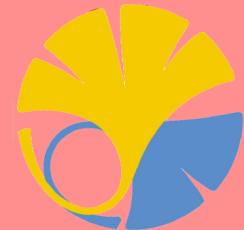


Higher rank gauge fields to produce thermal Hall effects

Chisa HOTTA

The University of Tokyo



When and why the magnons can have a finite thermal Hall effect ?



Masataka Kawano
magnons



Ryo Makuta
SOC Hubbard skyrmions

M.Kawano, C. Hotta, Phys. Rev. B **99**, 054422 (2019)

M.Kawano, Y. Onose, C. Hotta, Communications Physics **2**, 27 (2019)

M. Kawano, C. Hotta, Phys. Rev. B **100**, 174402 (2019)

H. Takeda, M. Kawano, ... M. Yamashita, C. Hotta, Nature Comm. **15**, 566 (2024)

R. Makuta, C. Hotta Phys. Rev. Res. **6**, 023133 (2024)

M. Kawano, C. Hotta, Phys. Rev. B **107**, 045123(2024)

R. Makuta, C. Hotta, arXiv: 2504.05166

Gauge fields and fluxes

U(1) gauge fields

The transfer integral can have a Peierls phase.

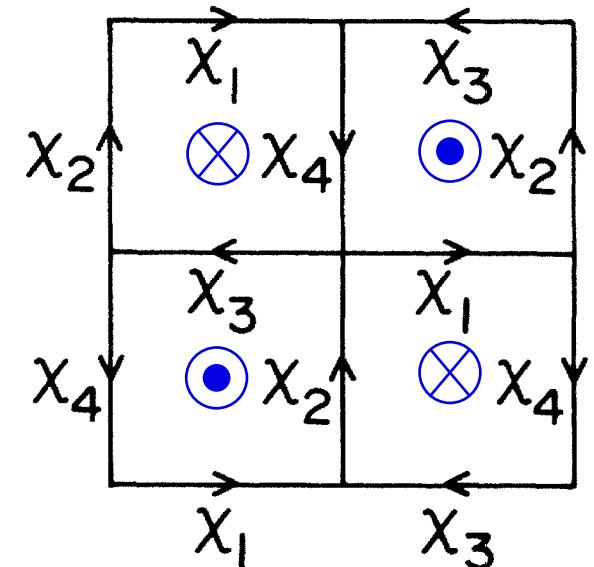
Affleck-Marston, PRB **37**, 3774 (1988)

$$H = \sum_{(i,j)} [(n/J) |\chi_{ij}|^2 + (c_{j\alpha}^\dagger c_{i\alpha} \chi_{ij} + \text{H.c.})]$$

$e^{i\varphi_{ij}}$

π -flux state

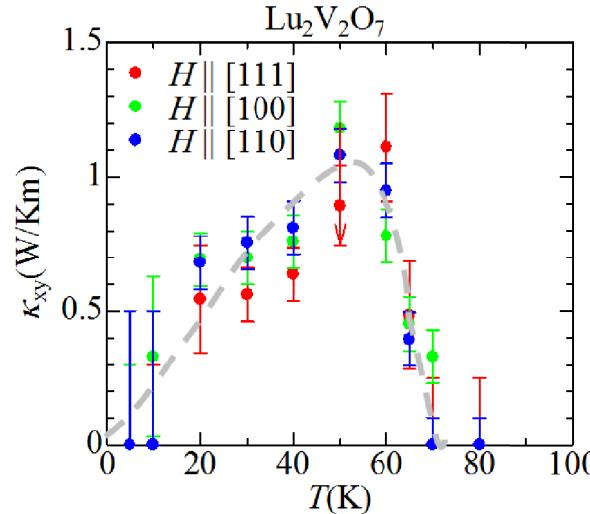
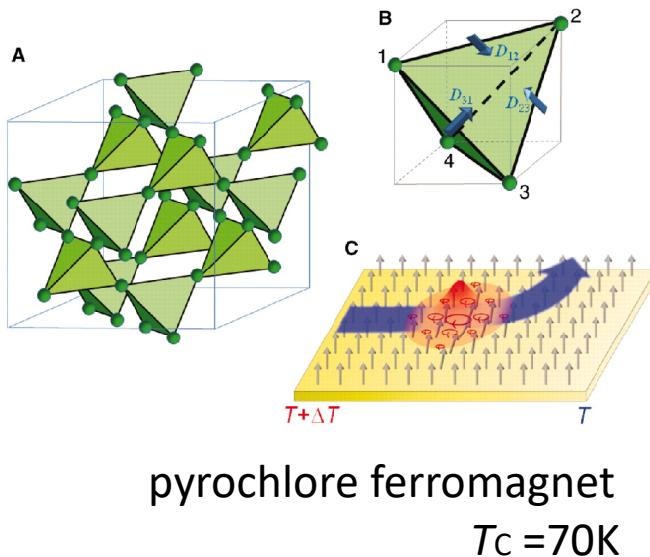
Dirac point, High T_c superconductivity.



Observation of the Magnon Hall Effect

Y. Onose,^{1,2,*} T. Ideue,¹ H. Katsura,³ Y. Shiomi,^{1,4} N. Nagaosa,^{1,4} Y. Tokura^{1,2,4}

SCIENCE 329(2010)



Thermal Hall conductivity κ_{xy} appears below T_c .

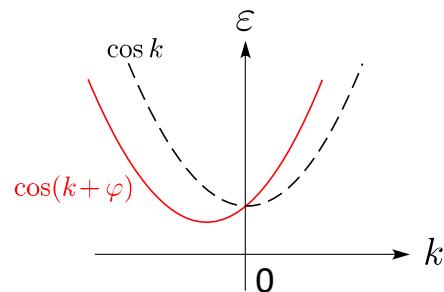
Theories:

S. Fujimoto, PRL **103**, 047203 (2009)

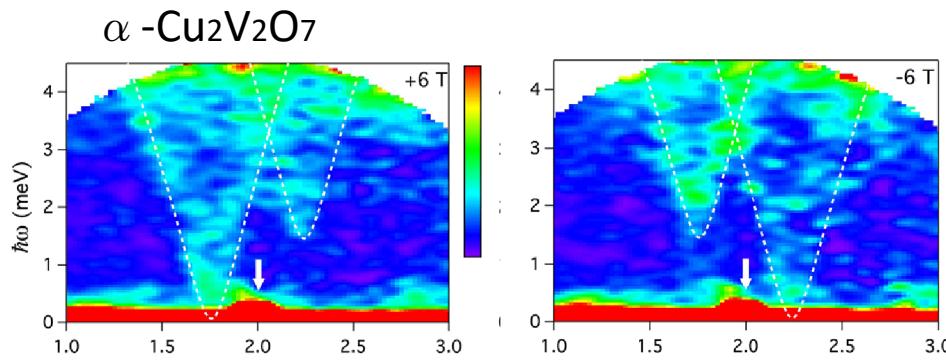
H. Katsura, N. Nagaosa, P. A. Lee, PRL **104**, 066403 (2010).

R. Matsumoto, S. Murakami , PRL **106**, 197202, PRB **84**, 184406 (2011)

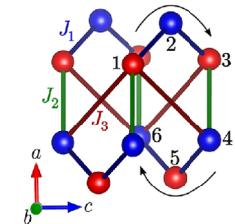
nonreciprocity



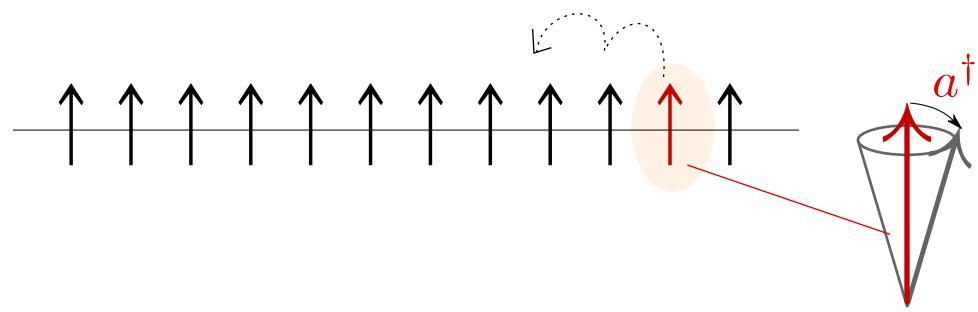
Melcher (1973) Kataoka(1987)
Zakeri et al., (2010), Iguchi et al.(2015)



Gitgeatpong, et al 2017



Ferromagons



$$\left. \begin{array}{l} \text{Heisenberg} \quad JS_i \cdot S_j = J (a_i^\dagger a_j + a_j^\dagger a_i) \\ \text{DM} \quad D_z [S_i \times S_j] = D_z \frac{i}{2} (a_i^\dagger a_j - a_j^\dagger a_i) \end{array} \right\}$$

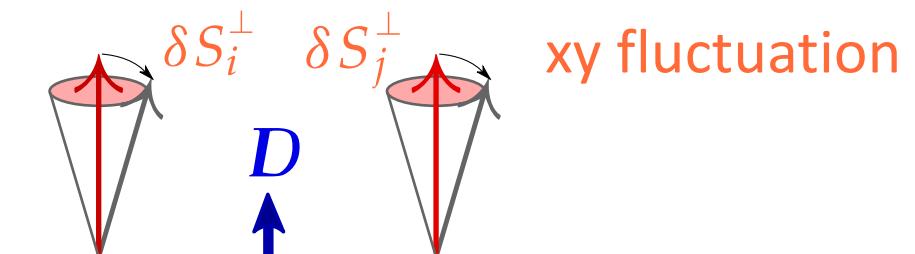
$$JS_i \cdot S_j + D \cdot [S_i \times S_j] \simeq \sqrt{J^2 + (D_{i,j})^2} \left(e^{i\varphi_{ij}} a_i a_j^\dagger + \text{h.c.} \right) + \dots$$

$$\varphi_{i,j} = \arctan(D/J) \quad \text{U(1) gauge field}$$

Fictitious magnetic field induces nonreciprocity & thermal Hall effect

We need DM vector to have a component parallel to the Ferro moment.

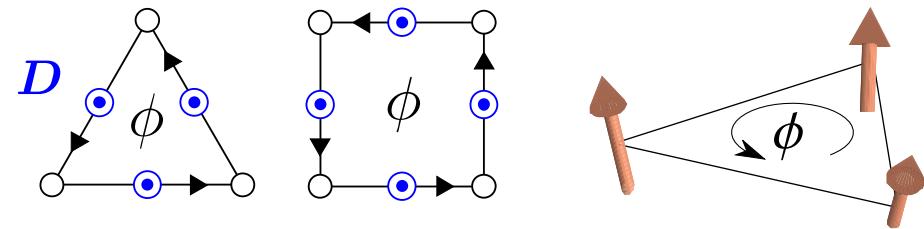
$$D \cdot [S_i \times S_j] \simeq D_z [\delta S_i^\perp \times \delta S_j^\perp]$$



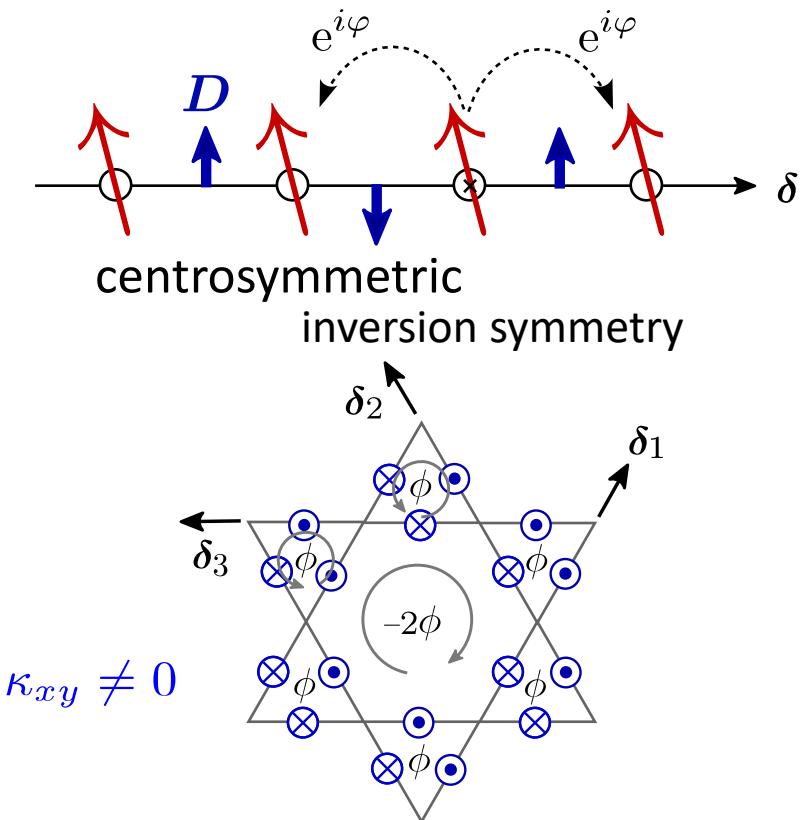
DM rules for U(1) gauge fields

- Fictitious magnetic flux generated by ...

