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**Doctoral Degree Regulations
of Department 11**

**School of Music and Academy of Fine Arts
of the Johannes Gutenberg University Mainz**

dated 10 January 2007

On the basis of Art. 7 Section 2 No. 2 and Art. 86 Section 2 No. 3 of the German legislation governing higher education in the version dated 21 July 2003 (GVBl. p. 167), BS 223-41, the Department Council of Department 11 of the Johannes Gutenberg University Mainz and the Academic Councils of the School of Music and the Academy of Fine Arts of the Johannes Gutenberg University Mainz endorsed the following Doctoral Degree Regulations on 1 February 2006. A letter of authorization dated 4 December 2006 approving these Doctoral Degree Regulations has been provided by the Ministry of Science, Education, Research, and Culture (Ref. no. 15225 – 52 322-4/41 (6)). The regulations are herewith published.

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I. General

Art. 1 Doctoral degrees

The School of Music and the Academy of Fine Arts of the Johannes Gutenberg University Mainz are authorized to award the following academic degrees:

1. Doctor of Music Theory
2. Doctor of Music Education
3. Doctor of Art Theory
4. Doctor of Art Education

through the duly constituted procedure (Arts. 2 - 31) and are also authorized, per Art. 32, to award the academic degrees of honorary Doctor of Music Theory, of Music Education, of Art Theory or of Art Education (Doctor honoris causa / Dr. h. c.). To be entitled to the award of the academic degree of Doctor of Music Theory, of Music Education, of Art Theory or of Art Education, candidates must demonstrate that they have extensive knowledge of the corresponding academic discipline and its methodologies, and also that they are familiar with the relevant techniques of academic investigation. They must have the ability to independently identify problematic aspects within their discipline, to present these in an appropriate form, and subject these to critical enquiry. Candidates must, in general, show that they have the capacity to make a distinctive and innovative academic contribution towards research in their field.

Art. 2 Academic requirements

In order to qualify for a doctoral degree, candidates must complete a written academic treatise (dissertation) and submit to an oral examination (disputation).

II. Admission to the procedure

Art. 3 Admission requirements

The following are the requirements for admission to the doctoral degree assessment

procedure:

1. a successfully completed course of study at an institution of higher education (Art. 4)
2. a dissertation (Art. 5)
3. an appropriately completed application for admission (Art. 6).

Art. 4 Successfully completed course of study at an institution of higher education

(1) Doctoral candidates must

1. have studied in due and appropriate form for at least eight semesters in a course of studies that deals with the main aspects of the field in which the candidate wishes to obtain a doctoral degree at a university or art college in Germany or at a higher education institution outside Germany that has been recognized as the equivalent of these and
2. have obtained one of the following qualifications with an overall grade of at least "good" (2.0): either the Academic State Examination for Teaching at Secondary Schools (Wissenschaftliche Staatsprüfung für das Lehramt an Gymnasien oder Realschulen) in music or fine arts), a corresponding Master's or Magister degree, or another equivalent academic qualification awarded by an institution of higher education in Germany or abroad.

(2) Applicants with a bachelor's degree, a diploma awarded by a university of applied sciences, or with the State Examination for Teaching at primary and secondary general schools (Staatsprüfung für das Lehramt an Grund- und Hauptschulen) who have obtained their qualification by means of due and appropriate study of the relevant discipline and have a grade of at least 1.3 may also be admitted as candidates for a doctoral degree. Applicants as defined in the sentence before may only be admitted as doctoral degree candidate if:

- a) he/she has studied as an enrolled student for at least two semesters at the Johannes Gutenberg University Mainz in their chosen discipline,
- b) he/she has attended a student counseling session on potential requirements and obligations,
- c) he/she has demonstrated his/her academic knowledge and abilities in the form of an accepted written thesis that had to be produced within a period of four months. The subject of this thesis should be closely related to that of the proposed dissertation. The corresponding rector shall appoint the thesis supervisor and the thesis reviewer. Applicants whose first thesis is rejected shall be permitted to have a second but final attempt at submitting a thesis that is acceptable,
- d) he/she is able to demonstrate the acquirement of the required academic knowledge and skills as defined by the relevant department through successful participation in two mandatory courses with two relevant course certificates, obtained in consultation with the relevant subject representative,
- e) he/she is able to demonstrate the acquirement of essential knowledge and skills in the discipline in a subsequent oral examination to last approximately one hour. This acquirement of essential knowledge and skills relates to the qualifying minimum two semester course of study defined in lit. a) above. The oral examination is to be conducted by an authorized examiner of the discipline in which the applicant intends to obtain a doctoral degree in the presence of an appropriately qualified observer. Applicants shall be permitted to retake this examination once.

