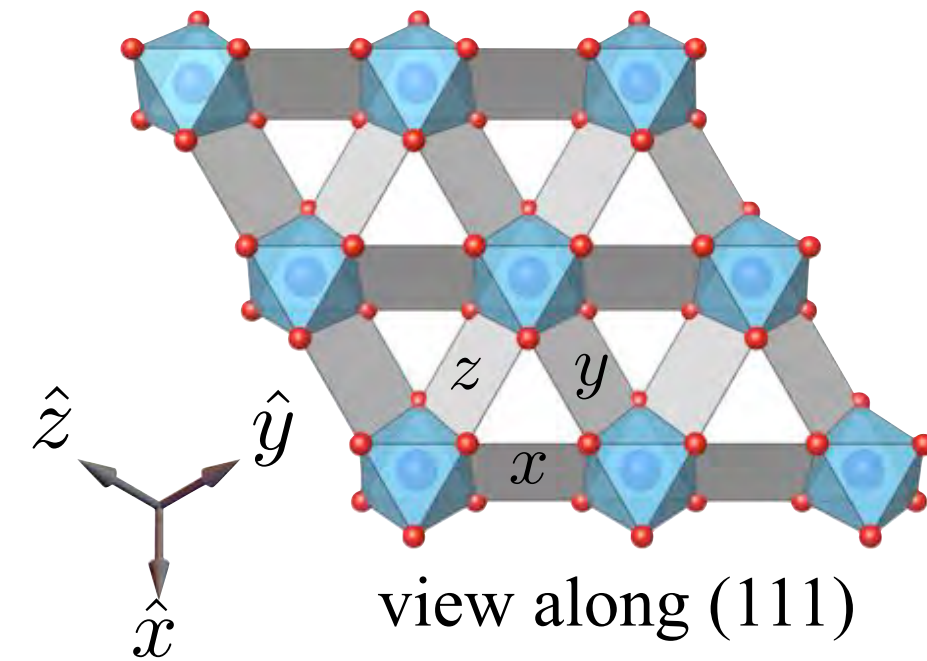
[Kitaev '06]



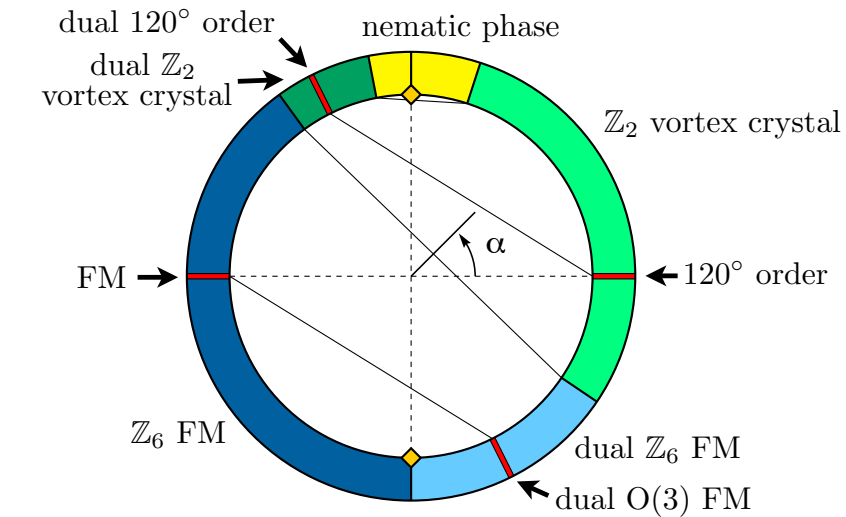


# Other lattices?

2D: triangular  
( $\text{Ba}_3\text{Ir}_x\text{Ti}_{3-x}\text{O}_9$ , ...)



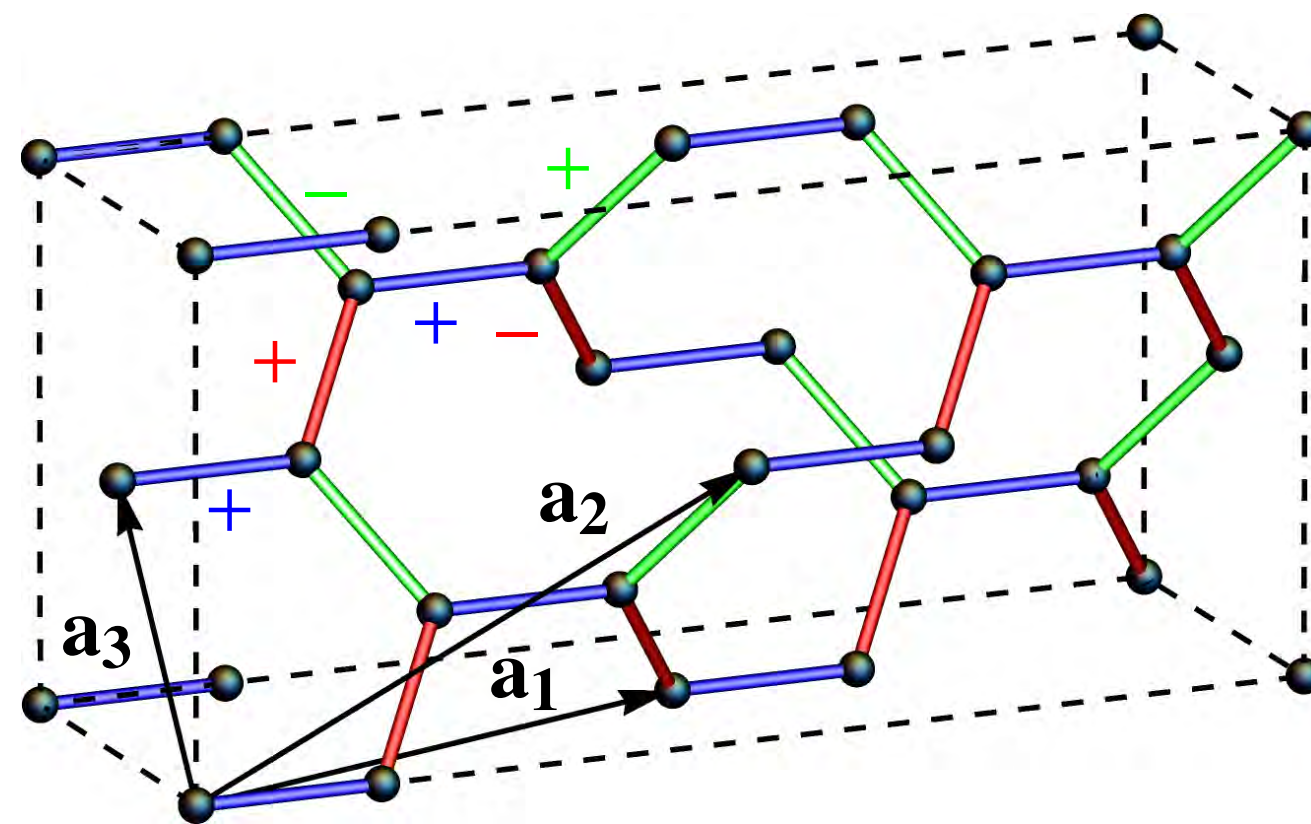
Heisenberg-Kitaev model:



... no (soluble) Kitaev limit

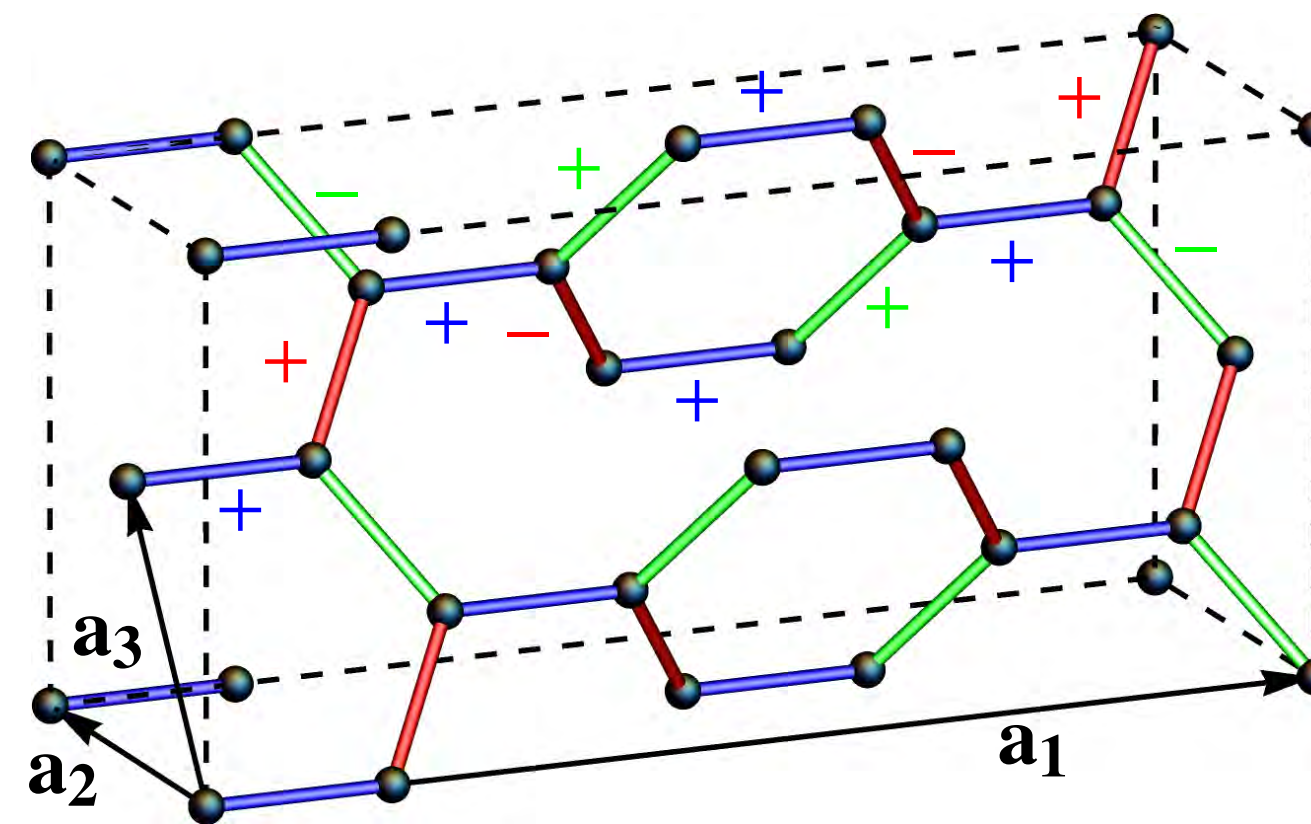
[Becker *et al.* '15]  
[Rousochatzakis *et al.* '16]  
Review: [Trebst '17]

3D: (a) hyperhoneycomb  $\mathcal{H}\langle 0 \rangle$



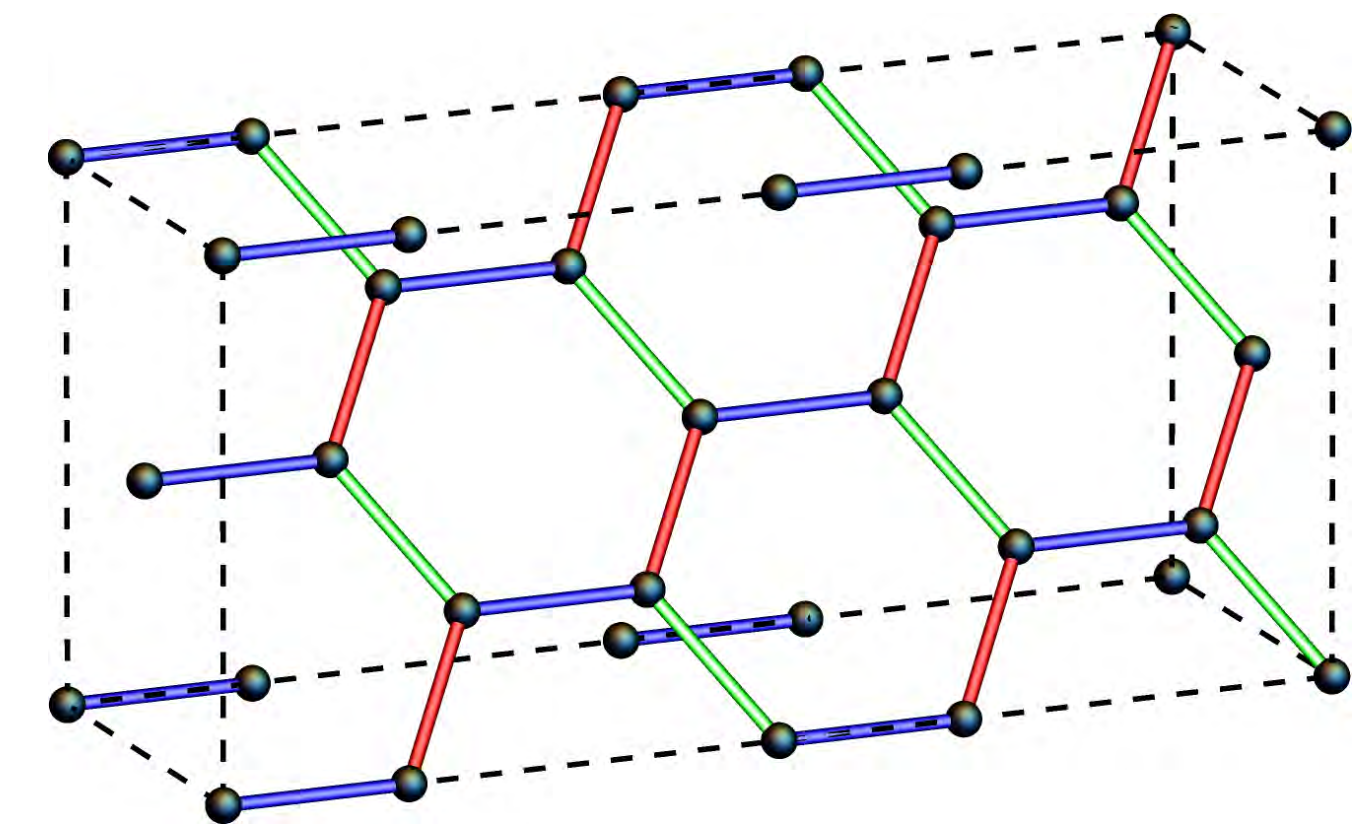
$\beta\text{-Li}_2\text{IrO}_3$ , ...

(b) stripyhoneycomb  $\mathcal{H}\langle 1 \rangle$



$\gamma\text{-Li}_2\text{IrO}_3$ , ...

(c) honeycomb  $\mathcal{H}\langle \infty \rangle$



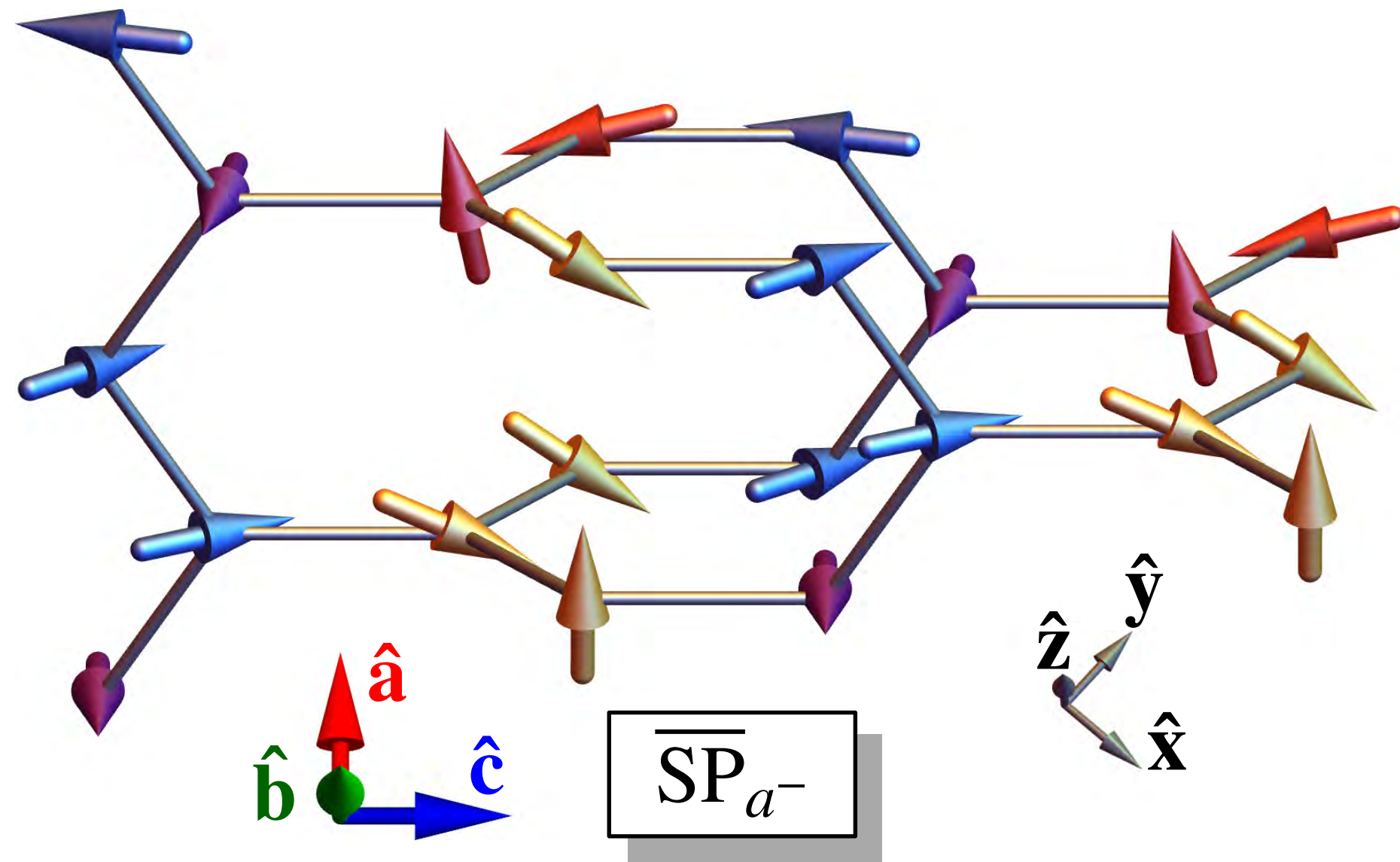
$\alpha\text{-Li}_2\text{IrO}_3$ ,  $\alpha\text{-RuCl}_3$ , ...

[Modic *et al.*, '14]  
[Kimchi *et al.* '14]



# $\text{Li}_2\text{IrO}_3$ : Magnetic order

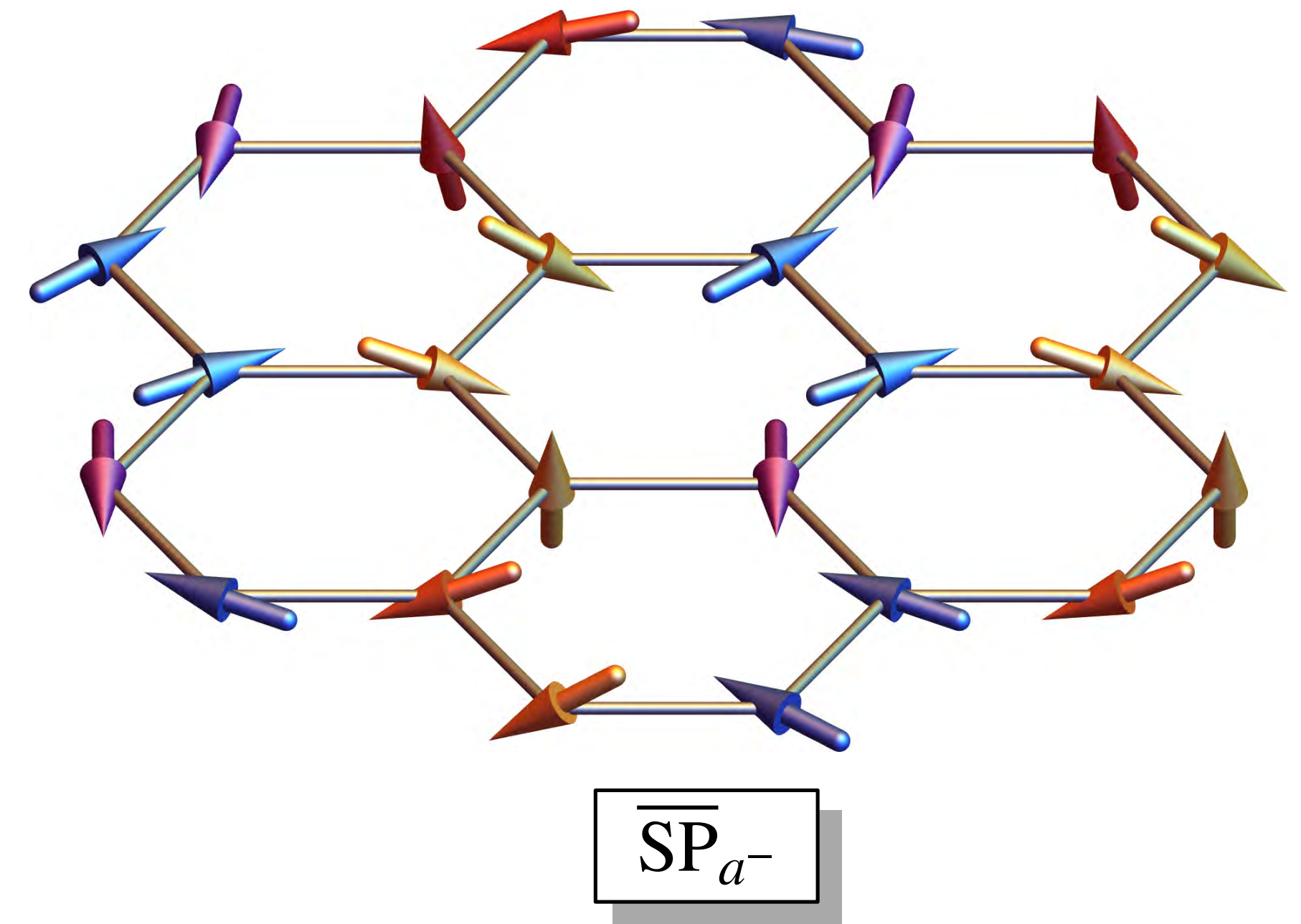
$\beta\text{-Li}_2\text{IrO}_3$



incommensurate spiral

[Biffin *et al.* '14]

$\alpha\text{-Li}_2\text{IrO}_3$



incommensurate spiral

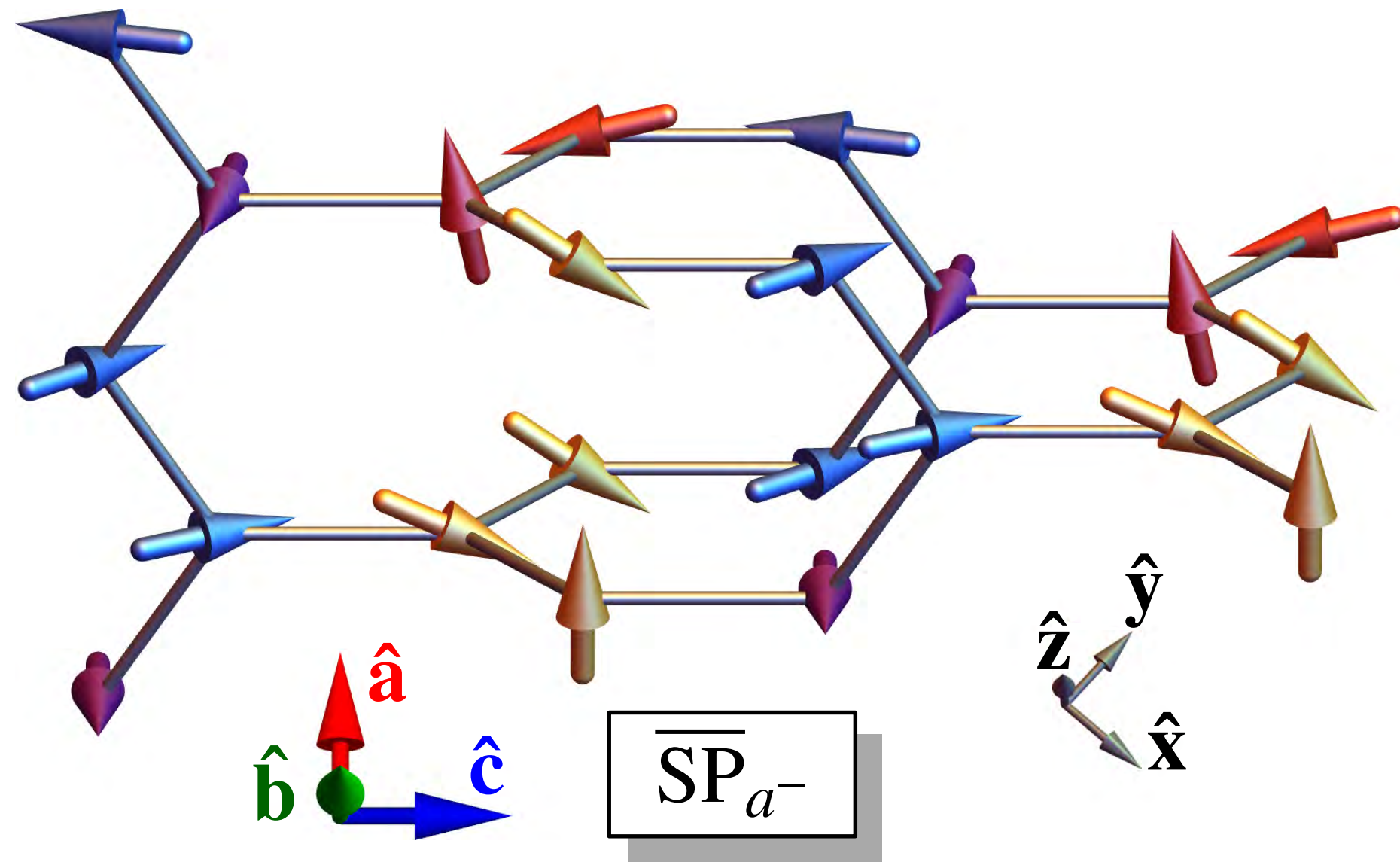
[Williams *et al.* '16]

