





NATURWISSENSCHAFTLICHE FAKULTÄT

Non-equilibrium steady-state description of photoinduced orders in Mott insulators

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Nonthermal pathways to control condensed matter

1) Nonequilibrium staedy states:

⇒ Current-induced phase transitions



2) Floquet engineering (in solids!)

 \Rightarrow Light-induced anomalous **Quantum Hall effect**



Mc Iver et al. Nature Physics 16, 38(2020)

3) Transient states

 \Rightarrow Light-induced phase transitions



Ichikawa et al. Nature Materials 10, 101(2011)

 \Rightarrow Artificial magnetic fields T. Nova, Nature Physics 13, 132 (2017)

⇒ Light-induced modification of magnetic exchange



Fe³⁺ Fe³⁺

Mikhaylovskiy et al. Nat. ⇒ Comm. 6, 8190 (2015)

Reviews: de la Torre et al. arXiv:2103.14888; Bavov, Hsieh, Averitt et al. Nature Mater 16, 1077 (2017

Relaxation of isolated quantum systems towards equilibrium

Typical relaxation of some observable after a quench:



Pre-thermalization close to integrable point: Berges et al 2004; Moeckel & Kehrein 2008; Slow variables = Integrals of motion
Kollar et al. 2011; d' Alessio 2016 Polkovnikov et al, RMP 83, 863 (2011)

Phenomenological description of photo-induced e.g., Beaud et al. Nature Mat. 2014 phase transitions: "non-equilibrium order parameters"

> Nonequilibrium state with slow energy/particle
>
> ⇒ flow between subsystems ⇒ model as exact steady state of system driven with reservoirs

Outline

1) Examples which illustrate the evolution of long-lived intermediate states and emergence of slow variables:

Thermalization of antiferromagnetic spin density order after a quench Picano, Eckstein, Phys. Rev. B 103, 165118 (2021)

⇒ A photo-induced strange metal in a Mott insulator

Dasari, Li, Werner, Eckstein, arxiv:2010.04095

2) Quasi-steady state description of photo-doped state

Li and Eckstein, Phys. Rev. B **103**, 045133 (2021) Li, Golez, Werner, Eckstein, PRB **102**, 165136 (2020) Picano, Li, Eckstein, arxiv:2101.09037

Simulations based on **Non-equilibrium Dynamical mean field theory** ... for transient time-evolution and non-equilibrium steady states

Aoki, Tsuji, Eckstein, Kollar, Oka, Werner, RMP 86, 779 (2014)

with Antonio Picano (FAU) Phys. Rev. B 103, 165118 (2021)

Hubbard model, Bethe lattice (bandwidth=4), Solution: non-equilibrium DMFT

$$H = -t \sum_{\langle ij \rangle, \sigma = \uparrow, \downarrow} c^{\dagger}_{i\sigma} c_{j\sigma} + U \sum_{i} n_{i\uparrow} n_{i\downarrow}$$





Prethermal non-thermal symmetry broken state Tsuji, Eckstein Werner, PRL 2012

BCS superconductor: Barankov and Levitov 2006

with Antonio Picano (FAU) Phys. Rev. B 103, 165118 (2021)

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Long-time nonequilibrium DMFT simulations Stahl et al., to appear

with Antonio Picano (FAU) Phys. Rev. B 103, 165118 (2021)



Spectral function, occupied density of states: $G_{\sigma}^{<}(\omega, t) = A_{\sigma}(\omega, t)F_{\sigma}(\omega, t)$



Slow variables \Rightarrow occupations in subbands

with Antonio Picano (FAU) Phys. Rev. B 103, 165118 (2021)

Mean-field description of non-thermal state?

$$\Rightarrow \text{gap equation} \qquad \frac{1}{U} = \int_0^\infty d\epsilon D_0(\epsilon) \frac{F(-E) - F(E)}{E} \quad E = \sqrt{\epsilon^2 + \Delta^2}$$



with Antonio Picano (FAU) Phys. Rev. B 103, 165118 (2021)

Thermalization of prethermal state?