(3) Qualifications in a related discipline awarded by an institution of higher education may be recognized. Applications for recognition of such qualifications must be submitted to the Doctoral Candidate Admissions Board, which will decide which qualifications shall be recognized and whether additional qualifications shall be required.

(4) Whether or not qualifications obtained from universities outside Germany or from corresponding institutions of higher education will be recognized shall depend on the

stipulations of agreements made by the German Federal Government concerning the equivalence of academic qualifications and the existing arrangements with partner universities. Where no specific agreements concerning equivalence are in place, it shall be the responsibility of the Doctoral Candidate Admissions Board in consultation with the relevant bodies of the Johannes Gutenberg University Mainz to decide whether qualifications can be recognized. In some cases, applicants may be admitted as doctoral degree candidates on the specific proviso that they meet certain requirements. If the equivalence of a specific qualification is unclear, the matter can be referred to the Central Office for Foreign Education (Zentralstelle für ausländisches Bildungswesen).

Under certain circumstances, an applicant may apply to the Doctoral Candidate Admissions Board to be released from the requirement an overall grade of "at least 2.0". This application must be supported by a written letter of endorsement prepared by the academic faculty member and examiner of the department who has agreed to act as supervisor.

(6) At the applicant's request, the Doctoral Candidate Admissions Board considers the applicant's particular case and decides whether he/she has the necessary requirements for admission to the procedure prior to submission of the actual application for admission. The request must be submitted prior to the preparation of the dissertation. The request must also be accompanied by a letter of confirmation that the applicant has been accepted as a prospective candidate for a doctoral degree and shall be supervised by an authorized examiner of the department in question.

Art. 5 Dissertation

(1) The dissertation must deal with a subject from the field of music theory, music education, art theory, or art education. It must demonstrate that the candidate is capable of self-reliant and independent academic work and it must provide a new insight into the discipline in question.

(2) Doctoral candidates may not resubmit written discourses as dissertation that they have already submitted in order to meet the requirements of other examinations or that they have submitted to other departments as dissertations.

(3) As a rule, the subject of the dissertation is to be agreed in advance with the authorized examiner as defined in Art. 10, who will be the person to supervise the doctoral candidate.

(4) Doctoral degree candidates are also strongly advised to attend the courses offered by the General Postgraduate Program at Johannes Gutenberg University Mainz.

Art. 6 Application for admission

Doctoral candidates must submit a written application for admission to the doctoral degree examination to the corresponding rector. The following documentation must accompany the application:

1. original or certified copies of documents providing evidence of the qualification conferred by an institution of higher education per Art. 4 (certificate of eligibility for university entrance, records of study, exercise and seminar credit certificates, state and university examination certificates),
2. detailed curriculum vitae in German, which also specifies the candidate's course of education,
3. four bound copies of the dissertation,

4. a declaration by the candidate specifying:
 - a) whether, and - if need be - when the candidate previously submitted to an examination for a doctoral degree and the result of any such examination,
 - b) that the treatise submitted as the dissertation has not already been submitted elsewhere in order to meet other examination requirements and has not been submitted as a dissertation to another department,
 - c) that the candidate has prepared the dissertation unaided, has used no other references apart from those cited and has appropriately distinguished direct quotations or content derived from these references.
5. a receipt confirming payment of the fees for the procedure,
6. where necessary a declaration by an academic faculty member of the department in question who is authorized to act as an examiner, confirming that the applicant has been accepted as a prospective doctoral degree candidate.

Art. 7 Withdrawal of an application for admission

The doctoral candidate has the right to withdraw his/her application at any stage of the procedure without giving reasons for so doing. If the candidate withdraws his/Her application within one month of its submission, de facto submission of the application shall not be assumed. Candidates who withdraw their applications after this point of time shall be adjudged to have failed the doctoral degree assessment procedure.

Art. 8 Admission to the procedure

- (1) The rector in charge shall admit to the procedure candidates who meet all admission requirements, and shall inform candidates that they have been admitted as doctoral degree candidates.
- (2) Admission shall be refused
 1. if the application and required documentation (Art. 6) are incomplete,
 2. if admission requirements are not met (Art. 4),
 3. in situations where the possibility exists that a conferred academic degree may have to be withdrawn (Art. 34),
 4. if the applicant has already conclusively failed to successfully complete a doctoral degree assessment procedure in the same discipline or has lost the right to apply for admission as a doctoral degree candidate.