... and  $\gamma\text{-Li}_2\text{IrO}_3$  as well

[Modic *et al.* '14]

# $\text{Li}_2\text{IrO}_3$ : Magnetic order

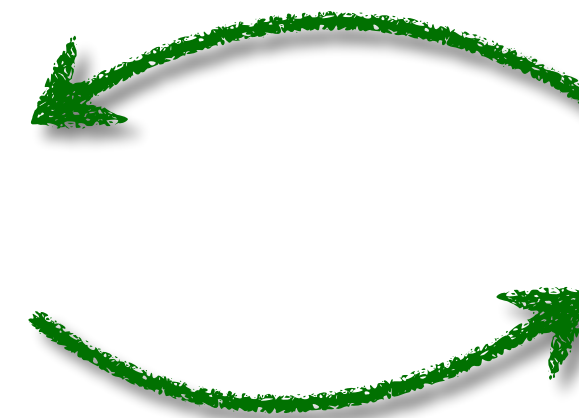
$\beta\text{-Li}_2\text{IrO}_3$



incommensurate spiral

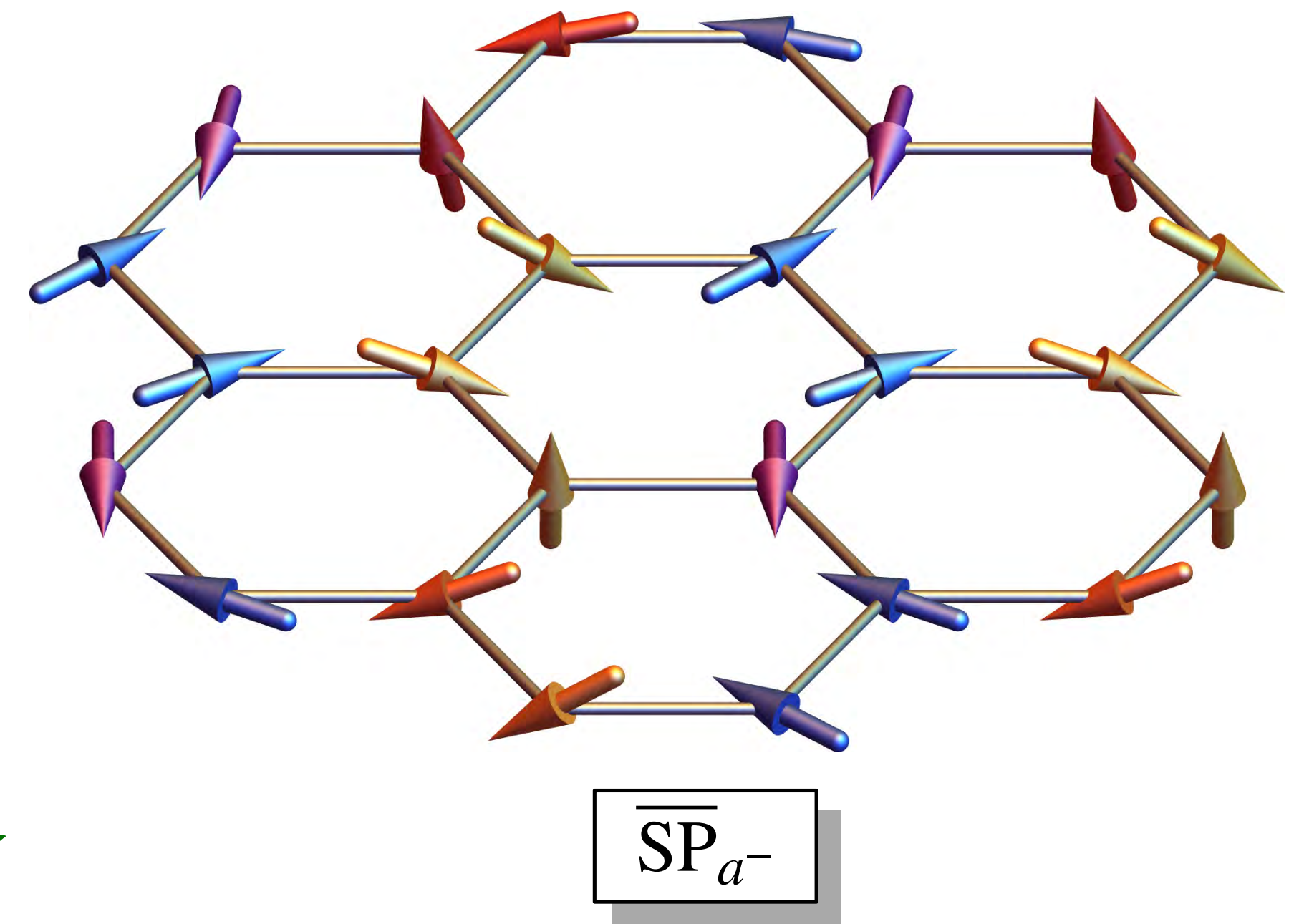
[Biffin *et al.* '14]

3D-2D  
equivalence



Classical energies ✓  
Phase diagrams ✓  
Direction of moments ✓  
Magnon bands (✓)  
Quantum effects ✗

$\alpha\text{-Li}_2\text{IrO}_3$



incommensurate spiral

[Williams *et al.* '16]

Coordination number: 3

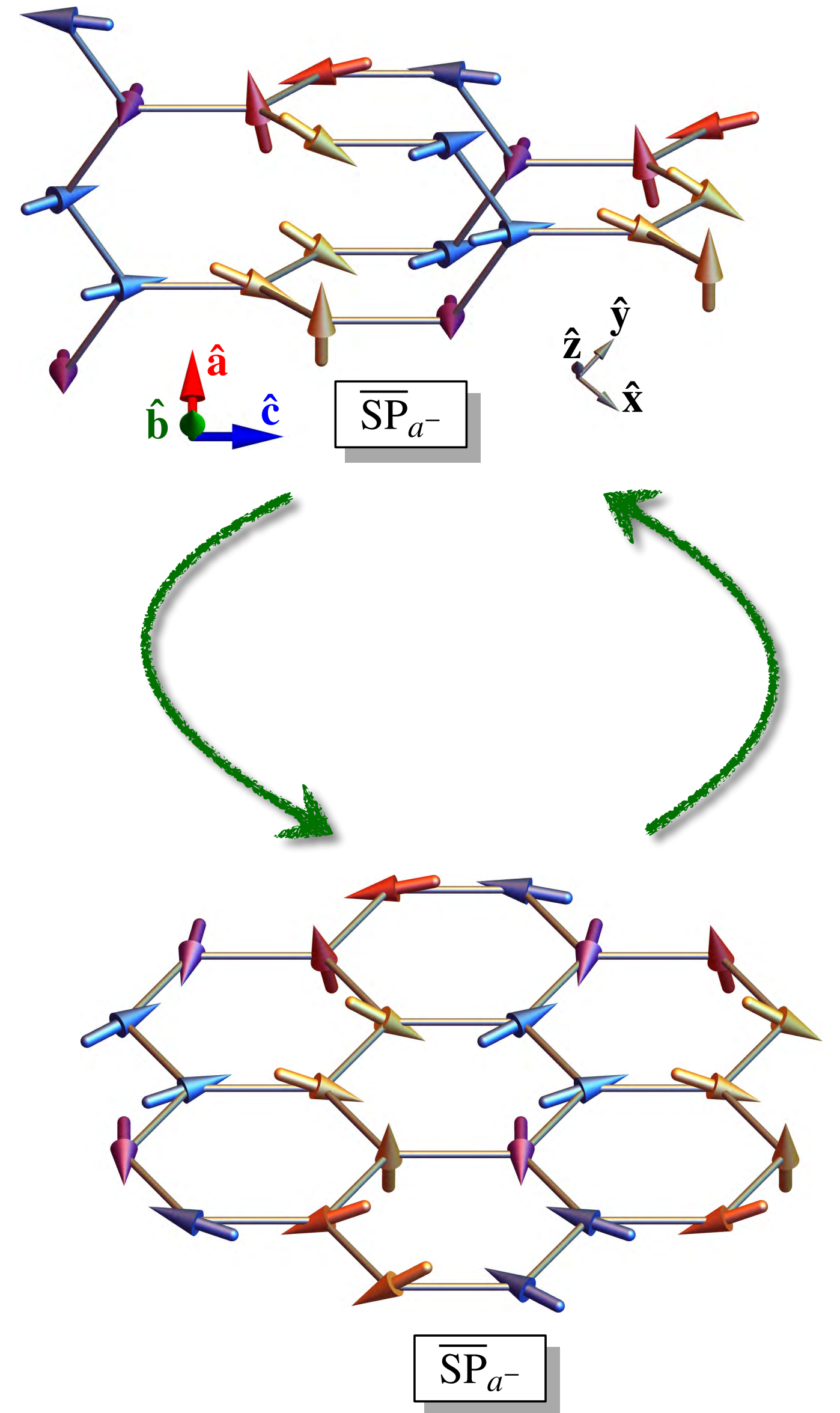
... and  $\gamma\text{-Li}_2\text{IrO}_3$  as well

[Modic *et al.* '14]

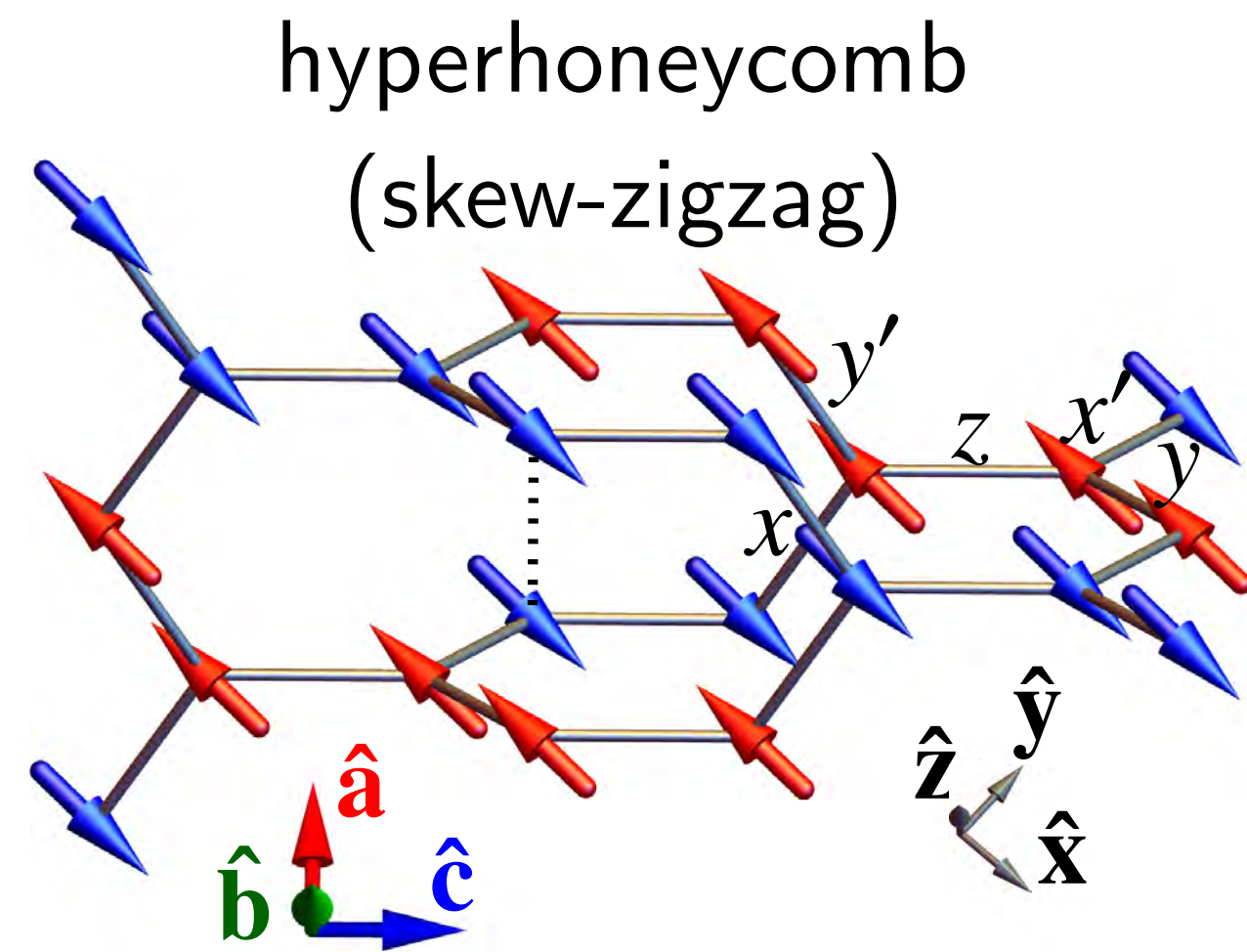


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# 3D-2D mapping: real space

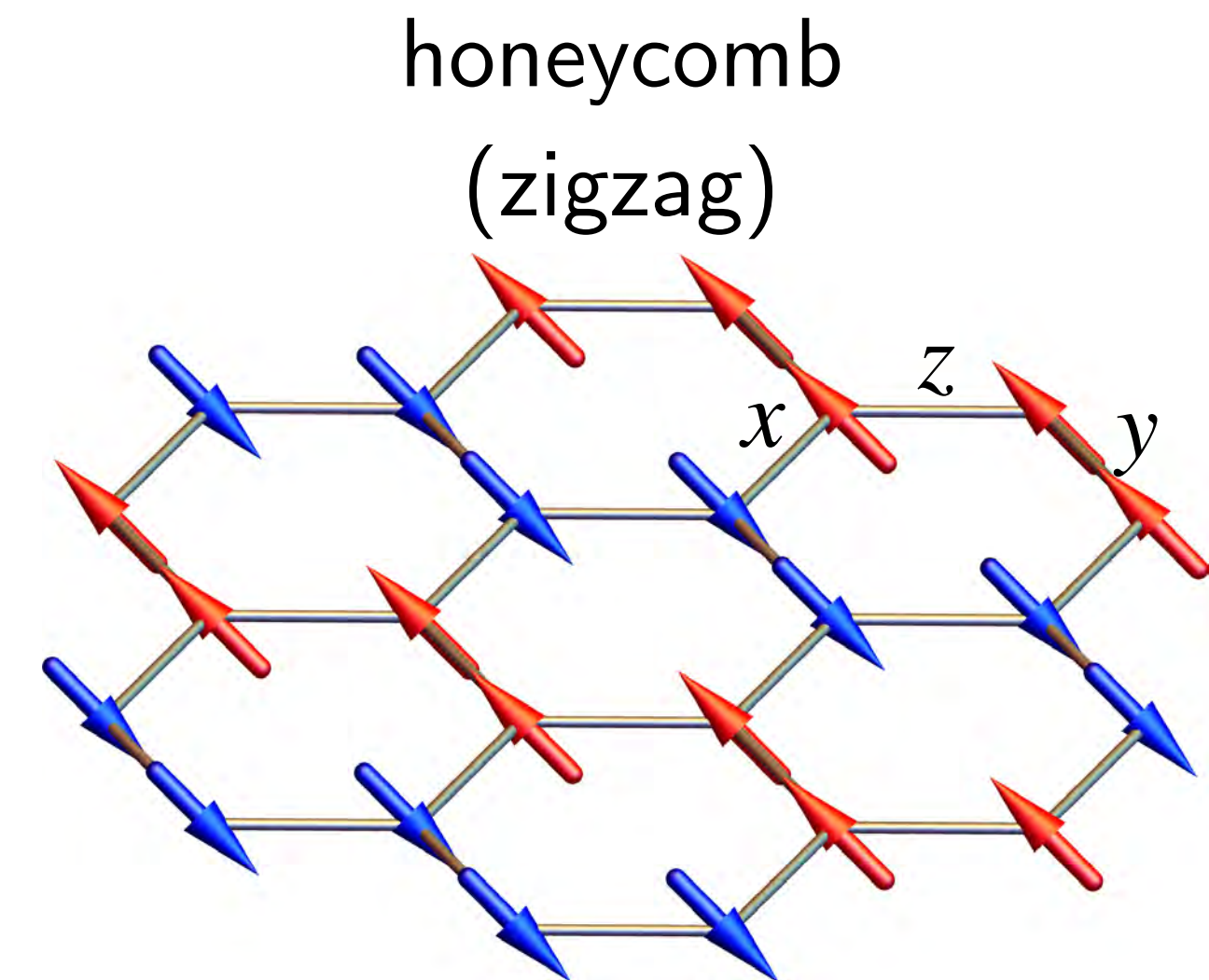
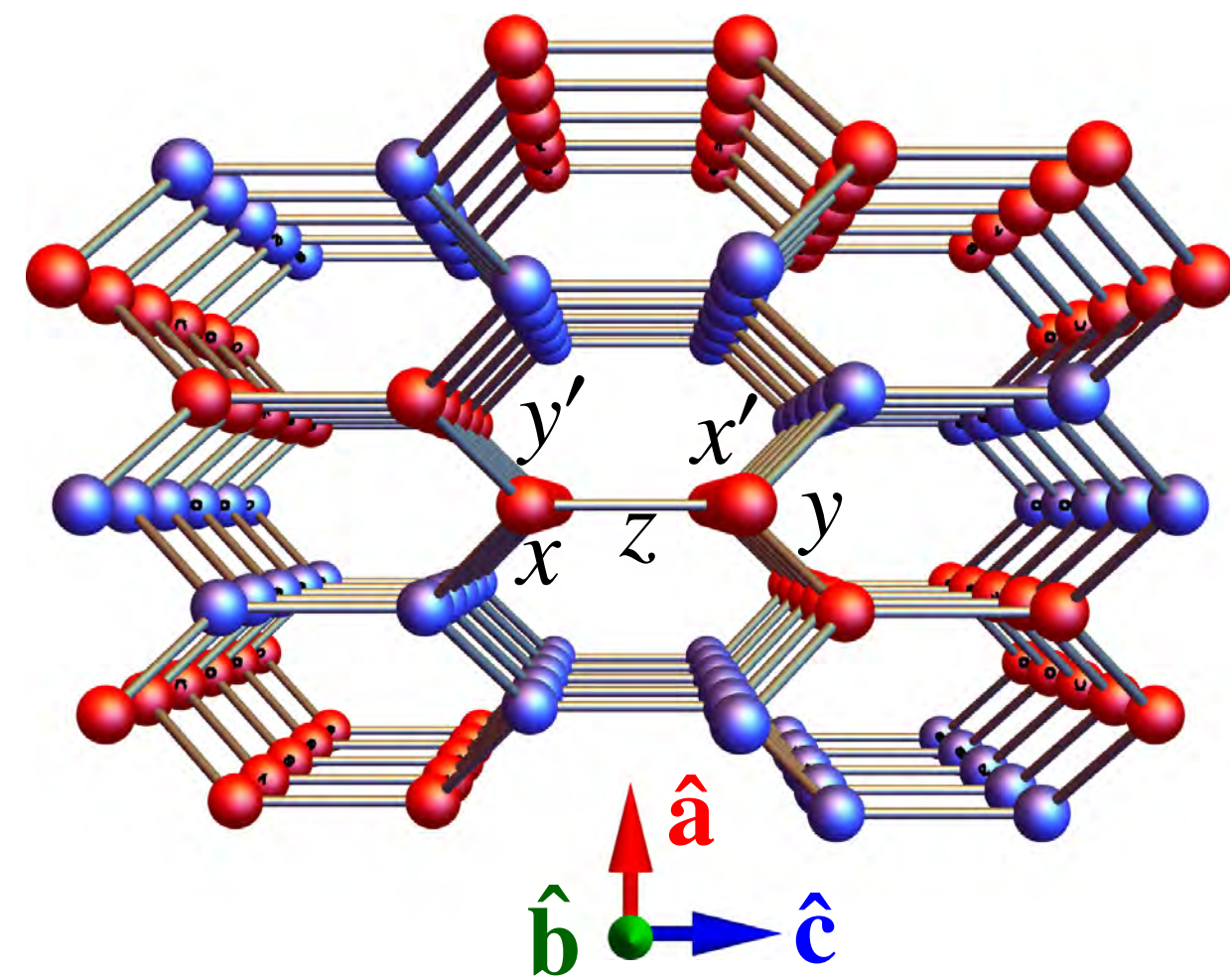
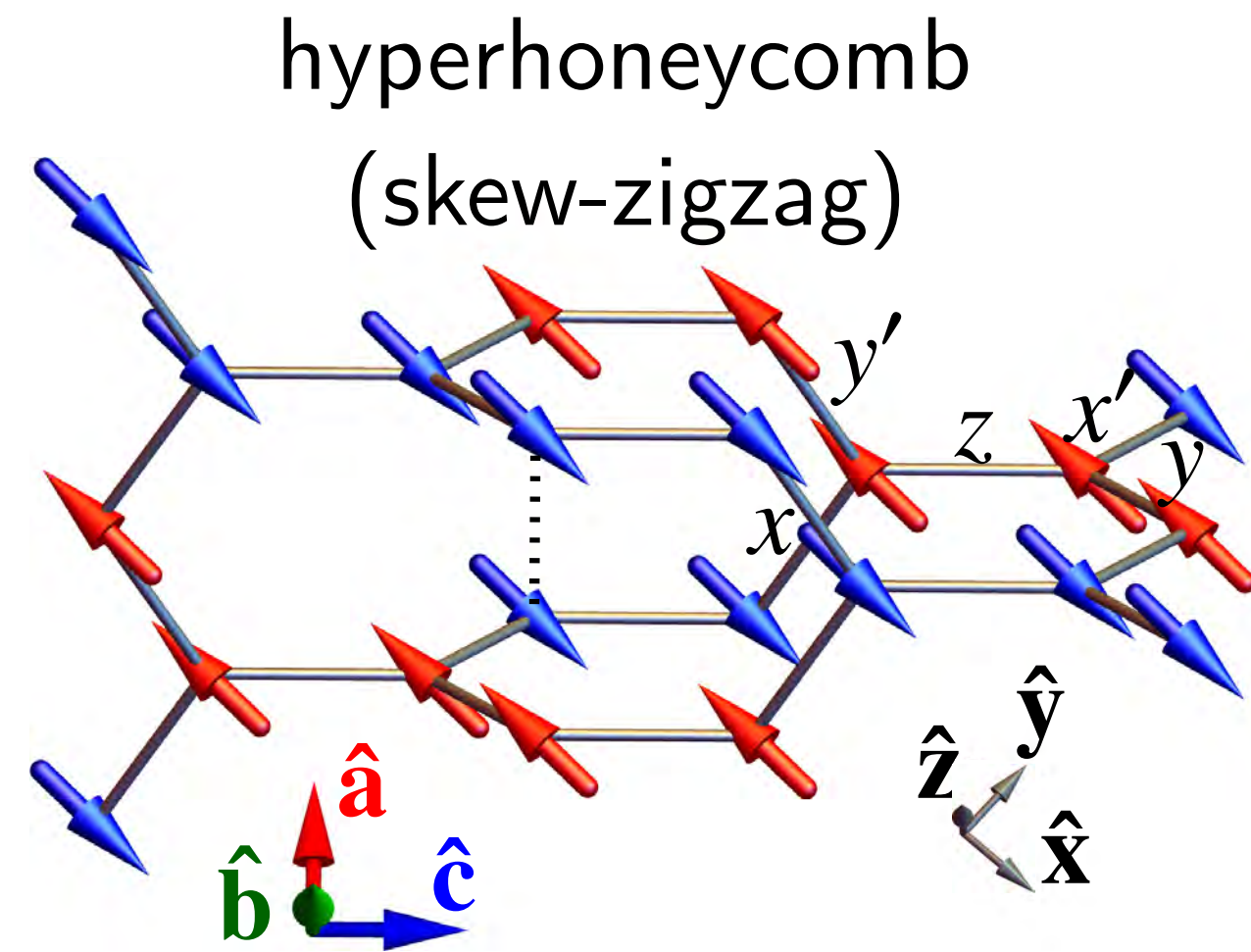


