SPICE workshop June 21, 2022 Ingelheim, Germany



Measurement Induced Phases and Phase Transitions in Fermion Chains

Sebastian Diehl

Institute for Theoretical Physics, University of Cologne



Ori Alberton



Björn Ladewig



Thomas Müller



Michael Buchhold







European Research Council Established by the European Commission

Measurement Induced Phase Transitions (MITs)

Small quantum systems: Measurements



Competition for $[\hat{H}, \hat{M}] \neq 0$ —> many-body systems: quantum phase transitions

Measurement Induced Phase Transitions (MITs)

Small quantum systems: Measurements



Competition for $[\hat{H}, \hat{M}] \neq 0$ —> many-body systems: quantum phase transitions

MITs as entanglement transitions Skinner, Ruhman, Nahum PRX (2019) Li, Chen, Fisher, PRB (2018, 2019)

- Hamilton dynamics: entanglement growth
- local measurements: entanglement saturation



Measurement Induced Phase Transitions (MITs)

Small quantum systems: Measurements

$$|\psi_0\rangle \xrightarrow[deterministic]{e^{-iHt}} \text{stochastic}} |\psi_t\rangle$$

Competition for $[\hat{H}, \hat{M}] \neq 0$ —> many-body systems: quantum phase transitions

MITs as entanglement transitions Skinner, Ruhman, Nahum PRX (2019) Li, Chen, Fisher, PRB (2018, 2019)

- Hamilton dynamics: entanglement growth
- local measurements: entanglement saturation



- two well-studied classes ("Gaussian" polynomial complexity)
 - random Clifford circuits



Choi, Bao, Qi, Altman, PRL (2020) relation to quantum error correction Gullans, Huse, PRX (2020) purification transitions Jian, You, Vasseur, Ludwig, PRB (2020) relation to stat mech models

 \blacksquare volume-to-area law entanglement transition $S \sim L$

monitored free fermions

Alberton, Buchhold, SD, PRL (2021) Biella, Schiro, Quantum (2021) Turkeshi, Piroli, Schiro, arxiv (2022)



 \blacktriangleright log-to-area entanglement transition $S \sim \log L$

Entanglement Phase Transitions in Monitored Fermion Chains



new types of entanglement transition analytical understanding: Keldysh-replica field theory outlook: observability in Gaussian complexity class

Hamilton and measurement dynamics

evolution equation: stochastic Schrödinger equation

Belavkin, Phys. Lett A (1989); Gisin, Percival, JPA (1993) Jacobs, Steck, Contemp. Phys. (2006)

$$d|\psi_t\rangle = dt(-i\hat{H} - \frac{\gamma}{2}\sum_l \hat{M}_l^2 |\psi_t\rangle + \sum_l dW_l \hat{M}_l |\psi_t\rangle \qquad \qquad \hat{M}_l = \hat{n}_l - \langle \hat{n}_l \rangle_t$$

Gaussian white noise

each wave function is a random object —> ensemble average trivial in stationary state

$$\rho_t = \overline{|\psi_t\rangle\langle\psi_t|} = \sum |\psi_t\rangle\langle\psi_t| \sim \mathbf{1} \qquad \longleftrightarrow$$

outcomes maximally mixed / infinite temperature

• use state dependent observables instead:

$$F(\overline{\hat{\rho}}) \neq \overline{F[\hat{\rho}]}$$

Cao, Tilloy, De Luca, SciPost (2019) Zaballo et. al. PRB (2020)

 $\partial_t \hat{\rho}_t = -i[\hat{H}, \hat{\rho}_t] - \gamma \sum_l [\hat{n}_l, [\hat{n}_l, \hat{\rho}_t]]$ guantum master equation

Hamilton and measurement dynamics

evolution equation: stochastic Schrödinger equation

Belavkin, Phys. Lett A (1989); Gisin, Percival, JPA (1993) Jacobs, Steck, Contemp. Phys. (2006)

$$d|\psi_t\rangle = dt(-i\hat{H} - \frac{\gamma}{2}\sum_l \hat{M}_l^2 |\psi_t\rangle + \sum_l dW_l \hat{M}_l |\psi_t\rangle \qquad \qquad \hat{M}_l = \hat{n}_l - \langle \hat{n}_l \rangle_t$$