Art. 9 Deadlines

- (1) The application for admission as a doctoral degree candidate (Art. 6) must be accepted or refused within one month of its submission.
- (2) The dissertation reviews shall normally be required from the reviewers within six months. If this deadline is exceeded by more than three months, the corresponding rector will notify the candidate of the reasons for the delay in writing. The rector shall have the right to appoint an alternative reviewer in this case.

III. Authorized examiners and boards

Art. 10 Authorized examiners

Authorized to act as examiners are all professors of Music Theory, Music Education, Art Theory, and Art Education of Department 11. These professors must themselves hold a doctoral degree.

Art. 11 Doctoral Candidate Admissions Board

(1) The School of Music and the Academy of Fine Arts together form a joint Doctoral Candidate Admissions Board. This consists of four professors - two from the School of Music and two from the Academy of Fine Arts, a member of the student body, an academic faculty member, and a member of the non-academic personnel of Department 11.

(2) The Doctoral Candidate Admissions Board shall decide on all questions relating to formal aspects of the Doctoral Degree Regulations. All queries of the School of Music and Academy of Fine Arts concerning the doctoral degree examination are also to be dealt with by the Board. However, the Doctoral Candidate Admissions Board is not authorized to make decisions relating to the grading of the candidate's performance. The Board shall also decide whether a doctoral degree examination shall be declared invalid, whether the assessment of the candidate's performance should be revised per Art. 33, and shall further be authorized to decide on proposals regarding the amendment of the Doctoral Degree Regulations.

(3) The members shall be appointed from the corresponding Academic Councils of the School of Music and the Academy of Fine Arts. The term of office of members shall be three years; that of student members shall be one year. A chairperson and deputy chairperson shall be appointed from among the board members by the commission members and these shall have a term of office of three years.

(4) The chairperson of the Doctoral Candidate Admissions Board must be informed without delay in writing of the willingness of an examiner to supervise a candidate for the doctoral degree. The application for admission to the procedure will be forwarded to the chairperson by the corresponding rector.

Art. 12 Decision-making process

(1) The Doctoral Candidate Admissions Board shall be responsible for all decisions concerning the duly constituted procedure for the award of doctorates (Arts. 2 - 31), unless otherwise specified. The Council of the School of Music and the Council of the Academy of Fine Arts respectively shall have the right to make decisions concerning the conferral of honorary doctorates (Art. 32).

(2) A simple majority of the votes of the Board of Examiners responsible for the oral examination shall be required to approve its decisions. The chairperson shall have the deciding vote if there is a parity of votes and an open voting procedure is being used. If this is not the case and there is a parity of votes, the relevant proposal shall be considered to have been rejected.

(3) Written justification must be provided for any decisions of the Doctoral Candidate Admissions Board, the Council of the School of Music or the Council of the Academy of Fine Arts that relate to the award of a doctorate and make it more difficult for a candidate to obtain the degree. The candidate must also be informed of their right to object to the decision.

Art. 13 Departmental responsibilities

The corresponding rector shall decide, on the basis of the result of the vote of the Doctoral Candidate Admissions Board, whether the applicant shall be admitted to the procedure as a candidate for a doctorate, and shall appoint the members of the Board of Examiners. The corresponding rector shall appoint the chairperson and, where appropriate, shall also be entitled to appoint members or deputy members to the Board of Examiners in addition to those required by Art. 19 Section 2.

Art. 14 Appointment of dissertation reviewers

(1) After applicants have been admitted as doctoral degree candidates, the corresponding rector shall appoint two academic faculty members of the department in question who hold doctoral degrees to act as reviewers of the dissertation. The first reviewer shall be the academic faculty member of the department who has agreed to act as the candidate's supervisor. All academic members of the department who hold a doctoral degrees, including emeriti, may be appointed as reviewers. One of the reviewers may be assistant professor or honorary professor.

(2) Where appropriate in view of the subject of the dissertation, the rector may appoint, in consultation with the Doctoral Candidate Admissions Board, an academic faculty member of another department or of another institution of higher education for art or sciences to act as reviewer.