... can be induced in  $\beta\text{-Li}_2\text{IrO}_3$  by magnetic field

[Ruiz *et al.* '17]

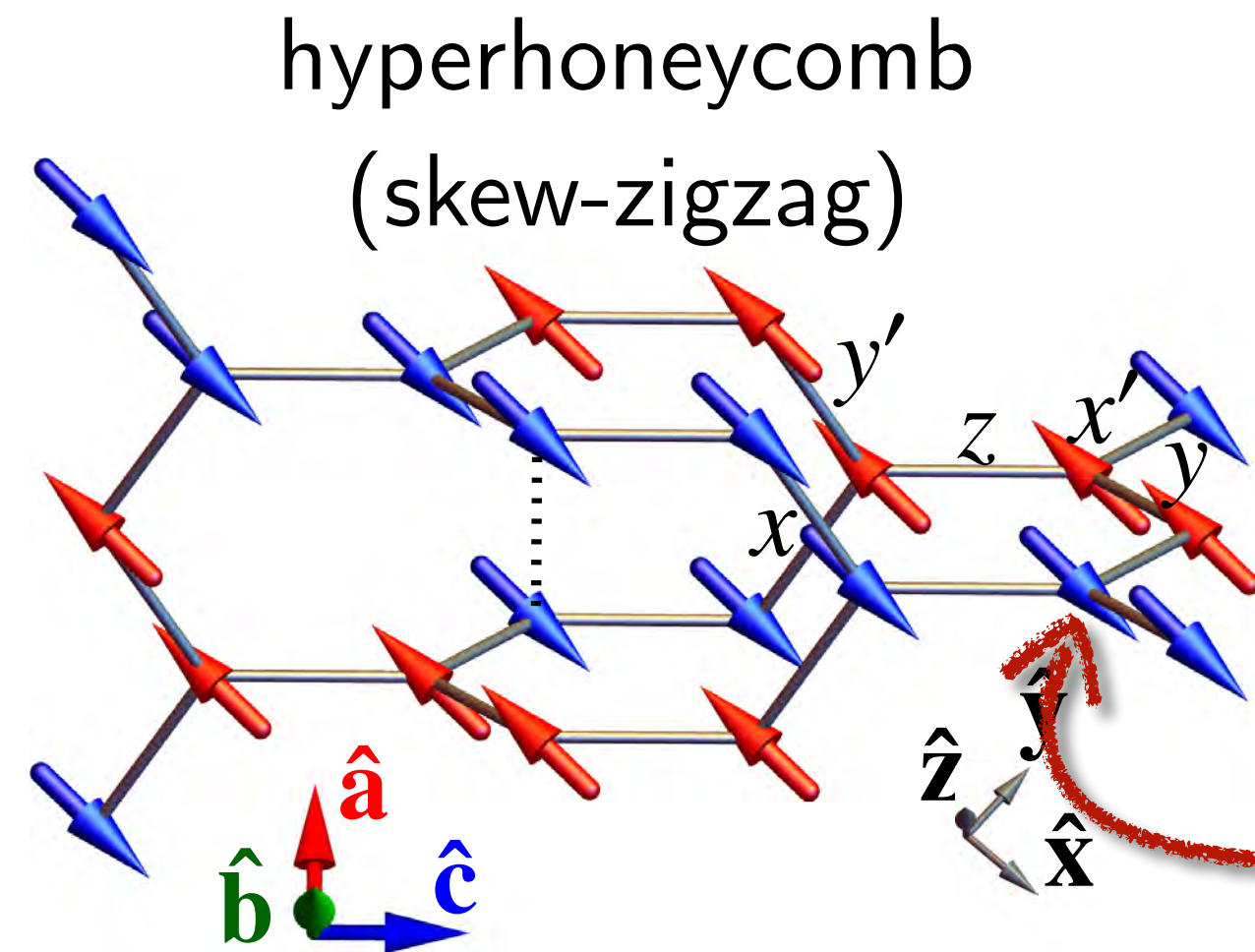


# 3D-2D mapping: real space



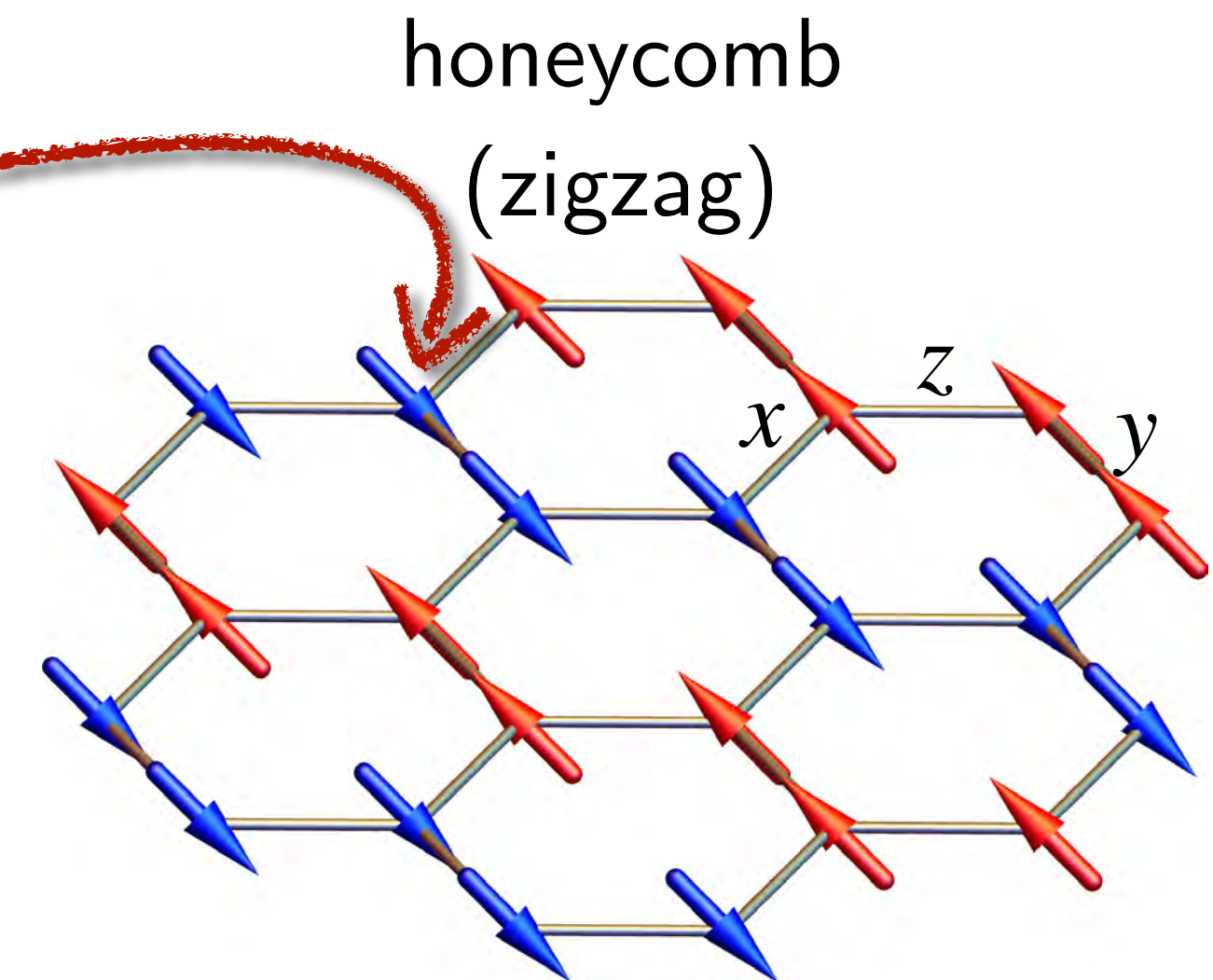
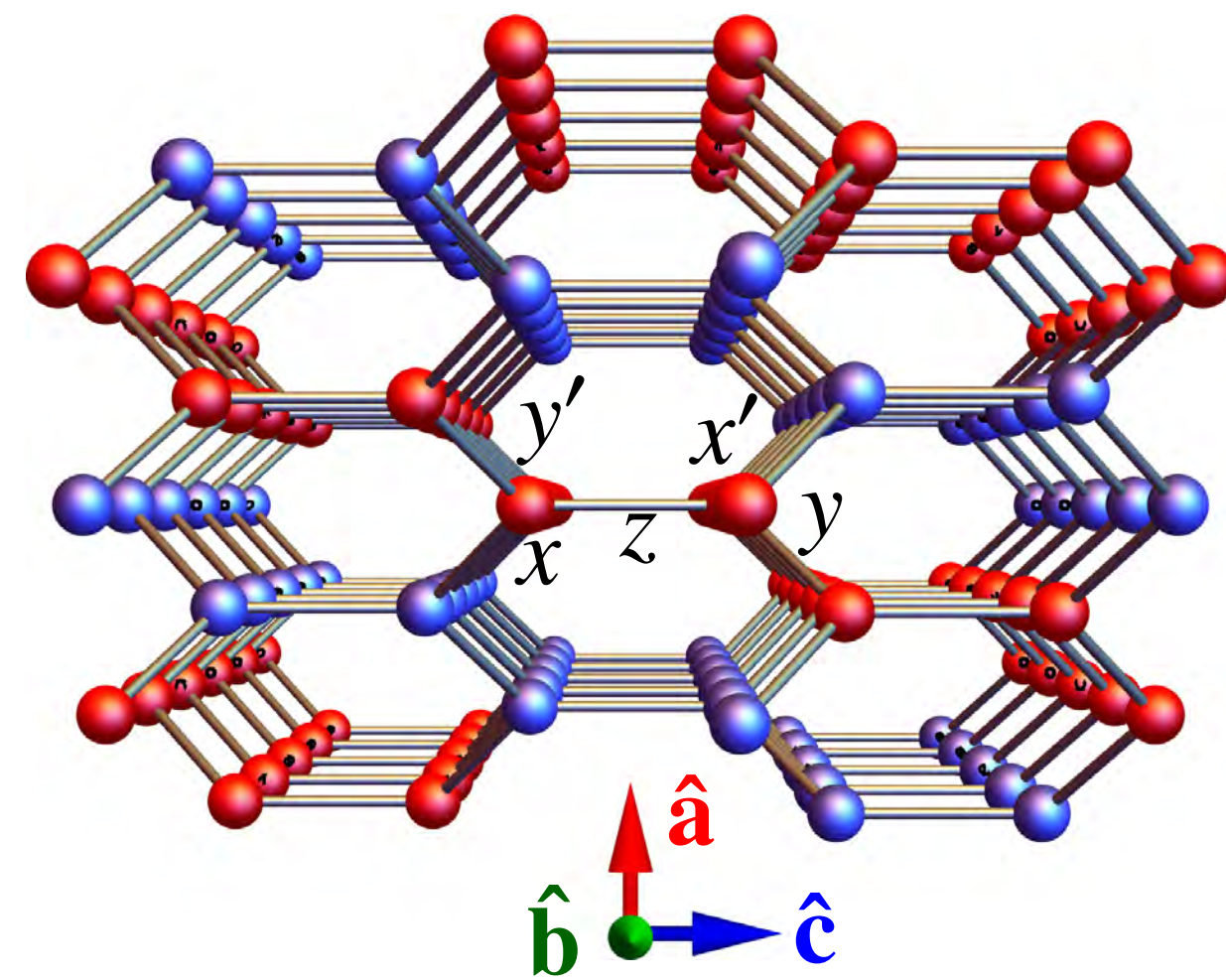


# 3D-2D mapping: real space



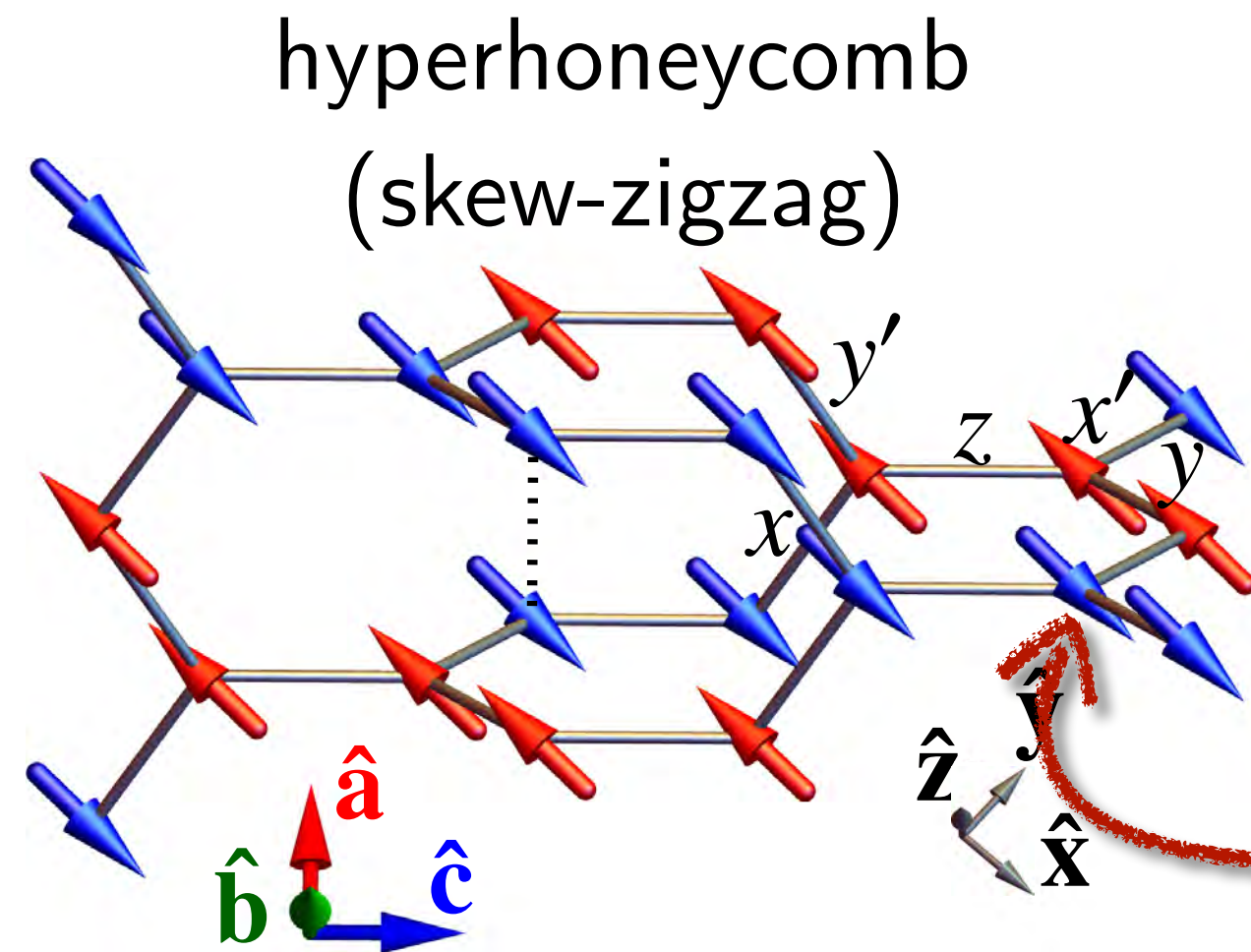
identical neighbors

$\Rightarrow$  identical classical energies



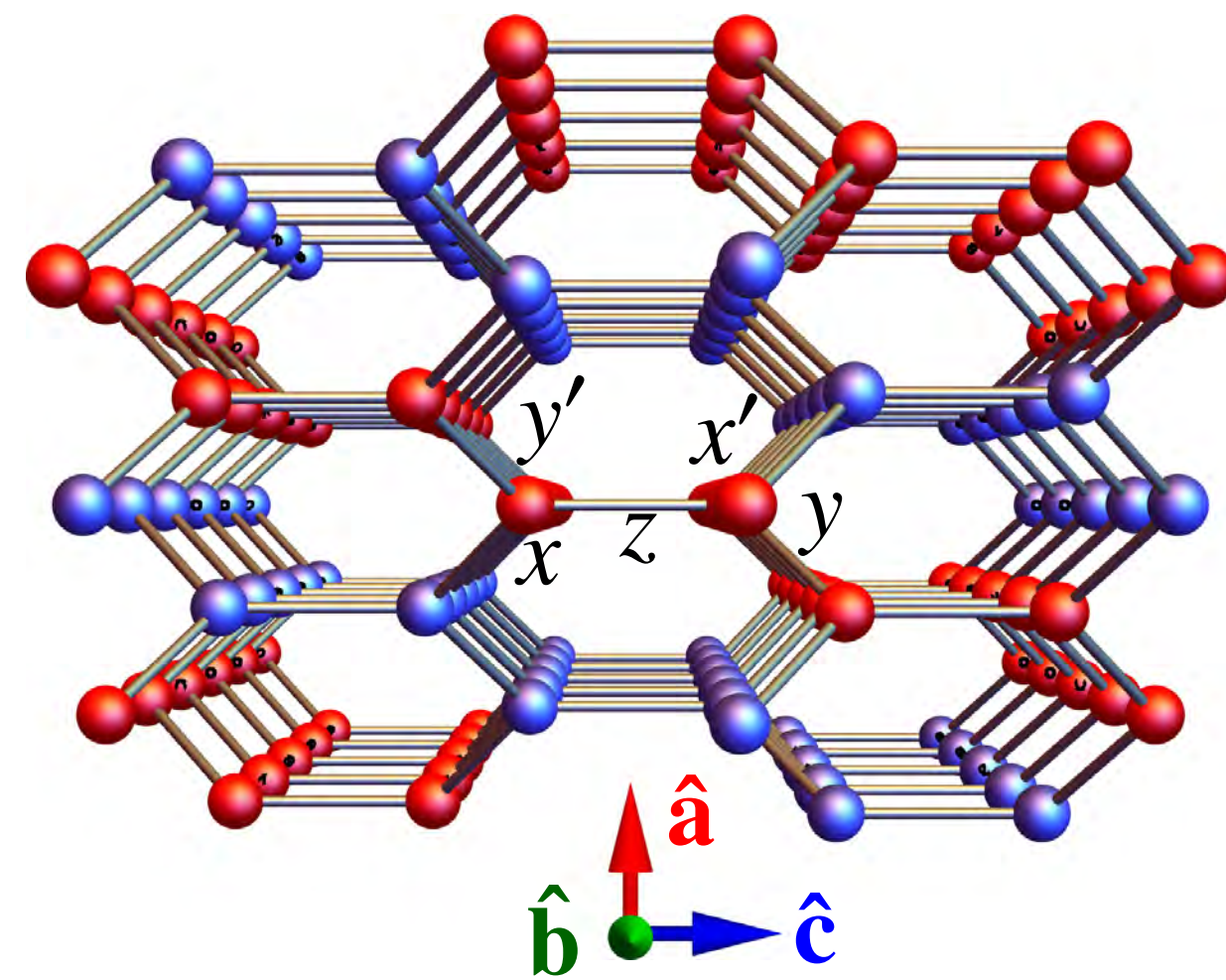
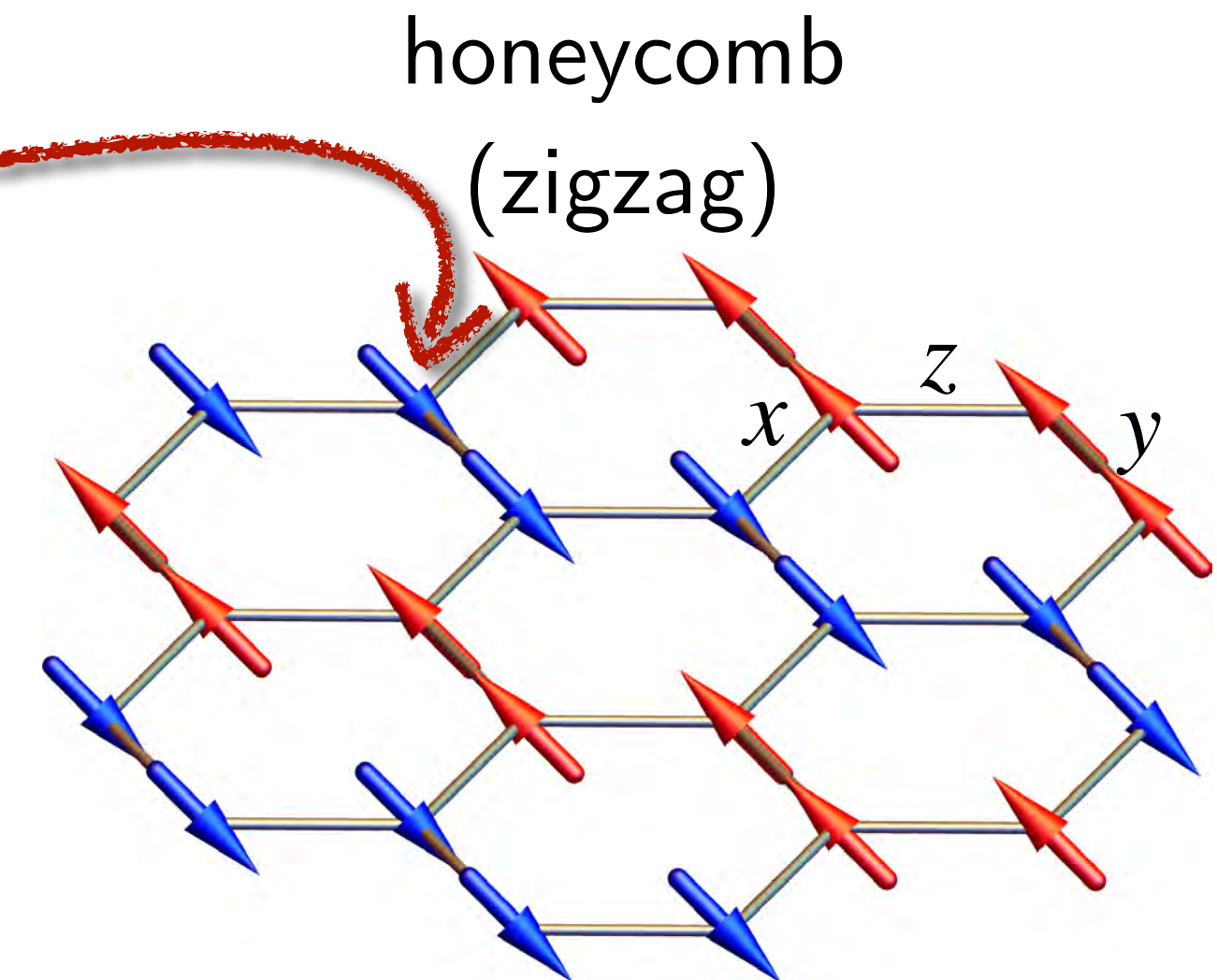