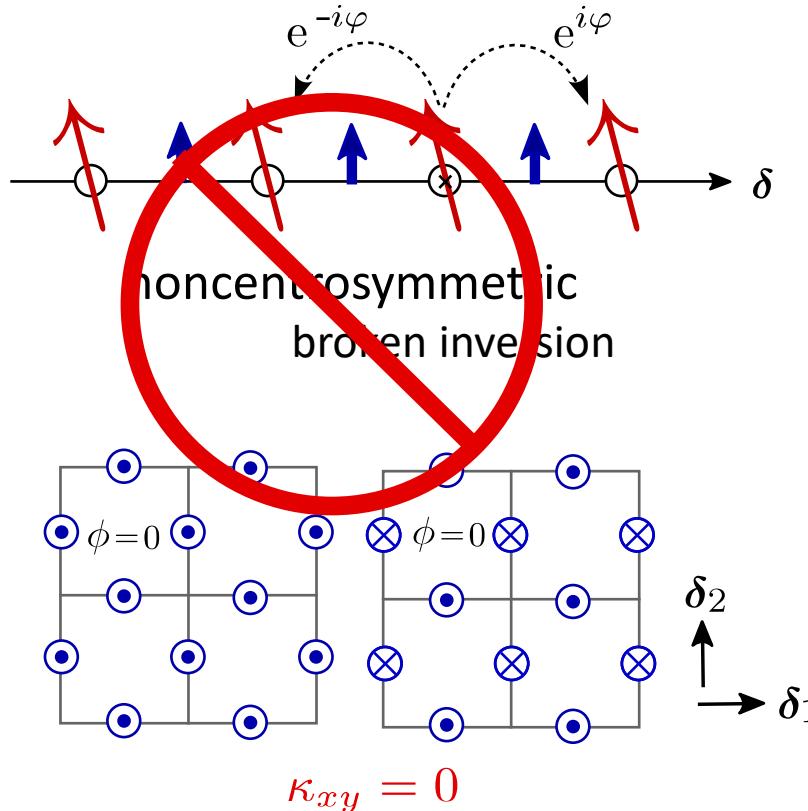
- staggered DM interaction
- noncoplaner spins placed on a closed path



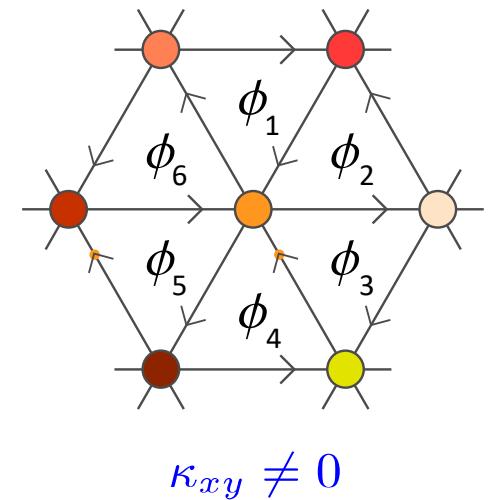
staggered DM



uniform DM



skyrmions
noncoplaner magnets



Avoid flux cancellation

- All cases have net zero flux.
- Corner-shared lattice have thermal Hall, edge-shared lattices, not.

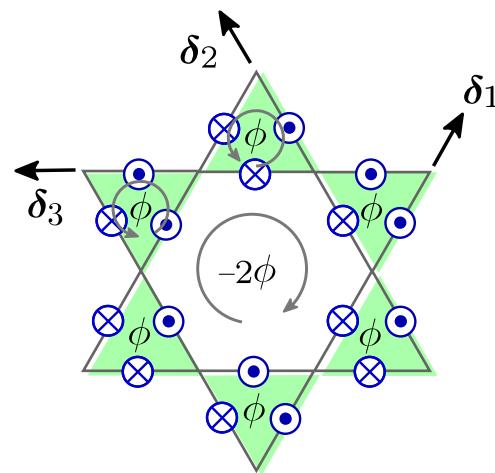
It is NOGO only for ferromagnets.

NOGO theorem

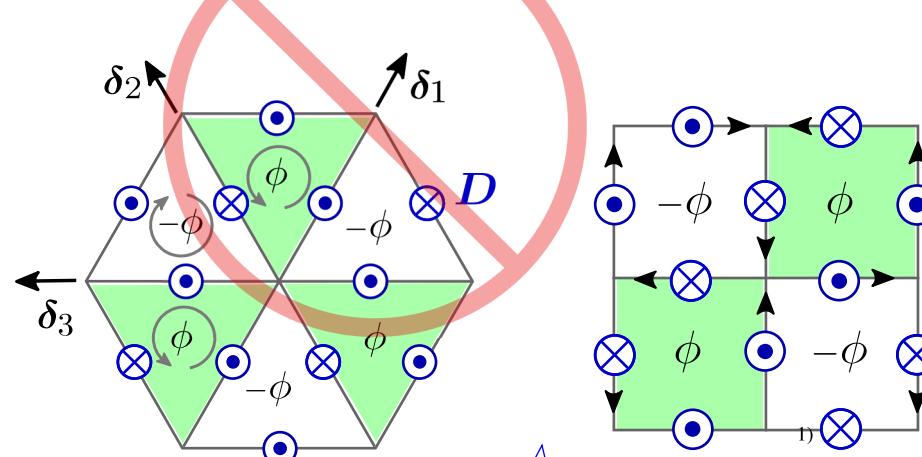
Katsura, et. al (2010)

Ideue, et. al. PRB85, 134411 (2012)

pyrochlore, kagome $\kappa_{xy} \neq 0$



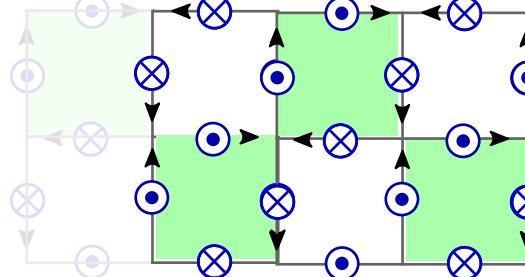
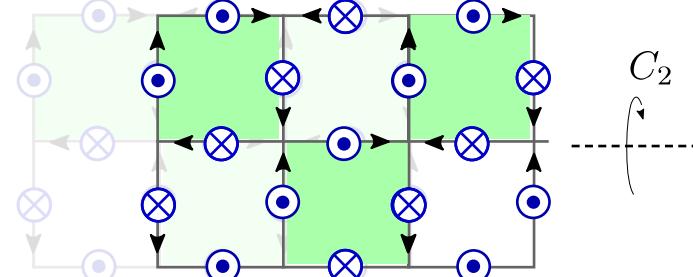
triangle, square $\kappa_{xy} = 0$



symmetry operation that relates $-\phi$ and ϕ fluxes,

half-period \iff

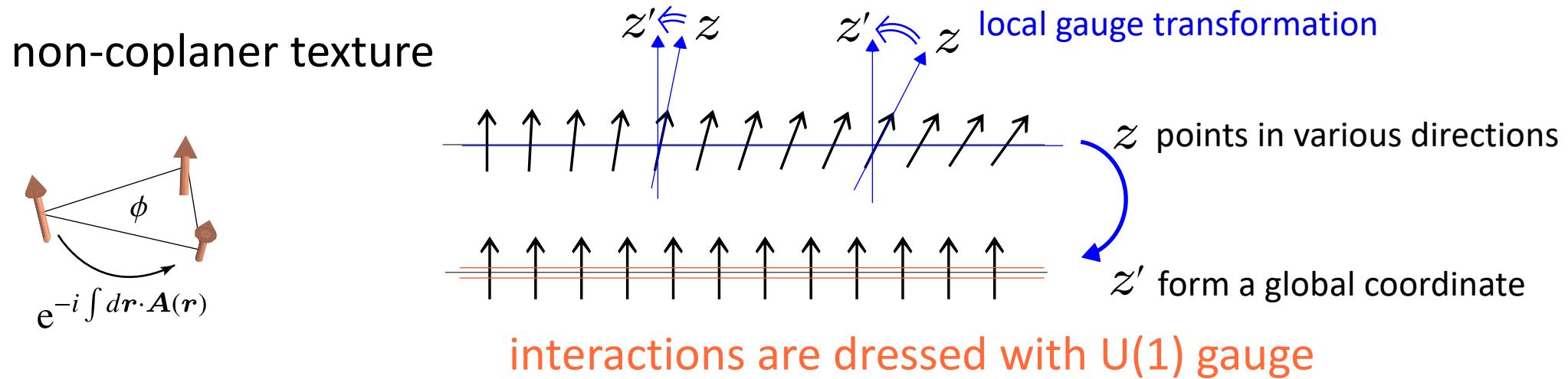
translation



flux goes back
but $\kappa_{xy} \rightarrow -\kappa_{xy}$

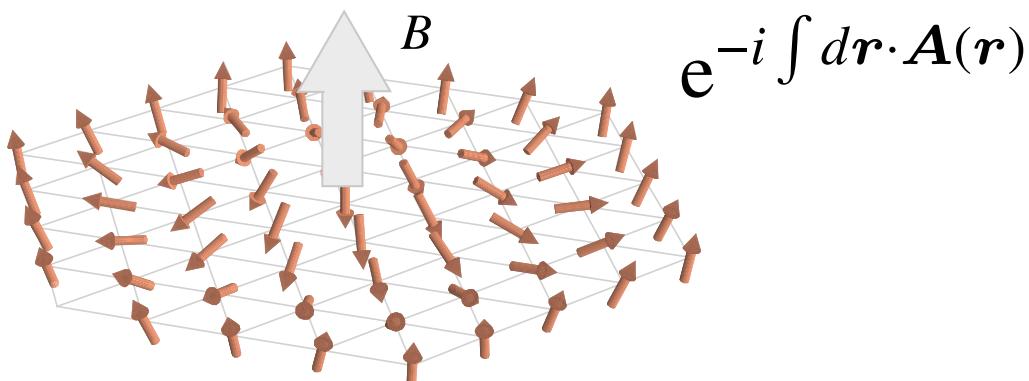
$\kappa_{xy} = 0$

U(1) gauge for incommensurate case



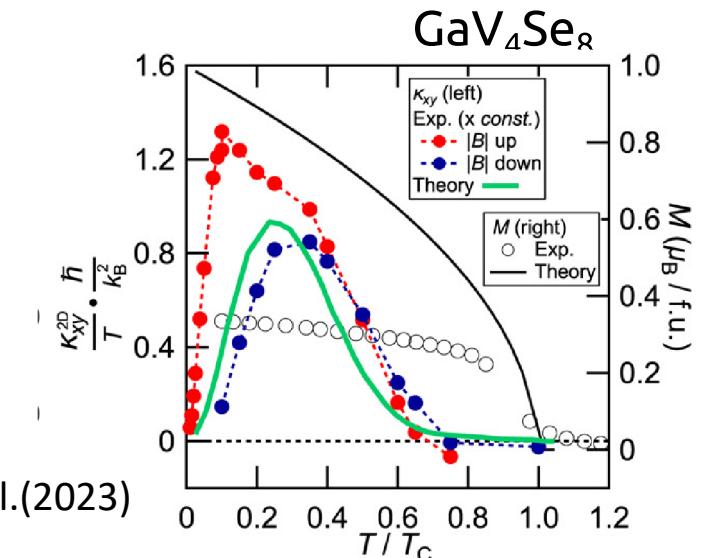
Slowly varying ordered moments generate a vector potential

scalar U(1) gauge field



Hoogdalem, et.al. PRB 87, 024402 (2013)

skyrmions
Akazawa,et.al.(2023)



What about antiferromagnets?