Art. 15 Dissertation review procedure

Each dissertation reviewer is to submit a written assessment of the dissertation, and, in accordance with the provisions of Art. 5, he/she shall propose the acceptance of the dissertation, the return of the dissertation to the candidate for revision, or the rejection of the dissertation. If acceptance of the dissertation is proposed, it is to be graded in accordance with the stipulations of Art. 24.

Art. 16 Public display of the dissertation and reviews

The dissertation and the submitted reviews are to be made available for public examination in the Office of the Deanery for a period of four weeks. The academic faculty members of the department and members of the department council are to be notified accordingly. During the period specified above, they shall have the right to examine the dissertation and its reviews.

Art. 17 Decision on the acceptance of a dissertation

(1) If both reviewers propose the acceptance of the dissertation awarding it identical or different grades, the dissertation shall be adjudged to have been accepted, unless a written objection to the acceptance of the dissertation is lodged by one of the persons specified in Art. 16 within the period specified. Where the grades proposed by both reviewers are identical, the corresponding rector shall specify the final grade accordingly. If an objection to the acceptance of the dissertation has been lodged, the Doctoral Candidate Admissions Board shall decide whether the dissertation is to be accepted, is to be returned to the candidate for revision, or is to be rejected.

(2) If the reviewers propose that the dissertation should be returned to the candidate for revision, the corresponding rector, in consultation with the reviewers, shall set an appropriate deadline for resubmission of the revised dissertation. If the candidate does not resubmit the dissertation by the specified deadline, the dissertation shall be adjudged to have been

rejected. The corresponding rector may grant a one-off postponement of the deadline for resubmission in response to the candidate's application justifying such postponement.

(3) When a revised dissertation is resubmitted by a candidate before or by the specified deadline, follow-up assessments of this dissertation shall be required from the reviewers who originally proposed that the dissertation be returned for revision or rejected. If this is not possible, the corresponding rector shall appoint substitute reviewers. When the follow-up assessments have been submitted, the Doctoral Candidate Admissions Board shall decide on the basis of all reviews whether the dissertation should be accepted or rejected, and the Board shall specify the grade to be awarded.

(4) In any cases in which the reviewers propose the rejection of a dissertation, it shall be the responsibility of the Doctoral Candidate Admissions Board to decide whether the dissertation should be accepted, returned for revision, or rejected.

(5) In cases in which the reviewers are in disagreement on whether a dissertation should be accepted, returned for revision, or rejected, or they propose different grades for a dissertation (Art. 19), the corresponding rector shall appoint an additional reviewer; the provisions of Art. 9 Section 2 shall apply accordingly. On receipt of the assessment of this reviewer, the Doctoral Candidate Admissions Board shall decide whether the dissertation should be accepted, returned for revision, or rejected, and the Board shall specify the grade to be awarded.

Art. 18 Consequences of the rejection of a dissertation

If a dissertation is rejected, the doctoral degree assessment procedure shall be terminated and the candidate shall be adjudged to have "failed". A rejected dissertation with all reviews shall be retained with the records of the department. Any fees already paid will not be reimbursed.

IV. Oral examination

Art. 19 Board of Examiners

(1) After acceptance of a dissertation, the corresponding rector shall appoint the Board of Examiners per Section 2 for the oral examination. Authorized to act as examiners are all JGU academic faculty members and university lecturers, supernumerary professors, associate professors, and habilitated personnel who hold doctoral degrees and undertake teaching duties.

(2) The Board of Examiners shall consist of the two reviewers and an additional examiner who, depending on the subject of the examination, shall be a member of the Academy of Fine Arts or the School of Music. The corresponding rector shall appoint a member of the Board of Examiners to act as its chairperson. The corresponding rector also has the right to appoint to the Board of Examiners additional examiners from other departments of the Johannes Gutenberg University, from other universities in Germany and abroad and/or from equivalent institutions of higher education, particularly in cases in which the procedure is relevant to more than one academic discipline or involves more than one institution of higher education. These additional examiners must hold qualifications equivalent to those of the persons specified in Section 1, Sentence 3.

Art. 20 Scheduling of and invitation to the oral examination

(1) The chairperson of the Board of Examiners shall specify, in consultation with all

concerned, a time and date for the oral examination.

(2) Candidates will be sent a written invitation to attend the oral examination. Candidates will be informed of the grade awarded to their dissertation in the invitation.