# 3D-2D mapping: real space



identical neighbors

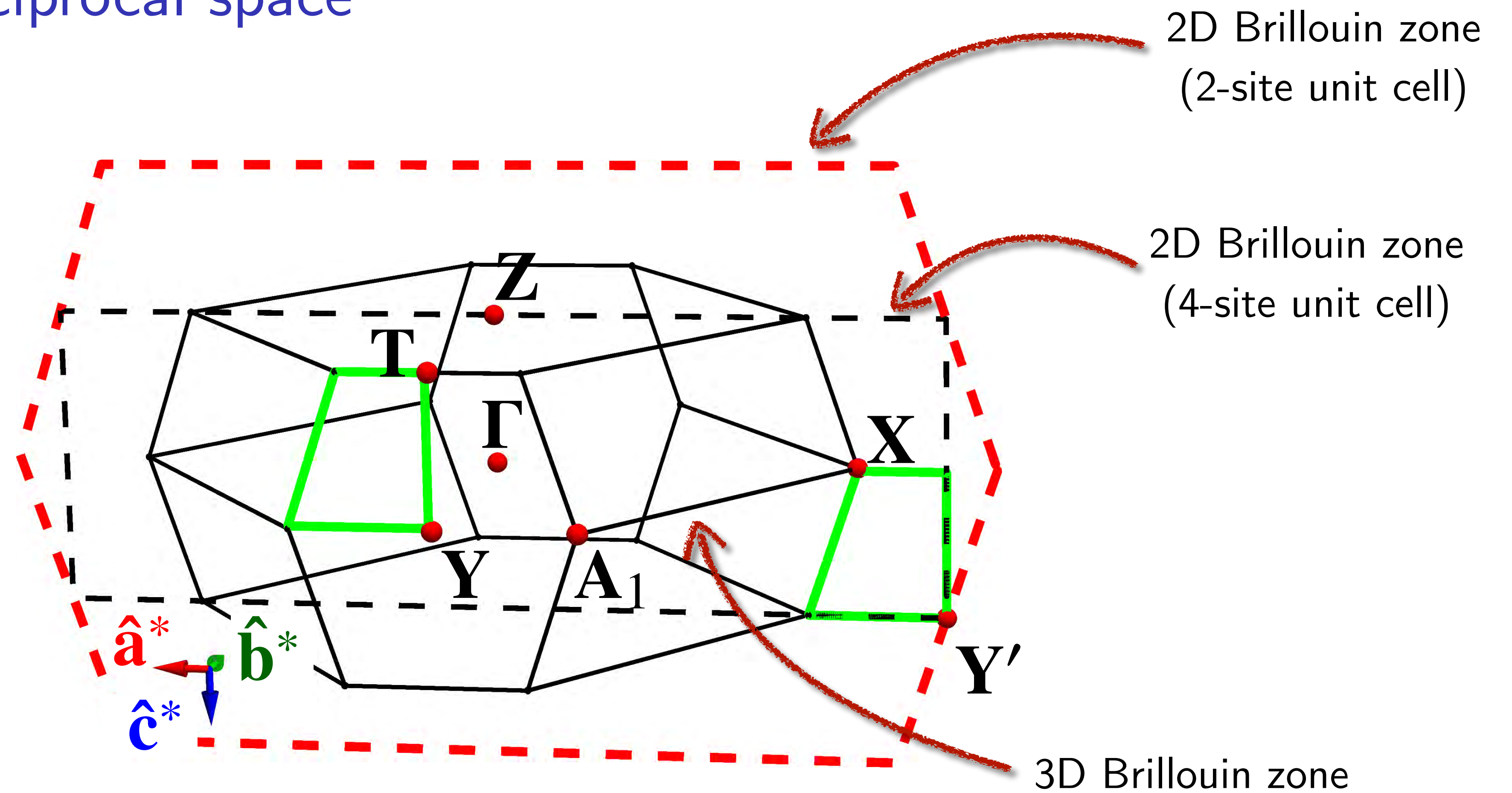
$\Rightarrow$  identical classical energies



“quasi-2D” states  $\iff$  sites separated by **b** magnetically equivalent



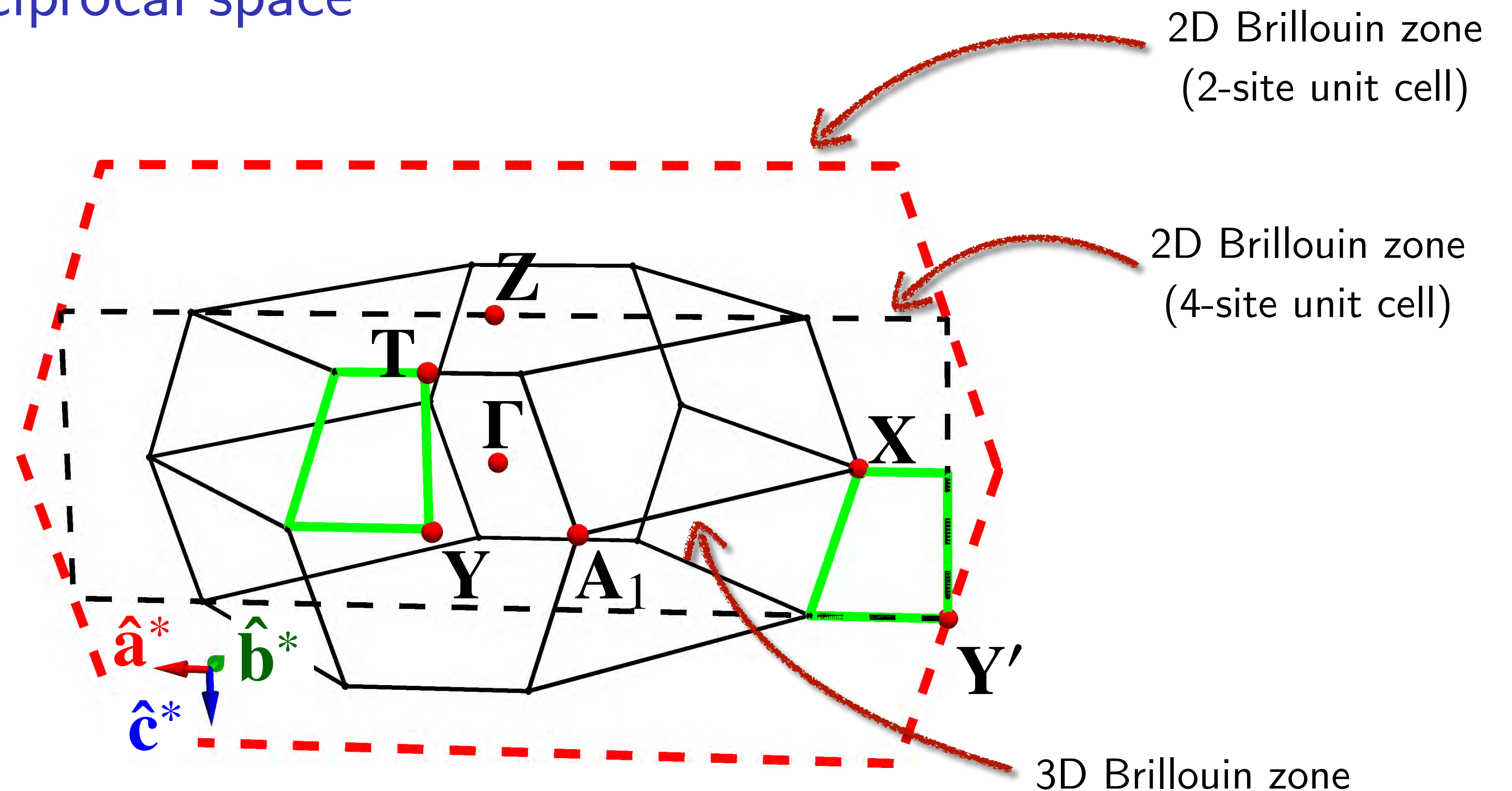
# 3D-2D mapping: reciprocal space



... applies to *all* high-symmetry points



# 3D-2D mapping: reciprocal space

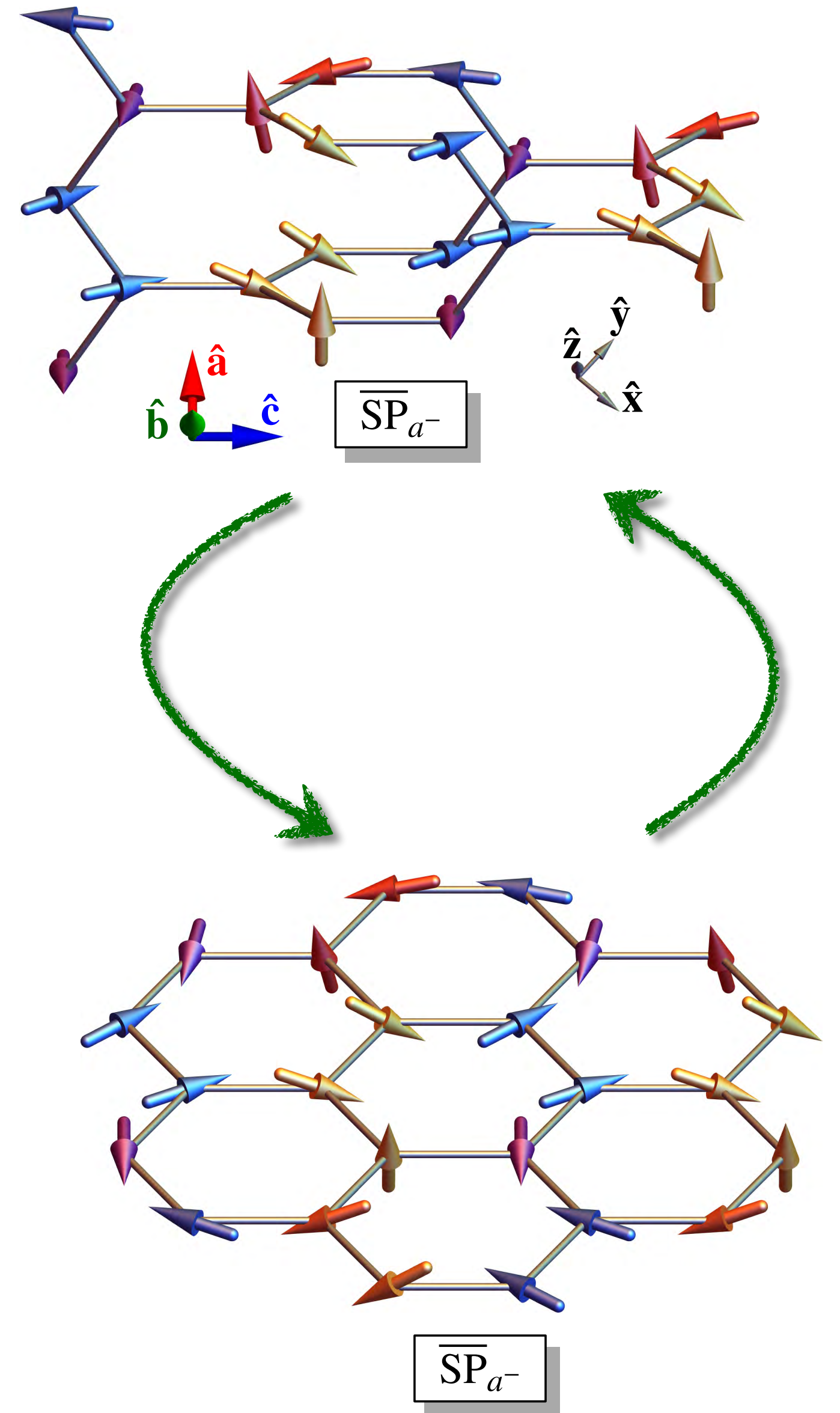


“quasi-2D” states  $\iff$  ordering wavevector in  $ac$  plane  
(modulo reciprocal lattice translations)

... applies to *all* high-symmetry points

# Outline

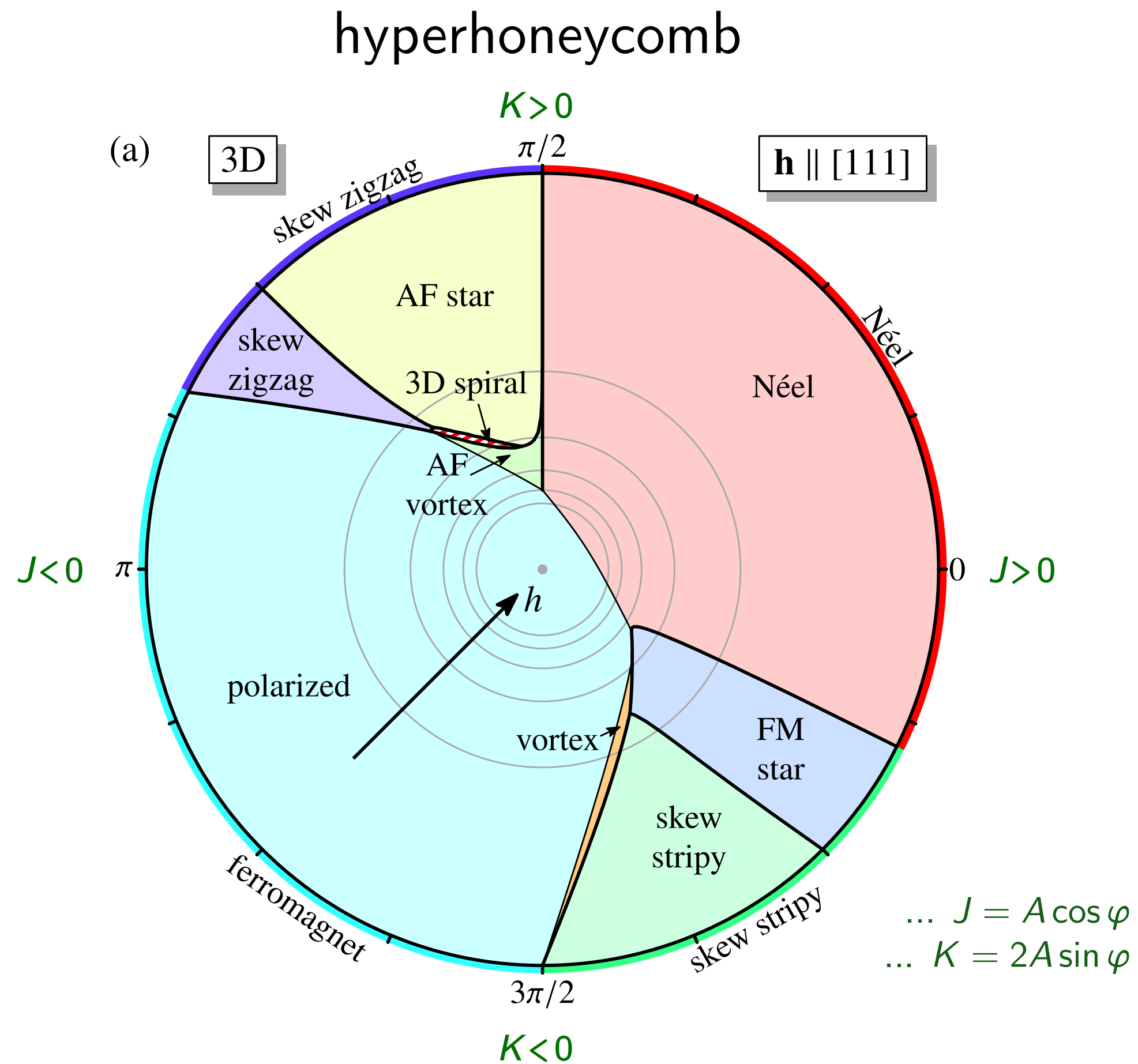
1. Introduction
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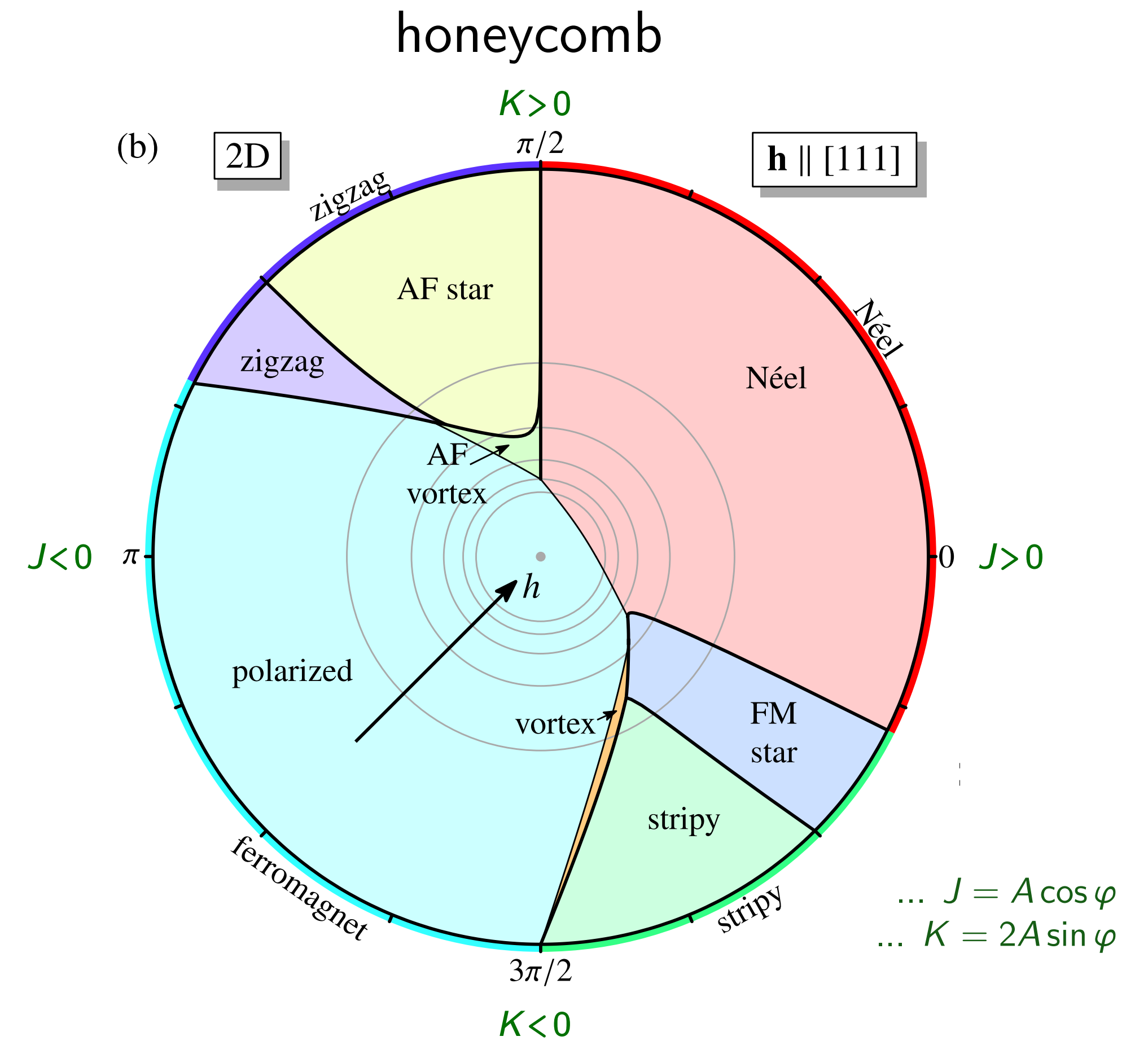


# Example #1: Heisenberg-Kitaev model in a magnetic field

$$\mathcal{H}_{\text{HK}} = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + K \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma - \mathbf{h} \cdot \sum_i \mathbf{S}_i$$



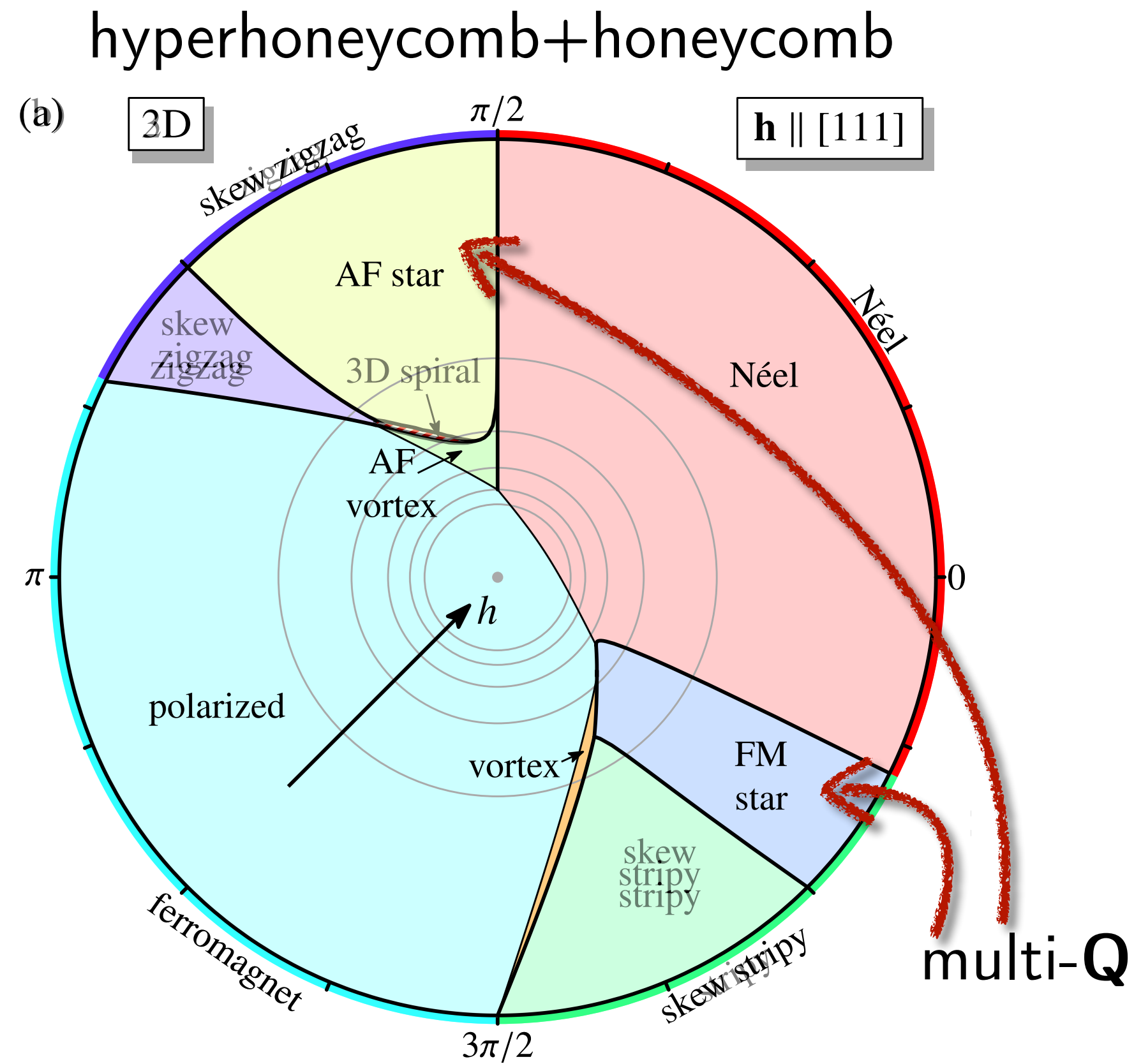
[Krüger, Vojta, LJ, arXiv:1907.05423]



[LJ, Andrade, Vojta, PRL '16]