Accelerated collapse of the gap

- ⇒ Contrast to two stage relaxation
- ⇒ Precursor of metastability?
- ⇒ Origin? Not within mean-field + kinetic equations (some correlation effect)

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Example 2: Photo-doped strange metal in a Mott insulator



Example 2: Photo-doped strange metal in a Mott insulator with N. Dasari (FAU -> HH), J. Li (FAU), Ph. Werner (Fribourg) arxiv:2010.04095

Initial state (bad metal at T ~ bandwidth), U=2×bandwidth, bandwidth=4 \Rightarrow relaxation with ohmic bath at T~0.01 bandwidth



Double occupancy and hole
 density are slow variables
 (expected for U>>t: t-J model)

Similar data for short time evolution (t<50): Eckstein end Werner PRB 2011, PRL 2013



Example 2: Photo-doped strange metal in a Mott insulator

with N. Dasari (FAU -> HH), J. Li (FAU), Ph. Werner (Fribourg) arxiv:2010.04095



⇒ Universal distribution, different chemical potentials for "holes" and ""doublons

Example 2: Photo-doped strange metal in a Mott insulator with N. Dasari (FAU -> HH), J. Li (FAU), Ph. Werner (Fribourg) arxiv:2010.04095

"Universality": Temperature quench vs field-induced photo-excitation:



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transient non-equilibrium states with universal distributions characterized by few generalized chemical potentials ⇒ infinitely long lived
 ⇒ states by coupling suitable reservoirs.



N conserved

⇒ Nonanalytic response to bath: arbitrary small bath coupling determines N

⇒ Universality: properties of system given by N only (not on details of bath)

Generalization to almost conserved quantities: Lange, Lenarčič, Rosch, Nature Comm 2019

Here: Use bath to activate photo-doped carrier density





with J. Li (FAU) Phys. Rev. B 103, 045133 (2021)

Use bath to activate photo-doped carrier density?

Hubbard model, U=8, bandwidth=4, antiferromagnetic Mott phase

bath coupled to each site $\sum_{j} \sum_{p} \left[c_{j} V_{p,j} a_{p,j} + H.c. + \epsilon_{p} a_{p,j}^{\dagger} a_{p,j} \right]$



with J. Li (FAU) Phys. Rev. B 103, 045133 (2021)

Use bath to activate photo-doped carrier density? Hubbard model, U=8, bandwidth=4, antiferromagnetic Mott phase

Universal distribution function: $A(\omega)f(\omega)$



with J. Li (FAU) Phys. Rev. B 103, 045133 (2021)

Use bath to activate photo-doped carrier density? Hubbard model, U=8, bandwidth=4, antiferromagnetic Mott phase Spectral function: $A(\omega)$



Also similar to doped equilibrium state Werner, Tsuji, Eckstein 2012

Phase diagram of eta-paired superconducting state

with Jiajun Li, Denis Golez, Philipp Werner Phys. Rev. B 102, 165136 (2020)

$$H = \sum_{\langle i,j\rangle,\sigma} c_{i\sigma}^{\dagger} c_{j\sigma} + U \sum_{i} n_{i\uparrow} n_{i\downarrow}$$

Large U limit, with only $\uparrow\downarrow
angle$ and |0
angle :

Long-range η -pairing: $\langle \eta_j^+ \rangle \equiv \Delta$

Phase-diagram of photo-doped Hubbard mode

$$\eta_j^+ = (-1)^j c_{j\uparrow}^\dagger c_{j\downarrow}^\dagger \begin{pmatrix} |\uparrow\downarrow\rangle \\ |0\rangle \end{pmatrix}$$
$$\eta_j^- = (-1)^j c_{j\downarrow} c_{j\uparrow} \begin{pmatrix} |\uparrow\downarrow\rangle \\ |0\rangle \end{pmatrix}$$

$$H^{ ext{eff}} = -\sum_{\langle ij
angle} J_{ ext{ex}} oldsymbol{\eta}_i \cdot oldsymbol{\eta}_j \cdot oldsymbol{H}^{ ext{eff}}$$
Kaneko et al, 2018
Peronacci et al. 2020



Summary

Examples for universal non-thermal distribution functions with slow variables and conjugate generalized chemical potentials

⇒ SDW after quench, Mott insulator after photo-doping Picano, Eckstein, Phys. Rev. B 103, 165118 (2021) Dasari, Li, Werner, Eckstein, arxiv:2010.04095 Some mysteries in the evolution to be understood conceptually

⇒ accelerated gap melting (precursor of metastability)

Dissipative preparation of slowly evolving "prethermal states"

⇒ phase-diagram of photo-doped state

Li and Eckstein, Phys. Rev. B **103**, 045133 (2021) Li, Golez, Werner, Eckstein, PRB **102**, 165136 (2020)

⇒ QBE for slow evolution of such states: Picano, Li, Eckstein, arxiv:2101.09037

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