Masataka Kawano, Chisa Hotta, Phys. Rev. B **99**, 054422 (2019)

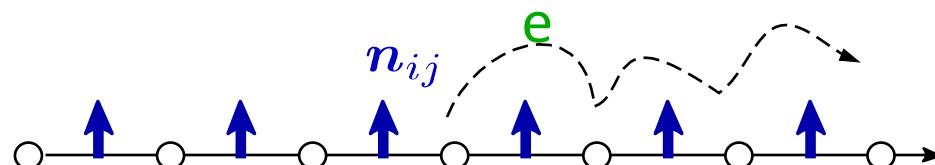
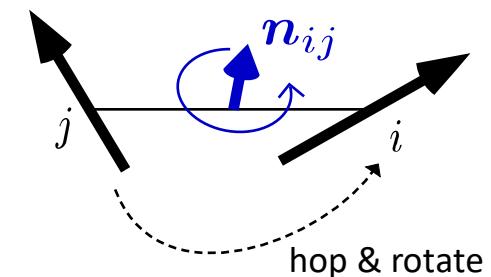
Masataka Kawano, Yoshinori Onose, Chisa Hotta, Communications Physics **2**, 27 (2019)

Masataka Kawano, Chisa Hotta, Phys. Rev. B **100**, 174402 (2019)

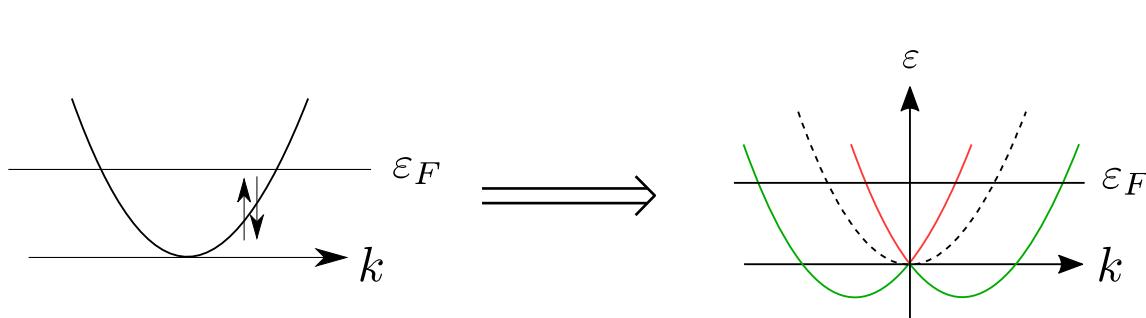
SU(2) gauge: spin orbit coupled electrons

$$\mathcal{H} = \sum_{\langle i,j \rangle} \sum_{\alpha,\beta} -t_{\text{eff}} (c_{i\uparrow}^\dagger, c_{i\downarrow}^\dagger) e^{-i\frac{\theta}{2} \mathbf{n}_{ij} \cdot \boldsymbol{\sigma}} \begin{pmatrix} c_{j\uparrow} \\ c_{j\downarrow} \end{pmatrix}$$

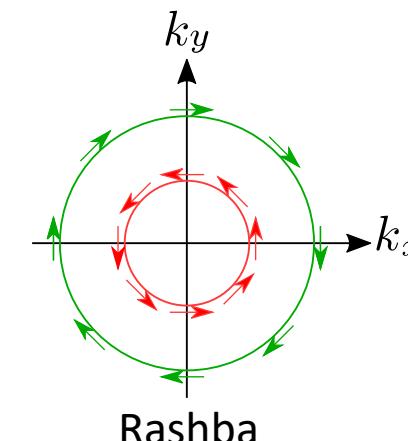
2x2 matrix SU(2) gauge field
 rotation of electron spin about the \mathbf{n}_{ij} -axis



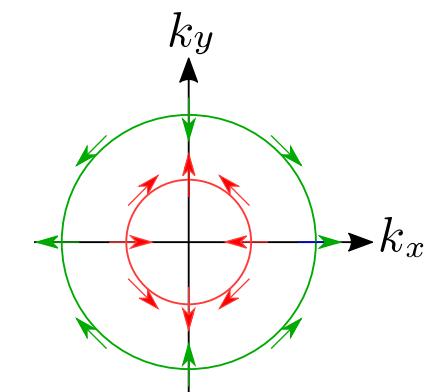
SOC + broken inversion symmetry = spin splitting



Can we realize it in Insulators?



Rashba



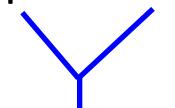
Dresselhaus

“spin momentum locking”

Degrees of freedom and gauges

electrons

up & down spins



SO coupling

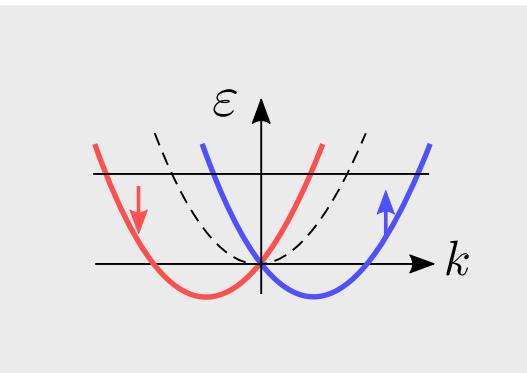


ferro magnons

single component



DM interaction
noncoplaner spins



Rashba-Dresselhaus effect

Anomalous Hall effect: SU(2) gauge

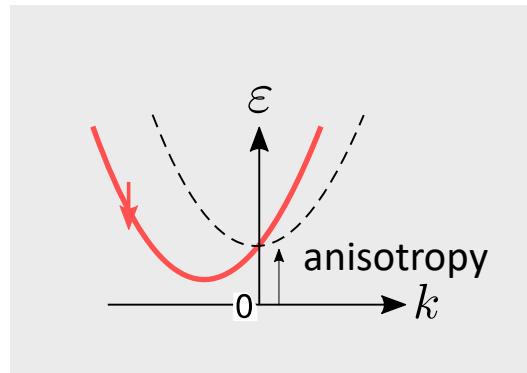
Spin Hall effect :topological insulators

antiferro magnons

A & B sublattices

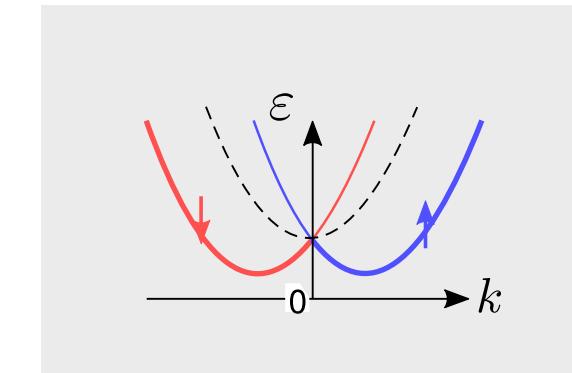


DM interaction / other effects



Nonreciprocity

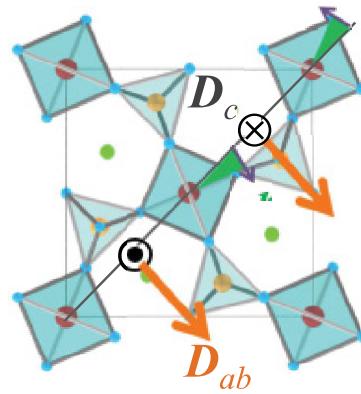
thermal Hall effect:
U(1) gauge



Rashba-Dresselhaus effect

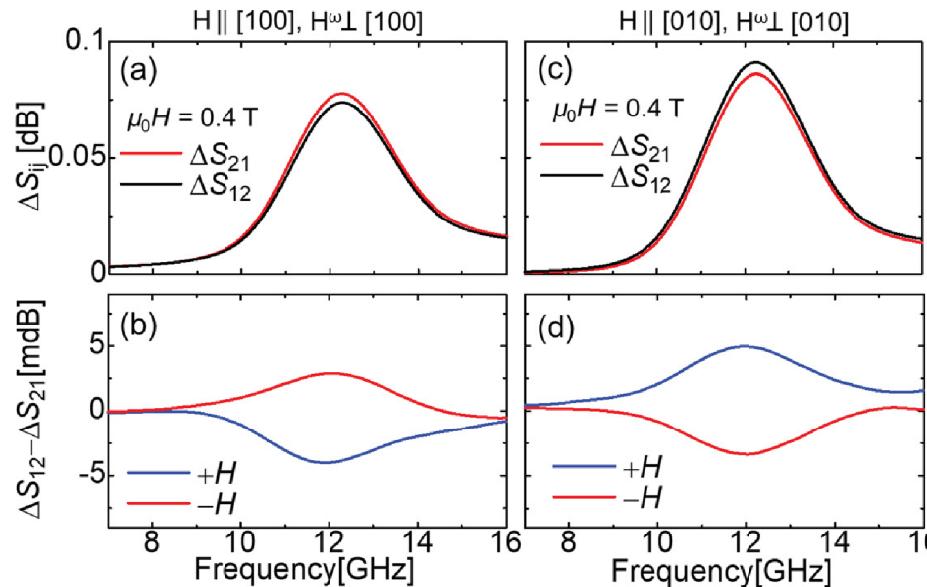
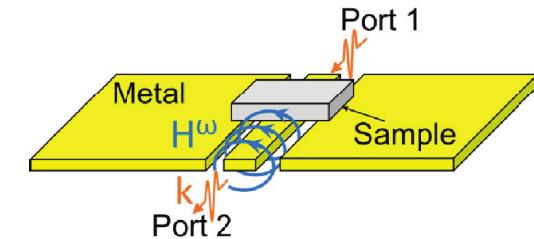
“Anomalous” thermal Hall : SU(2) gauge
topological phase & edge states

$\text{Ba}_2\text{MnGe}_2\text{O}_7$



Mn^{2+}
 $S = 5/2$ square lattice
 - Easy-plane antiferromagnet
 $J = 27 \mu \text{ eV}$

Onose group 2018

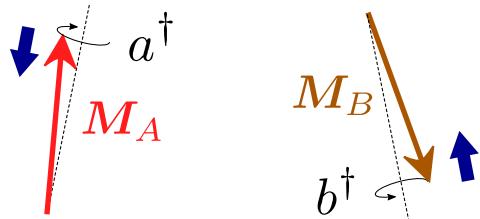


microwave nonreciprocity

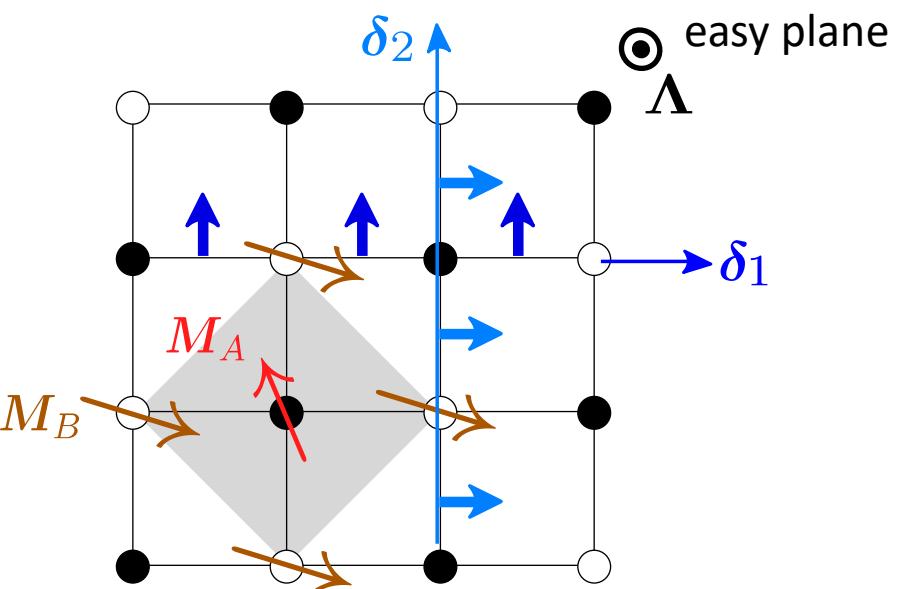
Iguchi, et.al. PRB 98, 064416 (2018)

magnon Hall (experimental), not yet.

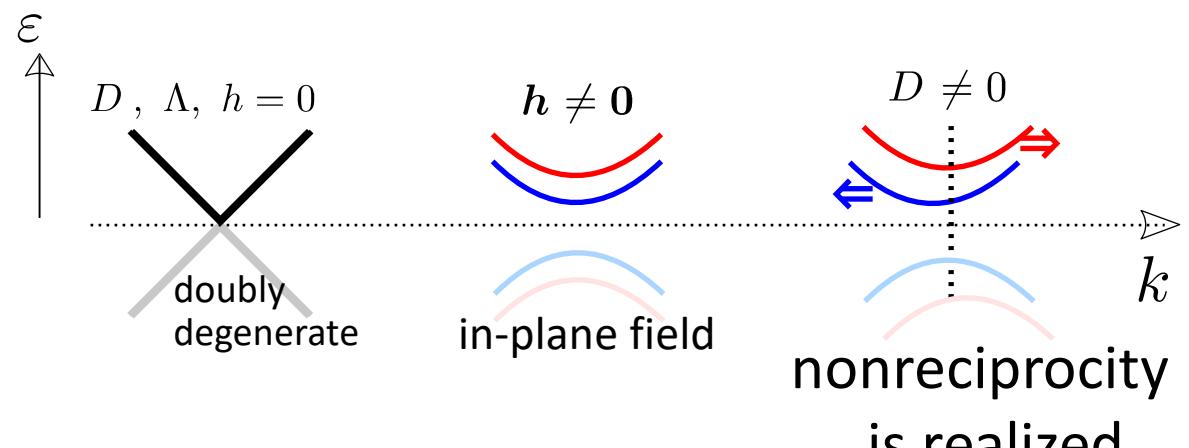
Rashba antiferromagnons



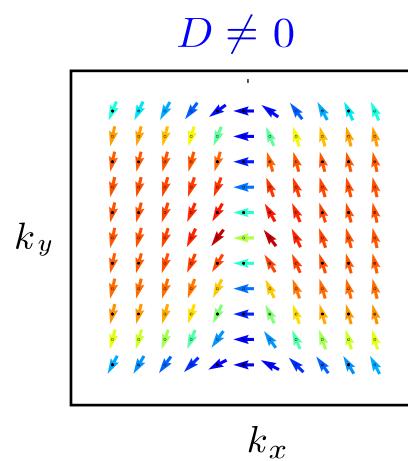
Rashba type DM



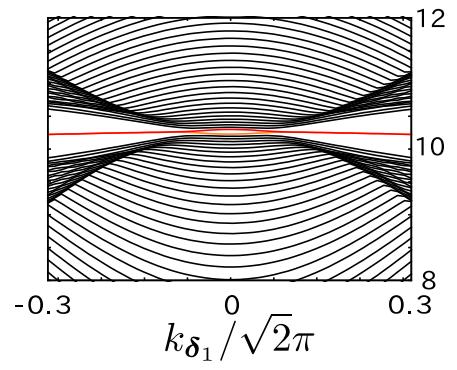
$$\mathcal{H} = J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + \Lambda \sum_i (\mathbf{S}_i^z)^2 + \sum_{\langle i,j \rangle} \mathbf{D}_{ij} \cdot [\mathbf{S}_i \times \mathbf{S}_j]$$



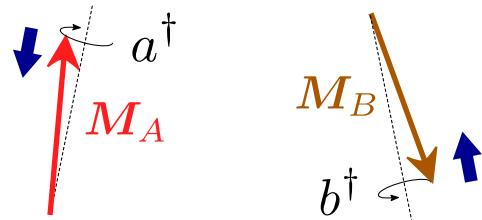
spin textures appear.