# Example #1: Heisenberg-Kitaev model in a magnetic field

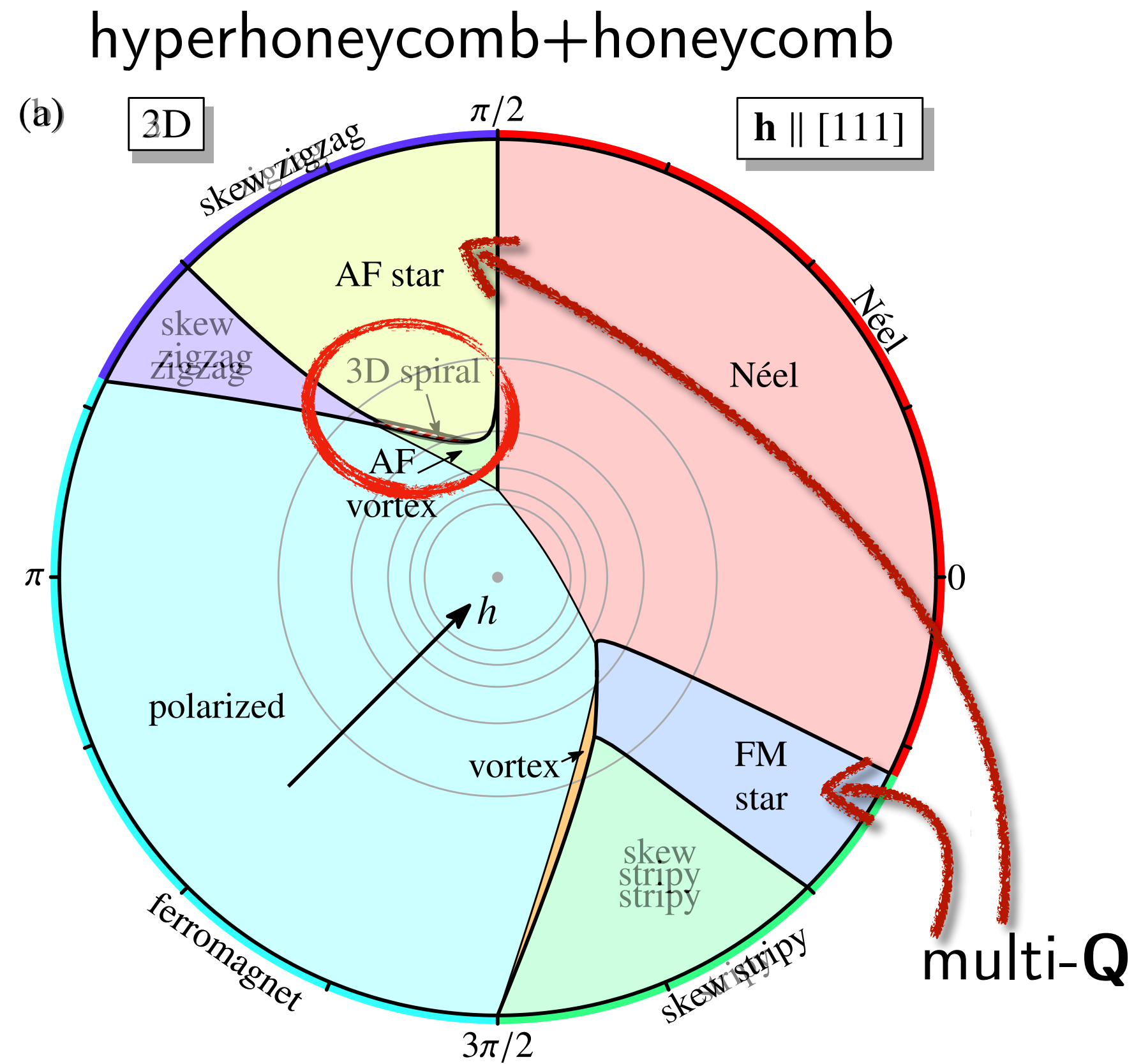
$$\mathcal{H}_{\text{HK}} = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + K \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma - \mathbf{h} \cdot \sum_i \mathbf{S}_i$$



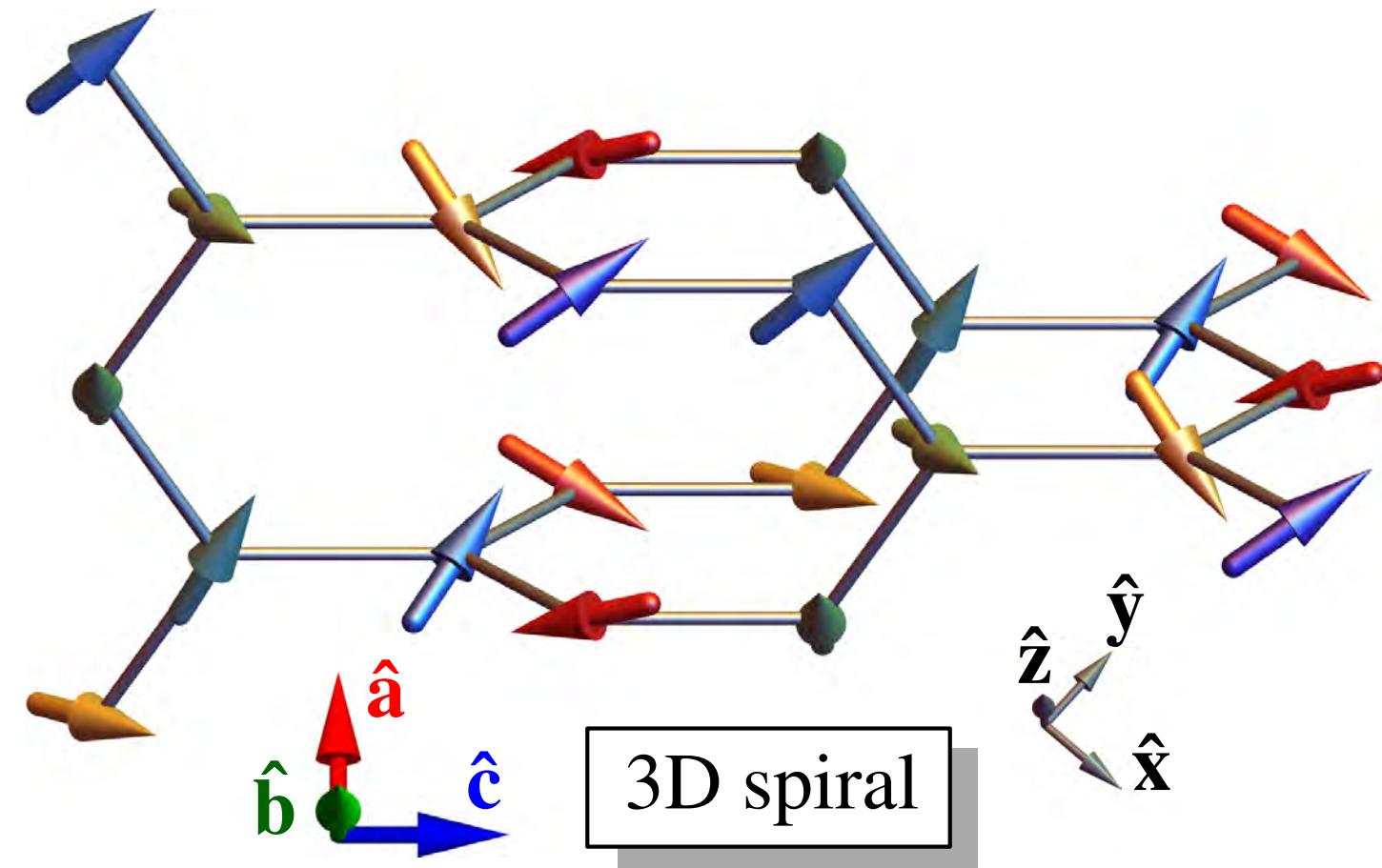


# Example #1: Heisenberg-Kitaev model in a magnetic field

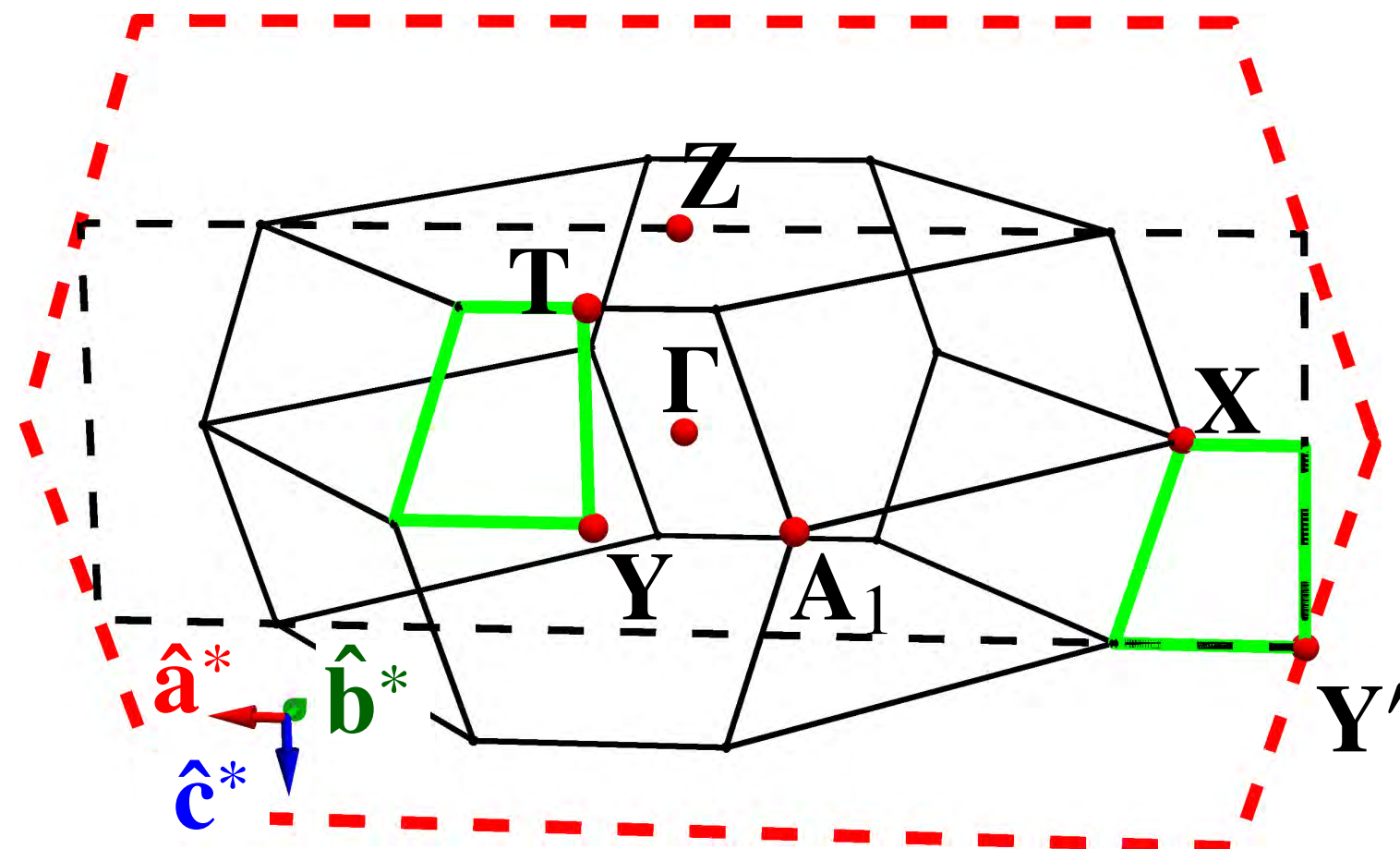
$$\mathcal{H}_{\text{HK}} = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + K \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma - \mathbf{h} \cdot \sum_i \mathbf{S}_i$$



# 3D spiral state



magnetically **inequivalent** sites along **b**!

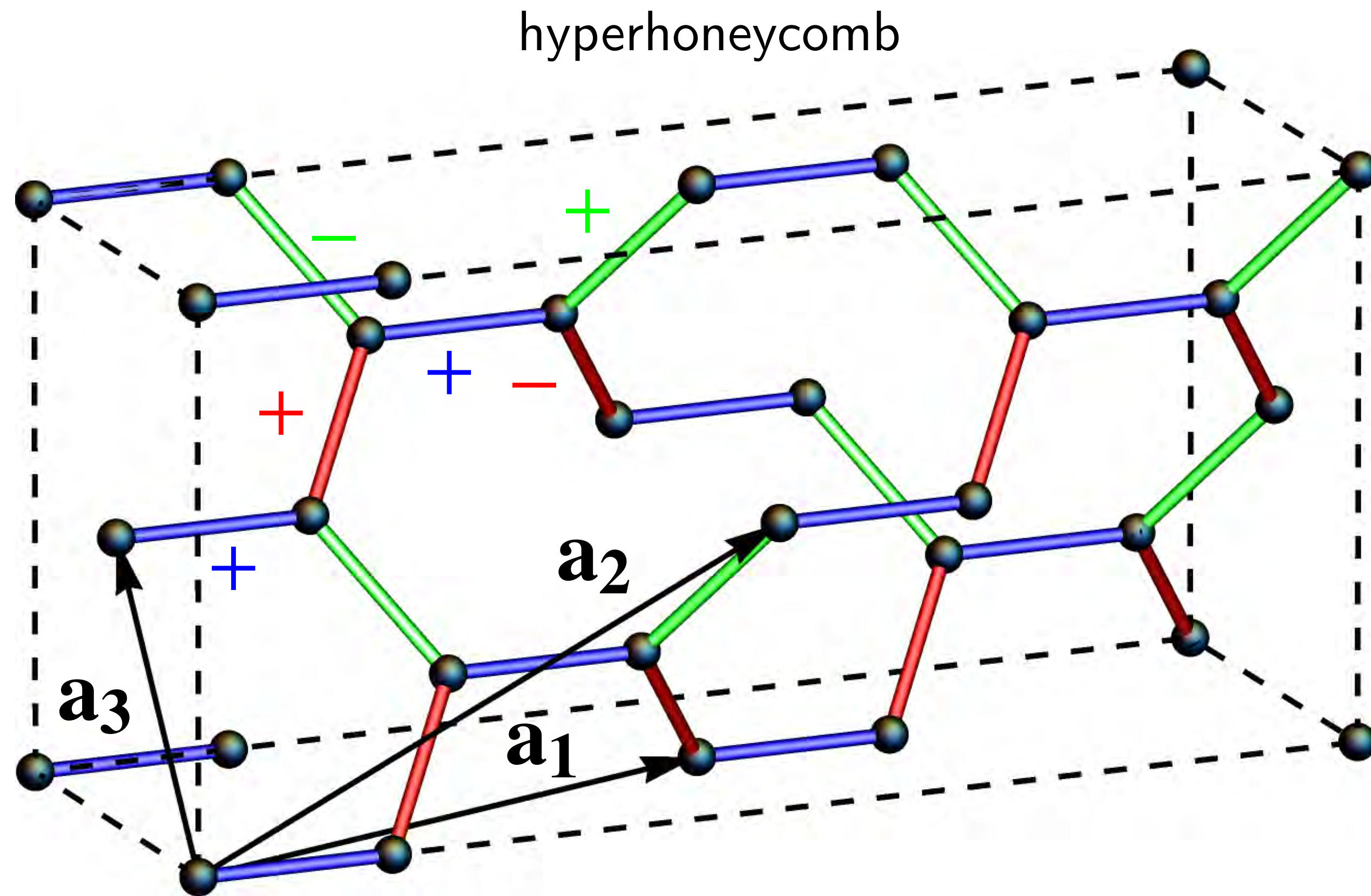


$$\mathbf{Q} = \frac{2}{3}\mathbf{Y} \notin ac \text{ plane!}$$

$\Rightarrow$  "true-3D" state



## Example #2: $\Gamma$ interactions



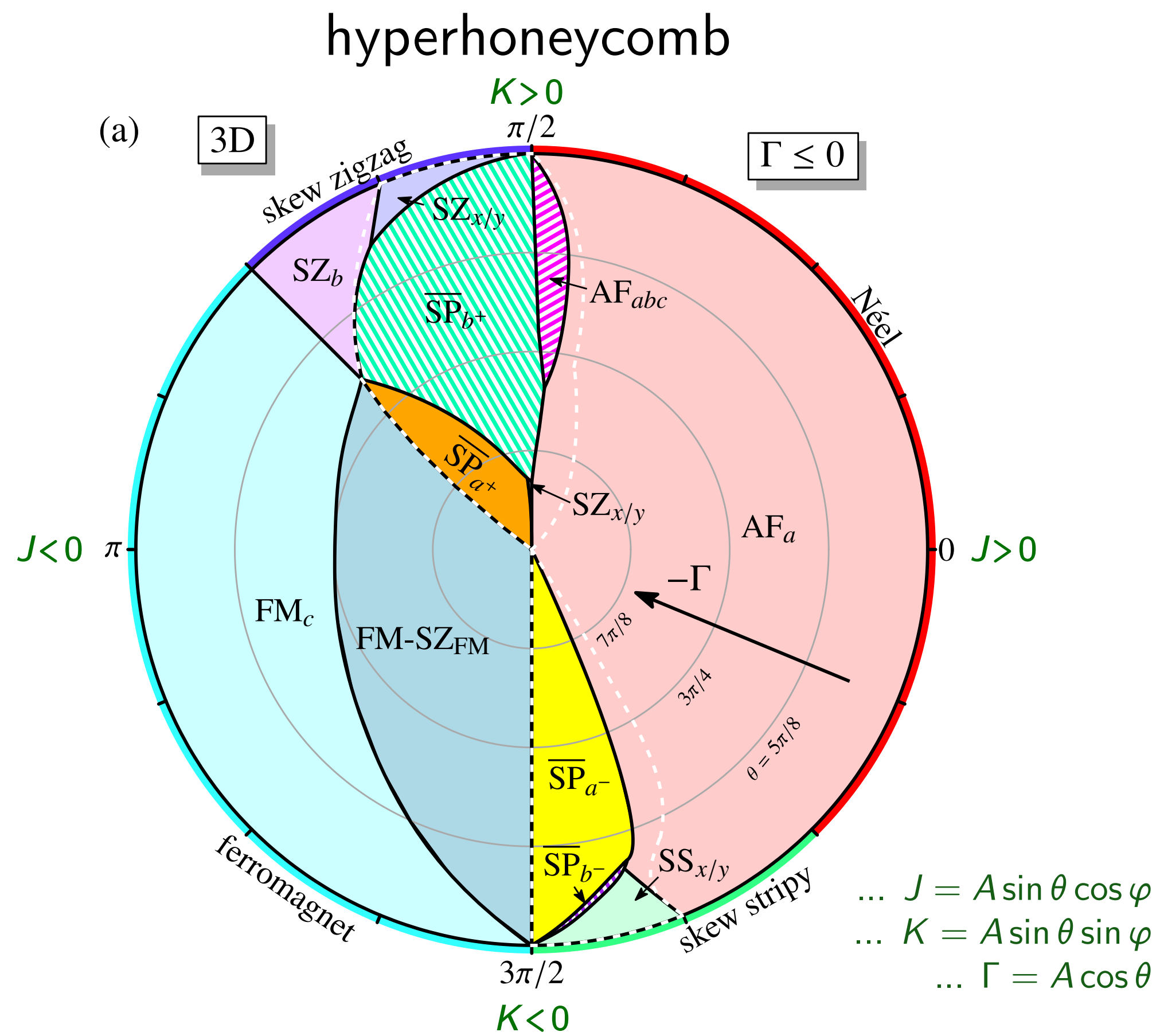
2 different types of  $x$  and  $y$  bonds

... but same local environment  
... choose interactions accordingly

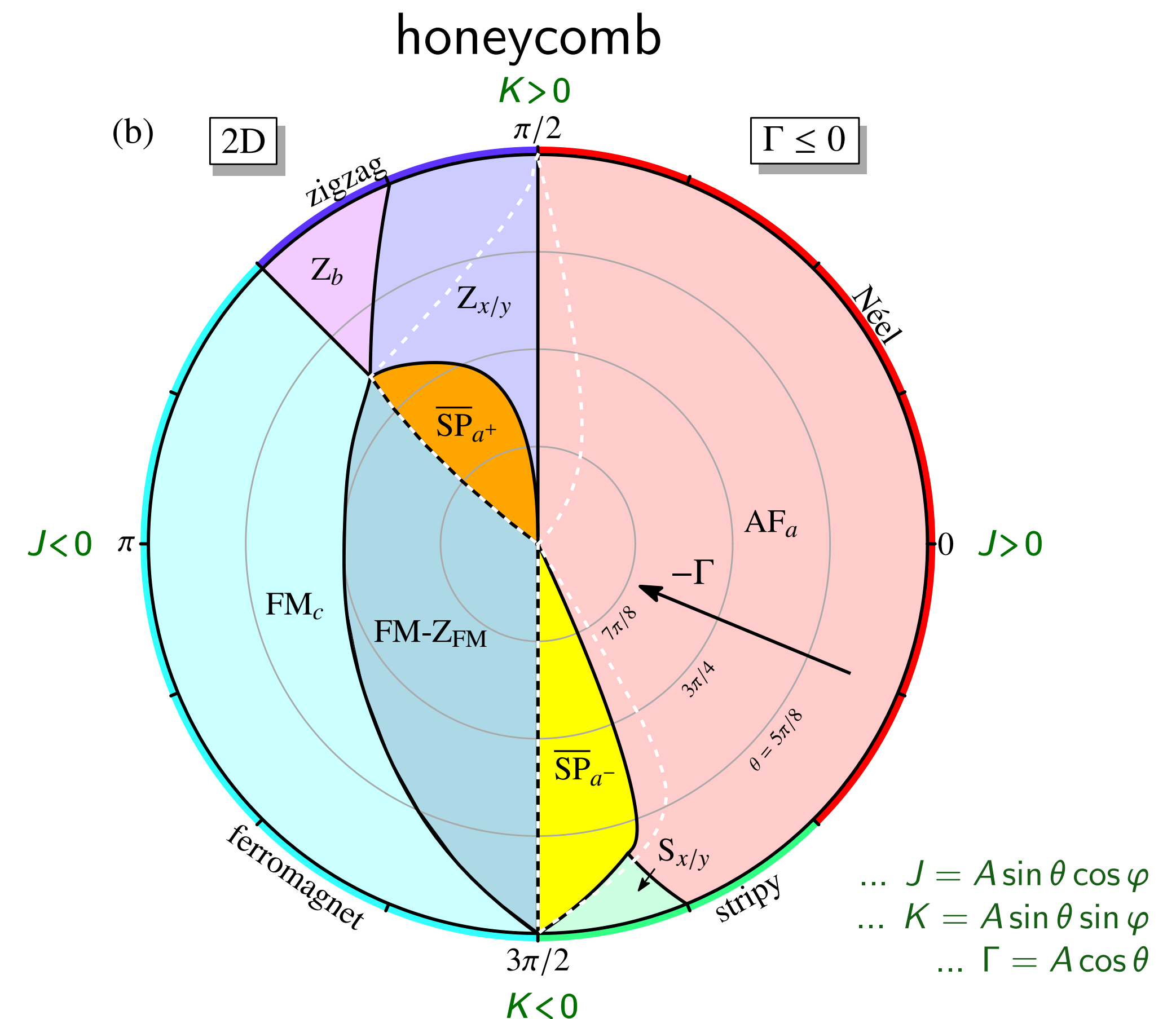
[Lee & Kim, PRB '15]

# Example #2: HK $\pm\Gamma$ model

$$\mathcal{H}_{\text{HK}\Gamma} = \sum_{\langle ij \rangle_\gamma} \left[ J \mathbf{S}_i \cdot \mathbf{S}_j + K S_i^\gamma S_j^\gamma \pm \Gamma (S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha) \right]$$



[Lee & Kim, PRB '15]

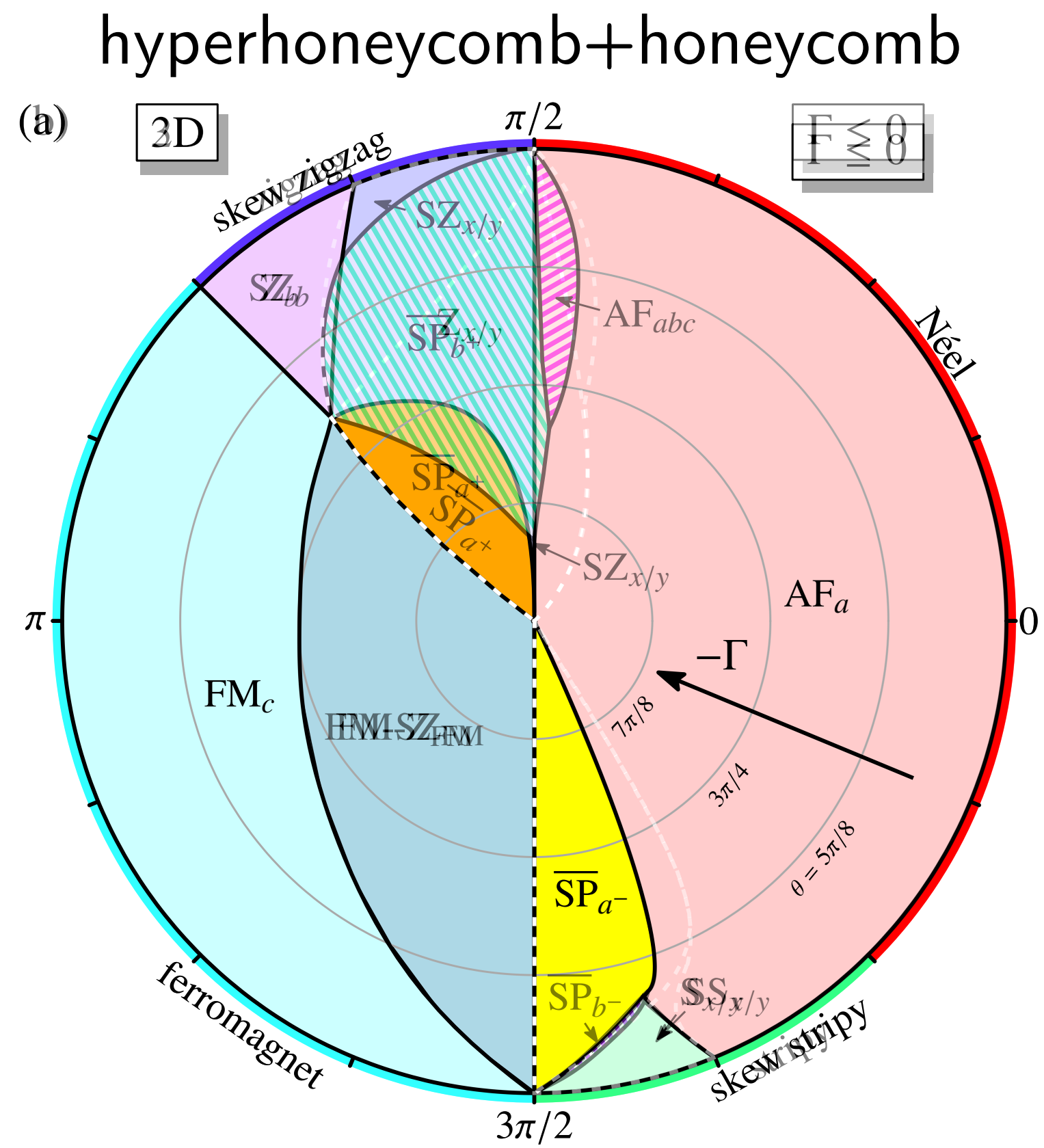


[Krüger, Vojta, LJ, arXiv:1907.05423]