Gaussian white noise

each wave function is a random object —> ensemble average trivial in stationary state

$$\rho_t = \overline{|\psi_t\rangle\langle\psi_t|} = \sum |\psi_t\rangle\langle\psi_t| \sim \mathbf{1} \qquad \longleftrightarrow$$

outcomes maximally mixed / infinite temperature

use state dependent observables instead:

$$F(\overline{\hat{\rho}}) \neq \overline{F[\hat{\rho}]}$$

$$\partial_t \hat{\rho}_t = -i[\hat{H}, \hat{\rho}_t] - \gamma \sum_l [\hat{n}_l, [\hat{n}_l, \hat{\rho}_t]]$$

quantum master equation

Cao, Tilloy, De Luca, SciPost (2019) Zaballo et. al. PRB (2020)

- examples:
 - von Neumann entanglement entropy

$$\overline{S_{vN}(l,L)} = \overline{\langle \log(\rho_A) \rangle}$$

correlation function

 $C_{ij} = \langle \hat{n}_i \rangle \langle \hat{n}_j \rangle$

$$\rho_A = \operatorname{tr}_B |\psi_t\rangle \langle \psi_t |$$

arbitrarily high power of state projector

 $|\psi_t\rangle\langle\psi_t|$

quadratic in state projector

Trajectory Ensemble Phase Diagram (nn hopping J) Alberton, Buchhold, SD, PRL (2021)



critical phase but no transition for non-unitary circuits: Chen, Li, Fisher, Lucas PRR (2020)

Characterizing the Weak Monitoring Phase & Phase Transition

• effective central charge $c(\gamma)$



sudden jump reminiscent of BKT

measurement-induced BKT: Bao, Choi, Altman, Annals of Physics (2021)

Characterizing the Weak Monitoring Phase & Phase Transition

- effective central charge $c(\gamma)$
- $\overline{S_{vN}(l,L)} = \frac{c(\gamma)}{3} \log_2 \left[\frac{L}{\pi} \sin\left(\frac{\pi l}{L}\right) \right] + s(\gamma)$ 10^2 10^1 10^0 L = 800 L = 400 10^{-2} 10^{-2} 10^{-3} (i) $M_l = n_l \langle n_l \rangle$ γ
- extended criticality: Connected correlation function

$$C_{i,i+l} = \overline{\langle \hat{n}_i \rangle \langle \hat{n}_{i+l} \rangle} - \overline{\langle \hat{n}_i \hat{n}_{i+l} \rangle}$$



$$C_{i,i+l} \sim \begin{cases} 0 & \text{for } H = 0\\ \exp(-l/\xi) & \text{for } \gamma \gg J\\ l^{-2} & \text{for } \gamma \ll J\\ l^{-1} & \text{for } \gamma = 0 \end{cases}$$

sudden jump reminiscent of BKT

measurement-induced BKT: Bao, Choi, Altman, Annals of Physics (2021) correlation functions equally characterize the transition

Characterizing the Weak Monitoring Phase & Phase Transition

• effective central charge $c(\gamma)$





 extended criticality: Connected correlation function

$$C_{i,i+l} = \overline{\langle \hat{n}_i \rangle \langle \hat{n}_{i+l} \rangle} - \overline{\langle \hat{n}_i \hat{n}_{i+l} \rangle}$$



$$C_{i,i+l} \sim \begin{cases} 0 & \text{for } H = 0\\ \exp(-l/\xi) & \text{for } \gamma \gg J\\ l^{-2} & \text{for } \gamma \ll J\\ l^{-1} & \text{for } \gamma = 0 \end{cases}$$

sudden jump reminiscent of BKT

measurement-induced BKT: Bao, Choi, Altman, Annals of Physics (2021) correlation functions equally characterize the transition • mutual information



signalling conformal invariance

conformally invariant critical point: Nahum et al. PRX (2019); Li Chen Fisher PRB (2019); Jian et al. PRB (2020);