(3) Candidates who fail to attend the oral examination shall be adjudged to have failed the oral examination. If a candidate is prevented from attending for a justified reason, the corresponding rector shall set a new date and time for the oral examination. In this case, the candidate shall not be considered to be retaking the oral examination.

Art. 21 Oral examination

(1) The oral examination shall take the form of a disputation. It must take place within six months of termination of the period specified for public display of the dissertation and its reviews. The candidate shall be required to provide a defense of his/her dissertation lasting approximately 30 minutes. This shall be followed by a disputation with the members of the Board of Examiners to last some 30 - 60 minutes. The chairperson shall permit other persons who hold a doctoral degree and who are present in the auditorium to ask questions of the candidate. The disputation should cover a wider field than that covered by the dissertation.

(2) All members of the department shall be permitted to attend the disputation.

(3) A record of the course of the disputation is to be kept and this record is to be signed by the members of the Board of Examiners. The record must show the main aspects dealt with in the oral examination and the result of the examination.

(4) Female candidates may request – in accordance with Art. 26 Section 4 Sentence 1 of the German legislation governing higher education – that the central Women's Representative or the Women's Representative of the relevant department should also be permitted to attend the oral examination.

Art. 22 Evaluation of the oral examination

(1) On completion of the disputation, the members of the Board of Examiners shall decide in camera on the grade to be awarded for the oral examination.

(2) The following grades are to be used:

very good (1) = summa cum laude

good (2) = magna cum laude

satisfactory (3) = cum laude

fair/pass (4) = rite

fail (5) = insufficienter.

If different grades are proposed, the members should first attempt to find a mutual resolution; if this proves impossible, the average of the proposed grades should be calculated. If this lies between two of the above grades, the result is to be rounded up to the better grade. Intermediate grades may not be awarded.

(3) The grade "very good" may only be awarded if all members of the Board of Examiners agree to this or a maximum of one member objects to this.

(4) The candidate shall be adjudged to have failed the oral examination if at least two members of the Board of Examiners award the grade "fail" per Section 2.

Art. 23 Retaking the oral examination

- (1) Candidates who fail the oral examination shall be permitted to retake the oral examination within the following six months. No additional attempt at the oral examination shall be permitted.
- (2) The chairperson of the Board of Examiners shall inform the relevant candidate in writing of the reasons for failure and shall stipulate a date and time for the repeat examination.
- (3) Candidates must apply in writing to the chairperson of the Board of Examiners to retake the oral examination. The chairperson shall set a date and time for the examination in consultation with all involved.
- (4) If a candidate provides a written waiver of the right to retake the oral examination, this waiver shall be considered irrevocable.
- (5) If the oral re-examination does not take place in due time, if the candidate fails the examination, or if the candidate waives his/her right to retake the examination, it shall be adjudged that the candidate has failed the doctoral degree assessment procedure.

V. Successful conclusion of the doctoral degree assessment procedure

Art. 24 Overall grade

(1) The doctoral degree assessment procedure shall be adjudged to have been successfully completed if the dissertation is accepted and the candidate passes the oral examination. The Board of Examiners shall award an overall grade for the doctoral degree in a sitting to be held in camera. The grade awarded to the dissertation shall be multiplied by a factor of 2; the grade awarded to the oral examination shall be multiplied by a factor of 1. If the result is between two grades, it is to be rounded up to the better grade. The following overall grades are to be employed:

- very good (1) = summa cum laude
- good (2) = magna cum laude
- satisfactory (3) = cum laude
- fair/pass (4) = rite.

The assessments of the oral and written performance of the candidate are to be noted in German, while the resultant overall grade is to be noted in German and Latin in the doctoral diploma.

(2) Candidates who successfully complete the doctoral degree assessment procedure will be issued with a certificate by the corresponding rector confirming that they have successfully completed the procedure. This preliminary certificate does not entitle candidates to use the academic title of doctor.

Art. 25 Printing of the dissertation

(1) The doctoral candidate must arrange for the printing of the dissertation in case it is not already available in a printed form distributed by a publisher at the time of submission of their application for admission as a doctoral degree candidate. This also applies to any sections of the dissertation that have not yet been published in this form. The Board of Examiners may make recommendations for revisions before printing. Dissertations that are reproduced in

microfiche form shall be considered to have been printed.

(2) All candidates are obligated to provide the required number of printed copies of the dissertation (presentations copies) specified in Art. 27 in the form specified in Art. 26 within one year of completing the oral examination.