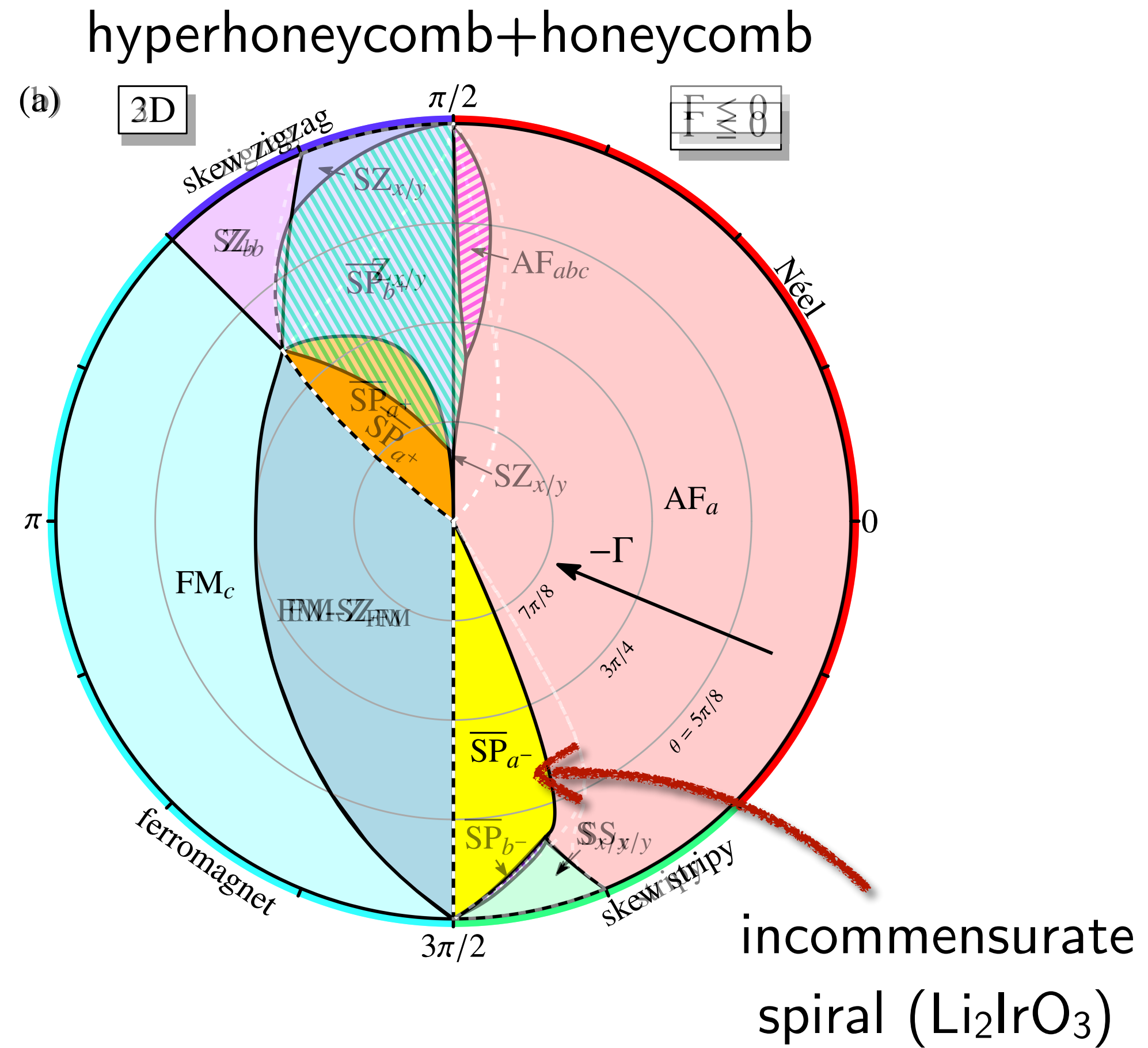
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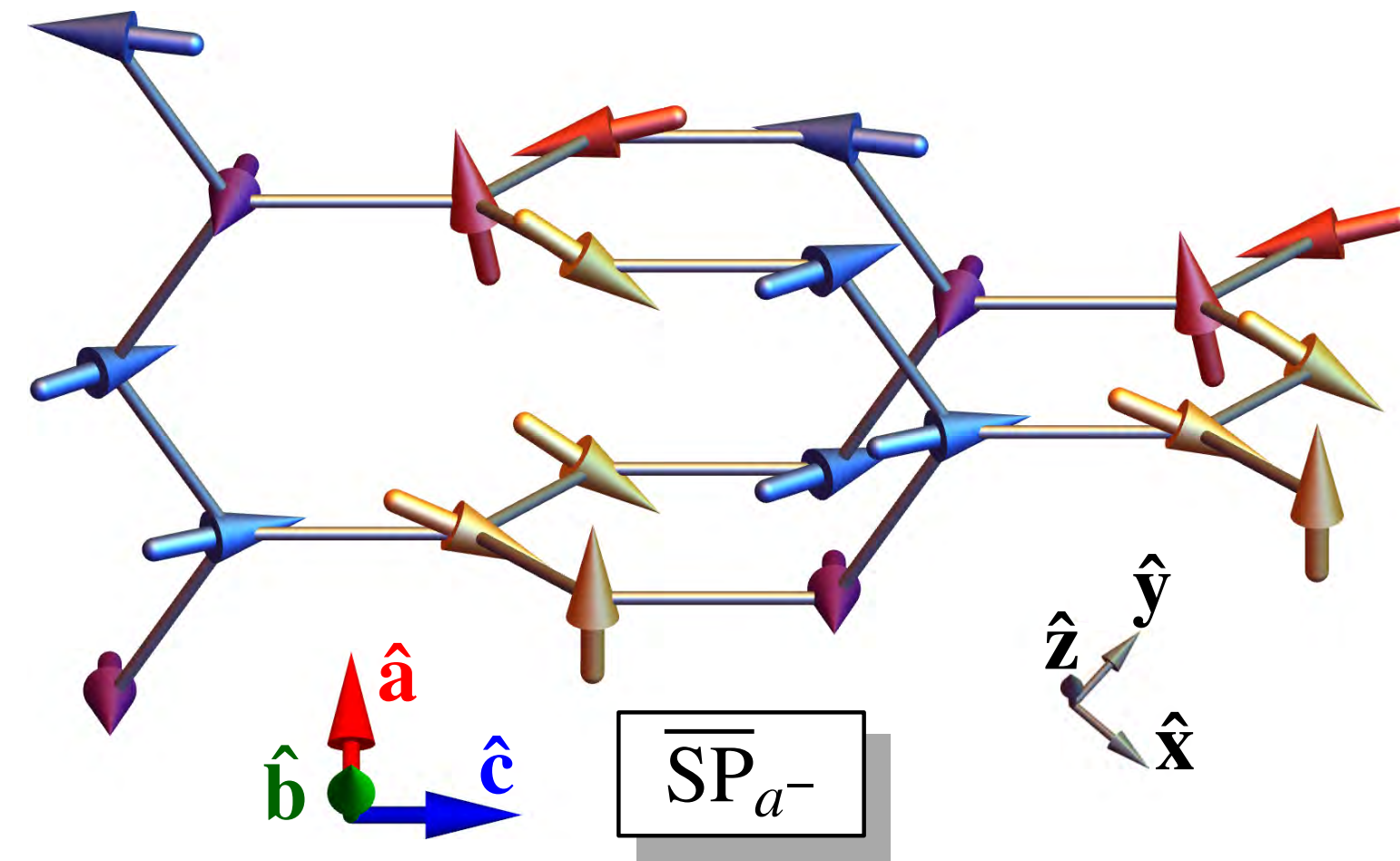
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$$\mathcal{H}_{\text{HK}\Gamma} = \sum_{\langle ij \rangle_\gamma} \left[ J \mathbf{S}_i \cdot \mathbf{S}_j + K S_i^\gamma S_j^\gamma \pm \Gamma \left( S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha \right) \right]$$

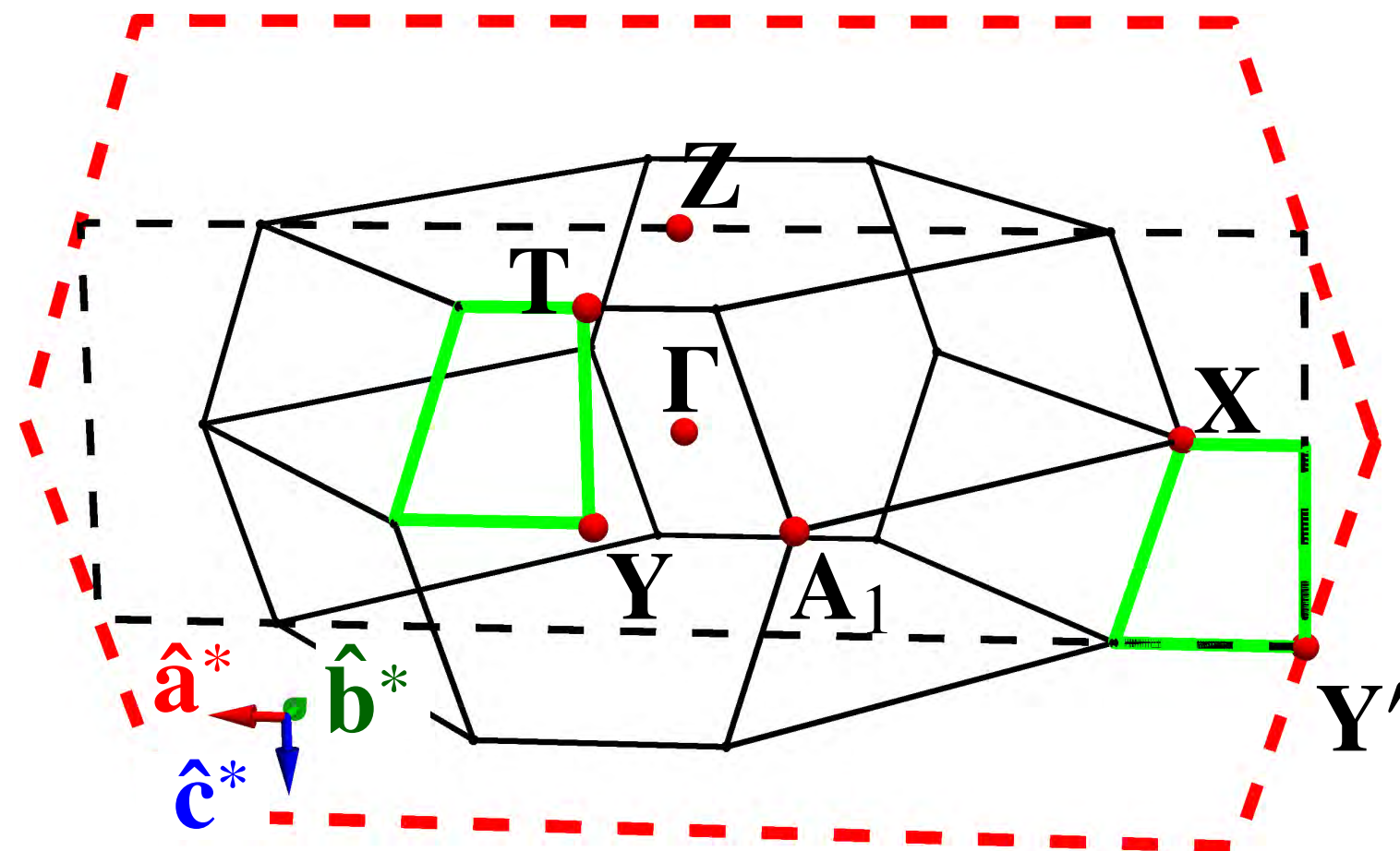




# Incommensurate spiral



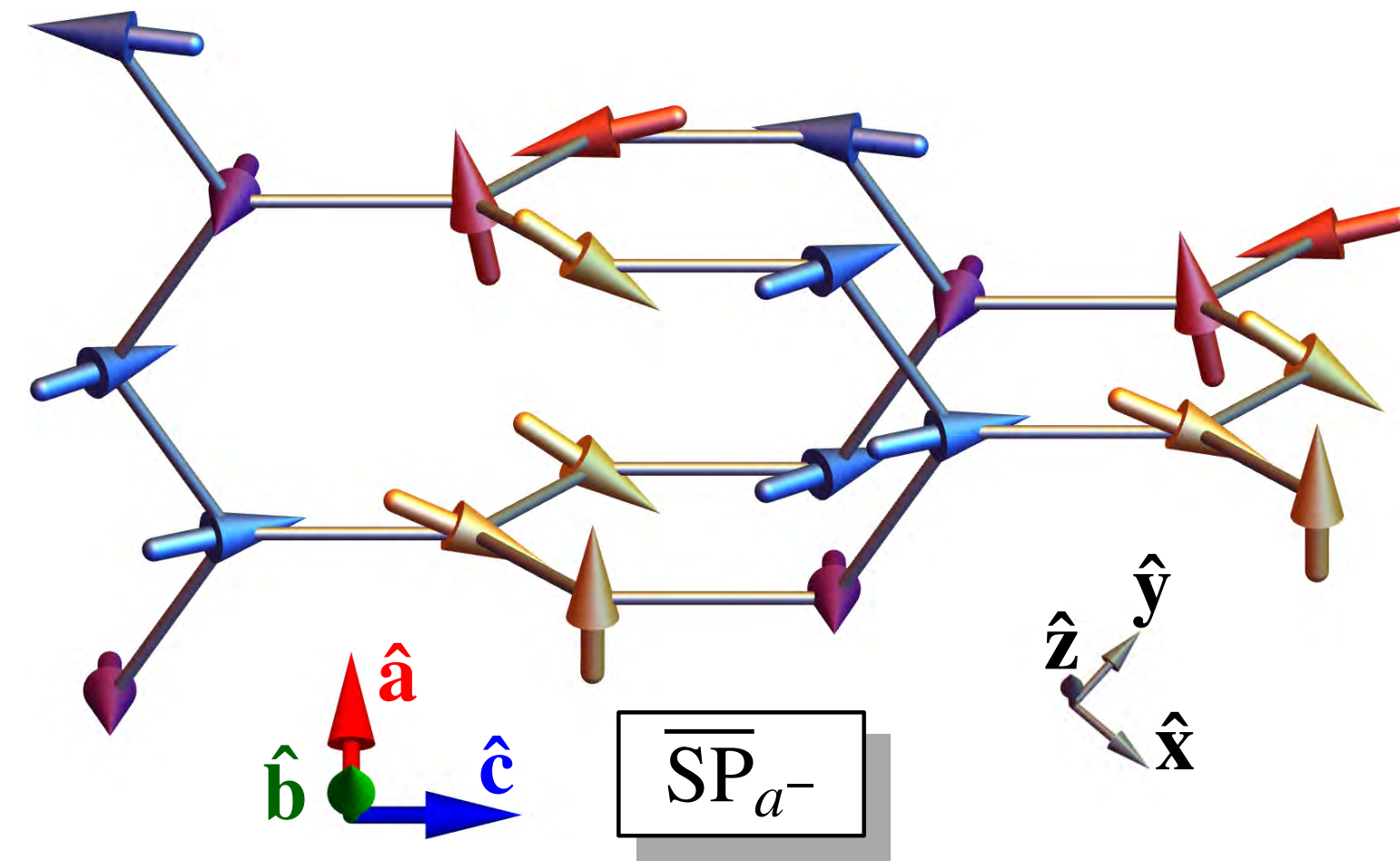
magnetically **equivalent** sites along  $\mathbf{b}$ !



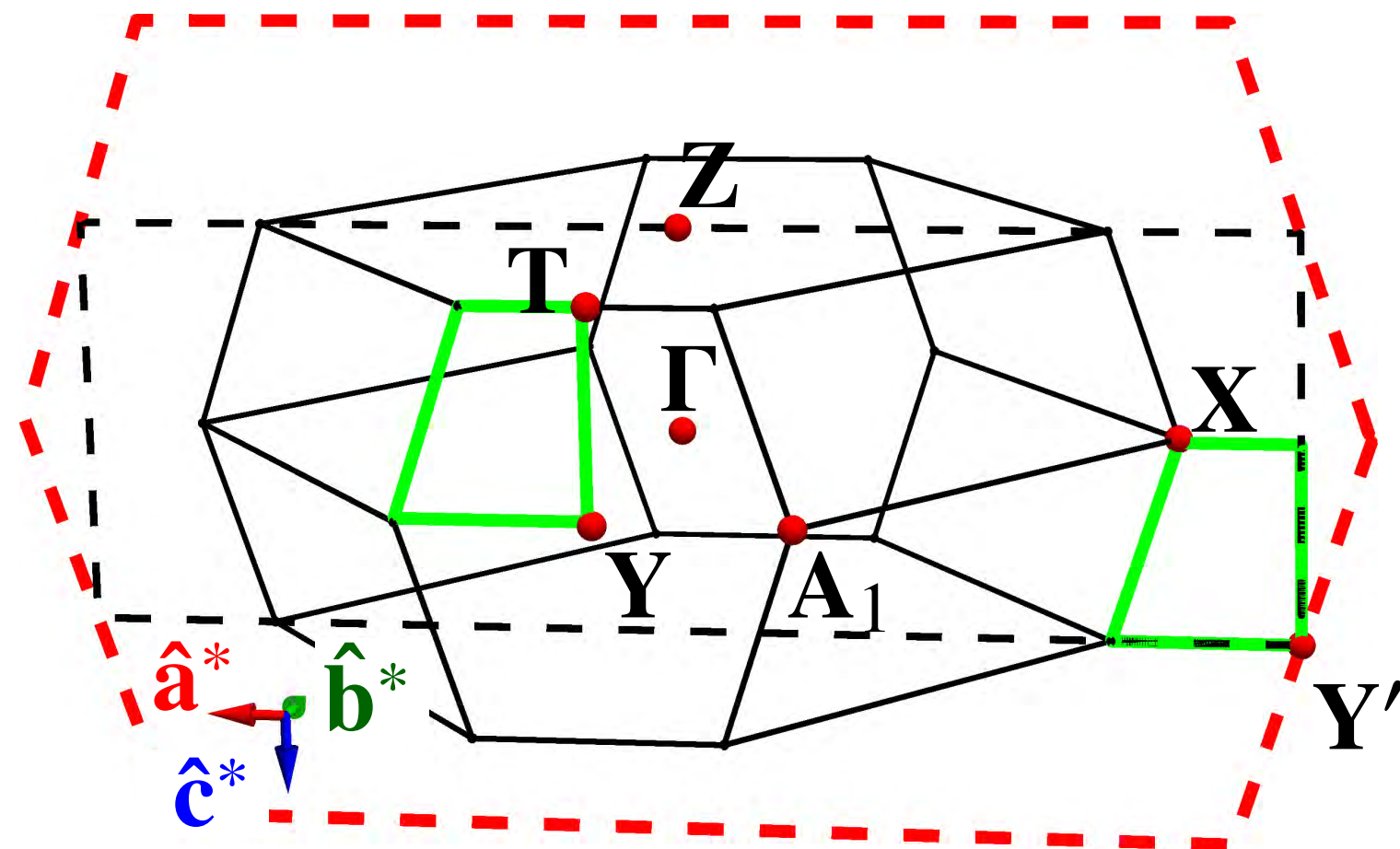
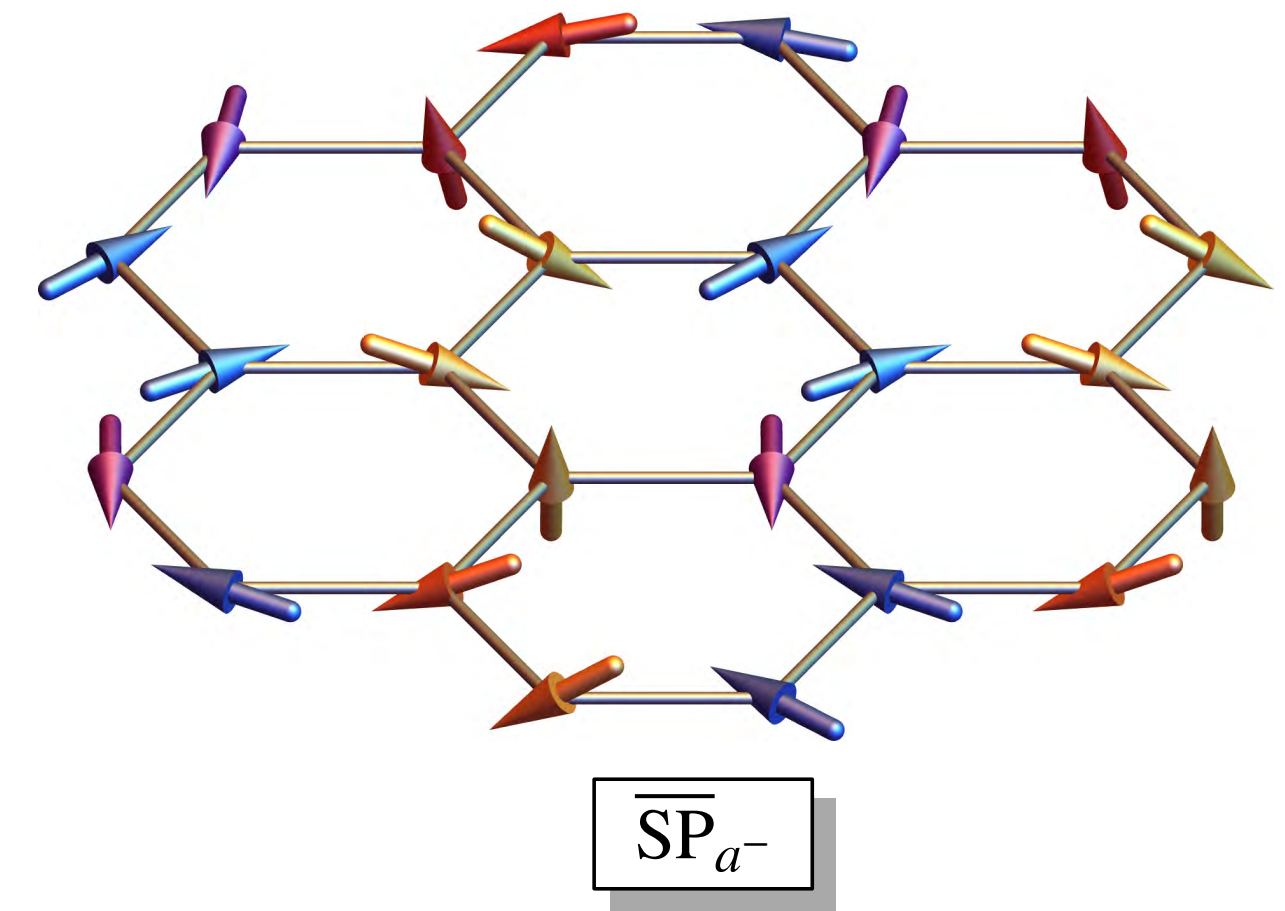
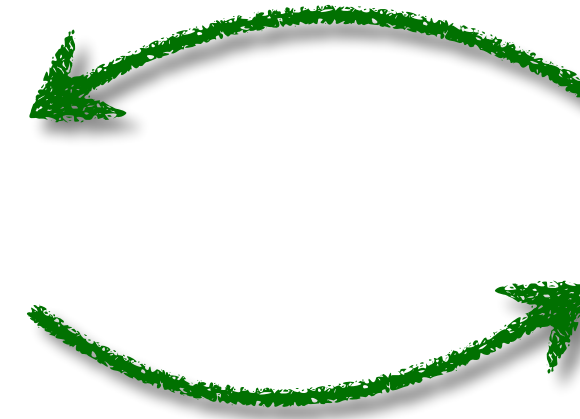
$\mathbf{Q} \parallel \mathbf{a}^* \in ac \text{ plane!}$