We get a topological edge state

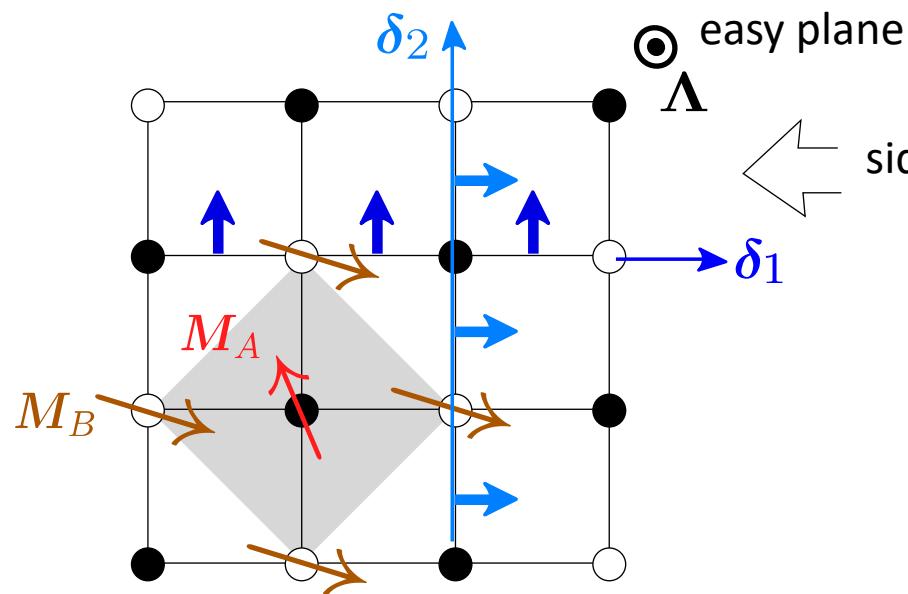


Rashba antiferromagnons

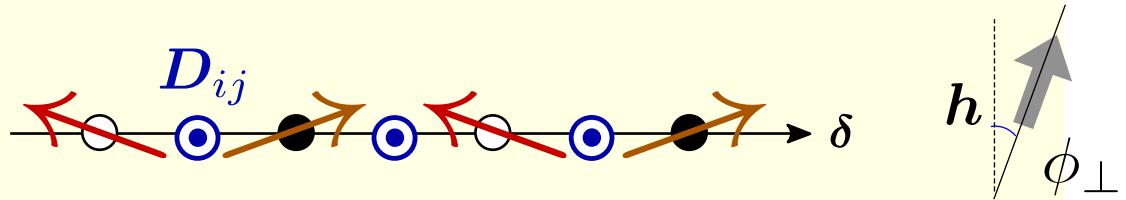


$$\mathcal{H} = J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + \Lambda \sum_i (\mathbf{S}_i^z)^2 + \sum_{\langle i,j \rangle} \mathbf{D}_{ij} \cdot [\mathbf{S}_i \times \mathbf{S}_j]$$

Rashba type DM

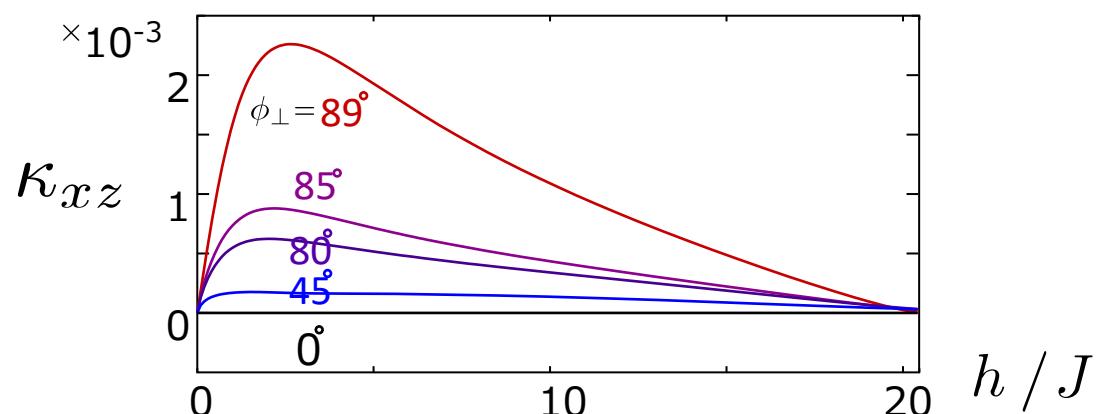


Conditions for thermal Hall effect is not simple:



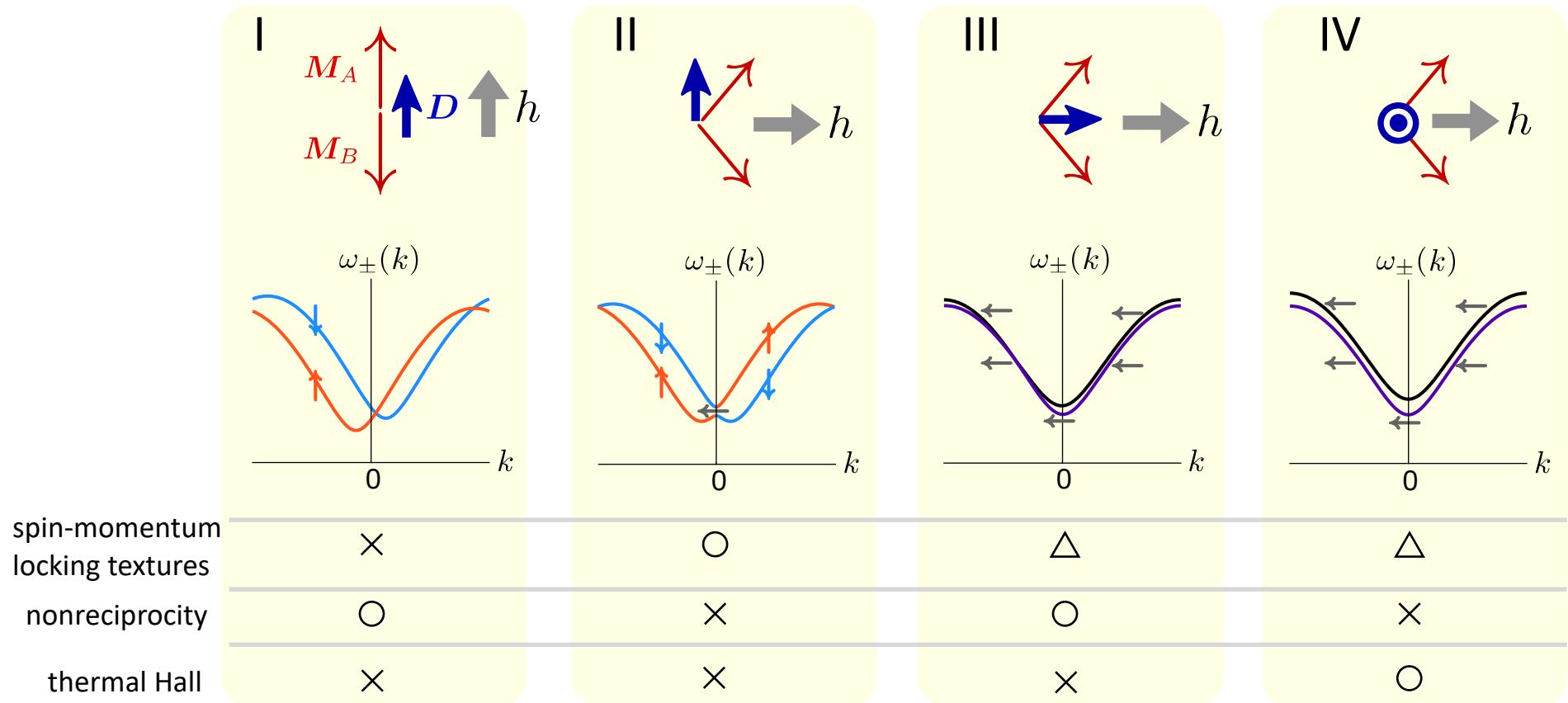
DM interactions are $D \perp M$
(remind that for ferromagnets, $D \parallel M$)

We need a field to cant the spins off the plane.

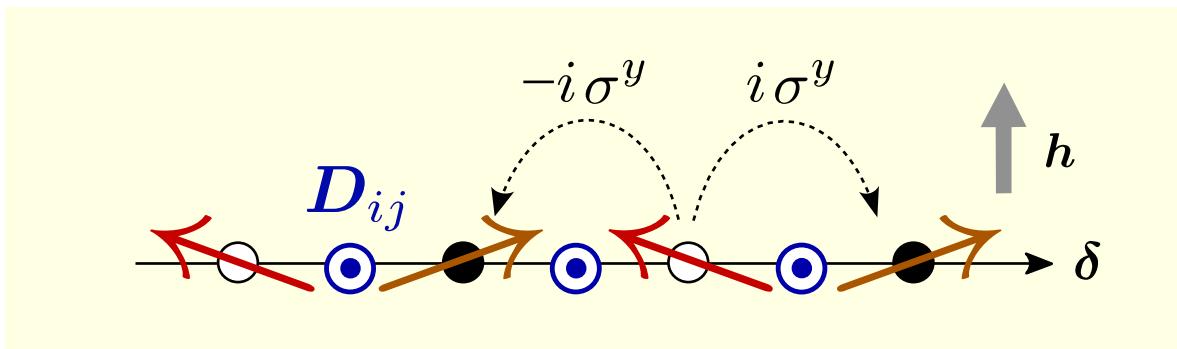


Rashba antiferromagnons

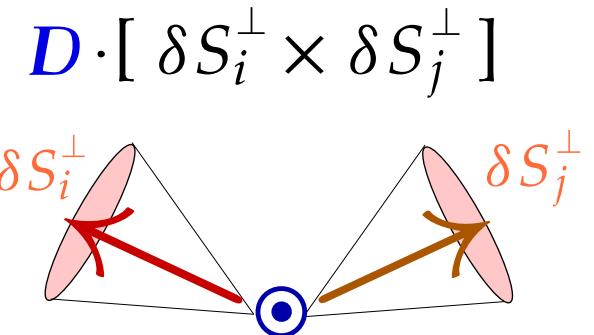
Full classifications about DM and AF and magnetic field are done.