(3) With the written authorization of all reviewers, the doctoral candidate may revise the dissertation. Prior to the printing of the dissertation, the candidate must ensure that all requirements specified by the reviewers are met; in such cases, a dissertation may only be printed after it has been submitted to one of the reviewers, and this reviewer has confirmed in writing that the dissertation is ready for printing.

(4) The corresponding rector may, under certain circumstances, extend the deadline for the delivery of the required number of printed copies of the dissertation by up to one year if so requested by the candidate. The request must be made prior to expiry of the first deadline, and a written justification for the postponement must be provided; where necessary, a written agreement to print the dissertation provided by a publisher or printer will also be required. Please also see, in this connection, Art. 26 Section 2.

(5) With the approval of all those involved in the procedure, extracts of a dissertation may be published in academic journals prior to completion of or during the doctoral degree assessment procedure. It must be made apparent in such publications that these represent excerpts of an as yet uncompleted dissertation. Publication in this form does not yet count as a dissertation.

(6) A copy of the dissertation in its pre-printed manuscript form will be retained with the records of the department; this shall apply accordingly in situations in which Art. 7 Section 2 Sentence 1 applies.

Art. 26 Required form of the printed dissertation

(1) In its printed form, the treatise must be identified as a dissertation prepared for the Department of Music and Fine Arts of the Johannes Gutenberg University Mainz. In general, the model layout stipulated in the appendix should be employed.

(2) If the dissertation is published by a publisher as a generally distributed work, it must be identified as a dissertation prepared for the Department of Music and Fine Arts of the Johannes Gutenberg University Mainz.

(3) Already published sections of the dissertation must be combined with remaining sections of the dissertation in a single volume or book.

(4) In addition, an abstract of the dissertation approved by the first reviewer that extends for no more than one page shall also be required.

(5) The required copies of the dissertation must be printed or reproduced using a comparable technique on non-aging wood-free and acid-free paper, and must be provided with a durable binding.

Art. 27 Required number of dissertation copies

(1) The candidate must publish his/her dissertation in one of the following forms and must supply at own cost the specified number of copies to the corresponding department for distribution to the University Library:

- a) Four copies will be required if a commercial publisher has agreed to distribute the dissertation.
- b) Four copies will be required if the dissertation is published in an academic journal or as part of a collection.
- c) An electronic version using a medium and in a format to be specified by the University Library. Four printed copies or four copies reproduced using a comparable technique that represent a textual and page-for-page reproduction of the electronic version.
- d) Four copies in microfiche form.

In addition, an abstract of up to 200 words in German and English is to be provided for the University Library in electronic form. A dissertation must be identified as a dissertation submitted to the Johannes Gutenberg University Mainz by inclusion of "D77" (e.g. in a footnote).

Art. 28 Completion of the promotion procedure

- (1) When the doctoral candidate has met the requirements specified in Arts. 25 - 27, the corresponding rector shall finalize the procedure by awarding the doctoral diploma. The diploma will show the title of the dissertation and the overall grade of the degree. The date of acquirement of the degree shall be the date of the oral examination. The doctoral diploma will be signed by the corresponding rector and shall bear the official seal of the Johannes Gutenberg University (see example in the appendix).
- (2) If the printed dissertation is to be commercially distributed as a monograph or a journal article, the corresponding rector may finalize the degree procedure once the candidate is able to supply a written agreement by a publisher or printer to print the dissertation. The acceptance of the manuscript must be confirmed therein. However, where Art. 26 Section 4 applies, this shall only be possible when copies of the unabridged version are made available.
- (3) The doctoral diploma shall show the title of the dissertation, the overall grade in German and Latin, the grades awarded for the dissertation and for the oral examination in German, and the date of the oral examination.
- (4) On being awarded his/her doctoral diploma, the candidate is entitled to use the title of Doctor of Art Theory, Doctor of Art Education, Doctor of Music Theory, or Doctor of Music Education, as appropriate. This concludes the doctoral degree procedure.

Art. 29 Right of access to records

- (1) On completing each part of the doctoral degree assessment procedure, the candidate shall have the right to access to all the records relating to his/her procedure. This shall include all reviews and assessments, and the copies of the dissertation used for review purposes.
- (2) These records shall be made available in the Office of the Deanery. The candidate shall also have the right to make copies of these records, or to instruct the Office of the Deanery to prepare photocopies of documents at his/her own expense.