$\Rightarrow$  “quasi-2D” state

# Incommensurate spiral



3D-2D  
equivalence

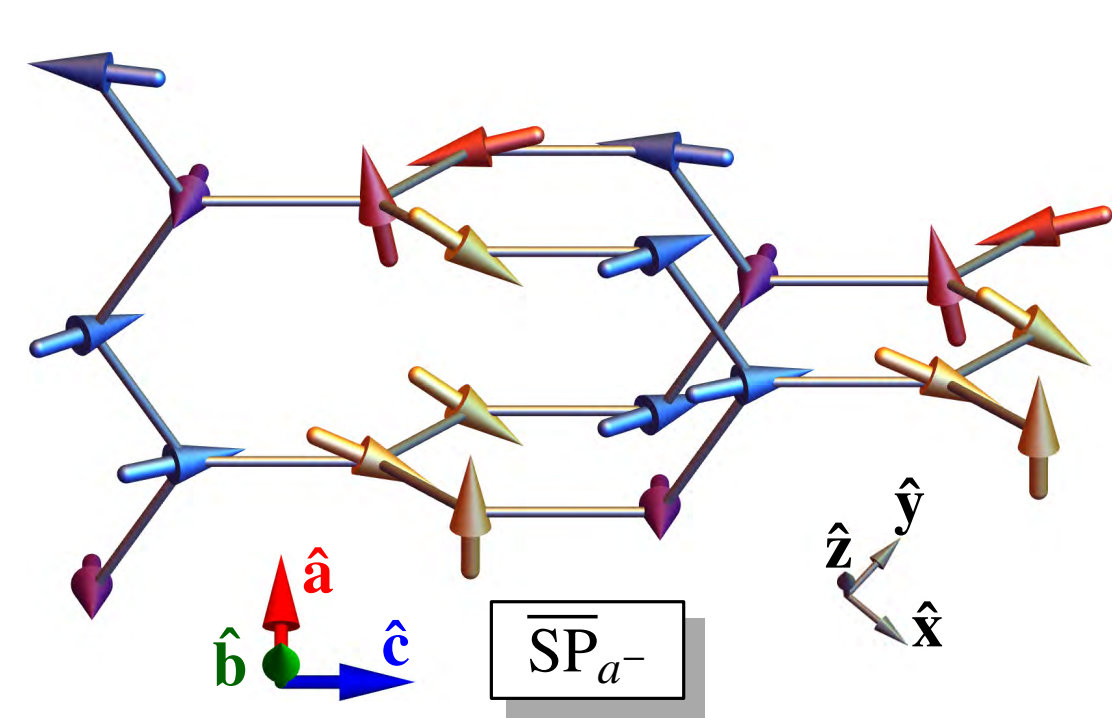


$Q \parallel \mathbf{a}^* \in ac \text{ plane!}$

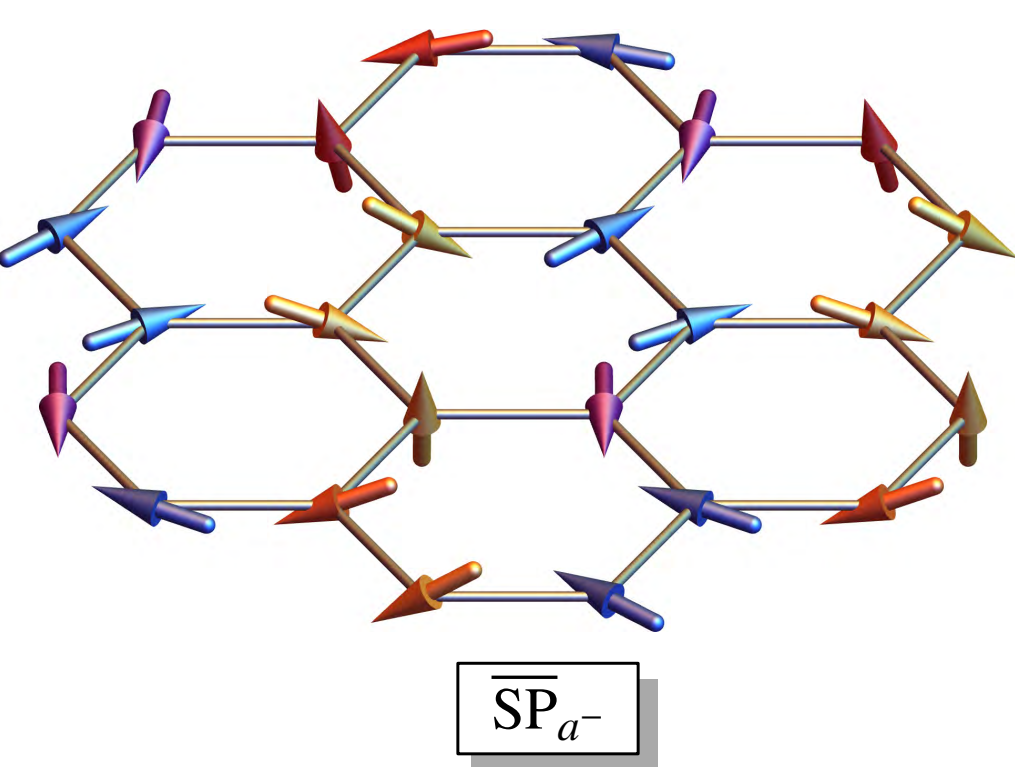
$\Rightarrow$  “quasi-2D” state



# Commensurate period-3 state ( $J \ll |K|, |\Gamma|$ )



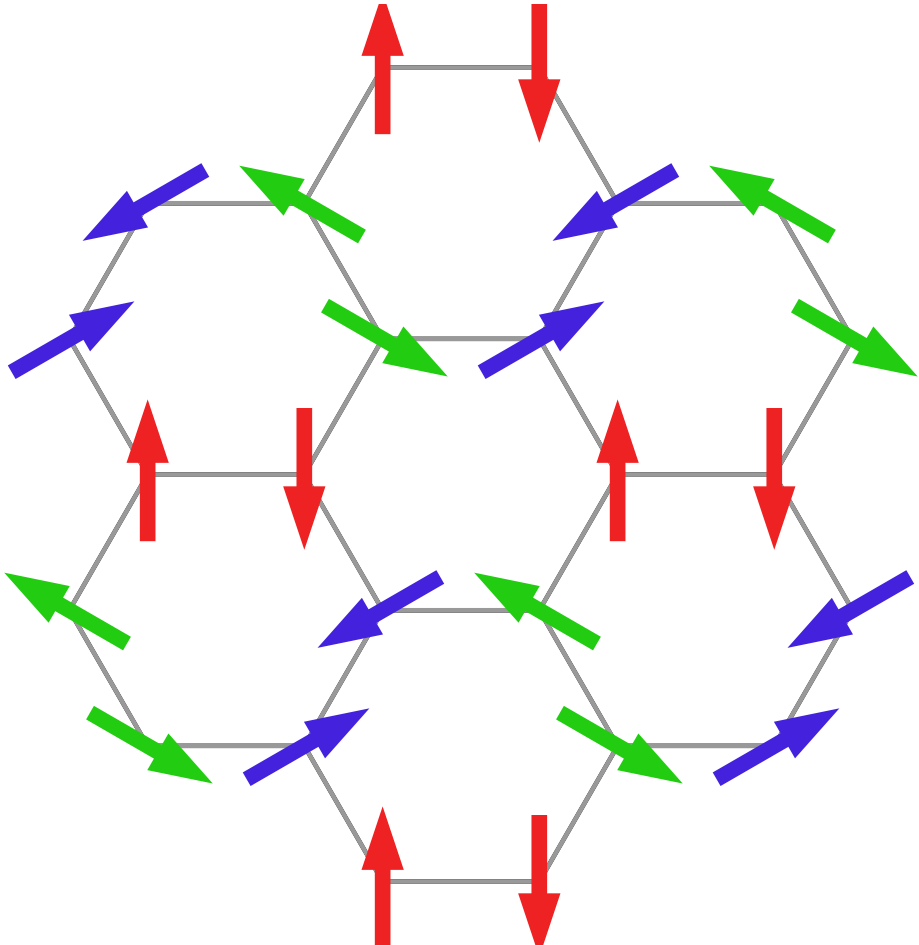
3D-2D  
equivalence



Duality  
transformation



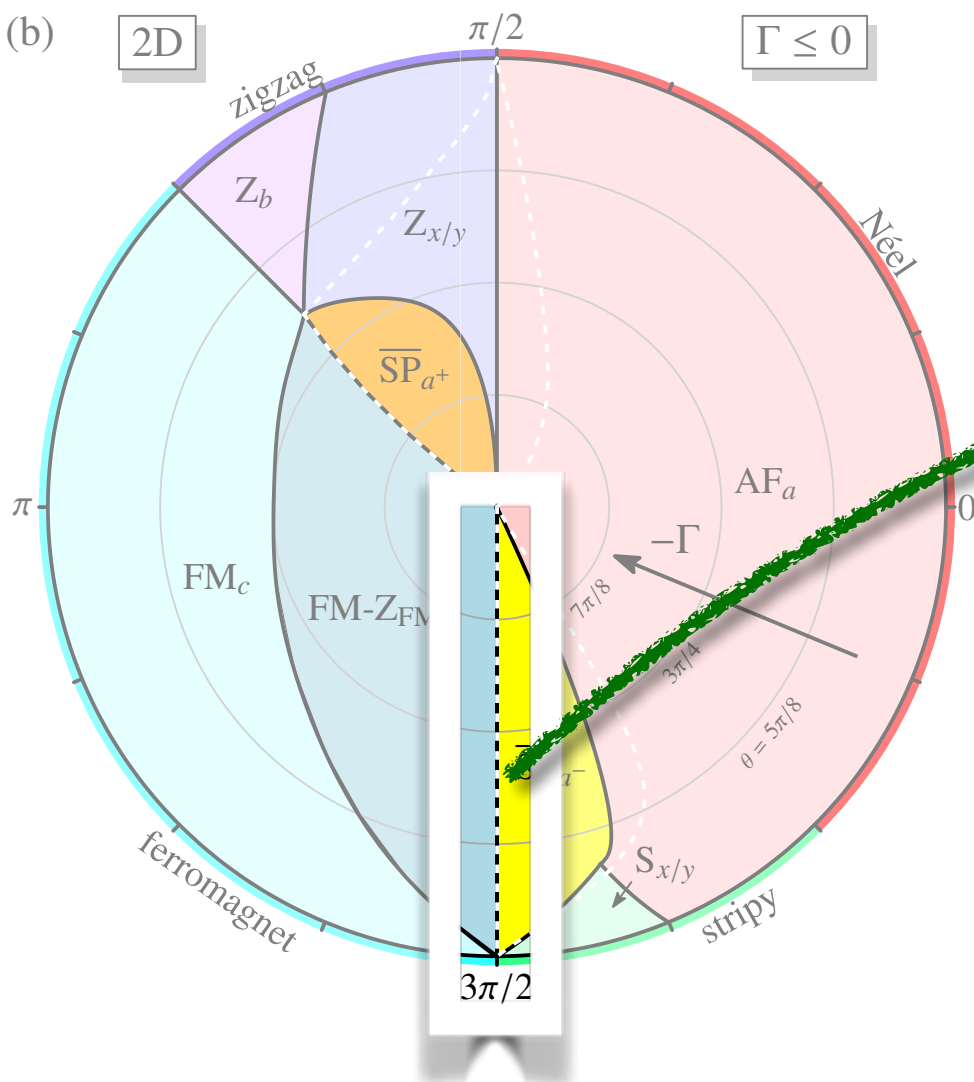
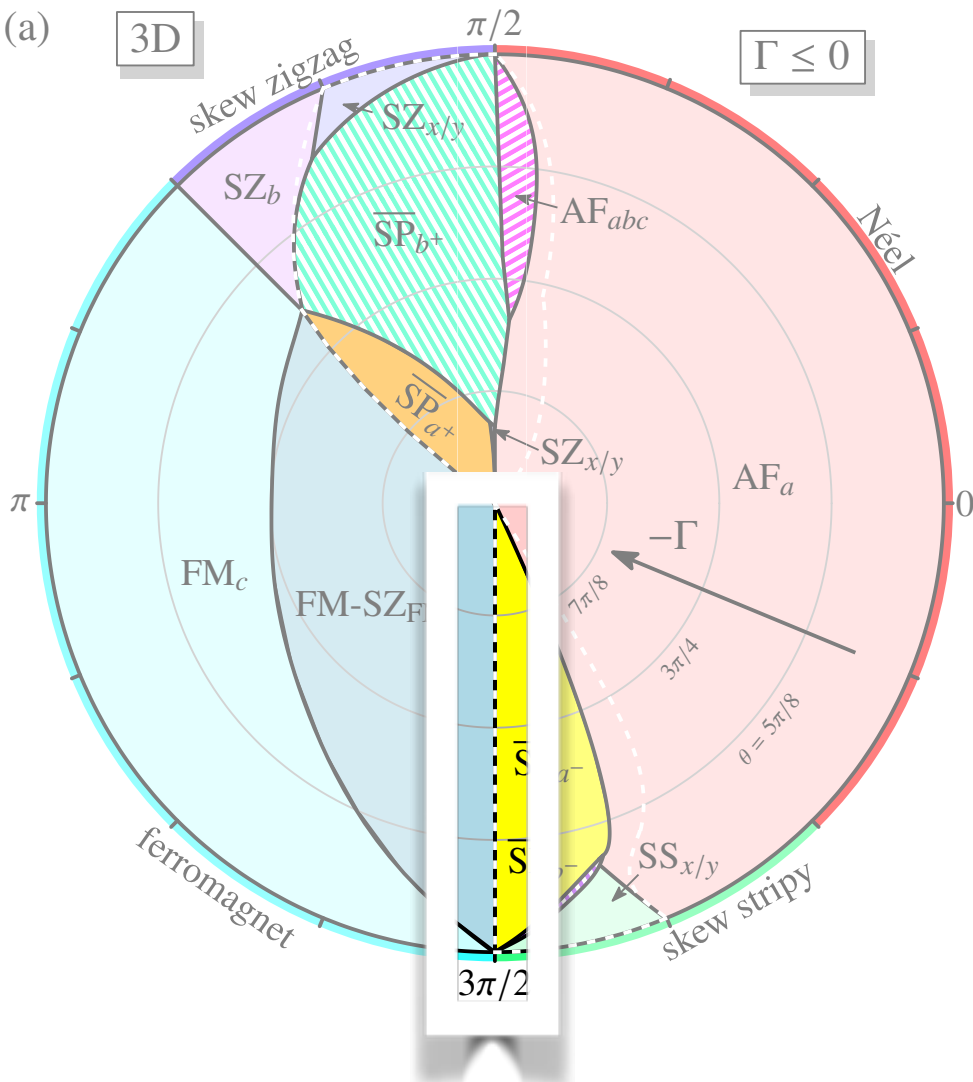
... that rotates spins by  $\pi/2$  ( $3\pi/2$ ) about z axis



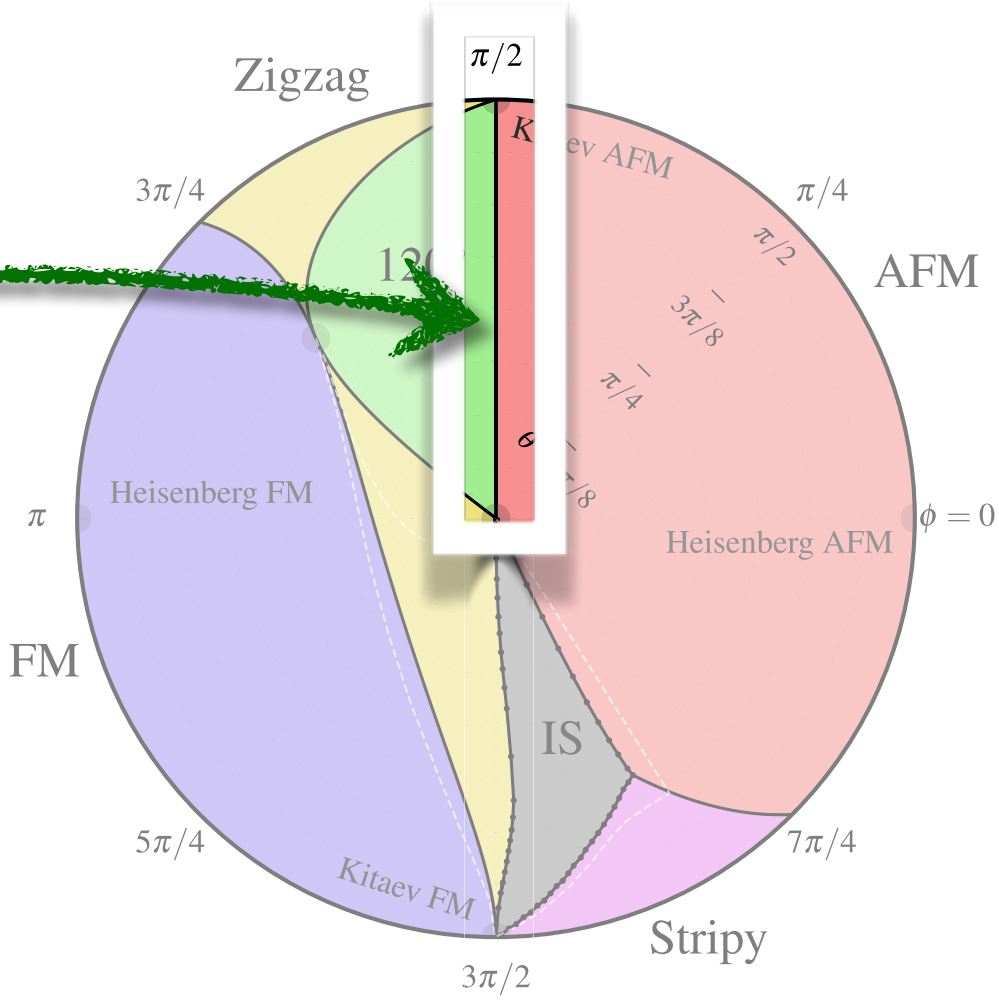
Period-3 state “K state”  
3D  $\text{HK}\pm\Gamma$  [Ducatman *et al.*, PRB '18]

Period-3 state  
2D  $\text{HK}\pm\Gamma$

120° state  
2D  $\text{HK}\Gamma$



$K \rightarrow -K$   
 $\Gamma \rightarrow -\Gamma$



→ Talk by N. Perkins (2:40 p.m.)

[Rau, Lee, Kee, PRL '14]

# $\beta$ -Li<sub>2</sub>IrO<sub>3</sub> order: Dual of 120°-state

Duality explains key features of  $\beta$ -Li<sub>2</sub>IrO<sub>3</sub>:

(i) Zigzag chains of coplanar spins

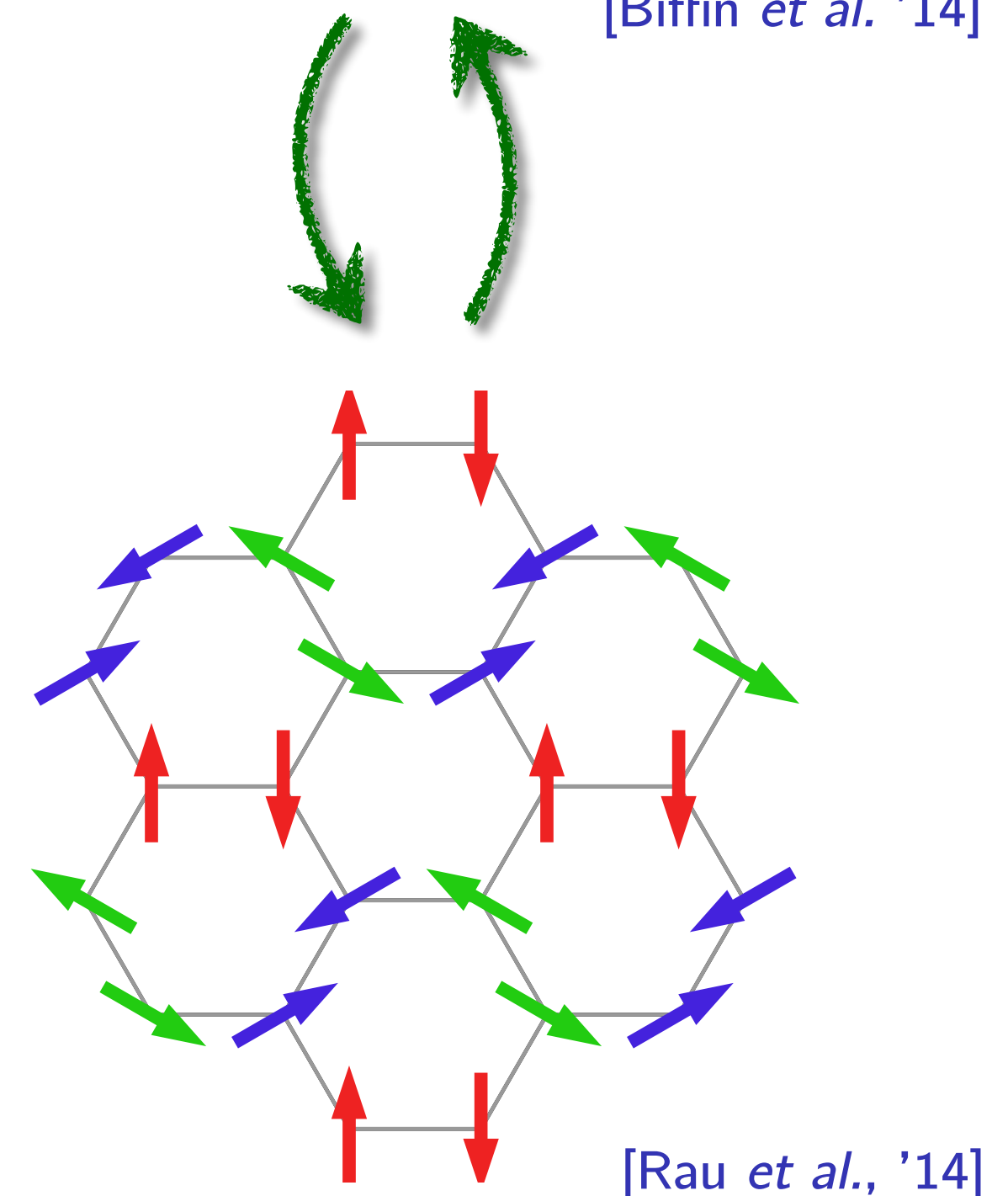
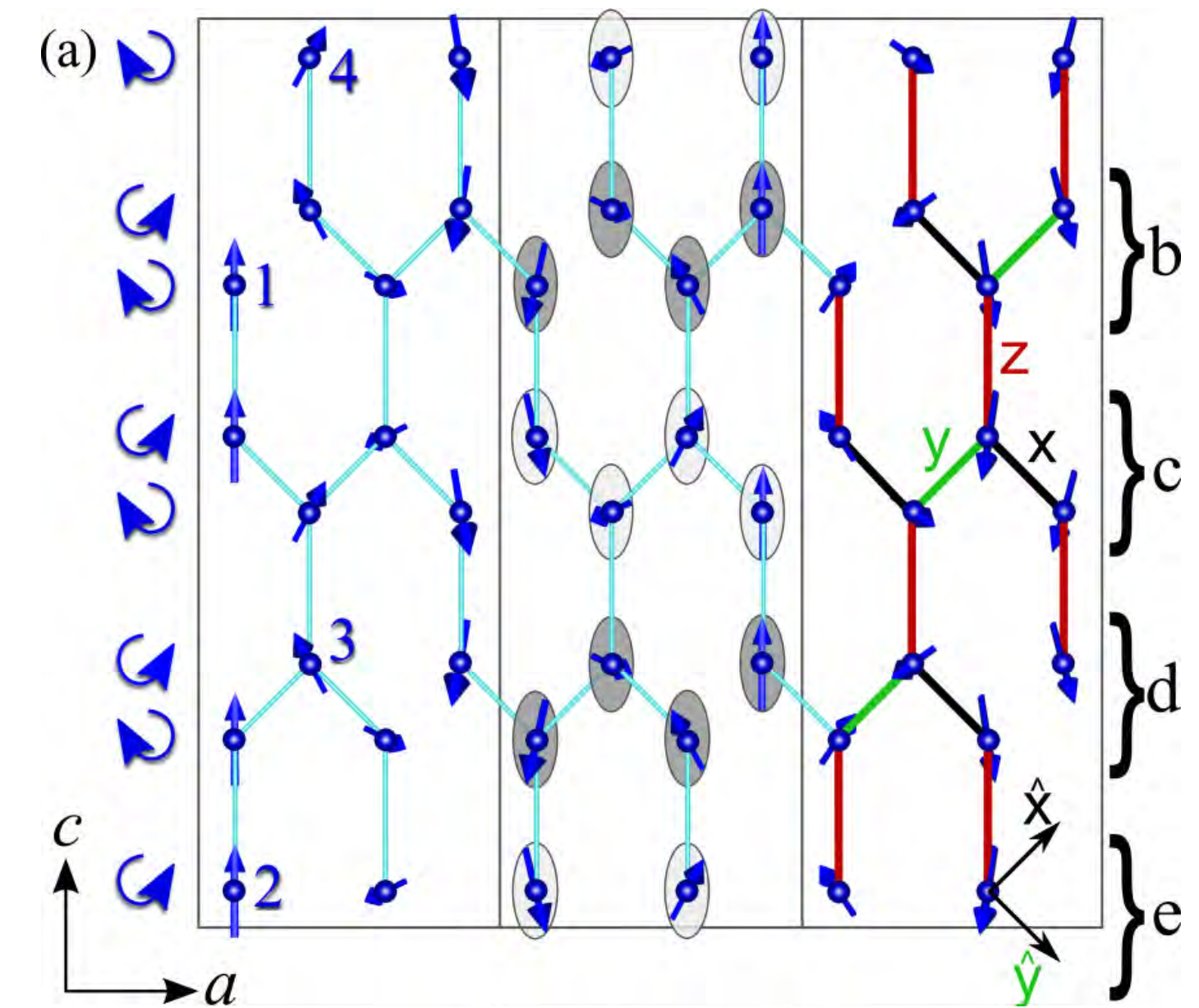
... as duality transformation preserves coplanarity along zigzag chains