SU(2) gauge



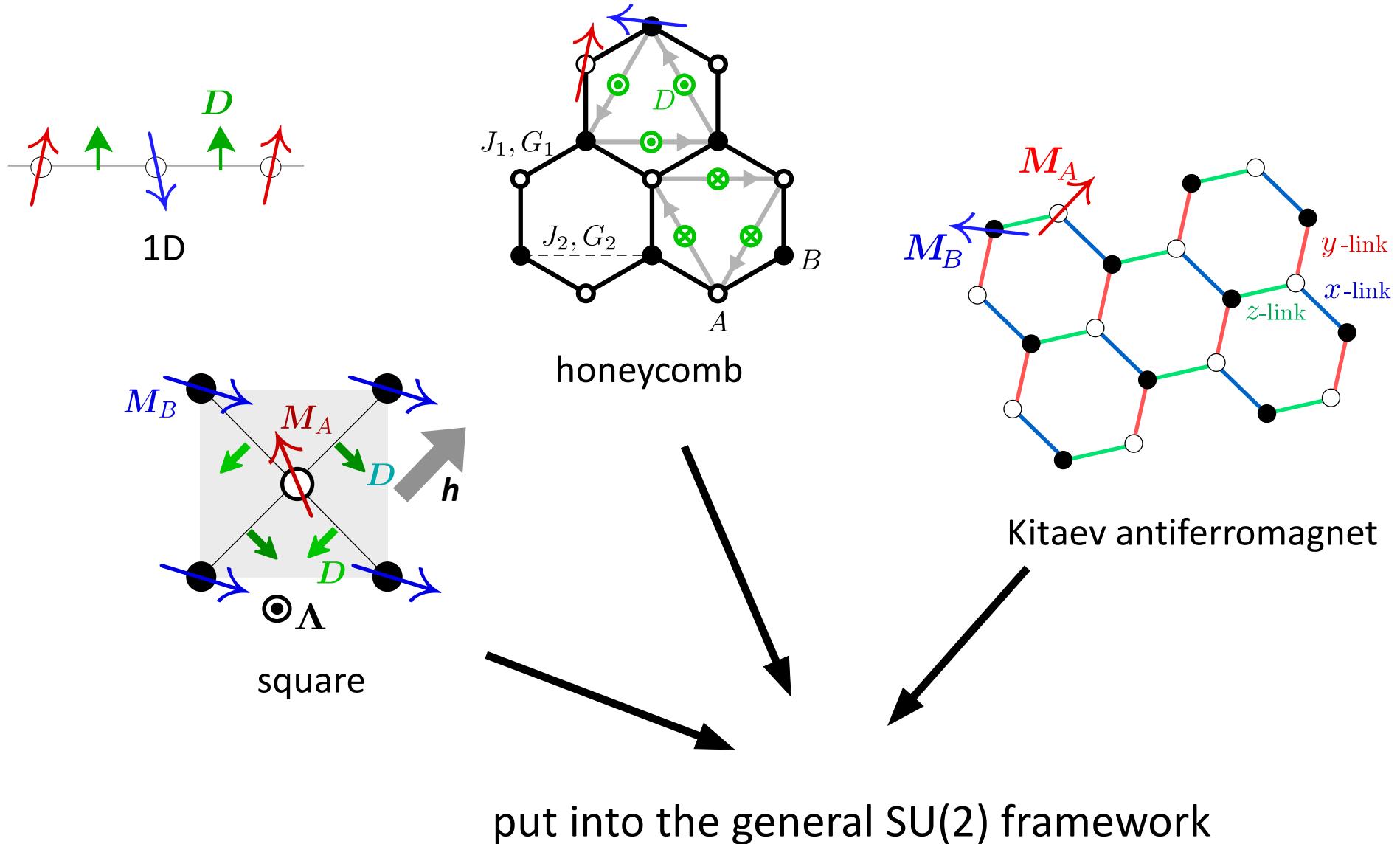
SU(2) gauge
hopping “flips” the pseudo-spins



- ferromagnon \rightarrow U(1),
- two species of magnons \rightarrow SU(2) as they mix via “pseudo-SOC”

At this moment in 2018, we were not perfectly sure whether this could be the general underlying picture.

Any two-sublattice AF can be ...



Skyrmions

H. Takeda, M. Kawano,
K. Tamura, M. Akazawa, J. Yan, T.Waki, H. Nakamura , K. Sato,Y. Narumi, M. Hagiwara
M. Yamashita, C. Hotta Nature Comm. 15, 566 (2024)

Antiferro skyrmions

insulating antiferromagnet

Article

Nature | Vol 586 | 1 October 2020 | 37

Fractional antiferromagnetic skyrmion lattice induced by anisotropic couplings

<https://doi.org/10.1038/s41586-020-2716-8>

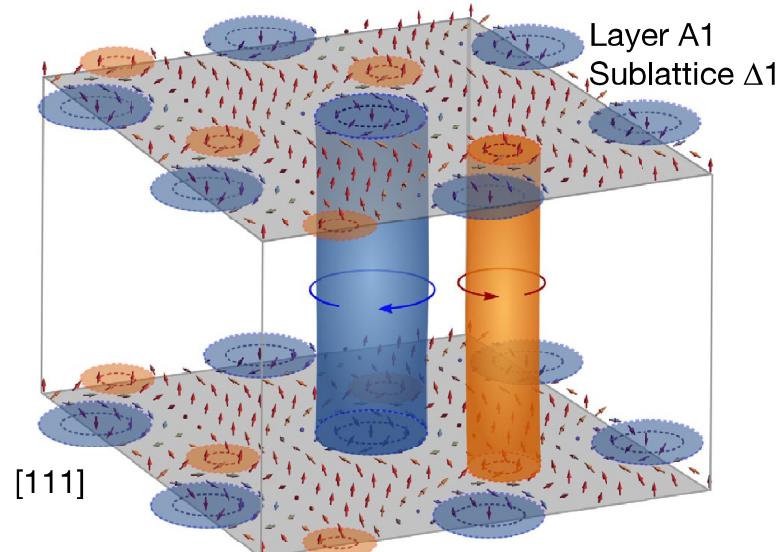
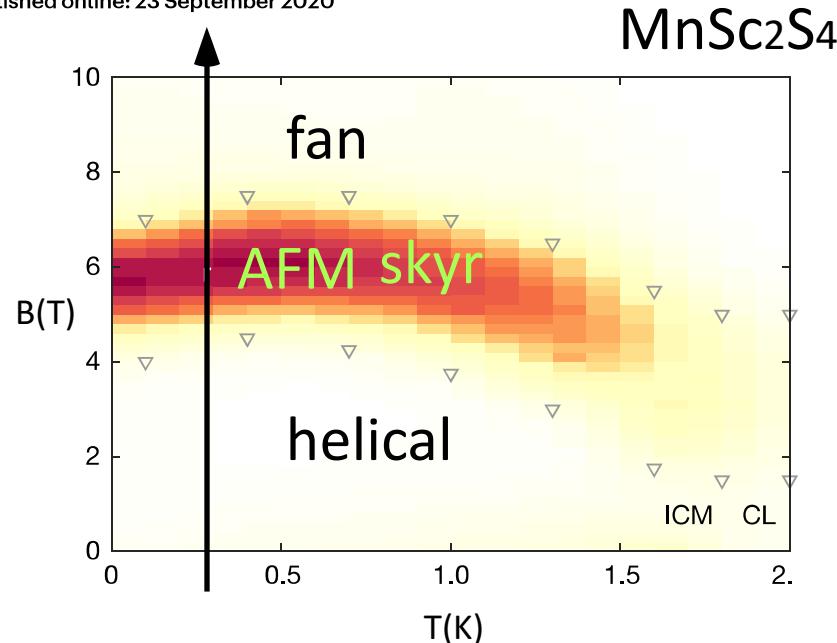
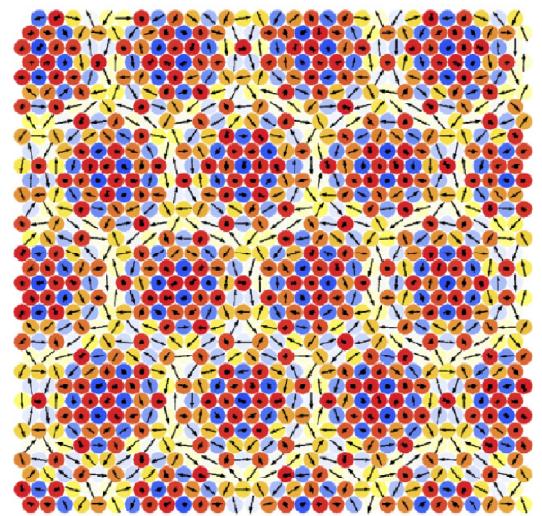
Received: 6 November 2019

Accepted: 15 July 2020

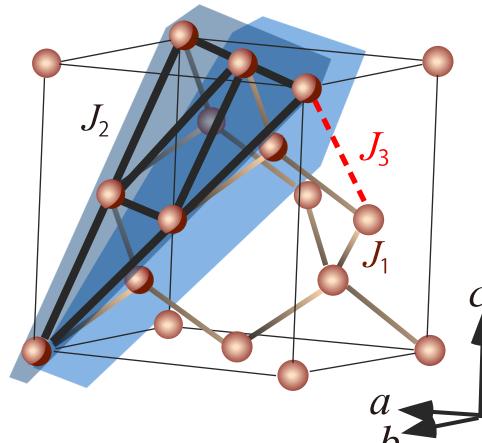
Published online: 23 September 2020

Shang Gao^{1,2,3,17,18}, H. Diego Rosales^{4,5,6}, Flavia A. Gómez Albarracín^{4,5,6}, Vladimir Tsurkan^{7,8}, Guratinder Kaur^{1,2}, Tom Fennell¹, Paul Steffens⁹, Martin Boehm⁹, Petr Čermák^{10,11}, Astrid Schneidewind¹⁰, Eric Ressouche¹², Daniel C. Cabra^{4,5,13}, Christian Rüegg^{2,14,15,16} & Oksana Zaharko¹✉

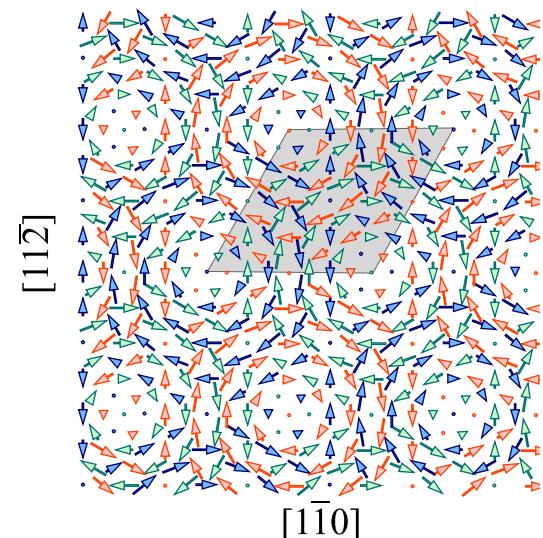
Rosales, et.al. PRB 92, 214439 (2015)



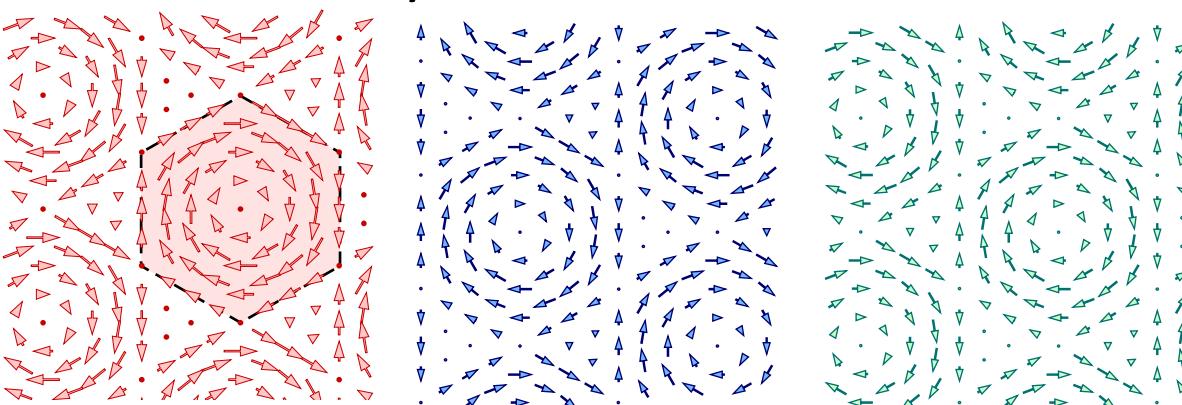
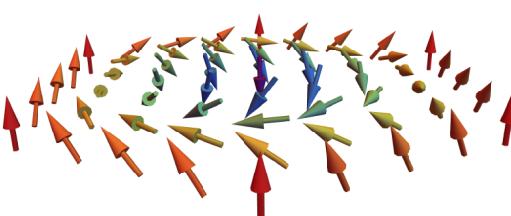
MnSc₂S₄



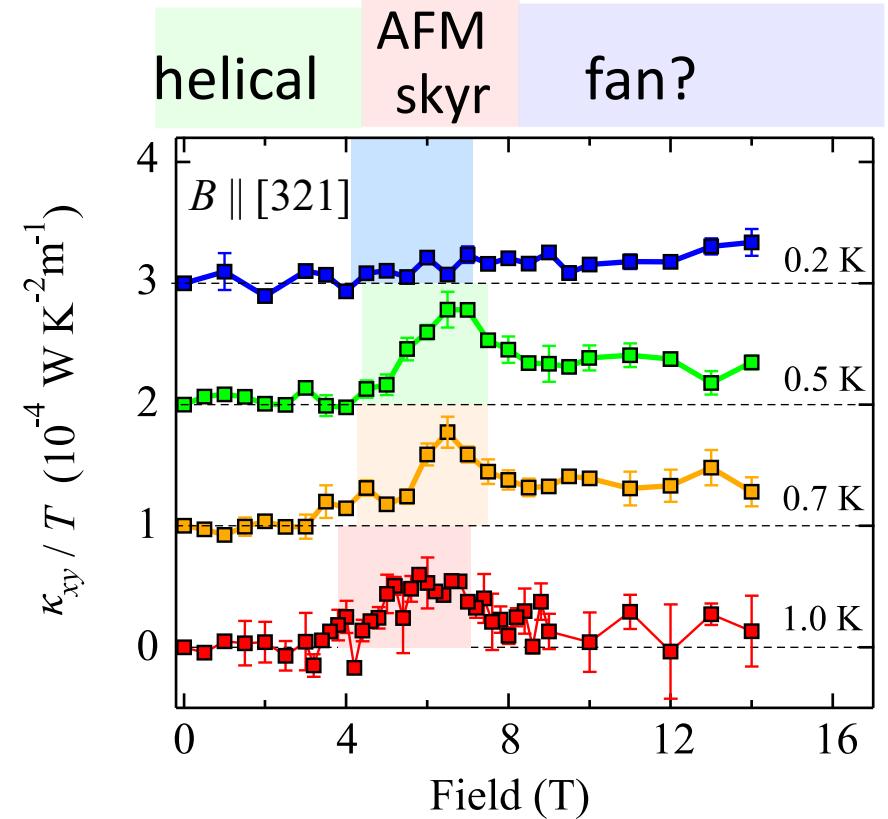
Mn²⁺ (S = 5/2)
3D diamond lattice



three skyrmion sublattices



Takeda & Yamashita (ISSP)