Art. 30 Objection proceedings

- (1) The applicant retains the right to lodge objections to any of the decisions made in connection with his/her application to be admitted as doctoral candidate and made during the assessment procedure itself.

(2) The Doctoral Candidate Admissions Board shall decide whether objections made by the applicant to the invalidation of the assessment procedure and/or to revision of assessments per Art. 33 are justified.

The Board of Examiners shall decide whether objections to the overall grade awarded per Art. 24 are justified.

The Council of the School of Music or the Council of the Academy of Fine Arts respectively shall decide on the applicant's further objections against decisions on the admission as doctoral candidate, and on the withdrawal of a conferred doctoral degree per Art. 34 Section 2.

Art. 31 Charges and fees

The fee for the procedure will be charged in accordance with the Special Tariff of Fees of the Ministry of Science, Education, Research, and Culture in its currently valid version.

VI. Distinctions

Art. 32 Honorary doctorates

(1) The academic title of Doctor honoris causa (Dr. h. c.) of Art Theory, of Art Education, of Music Theory, or of Music Education may be awarded for outstanding academic achievements in the corresponding discipline.

(2) The relevant Council of the School of Music or of the Academy of Fine Arts shall decide, in consultation with the Doctoral Candidate Admissions Board, on the conferring of honorary doctorates. This shall be debated in a joint sitting of the relevant Council and the joint Doctoral Candidate Admissions Board. Two thirds of the members present and eligible to vote must approve the award, as must two thirds of the professors who are members of the relevant council.

(3) The honorary doctorate shall be bestowed during a special ceremony in which tribute will be paid to the academic achievements of the person being honored.

VII. Invalidity of the procedure and withdrawal of a conferred doctoral degree

Art. 33 Invalidity and revision of assessments

(1) Should it come to light prior to the presentation of the diploma that the candidate has deliberately falsified his/her performance during the procedure, or that it has been erroneously assumed that major requirements for admission to the procedure were in place, the Doctoral Candidate Admissions Board shall have the right to declare the examination null and void, and to specify, if necessary, that the candidate has "failed".

(2) Decisions made with regard to the accreditation and assessment of the performance of a candidate can be revised if it is subsequently revealed that a candidate deliberately created a false impression of the real situation or deliberately exploited any false notions that arose, and these false impressions or notions influenced the decisions. The Doctoral Candidate Admissions Board shall be responsible for revising decisions in such cases after the candidate involved has been given the opportunity to present his/her case in writing.

Art. 34 Withdrawal of a conferred doctoral degree

- (1) Doctoral degrees are to be withdrawn in accordance with the provisions of the existing legislation.
- (2) The joint Doctoral Candidate Admissions Board shall decide in each case whether a conferred doctoral degree is to be withdrawn.
- (3) All German institutes of higher education that have the right to award lecturing qualifications must be notified of the withdrawal of a doctoral degree.

Art. 35 Enactment of the Doctoral Degree Regulations

These Doctoral Degree Regulations shall come into force on the day following their publication in the Official Gazette of the State of Rhineland-Palatinate.

Mainz, 10 January 2007

The Dean
of Department 11
School of Music and Academy of Fine Arts
Johannes Gutenberg University Mainz

Professor Winfried Virnich

Appendix 1

Model layout for the title page of the dissertation

recto of page:

.....
.....

.....
(title)

Dissertation
submitted to attain the academic degree

of a Doctor

of the Department of Music and Fine Arts
of
Johannes Gutenberg University Mainz
by

.....
(author's name)

.....
(place of origin)

.....
(year)

verso of page:

1. Reviewer: Professor
2. Reviewer: Professor

date of oral examination:

Appendix 2

Model layout of the doctoral diploma in English

JOHANNES GUTENBERG UNIVERSITY MAINZ

Department 11

Academy of Fine Arts/School of Music

herewith confers

in the name of its President, Professor

Dr. (forename and surname)

and of its Dean, Professor

(forename and surname)

in accordance with the Doctoral Degree Regulations of Department 11

the title and dignity of a

DOCTOR OF...

on

(forename and surname)

born on (date) in (place)

as a result of the submission of the dissertation

(title)

in the discipline (designation)

Grade: (grade in Latin)

and the oral examination on (date)

Grade: (grade in Latin)

with the overall grade

(grade in Latin)

Mainz, dated (day, month in full, year)

Professor (fore- and surname) Professor (fore- and surname) Professor (fore- and surname)