Effective Replica Field Theory for Measurement Induced Phase Transitions



M. Buchhold, Y. Minoguchi, A. Altland, SD, PRX 11, 041004 (2021)



Pinning picture: Toy model

• toy model: trajectory evolution of single fermion on two sites

$$|\psi_{t+dt}\rangle = |\psi_t\rangle - idt\hat{H}_{\text{eff}}|\psi_t\rangle + \sum_{l=1}^2 dW_l \left(\hat{n}_l - \langle \hat{n}_l \rangle_t\right)|\psi_t\rangle$$

 $\hat{H}_{\text{eff}} = \hat{H} - i\hat{K}$ $\hat{H} = -J\left(c_{1}^{\dagger}c_{2} + h.c.\right)$ $\hat{K} = \frac{\gamma}{2}\sum_{l=1}^{2}\left(\hat{n}_{l} - \langle \hat{n}_{l} \rangle_{t}\right)^{2}$

H=0: collapse into dark state at long times $\hat{n}_l |\psi_t\rangle = \langle \hat{n}_l \rangle |\psi_t\rangle \Longrightarrow n_l = 0, 1$





 $J/\gamma \gg 1$

Pinning picture: Toy model

• toy model: trajectory evolution of single fermion on two sites

$$|\psi_{t+dt}\rangle = |\psi_t\rangle - idt\hat{H}_{\text{eff}}|\psi_t\rangle + \sum_{l=1}^2 dW_l \left(\hat{n}_l - \langle \hat{n}_l \rangle_t\right)|\psi_t\rangle$$

 $\hat{H}_{\text{eff}} = \hat{H} - i\hat{K}$ $\hat{H} = -J\left(c_{1}^{\dagger}c_{2} + h.c.\right)$ $\hat{K} = \frac{\gamma}{2}\sum_{l=1}^{2}\left(\hat{n}_{l} - \langle \hat{n}_{l} \rangle_{t}\right)^{2}$

→ H=0: collapse into dark state at long times $\hat{n}_l |\psi_t\rangle = \langle \hat{n}_l \rangle |\psi_t\rangle \Longrightarrow n_l = 0, 1$



- pinning to measurement eigenstate
- invisible in linear averages





- vanishing time spent in eigenstate
- seen in averaged trajectory covariance matrix





Pinning picture: Toy model

• toy model: trajectory evolution of single fermion on two sites



guiding picture and practical approach:

• thermodynamic limit: pinning quantum phase transition at sharply defined point

2

- Minimal continuum model in (1+1) dimensions)
- signalled in state dependent 'observables', like the covariance matrix
 - Replica construction

main insight:

pinning transition in replica degrees of freedom in BKT universality class



Continuum (1+1) dimensional Model

model obtains from naive continuum limit and bosonization of lattice fermion model

bosonized variant fermionic variant • Hamiltonian: massless Dirac fermions $\hat{\Psi}_x = (\hat{\psi}_{R,x}, \hat{\psi}_{L,x})^T$ Luttinger liquid $\hat{H} = iv \int_{x} \hat{\Psi}_{x}^{\dagger} \sigma_{z} \partial_{x} \hat{\Psi}_{x}$ $\hat{H} = \frac{v}{2\pi} \int_{x} [(\partial_x \hat{\theta}_x)^2 + (\partial_x \hat{\phi}_x)^2]$ phase density

Continuum (1+1) dimensional Model

• model obtains from naive continuum limit and bosonization of lattice fermion model

bosonized variant fermionic variant • Hamiltonian: massless Dirac fermions $\hat{\Psi}_x = (\hat{\psi}_{R,x}, \hat{\psi}_{L,x})^T$ Luttinger liquid $\hat{H} = iv \int \hat{\Psi}_x^{\dagger} \sigma_z \partial_x \hat{\Psi}_x$ measurement operators: current and vertex operators rate γ_1 : $\hat{O}_{1,x} = \Psi_x^\dagger \Psi_x = \hat{J}_x^{(0)}$ rate γ_2 : $\hat{O}_{2,x} = \Psi_x^{\dagger} \sigma_x \Psi_x$ common eigenstates: $\hat{\phi}_x |\Psi_D\rangle = \phi_x |\Psi_D\rangle$

- stabilize product dark states: exactly local
- realize competition: do not commute with H (phase fluctuations)

Replica Approach (n=2)

- Access state-dependent observables, e.g. covariance matrix
- Introduce replicas in Hilbert space