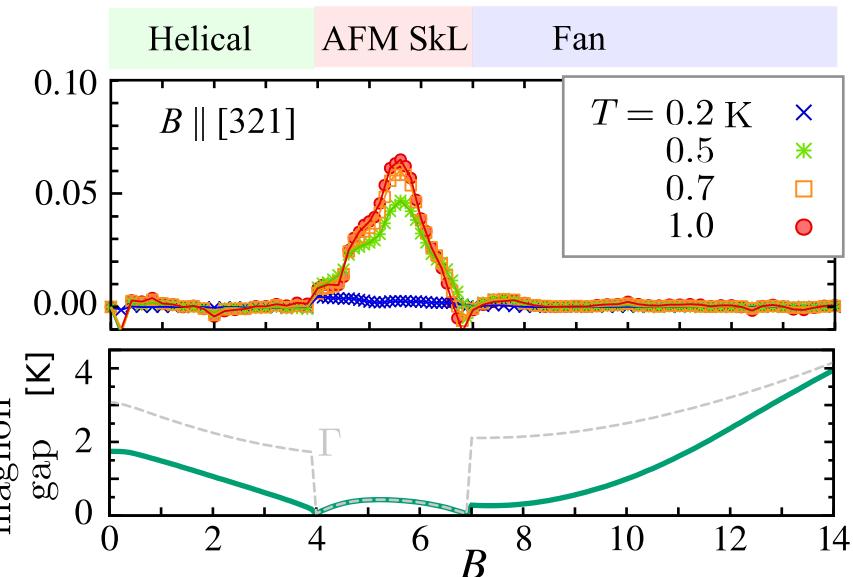
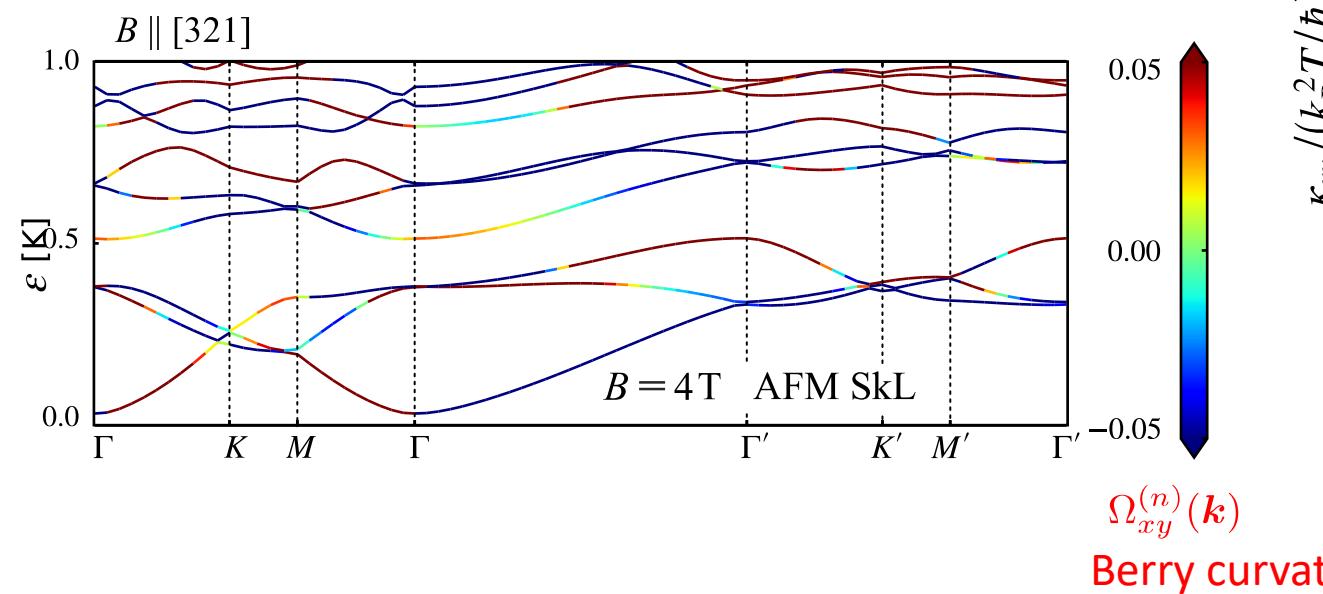


Thermal Hall effect observed
only in the AF-skyrmion phase

MnSc₂S₄

spin-wave calculation

$N_c = 384$ sites/unit cell



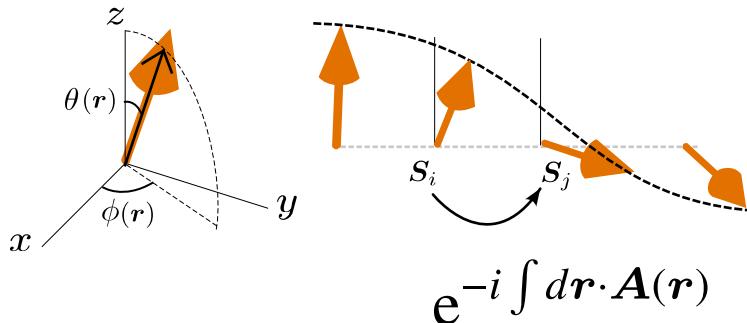
$$\begin{aligned} \mathcal{H} = & \sum_{\mathbf{r}, \delta_l} \frac{J_l}{2} \mathbf{S}_{\mathbf{r}} \cdot \mathbf{S}_{\mathbf{r}+\delta_l} + \frac{3}{2} J_{\parallel} \sum_{\mathbf{r}, \delta_1} (\mathbf{S}_{\mathbf{r}} \cdot \hat{\delta}_1) (\mathbf{S}_{\mathbf{r}+\delta_l} \cdot \hat{\delta}_1) \\ & + A_4 \sum_{\mathbf{r}, \mu=x, y, z} (S_{\mathbf{r}}^{\mu})^4 - g\mu_B \sum_{\mathbf{r}} \mathbf{B} \cdot \mathbf{S}_{\mathbf{r}} \end{aligned} \quad \rightarrow \text{Berry curvature}$$

$$\kappa_{xy} = -\frac{k_B^2 T}{\hbar} \int_{\text{BZ}} \frac{d^3 k}{(2\pi)^3} \sum_{n=1}^{N_s} c_2[f(\varepsilon_n(\mathbf{k}))] \Omega_{xy}^{(n)}(\mathbf{k})$$

thermal Hall coefficient

U(1) gauge fields of three sublattices

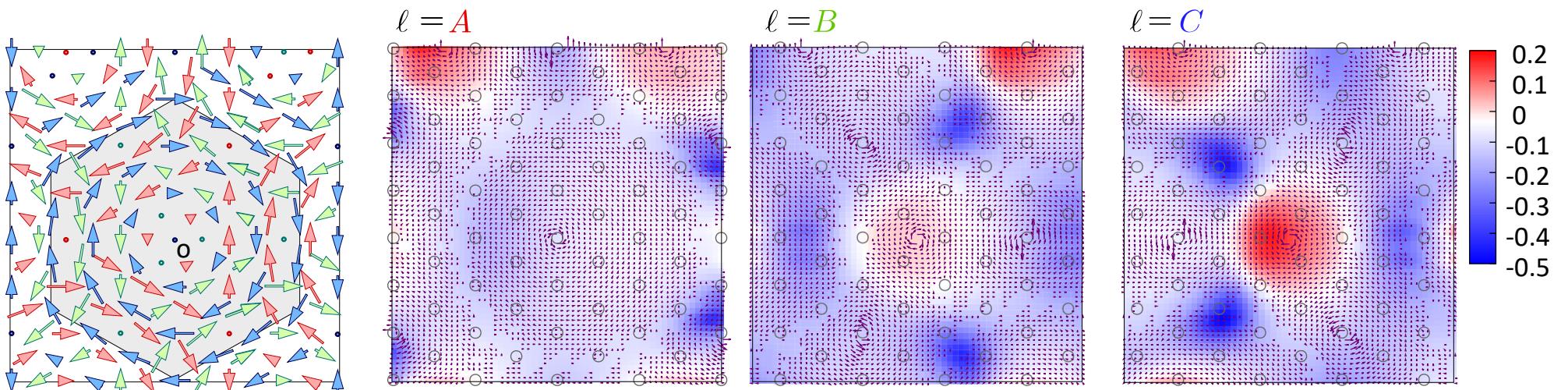
Slowly varying ordered moments generate a vector potential.



$$\rightarrow \mathbf{A}_\ell(\mathbf{r}) = -\cos \theta_\ell(\mathbf{r}) \nabla \phi_\ell(\mathbf{r})$$

$$B_{\text{skyr},\ell}(\mathbf{r}) = \partial_x A_y(\mathbf{r}) - \partial_y A_x(\mathbf{r})$$

U(1) gauge field.



$$\sum_{\ell=1}^3 B_{\text{skyr},\ell}(\mathbf{r}) \simeq 0 \quad \text{Net U(1) becomes zero}$$

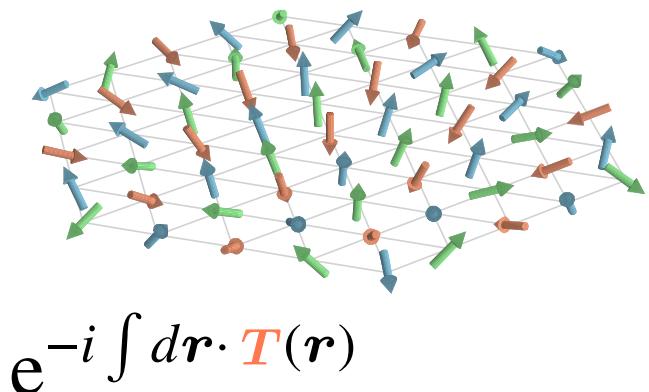
Triangular lattice: pi-rotation will convert the flux back to it was.

$$\kappa_{xy} \rightarrow -\kappa_{xy}$$

$$\kappa_{xy} = 0 \ ? \ \text{NO}$$

SU(3) gauge field

3sublattice behave as “pseudo-spins”



$$\hat{\mathcal{H}} = J \sum_{\langle i,j \rangle} \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j + \dots ,$$

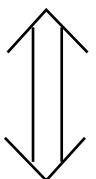
$$\downarrow \quad \hat{s}(\mathbf{r}) \simeq \sqrt{\frac{S}{v}} \left(\hat{b}(\mathbf{r}) e^-(\mathbf{r}) + \text{H.c.} \right) + \left(\frac{S}{v} - \hat{b}^\dagger(\mathbf{r}) \hat{b}(\mathbf{r}) \right) m(\mathbf{r})$$

three species of bosons

3x3 Hermitian&Traceless matrix

SU(3) gauge field

$$\hat{\mathcal{H}}_{\text{eff}} \simeq \frac{1}{2} \int d^2\mathbf{r} \hat{\Psi}^\dagger(\mathbf{r}) \left[\frac{3}{2} J_2 S a^2 (\nabla I_{3 \times 3} - i \mathbf{T}(\mathbf{r}))^2 \right] \hat{\Psi}(\mathbf{r}) + \dots$$



U(1) gauge field

$$\hat{\mathcal{H}}_{\text{eff}}^{\text{FM}} \simeq \frac{3}{2} JS a^2 \int d^2\mathbf{r} \hat{b}^\dagger(\mathbf{r}) (\nabla - i \mathbf{A}(\mathbf{r}))^2 \hat{b}(\mathbf{r})$$

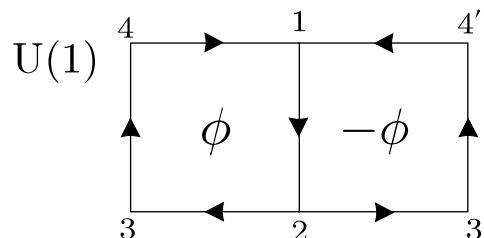
$$\begin{bmatrix} \hat{b}_1(\mathbf{r}) \\ \hat{b}_2(\mathbf{r}) \\ \hat{b}_3(\mathbf{r}) \end{bmatrix}$$

Field theory details:

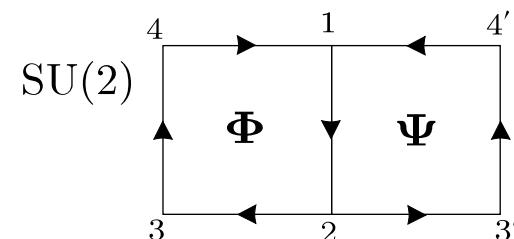
Kawano, arXiv/ 2403.11655, 2502.11924

Gauge conditions

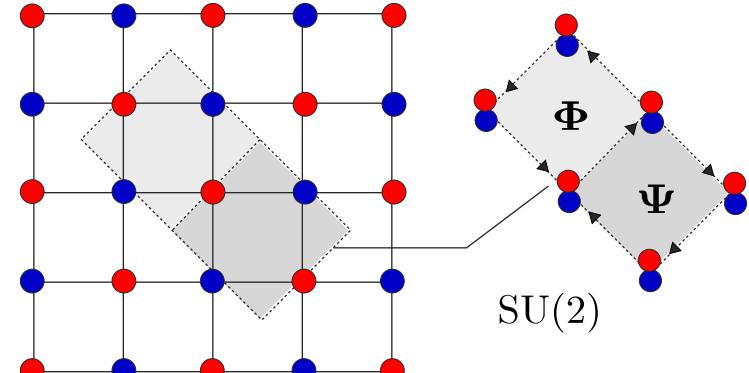
	species of bosons	gauge field	gauges on sublattice
Ferromagnet	1	$U(1)$	
Antiferromagnet	2	$SU(2) \leftarrow U(1) \otimes U(1)$	
Antiferromagnet	3	$SU(3) \leftarrow U(1) \otimes U(1) \otimes U(1)$	



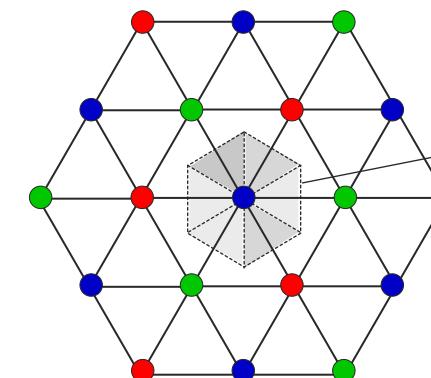
$$U_{12} U_{23} U_{34} U_{41} = e^{i\phi}$$



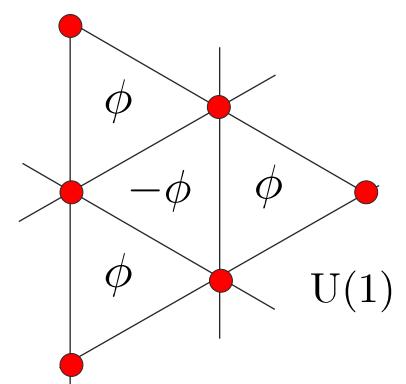
$$T_{12} T_{23} T_{34} T_{41} = e^{i\Phi}$$



$SU(2)$



$SU(3)$



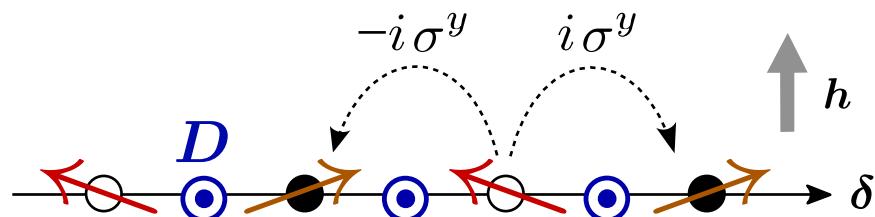
$U(1)$

**Higher rank gauges are non commutative,
and give net nonzero flux. They do not cancel out.**

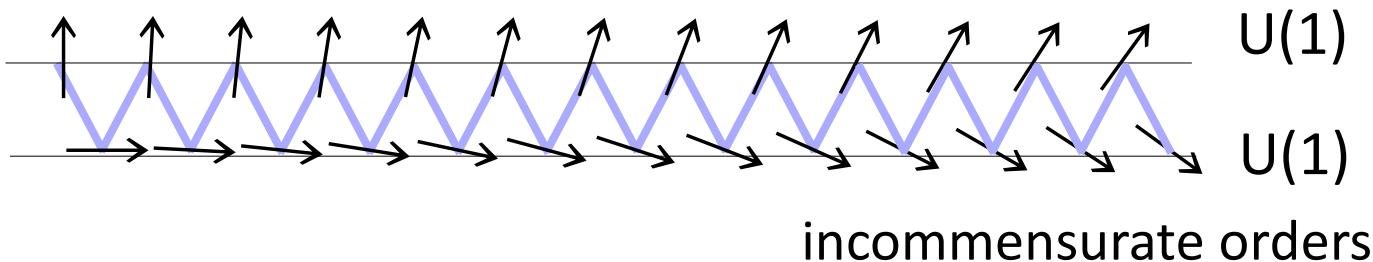
For what condition can we turn on the higher rank gauge coupling?

Gauge conditions

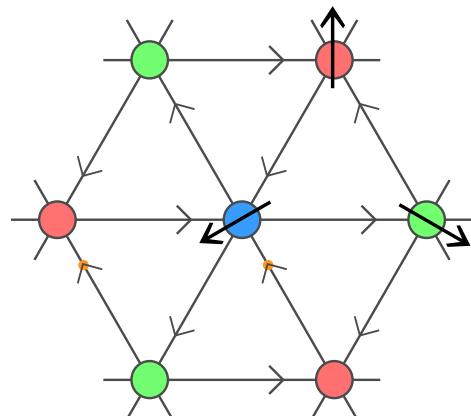
Generally, if you have more than two species of “well-defined magnons”, just try to couple them.



We need to cant the spins off the plane to switch on SU(2) gauge.



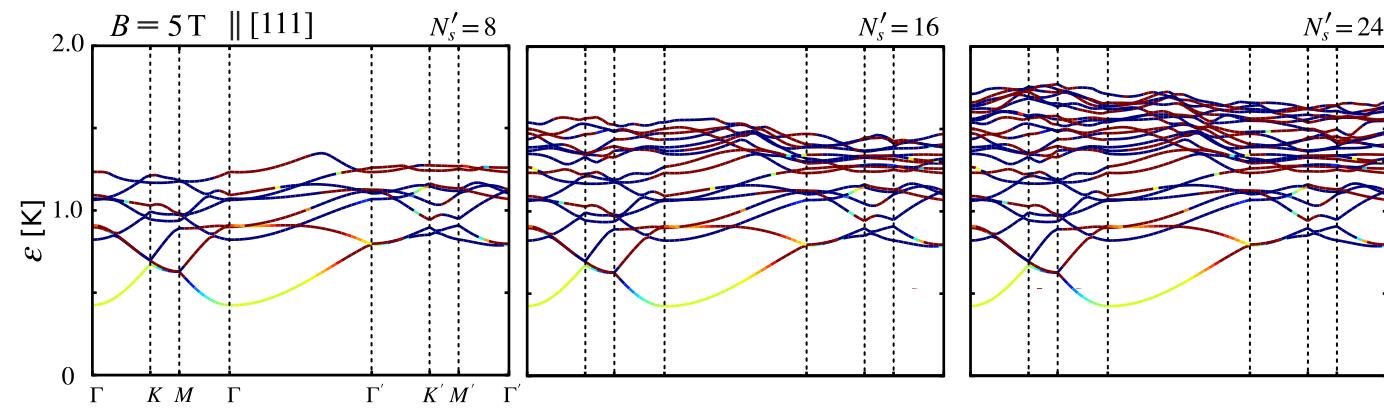
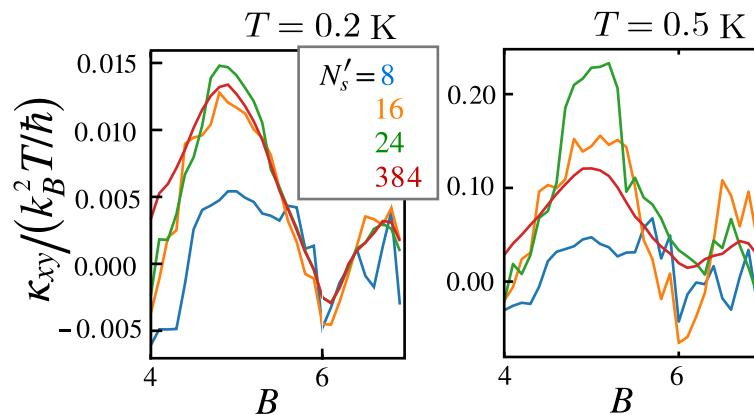
Because the spin z-axis is warped, straightforward to couple them.



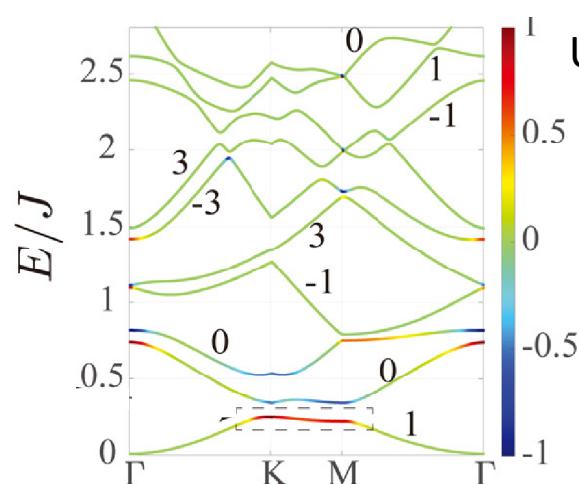
Simple coplaner 3sublattice 120 deg Neel order does not work.

Remarks

Large contribution from very high energy branches.



$U(1)$ or $SU(3)$ gauge theories do not give proper details on Berry curvatures.

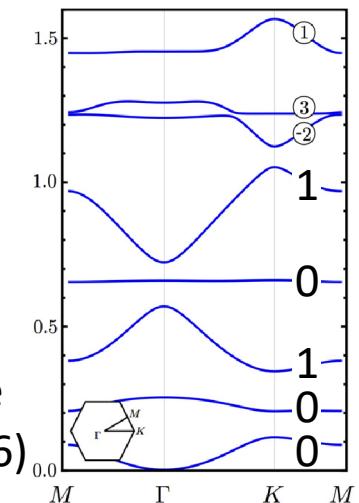


$U(1)$ gauge theory

This Chern# do not agree with spin wave theory.

spin wave

Roldan-Molina *et al.* New J. Phys. **18** 045015(2016)



Back to electrons

R. Makuta, C. Hotta, PRR 6, 023133 (2024)

M. Kawano, C. Hotta, PRB 107, 045123(2024)

R. Makuta, C. Hotta , arXiv: 2504.05166

SOC generate DM terms

$$\mathcal{H} = \sum_{\langle i,j \rangle} \sum_{\alpha,\beta} -t_{\text{eff}} (c_{i\uparrow}^\dagger, c_{i\downarrow}^\dagger) e^{-i\frac{\theta}{2} \mathbf{n}_{ij} \cdot \boldsymbol{\sigma}} \begin{pmatrix} c_{j\uparrow} \\ c_{j\downarrow} \end{pmatrix} + U \sum_i n_{i,\uparrow} n_{i,\downarrow}$$

SU(2) gauge field

↓
half-filling
 $U \rightarrow \infty$

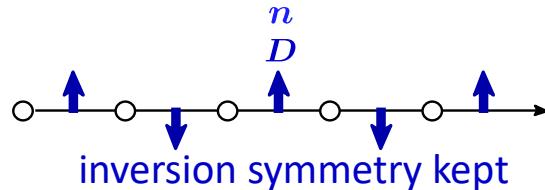
Magnetic Mott insulator 2nd order

$$\mathcal{H}^{(2)} = \sum_{\langle i,j \rangle} J \mathbf{S}_i \cdot \mathbf{S}_j + \sum_{\langle i,j \rangle} \mathbf{D} \cdot \mathbf{S}_i \times \mathbf{S}_j + \sum_{\langle i,j \rangle} K (\mathbf{n} \cdot \mathbf{S}_i)(\mathbf{n} \cdot \mathbf{S}_j)$$

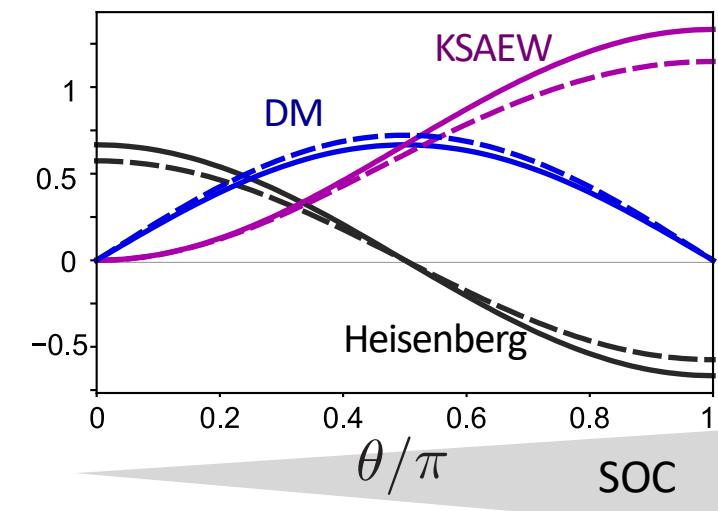
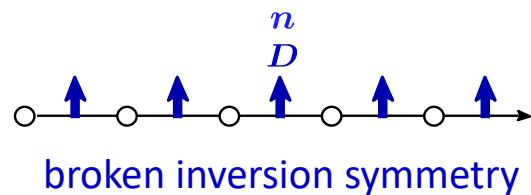
Heisenberg