(ii) Counterrotating spirals

... spins on two sublattices rotate in opposite directions

(iii) Angle between next-nearest neighbors  $\approx 120^\circ$

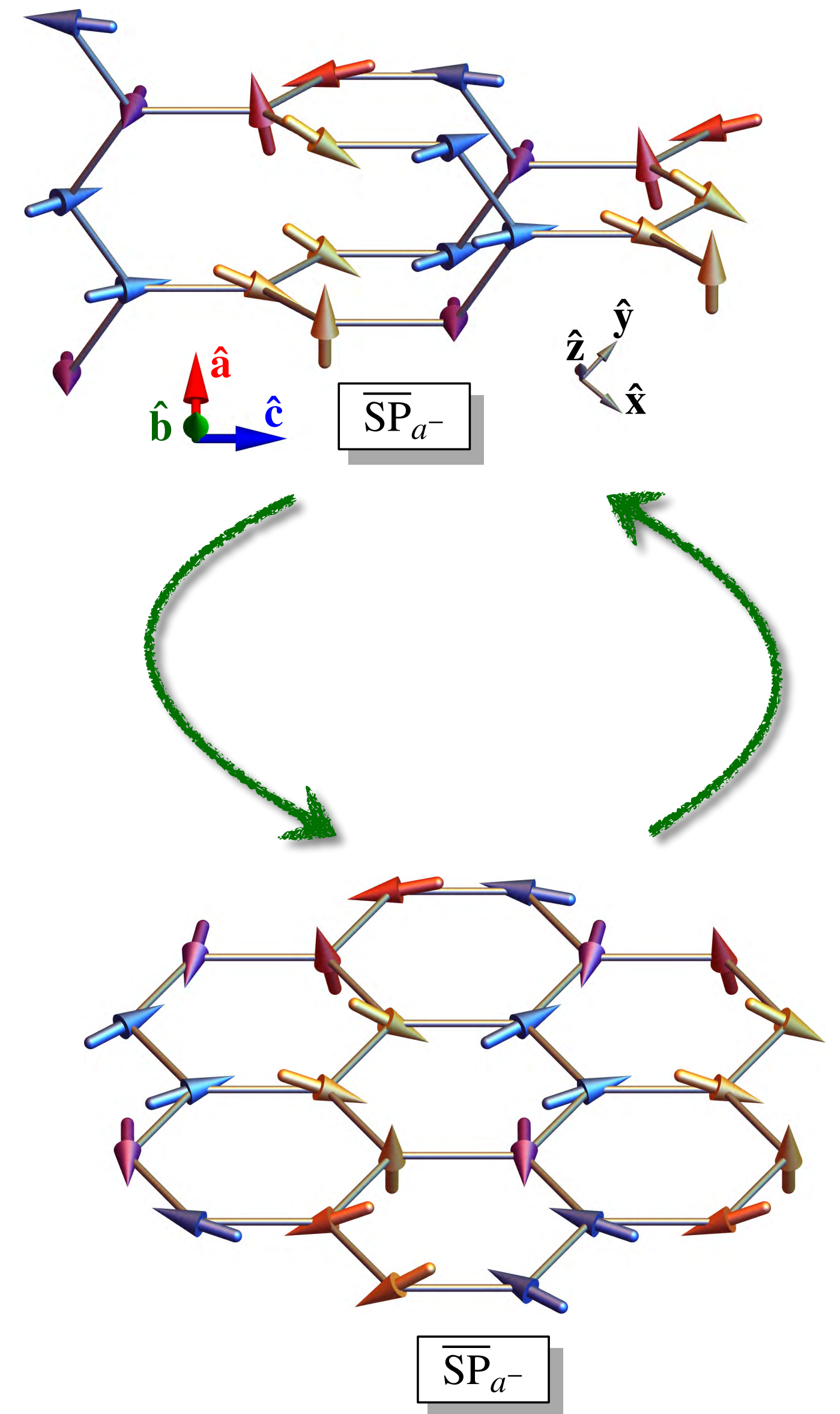
... with ordering wavevector  $\mathbf{q} = 0.57(1)\mathbf{a}^* \approx 2/3\mathbf{a}^*$





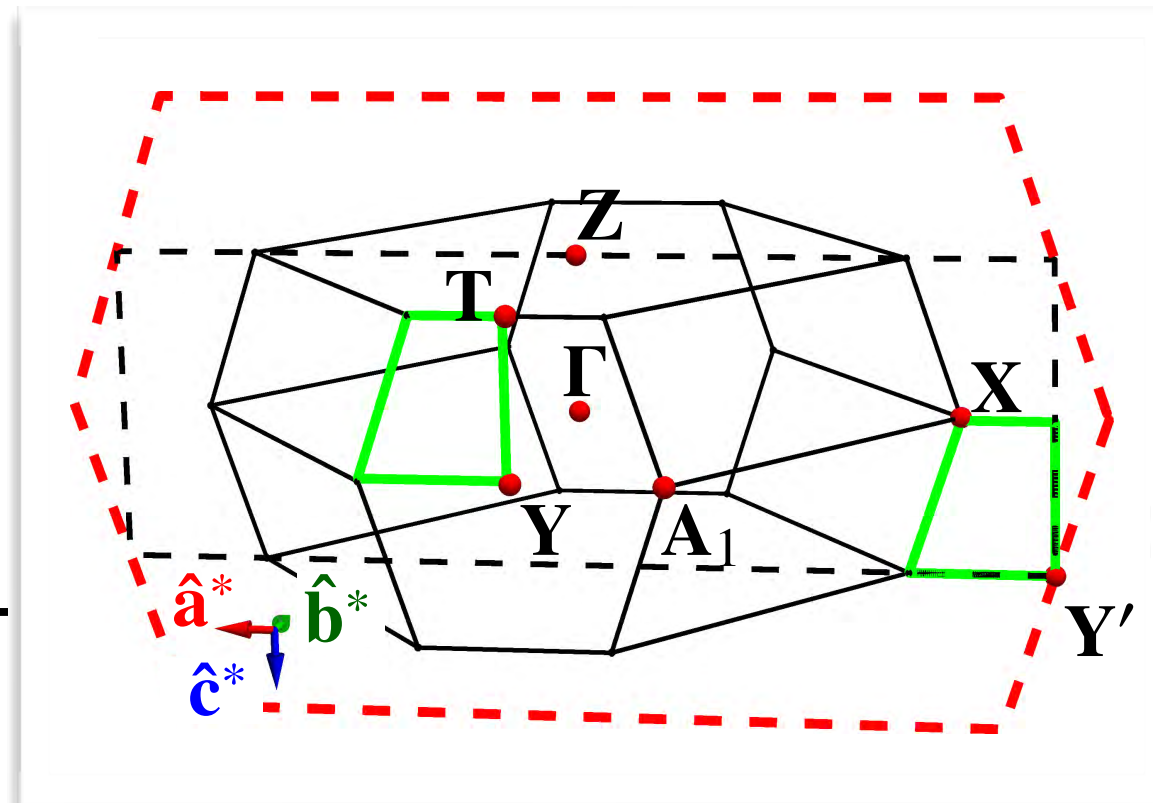
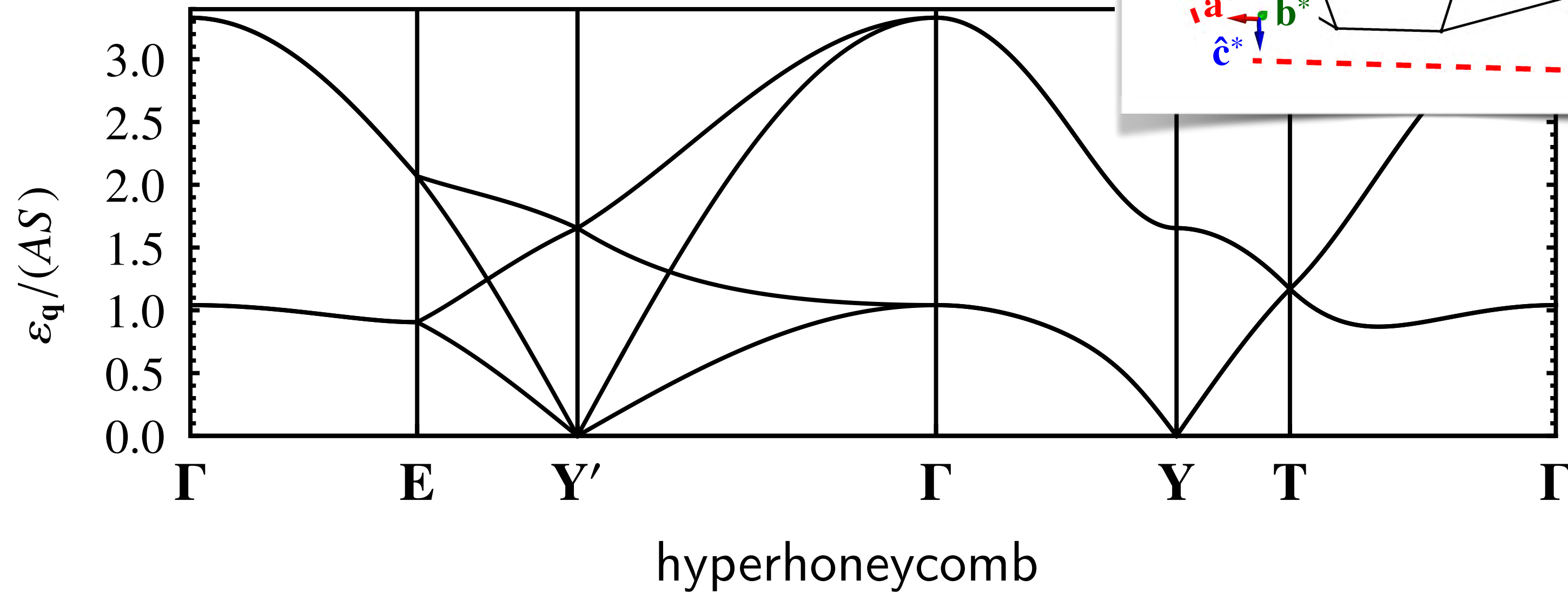
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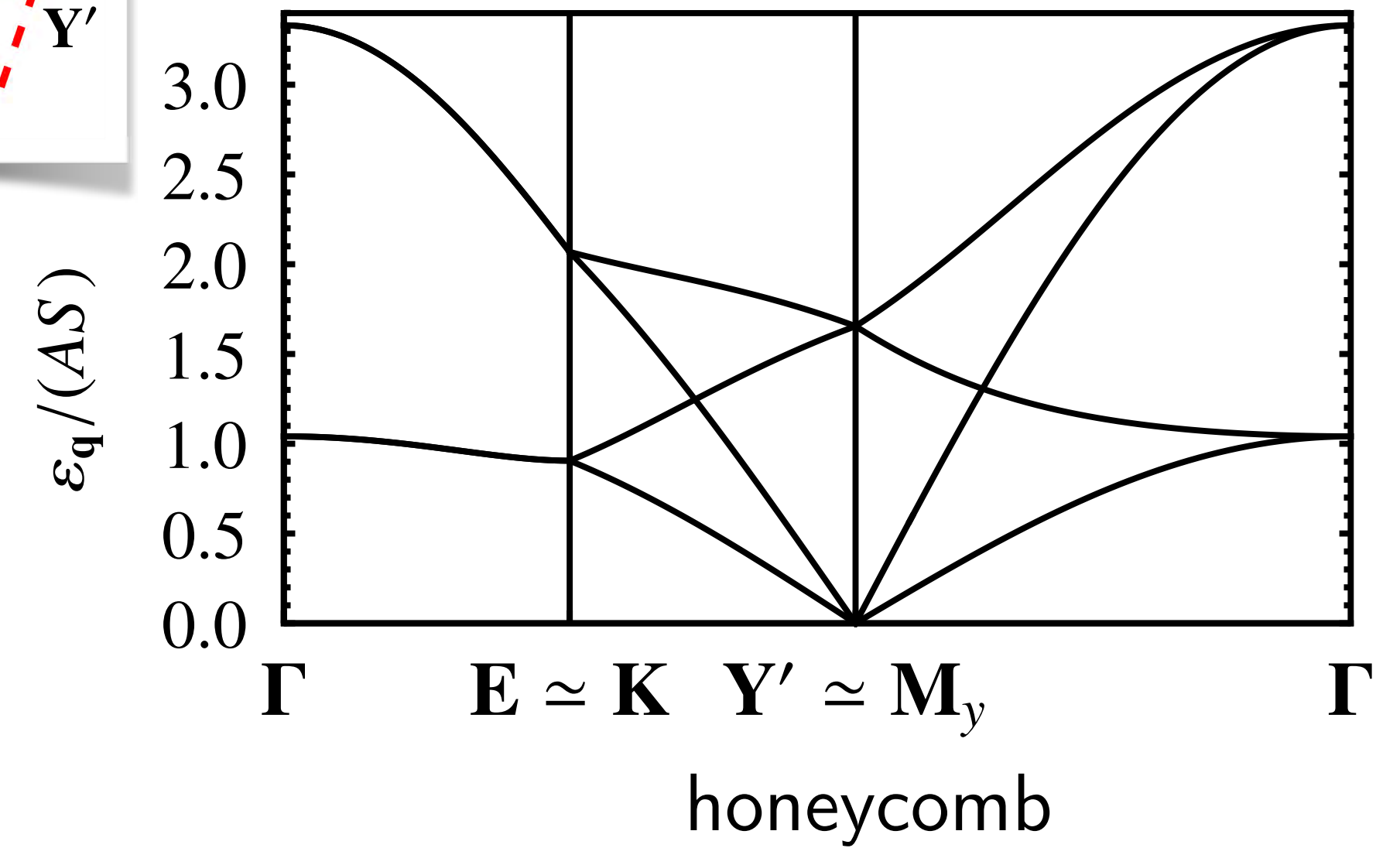


# Magnon bands

(a) 3D, skew-zigzag,  $\mathbf{Q} = \Gamma$



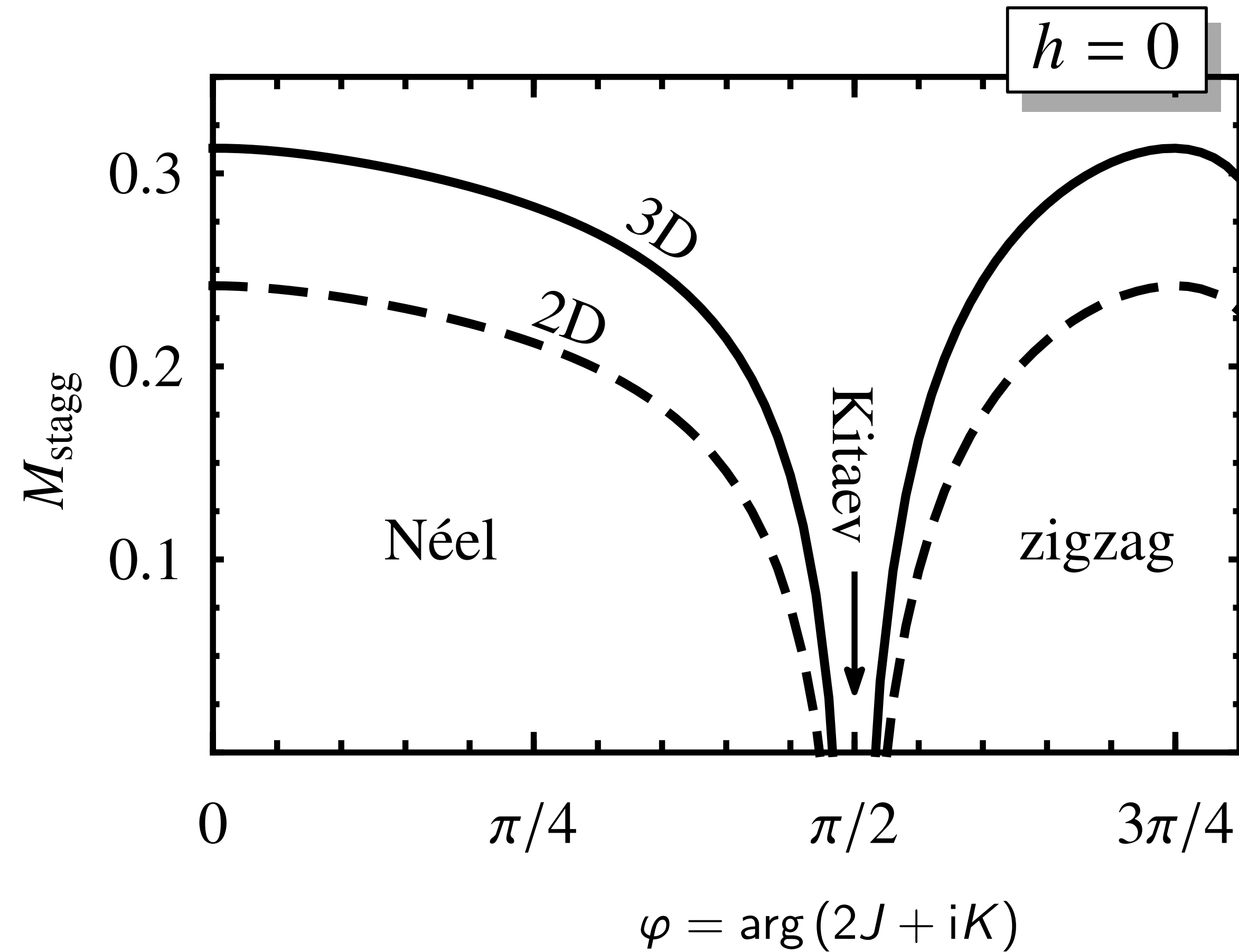
2D, zigzag,  $\mathbf{Q} = \Gamma \simeq \mathbf{M}_z$



$$\varepsilon_{\mathbf{q}}(\text{hyperhoneycomb}) = \varepsilon_{\mathbf{q}}(\text{honeycomb}) \text{ for all } \mathbf{q} \in ac \text{ plane}$$



# Staggered magnetization near Kitaev limit



... for  $S = 1/2$  Heisenberg-Kitaev model

# Conclusions

3D-2D equivalence of ordered states ...

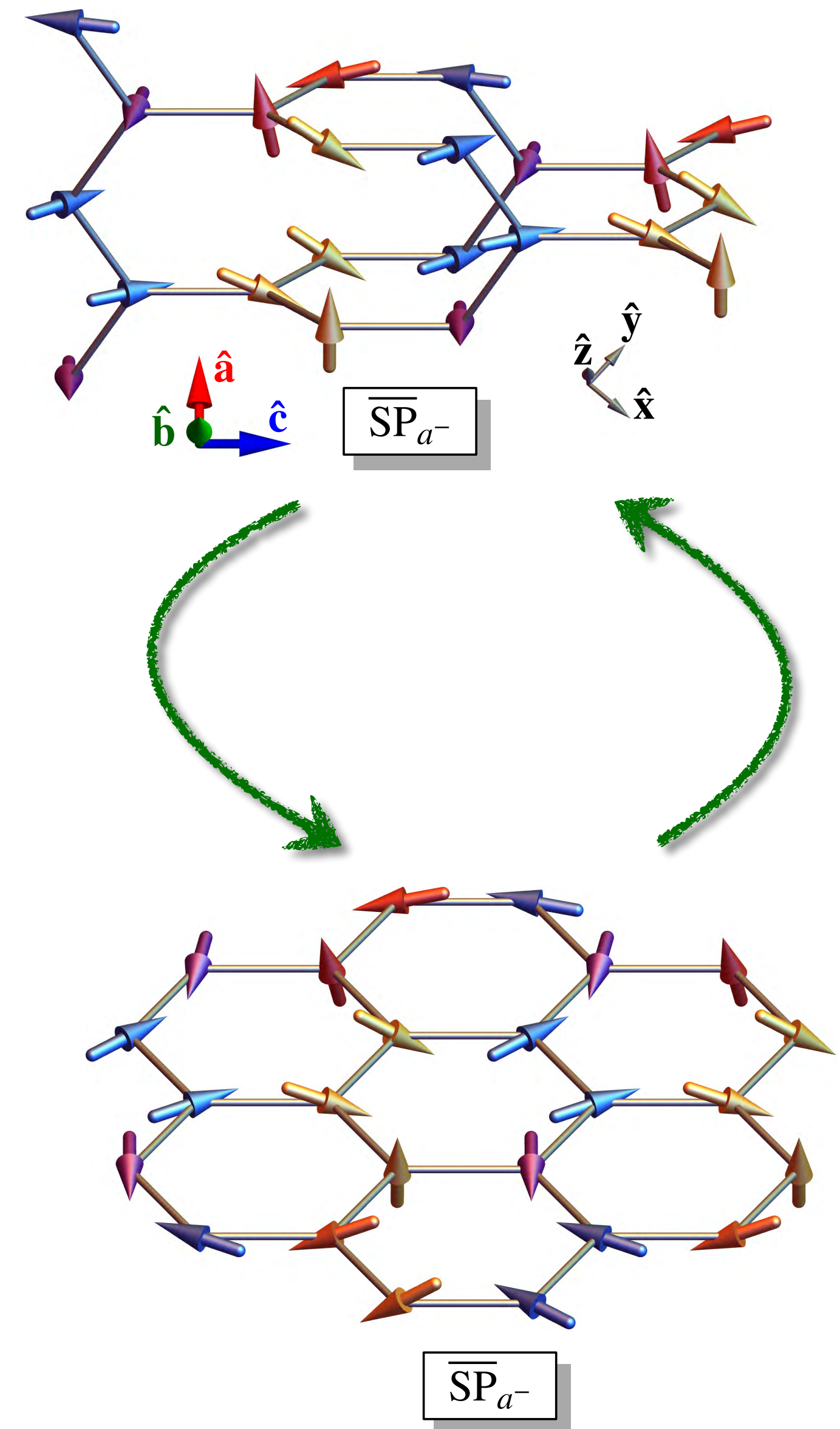
... applies to all ordered states with  $\mathbf{Q} \in ac$  plane

... leads to (largely) identical phase diagrams

... can be extended to full harmonic series  $\mathcal{H}\langle n \rangle$

... independent of model

... establishes equivalence of magnetic order  
in  $\alpha$ -Li<sub>2</sub>IrO<sub>3</sub>,  $\beta$ -Li<sub>2</sub>IrO<sub>3</sub>, and  $\gamma$ -Li<sub>2</sub>IrO<sub>3</sub>



[Krüger, Vojta, LJ, arXiv:1907.05423]