• All quadratic-in-state observables encoded in

$$ho^{2R} = \overline{|\Psi_t\rangle\langle\Psi_t|}$$
 $ightarrow$ linear statistical average of replica density matrix

Replica Approach (n=2)

- Access state-dependent observables, e.g. covariance matrix
- Introduce replicas in Hilbert space
- All quadratic-in-state observables encoded in

 $\rho^{2R} = \overline{|\Psi_t\rangle\langle\Psi_t|}$ \rightarrow linear statistical average of replica density matrix

 $|\Psi_t\rangle = |\psi_t^{(1)}\rangle \otimes |\psi_t^{(2)}\rangle = \overset{\text{Out} \bullet \cdots \text{Out} \bullet \cdots \text{Ou$

 $C_{xy} = \langle \hat{n}_x \hat{n}_y \rangle - \langle \hat{n}_x \rangle \langle \hat{n}_y \rangle$

- Quantum master equation (truncate coupling to ρ^{3R})



Replica Approach (n=2)

- Access state-dependent observables, e.g. covariance matrix
- Introduce replicas in Hilbert space
- All quadratic-in-state observables encoded in

 $\rho^{2R} = \overline{|\Psi_t\rangle\langle\Psi_t|}$ \rightarrow linear statistical average of replica density matrix

- Quantum master equation (truncate coupling to ρ^{3R})



• New degrees of freedom
$$\hat{\phi}^{(a)} = \hat{\phi}^{(a)} = \hat{\phi}^{(1)} + \hat{\phi}^{(2)} \quad \text{average coordinate}$$

$$\hat{\phi}^{(a)} = \hat{\phi}^{(1)} - \hat{\phi}^{(2)} \quad \text{replica fluctuations}$$

Boson Replica Quantum Master Equation

 New degrees of freedom 	0.0.0.0.0.0.0.0 + 0.0.0.0.0.0.0.0	$\hat{\phi}^{(a)} = \hat{\phi}^{(1)} + \hat{\phi}^{(2)}$	average coordinate
	00000	$: \hat{\phi}^{(r)} = \hat{\phi}^{(1)} - \hat{\phi}^{(2)}$	replica fluctuations

- Master equation becomes separable (exact for Gaussian dynamics, useful more generally)
 - Average coordinate: heating to infinite temperature

• Relative coordinate: cooling/damping into dark state

$$\partial_t \rho^{(r)} = i[\rho^{(r)}, H^{(r)}] - \frac{\gamma}{\pi} \sum_l \left\{ (\partial_x \hat{\phi}^{(r)})^2, \rho^{(r)} \right\} \quad \bullet \quad \text{no jump term!}$$

Boson Replica Quantum Master Equation

Now dogrado of froodom	$\bigcirc \bullet \bullet \circ \bullet $	$\hat{\phi}^{(a)} = \hat{\phi}^{(1)} + \hat{\phi}^{(2)}$	average coordinate
 New degrees of freedom 	0-•-0-•-0-•-0-•-0	$: \hat{\phi}^{(r)} = \hat{\phi}^{(1)} - \hat{\phi}^{(2)}$	replica fluctuations

- Master equation becomes separable (exact for Gaussian dynamics, useful more generally)
 - Average coordinate: heating to infinite temperature

• Relative coordinate: cooling/damping into dark state

$$\partial_t \rho^{(r)} = i[\rho^{(r)}, H^{(r)}] - \frac{\gamma}{\pi} \sum_l \left\{ (\partial_x \hat{\phi}^{(r)})^2, \rho^{(r)} \right\} \qquad \qquad \text{no jump term!}$$

• Present model: non-Hermitian Schrödinger equation for relative coordinate

$$\partial_t |\psi_t^{(r)}\rangle = -iH_{\rm eff} |\psi_t^{(r)}\rangle \quad \Rightarrow \text{ cooling into dark state}$$

$$H_{\rm eff} = \frac{\nu}{2\pi} \int_x (\partial_x \hat{\theta})^2 + (1 - i\eta^2) (\partial_x \hat{\phi})^2 - i\frac{\gamma m}{\pi} \int_x [1 - \cos(\sqrt{8}\hat{\phi}_x)] effect of non-linearity$$