DM

KSAEW (Kaplan-Sherhtman-Aharony-Entin-Wohlman)

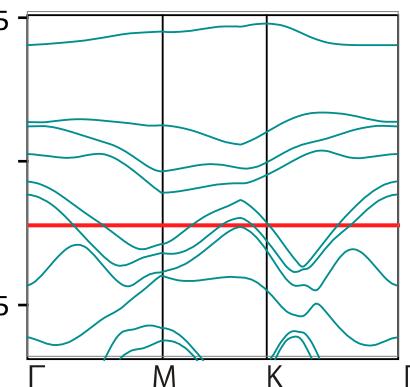
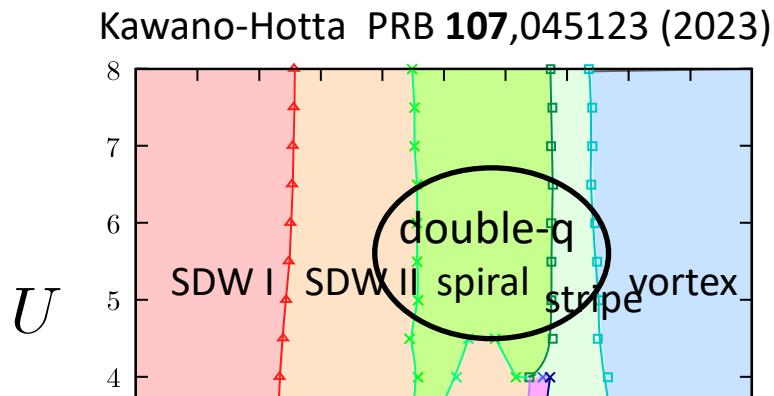


SOC vector // DM vector



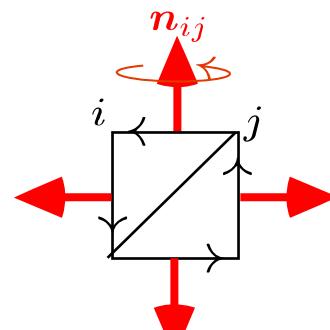
Rashba SOC Hubbard model at 1/2-filling

Square lattice



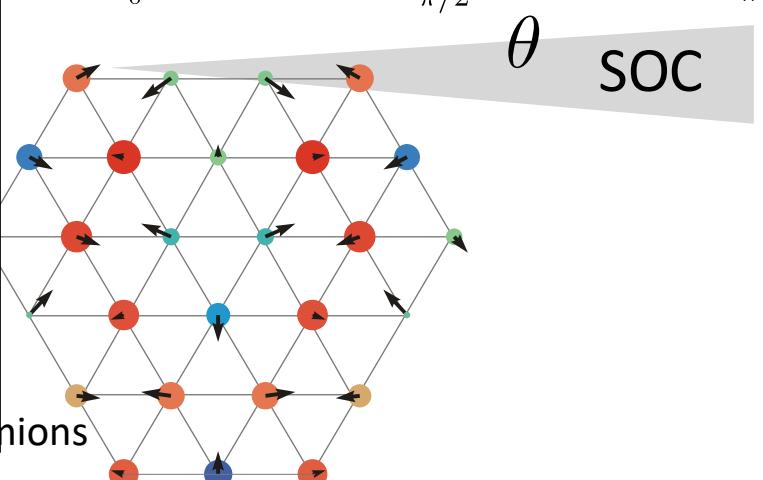
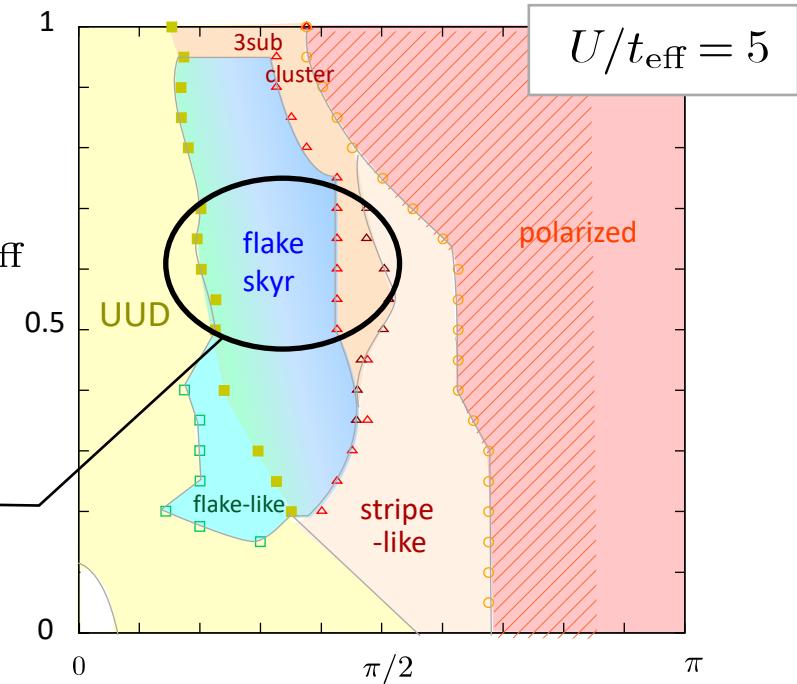
metal, nonzero Chern #

k-space spin textures



Triangular lattice

Makuta-Hotta PRR **6**, 023133 (2024)



SOC Mott ins. → effective spin model

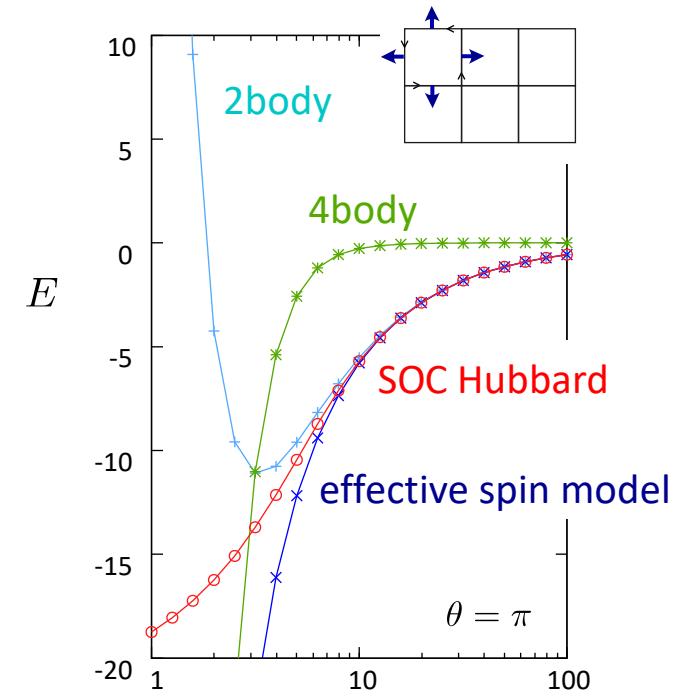
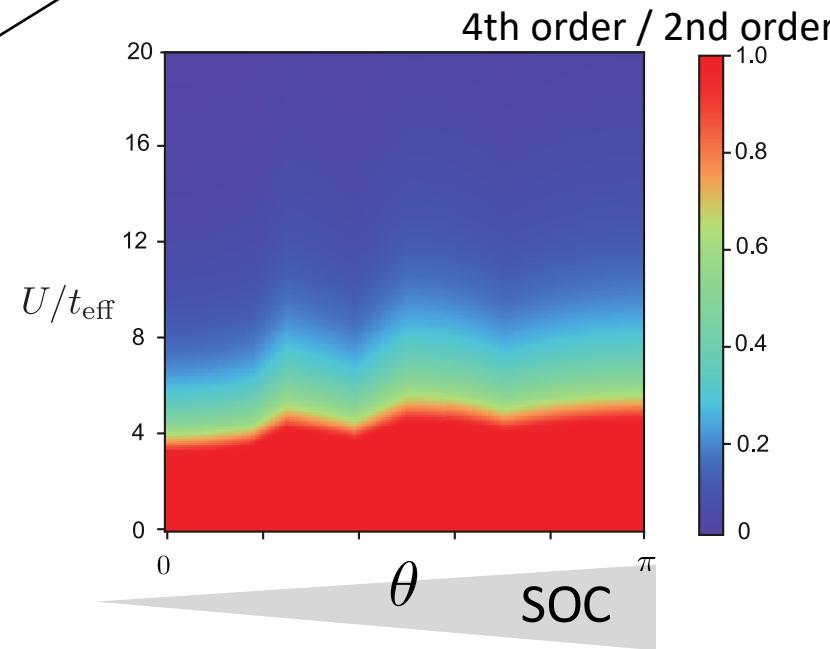
$$U \rightarrow \infty \quad \mathcal{H}_{\text{eff}} = \mathcal{H}^{(2)} + \mathcal{H}^{(4)}$$

$$\mathcal{H}^{(2)} = \sum_{\langle i,j \rangle} J \mathbf{S}_i \cdot \mathbf{S}_j + \sum_{\langle i,j \rangle} \mathbf{D} \cdot \mathbf{S}_i \times \mathbf{S}_j + \sum_{\langle i,j \rangle} K (\mathbf{n} \cdot \mathbf{S}_i)(\mathbf{n} \cdot \mathbf{S}_j)$$

$$\mathcal{H}^{(4)} = \frac{t_{\text{eff}}^4}{U^3} \sum_{\gamma} \sum_{\langle abcd \rangle} \hat{h}_{\gamma}^{\langle abcd \rangle}$$

- 4th order term is large, and reproduces the Hubbard result well.

- ring
- ring^(d)
- ring-KS
- KS×H₁
- KS×H₂
- KS×H₁^(d)
- KS×H₂^(d)
- DM×KS^(d)
- DM×H
- DM×H^(d)
- DM×DM
- DM×DM₂
- DM×Ising^(d)
- ring-Γ



Perspectives

Chern number in electronic systems

Anomalous Hall

electrons

Metal
Insulator

uniform component of gauge fluxes

Magnons

Berry curvature does not cancel out

Thermal Hall

spin splitting of bands
spin momentum locking

Spin Hall

Broken inversion symmetry

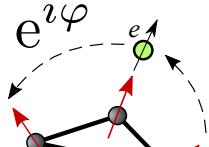
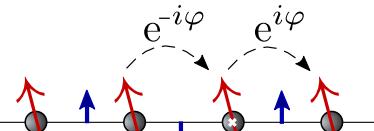
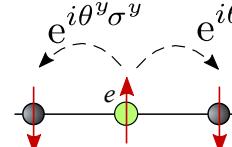
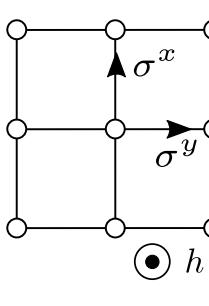
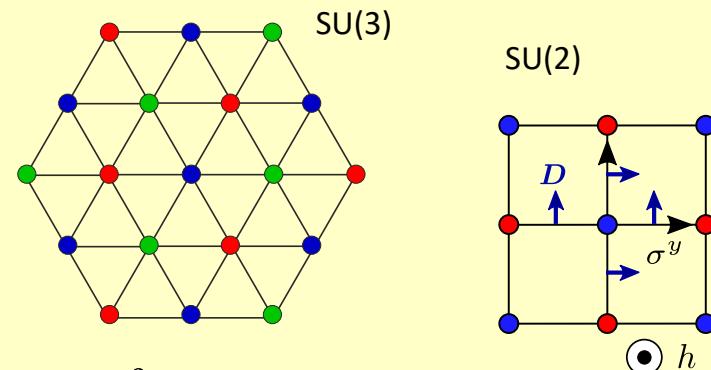
spin textures
real space & k space

Thermal Hall

Summary

Accessible by an effective spin model upto 4th order

$$U \rightarrow \infty$$

conducting electrons		\rightarrow	magnons in insulators
U(1) gauge	 $-t_{\text{eff}} e^{i\varphi}$		 $J_{\text{eff}} e^{i\varphi} \sim e^{-i \int d\mathbf{r} \cdot \mathbf{A}(\mathbf{r})}$
Higher rank gauges	Rashba/Dresselhaus  $-t_{\text{eff}} e^{i\theta^y \sigma^y}$	 $SU(2)$ $U(1)$	 $SU(3)$ $SU(2)$ $e^{-i \int d\mathbf{r} \cdot \mathbf{T}(\mathbf{r})}$

To have a thermal Hall effect, prepare more than two species of magnons, and make them couple in terms of high rank gauge.