- non-Hermitian Sine-Gordon: pinning via cos term, depinning via theta term
- extract physics in path integral approach

Phase diagram

Sine-Gordon action with complex coefficients

Fendley, Saleur, Zamolodchikov, International Journal of Modern Physics (1993)

$$S = \int_{t,x} \left\{ \frac{K}{16\pi} \left[\frac{1}{\eta} (\partial_t \phi)^2 - \eta (\partial_x \phi)^2 \right] - i\lambda \cos(\phi) \right\}$$





shift of phase border

- standard BKT flow at long distance
- same long wavelength properties

Phase diagram

Sine-Gordon action with complex coefficients

Fendley, Saleur, Zamolodchikov, International Journal of Modern Physics (1993)



all in line with numerics for lattice fermions



Entanglement Entropies: n-Replica Keldysh approach



Entanglement Entropies: n-Replica Keldysh approach

• Rényi entropy
$$S_n(L) = \frac{1}{1-n} \overline{\log Z_A(n, \{dW\})}, \ Z_A(n, \{dW\}) \equiv \operatorname{tr}[(\hat{\rho}_A^{(c)})^n]$$

• von Neumann entropy: $n \to 1$

- approach: Keldysh replica field theory for n replicas (entropies via modified boundary conditions)
 ground states: Casini, Fosco, Huerta, J. Stat. Mech. (2005)
- decoupling of center-of-mass and relative modes (exact for Gaussian states -> good away from transition)



Entanglement Entropies: n-Replica Keldysh approach

• Rényi entropy
$$S_n(L) = \frac{1}{1-n} \overline{\log Z_A(n, \{dW\})}, \ Z_A(n, \{dW\}) \equiv \operatorname{tr}[(\hat{\rho}_A^{(c)})^n]$$

• von Neumann entropy: $n \to 1$

- approach: Keldysh replica field theory for n replicas (entropies via modified boundary conditions)
 ground states: Casini, Fosco, Huerta, J. Stat. Mech. (2005)
- decoupling of center-of-mass and relative modes (exact for Gaussian states -> good away from transition)



massless g_c $g = \gamma/v$ massive $S = \frac{1}{3}c(\gamma)\log(L)$ $S \sim L^0$

Long range models: numerics and analytics

• long ranged hopping model

$$\hat{H}_{LR} = \sum_{l \neq m} \frac{\hat{c}_l^{\dagger} \hat{c}_m}{|l - m|^p} \qquad 1 superext. nn hopping $1 > 1/p > 0$$$

new scaling behavior & new phase transition

T. Mueller, SD, M. Buchhold PRL 128, 010605 (2022) Minato et al, ibid 010603; Block et al. ibid 010604





striking parallel to ground state phase diagram of long range Luttinger liquids: Maghrebi et al., PRL (2017)

Long range models: numerics and analytics

long ranged hopping model

T. Mueller, SD, M. Buchhold PRL 128, 010605 (2022) Minato et al, ibid 010603; Block et al. ibid 010604



Long range models: numerics and analytics

long ranged hopping model

T. Mueller, SD, M. Buchhold PRL 128, 010605 (2022) Minato et al, ibid 010603; Block et al. ibid 010604



Robustness against imperfect readout / decoherence

motivation

• imperfect read out $\square c_l^{\dagger} c_l = ?$

B. Ladewig, SD, M. Buchhold, arXiv (2022), to appear in PRR circuits: Li, Fisher, arxiv (2021); Bao, Choi, Altman, Ann. Phys. (2021)

- coupling to environment $H_{\text{sys-bath}} = \sum_{l} n_l \hat{E}_l$
- common formulation in terms of incoherent stochastic Schrödinger equation
- complex interplay



enviro	nment	
	subsystem ${ m Tr}_{ m sys} \hat{ ho}_{ m sys}^2 < 1$	

Robustness against imperfect readout / decoherence



Robustness against imperfect readout / decoherence



- robustness: extended area of critical log-phase
- gapless nature persists to large decoherence for small measurement rate

Observability of the transition? Feedback scenario



- Postselection exponentially hard
- Do preselection instead:
 - break degeneracy in measurement outcomes
 - steer system into representative state in Hilbert space (dark state)
- pull the transition to the level of standard observables (linear in state)



way out for Cliffords: Gullans, Huse, PRL (2020); exp: C.

Noel et al. Nat Phys. (2021)

Observability of the transition? Feedback scenario



- Postselection exponentially hard
- Do preselection instead:
 - break degeneracy in measurement outcomes
 - steer system into representative state in Hilbert space (dark state)
- pull the transition to the level of standard observables (linear in state)
- Feedback procedure:
 - track measurement outcomes $I_s = \langle \hat{n}_s \rangle + \frac{dW_s}{2\gamma dt}$
 - condition Hamiltonian on outcomes (follow evolution by simulation efficient in Gaussian class free fermions, stabilizers)

$$\hat{H}(\{I_s\}) \to \hat{H}(\{\langle \hat{n}_s \rangle\}) = \sum_l t_l(\{\langle \hat{n}_s \rangle\})\hat{c}_{l+1}^{\dagger}\hat{c}_l + h.c.$$
state dependent hopping

way out for Cliffords: Gullans, Huse, PRL (2020); exp: C.

Noel et al. Nat Phys. (2021)



Observability of the transition? Feedback scenario



- Postselection exponentially hard
- Do preselection instead:
 - break degeneracy in measurement outcomes
 - steer system into representative state in Hilbert space (dark state)
- pull the transition to the level of standard observables (linear in state)
- Feedback procedure:
 - track measurement outcomes $I_s = \langle \hat{n}_s \rangle + \frac{dW_s}{2\gamma dt}$
 - condition Hamiltonian on outcomes (follow evolution by simulation efficient in Gaussian class free fermions, stabilizers)

 $\hat{H}(\{I_s\}) \to \hat{H}(\{\langle \hat{n}_s \rangle\}) = \sum_l t_l(\{\langle \hat{n}_s \rangle\})\hat{c}_{l+1}^{\dagger}\hat{c}_l + h.c.$ state dependent hopping

way out for Cliffords: Gullans, Huse, PRL (2020); exp: C.

Noel et al. Nat Phys. (2021)

• condition: hoppings such that there is a unique dark state

$$\hat{H}(\{\langle \psi_T | \hat{n}_s | \psi_T \rangle\}) = 0 \quad \& \quad \hat{M}_s | \psi_T \rangle = (\hat{n}_s - \langle \hat{n}_s \rangle) | \psi_T \rangle = 0 \,\forall s$$

for one representative measurement outcome, e.g.

 $|\psi_T\rangle = |1010...\rangle$ (charge density wave)

strong monitoring: evolution directed towards one specific state
 weak monitoring: steering fails





Feedback induced entanglement transition: Phenomenology



same phenomenology in non-linear in state observables



- log entanglement
- long-range correlations
- exponentially long time to target



- area law entanglement
- exp. decay of correlations
- algebraic time to target



Feedback induced entanglement transition: Phenomenology



- same phenomenology in non-linear in state observables
- transition visible in ordinary qm observables (linear in state/single replica)



- log entanglement
- long-range correlations
- exponentially long time to target



- area law entanglement
- exp. decay of correlations
- algebraic time to target





Feedback induced entanglement transition: Phenomenology



- same phenomenology in non-linear in state observables
- transition visible in ordinary qm observables (linear in state/single replica)



- log entanglement
- long-range correlations
- exponentially long time to target



- area law entanglement
- exp. decay of correlations
- algebraic time to target







- coinciding critical point in single-replica correlator and entanglement entropy (all replicas)
- single run sufficient to detect phase transition

Conclusions & Outlook

Results:

- various measurement induced phases in monitored fermions
 - measurement dominated area law phase
 - Iog-phase
 - subvolume algebraic scaling phase
 - robustness against imperfect measurements/decoherence
- common theoretical description in terms of Keldysh replica field theory

Directions:

- detection: classical vs. quantum feedback w/o classical readout? beyond 'Gaussian' class? universality classes? relation to dark state / absorbing state transitions?
- phase transitions in no-click evolution (rare events) vs. trajectory ensemble (typical events)
 Biella, Schiro, Quantum (2021); Turkeshi et al. PRB (2021) Gopalakrishnan Gullans, PRL (2021)
- integrability breaking in measurement induced phase transitions

O. Lunt, A. Pal, PRR (2020)



